

ALLOCATION REVIEW PROCEDURES – FINAL ACTION

In 2016, National Marine Fisheries Service (NMFS) published three directives on allocation reviews:

- [*Policy Directive 01-119*](#): Fisheries Allocation Review Policy
- *Procedural Directive 01-119-01*: Criteria for Initiating Fisheries Allocation Reviews (Council Coordinating Committee Allocation Workgroup Guidance Document) (Agenda Item D.4, Attachment 1)
- [*Procedural Directive 01-119-02*](#): Recommended Practices and Factors to Consider When Reviewing and Making Allocation Decisions

The purpose of these policies and directives is to “provide a mechanism to ensure fisheries allocations are periodically evaluated to remain relevant to current conditions.” (01-119, p. 2). Policy Directive 01-119 directed that Councils identify allocation review triggers “within three years [by July 2019] (or as soon as practicable) from finalization of this policy” (01-119, p. 2).

The procedural directives identify a three-step process for allocation review, the first two steps of which are the subjective of Procedural Directive 01-119-01. The first step occurs when criteria are met that trigger the review, and the second step is the review to determine whether or not development of new allocation options are warranted. The second step does not require an in-depth analysis but should be adequate to inform a determination of the need for new allocation alternatives. The third step is the process of formal consideration and analysis of new alternatives and should be guided by Procedural Directive 01-119-02.

The scope of allocations covered by these policy and procedural directives are those that “distribute *specific quantities* to identifiable, discrete user groups or individuals . . . regardless of how the discrete user groups are identified in the FMP” (emphasis added, 01-119, p. 4). This definition is somewhat narrower than that generally used for allocation considerations related to National Standard 4, which identify allocation of opportunities to participate rather than quantities of fish.

To facilitate Council development of trigger criteria, Council staff developed Council Operating Procedure 27 (COP 27) on allocation reviews, which includes the allocation review trigger criteria that would be used in the first step in the three step process. The Council reviewed a preliminary draft of this procedure at its April 2019 meeting and directed that certain revisions be made based on comments of advisory bodies. The revised draft was [posted for public comment](#) on May 13, 2019 (Agenda Item D.4, Attachment 2). The task before the Council at this meeting is to finalize COP 27 in order to meet the three-year deadline specified in the policy directive.

Council Action:

Final Adoption of Criteria for Triggering an Allocation Review, Including Council Operating Procedure 27.

Reference Materials:

1. Agenda Item D.4, Attachment 1: Procedural Directive 01-119-01: Criteria for Initiating Fisheries Allocation Reviews (Council Coordinating Committee Allocation Workgroup Guidance Document).
2. Agenda Item D.4, Attachment 2: Draft Council Operating Procedure 27, Process for Initiating Allocation Reviews.
3. If received, public comments are electronic only ([see e-portal](#)).

Agenda Order:

- D.4 Allocation Review Procedures – Final Action Jim Seger
- a. Reports and Comments of Management Entities and Advisory Bodies
 - b. Public Comment
 - c. **Council Action:** Final Adoption of Criteria for Triggering an Allocation Review, Including Council Operating Procedure 27

PFMC
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