INITIAL REVIEW DRAFT

Preliminary Draft Environmental Assessment/Regulatory Impact Review/ Regulatory Flexibility Analysis/MSA Analysis for Proposed Amendment to the Groundfish Fishery Management Plan

Limited Entry Fixed Gear Actions

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National Oceanic and Atmospheric Administration

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Abstract: This Preliminary Draft Environmental Assessment/Regulatory Impact Review/Regulatory Flexibility Act Analysis/Magnuson-Stevens Fishery Conservation and Management Act Analysis document analyzes proposed management measures that would apply to vessels registered to limited entry fixed gear (LEFG) endorsed permits and LEFG permit owners in the Pacific Coast groundfish fishery. This action is a follow up to the LEFG tier sablefish program review that was completed in 2021. However, aspects of this action are proposed to be extended to all LEFG participants (including those not endorsed for sablefish tiers). The measures under consideration include: adding flexibility to gear endorsements, removing the base permit designation of LEFG permits, requiring reporting of permit prices when a permit is sold, removing the start and end times of the primary tier season in regulations, and developing a cost recovery program for the LEFG tier fishery.

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List of Acronyms and Abbreviations

Table 1. List of Acronyms and Abbreviations.					
Acronym or Abbreviation	Meaning				
ABC	acceptable biological catch				
ACL	annual catch limit				
CFR	Code of Federal Regulations				
Council	Pacific Fishery Management Council				
DPS	distinct population segment				
E.O.	Executive Order				
EA	Environmental Assessment				
EEZ	Exclusive Economic Zone				
EFH	essential fish habitat				
ESA	Endangered Species Act				
FMP	fishery management plan				
FONSI	Finding of No Significant Impact				
FR	Federal Register				
FRFA	Final Regulatory Flexibility Analysis				
ft	foot or feet				
IFQ	Individual fishing quota				
IRFA	Initial Regulatory Flexibility Analysis				
lb(s)	pound(s)				
LE	limited entry				
LEFG	limited entry fixed gear				
LOA	length overall				
m	meter or meters				
Magnuson-	Magnuson-Stevens Fishery				
Stevens Act	Conservation and Management Act				
MMPA	Marine Mammal Protection Act				

Acronym or Abbreviation	Meaning					
t	tonne, or metric ton					
NAO	NOAA Administrative Order					
NEPA	National Environmental Policy					
	Act					
NMFS	National Marine Fishery Service					
NOAA	National Oceanic and					
	Atmospheric Administration					
OA	open access					
OFL	Overfishing limit					
OMB	Office of Management and					
	Budget					
PBR	potential biological removal					
PPA	Preliminary preferred					
	alternative					
PRA	Paperwork Reduction Act					
RCA	Rockfish Conservation Area					
RFA	Regulatory Flexibility Act					
RFFA	reasonably foreseeable future					
	action					
RIR	Regulatory Impact Review					
SAFE	Stock Assessment and Fishery					
~~.	Evaluation					
SBA	Small Business Act					
Secretary	Secretary of Commerce					
TAC	total allowable catch					
U.S.	United States					
USFWS	United States Fish and Wildlife					
	Service					
WCGOP	West Coast Groundfish					
	Observer Program					

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1 Introduction

This document analyzes proposed management measures that would apply to vessels registered to limited entry fixed gear (LEFG) endorsed permits and LEFG permit owners in the Pacific Coast groundfish fishery. The measures under consideration include: adding flexibility to gear endorsements, removing the base permit designation of LEFG permits, requiring reporting of permit prices when an LEFG permit is sold, removing the start and end times of the primary tier season in regulations, and developing a cost recovery program for the LEFG tier fishery.

This document is a preliminary draft Environmental Assessment/Regulatory Impact Review/Regulatory Flexibility Act Analysis/Magnuson-Stevens Fishery Conservation and Management Act Analysis (EA/RIR/RFAA/MSA). An EA/RIR/RFAA/MSA provides assessments of the impacts of a proposed action and its reasonable alternatives (the EA), the benefits and costs of the alternatives and the distribution of impacts (the RIR), identification of the small entities that may be affected by the alternatives (RFAA), and analysis of how the alternatives align with the National Standards in the Magnuson-Stevens Act (MSA). This EA/RIR/RFAA/MSA addresses the statutory requirements of the Magnuson-Stevens Act, the National Environmental Policy Act (NEPA), Presidential Executive Order 12866, and the Regulatory Flexibility Act (RFA). An EA/RIR/RFAA/MSA is a standard document produced by the Pacific Fishery Management Council (Council) and the National Marine Fisheries Service (NMFS) West Coast Region to provide the analytical background for decision-making.

This EA implements the NEPA statute (42 U.S.C. §§ 4321 et seq.).

1.1 Purpose and Need

The Council adopted the following purpose and need in September 2024:

Based on the most recent limited entry fixed gear (LEFG) primary sablefish program review, the program is working effectively. However, with changing and unpredictable ocean and market conditions, and an aging fleet, there is a continued need to increase the flexibility to all LEFG participants to utilize their quota in the most efficient way possible and encourage new participation. The purposes of this action are to (1) consider allowing LEFG permitted vessels to use different non-trawl gear(s) (besides the gear endorsed on their permit) to harvest their LEFG quotas, (2) increase efficiency and opportunity for LEFG sablefish tier vessels and participants, (3) gather additional socioeconomic information to support future program changes, and (4) reduce administrative burdens, where practicable. This action is needed to provide increased flexibility to LEFG participants while reducing administrative burdens.

Additionally, NMFS has determined that elements of the LEFG sablefish primary fishery (i.e., tier program) are considered cost recoverable. The purpose of this action is to also develop a cost recovery program. The action is needed to meet the Magnuson-Stevens Act requirements for limited access privilege programs (LAPP) (16 U.S.C. §§ 1853a(e) and 1854(d)(2)).

1.2 History of the LEFG Fishery

In 1986 and 1987, the Groundfish Advisory Subpanel (GAP) raised concerns about the future of the West Coast groundfish fishery to the Council. To address some of those concerns, the Council initiated considerations of a limited entry (LE) program and appointed the Limited Entry Committee (LEC), in April of 1987, to study the issue. The LEC consisted of stakeholders both in support of and against limited entry in the groundfish fishery. In July of that year, the LEC recommended, and the Council adopted, a control

or "cut off" date of July 11, 1987. The intention of the control date was to signal to new entrants that they may be at risk of not receiving a permit. While their ultimate recommendation was complex, the LEC noted in their final report to the Council that the complexity of the recommendation was due to the complexity of the fishery being managed, and of the efforts to make the fishery as fair and equitable as possible.

There were three approaches proposed to designing the LE system:

- 1. Issue a separate permit for each gear (trawl, pot/trap, longline), allowing expansion of vessel numbers as vessels issued multiple permits could sell permits,
- 2. Issue a single permit for all gears, allowing expansion of effort as vessels shift from less powerful (longline) to more powerful gears (trawl), and
- 3. Issues permits good for only certain gears and create one permit for each vessel covering historical gears used.

(Amendment 6 to the Groundfish Fishery Management Plan (FMP) Final Environmental Impact Statement, FEIS).

Ultimately, the LEC recommended the third approach (which was adopted by the Council), as it was "anticipated to be the most effective of the three in that it more tightly controls two aspects of effort: the number of vessels and type of gear used" (page 4-2 of Amendment 6 FEIS). Amendment 6 to the Groundfish FMP, implemented in 1994, created three LE permits: trawl endorsed, longline endorsed, and pot/trap endorsed. For all other gear types (called "exempted gears" in Amendment 6 and now known as open access (OA) gears), there would be a separate allocation for those gears where acceptable biological catch (ABC) limits were fully harvested based on historical participation. For those species that were underattained, no immediate allocations were made, although criteria were developed if allocations ever needed to be established (Section 11.2.2 of the Groundfish FMP). Amendment 6 also allowed for vessels registered to LE permits to use OA gears for which they were not endorsed, but any catch would count towards the LE allocation (known as "crossover provisions").

Recognizing that a license limitation program would only slow the growth in fishery capacity, the Council moved immediately to consideration of an individual fishing quota (IFQ) program for the fixed gear sablefish fishery (Amendment 8). Work on this program continued from 1991 through 1994. In the fall of 1994, the Council set its deliberations aside in response to a request from the West Coast Congressional delegation to defer action until the Magnuson-Stevens Act reauthorization was completed. In its 1996 reauthorization of the Magnuson-Stevens Act, Congress included a moratorium on implementing new, individual quota programs through October 1, 2000, bringing deliberations on a sablefish IFQ Program to a complete halt.

With no IFQ program able to be developed at the time, the Council looked to other methods to address what had become a derby fishery. Over the course of a decade (1985 to 1995), the fixed gear season for sablefish had changed from year-round to seven days. The 1996 season was set at only five days. Both 1995 and 1996 seasons were followed by a "mop up" season with trip limits. The EA/RIR for <u>Amendment 9</u> to the Groundfish FMP described the issue as follows:

"While the license limitation program has limited the number of vessels which may participate in the groundfish fishery, there is still substantial opportunity for vessels to move from a non-sablefish segment of the limited entry groundfish fishery to the sablefish fishery."

To address concerns of expanding participation in the fixed gear sablefish fishery, Amendment 9 to the FMP established a sablefish endorsement for LEFG permits in 1997. This endorsement was required for LEFG permitted vessels to access the primary fishery. Amendment 9 recognized that many of the problems associated with the derby fishery could be alleviated with cumulative limits, but there would still be a

potential issue with increasing capacity and participation in the fishery at the time (and even under the cumulative limit system being considered by the Council). Ultimately, 168 LEFG permits were issued a sablefish endorsement. Even with the sablefish endorsements, the season was anticipated to be as short as three days in 1997, so equal limits were placed on each permit to try and extend the season. Due to the Congressional moratorium on LAPPs, this was seen as the only way to lengthen the season and start to address safety issues associated with the derby style fishery.

A <u>1998 regulatory amendment</u> established permit tiers for fixed gear sablefish endorsed vessels. Permits were assigned to one of three tiers based on catch history. The highest tier (Tier 1) received fishing opportunities in the form of cumulative limits that were 3.85 times the lowest tier (Tier 3); the middle tier limits (Tier 2) were 1.75 times the lowest tier limits. This change to a tier system was intended to address the inequitable allocation system (i.e., the equal limits placed on all permits no matter the history) that was implemented with Amendment 9. While this did not solve the entire issue with the derby style nature of the tier fishery, it did allocate opportunity based on the history of the participant.

Amendment 14 to the Groundfish FMP then established the permit stacking program, which allowed up to three tier permits to be placed on a vessel and for the vessel to fish up to the combined limits for all three permits. It also authorized extension of the season (once the Congressional moratorium on catch share programs was lifted). Amendment 14 was implemented August 7, 2001, and the primary fishery ran from August 15 to October 31. Beginning in 2002, the fishery was able to run for its full intended length (April 1 through October 31).

A <u>2006 regulatory amendment</u> required the submission of ownership information and fully implemented the owner-on-board requirement. This amendment implemented final portions of Amendment 14 and included elements that "prevent[ed] excessive fleet consolidation, ensuring processor access to sablefish landings from the primary season, and maintaining the character of the fleet through owner-on-board requirements." There were six components to this rule:

- 1. Requirement for permit owners and holders to document their ownership interests to ensure that no person holds or has ownership interests in more than three permits;
- 2. Owner-on-board requirement for permit owners that didn't own a sablefish-endorsed permit prior to November 1, 2000;
- 3. Opportunity for permit owners to add spouse as co-owner;
- 4. Vessels that did not meet minimum historic frozen sablefish landing requirements would be allowed to process at sea;
- 5. Requirement of certification of sablefish landing midseason for permit transfers; and
- 6. Defined "base permit."

The <u>first review of the LEFG program</u> was finalized in June 2014. From that review, there were a series of research needs and recommendations made by the Council for future consideration as well as recommendations regarding the three permit own-and-hold regulations and requirements for electronic reporting. One of the recommendations was to combine the pot and longline endorsements into a single fixed gear endorsement; however, it was removed from the groundfish workload list in November 2018 as it was considered too controversial at the time. A <u>regulatory amendment in 2016</u> implemented the other two recommendations along with six other major actions as follows:

- 1. Required electronic fish tickets (e-tix) for all commercial landings of sablefish delivered to West Coast buyers;
- 2. Provided qualified vessel owners an exemption to the ownership limitation of three permits in the LEFG fishery;
- 3. Allowed a single vessel to jointly register a trawl and LEFG-endorsed permit(s);
- 4. Prohibited vessels granted exemptions for processing sablefish at-sea in the 2006 amendment from doing so in the shorebased IFQ fishery;

- 5. Clarified that sablefish catch in incidental OA fisheries is counted against the OA allocation and not deducted from the commercial harvest guidelines (HG);
- 6. Required any vessel with VMS to send in a declaration;
- 7. Updated and simplified equipment requirements for e-tix; and
- 8. Clarified language prohibiting retention of groundfish species taken in the LEFG fishery beyond allowable quota.

In 2023-2024 harvest specifications, the Council recommended, and NMFS approved, an extension to the end of primary tier season from October 31 to December 31. As described in the proposed rule (87 FR 62676), the "seven-month season structure, as opposed to a year-long season, was intended to allow for timely catch accounting so that the sector allocation was not exceeded. As of 2017, commercial vessels landing sablefish are required to submit e-tickets within 24 hours of offload, "to improve timeliness and accuracy of sablefish catch reporting in the limited entry fixed gear fisheries and open access fisheries" (§ 660.213). Given the increase in speed of modern catch accounting, the original reason for the sevenmonth season is no longer applicable." A season extension (which had occurred via emergency rule in 2020 and 2021) was anticipated to provide opportunity and flexibility for vessels to fish their full tier limits and maximize economic benefits.

The latest groundfish FMP amendment that affected the species and limits that could be caught by LEFG endorsed permits was <u>Amendment 32</u>. In the 2023-24 harvest specifications, the Council approved the use of select non-bottom contact gear within the non-trawl rockfish conservation area (RCA) to target midwater rockfish. Those non-bottom contact gears are not defined as fixed gear and, therefore, under the crossover provisions in place at the time, LEFG vessels would have been required to fish under OA limits with the catch counting towards the LE allocations. Amendment 32 expanded the crossover provision to allow LEFG vessels to fish non-bottom contacts gears up to the LEFG limit, regardless of the gear endorsed on their permit.

1.3 History of this Action

The Council began its second review of the LEFG permit stacking program in September 2020. In June 2022, the Council completed its review with the adoption of the final report (2022 LEFG Program Review), including research and data needs and recommendation for program changes.

In March 2023, under Workload and New Management Measure Priorities, the Council prioritized a series of potential management measures off the groundfish workload list related to the LEFG program and to gear marking and other entanglement risk reduction measures, for vessels operating under the Groundfish FMP that use pot and bottom longline gear.

In June 2023, the Council considered those management measures and provided guidance on the development of a range of alternatives (ROA) for these measures. The Council also recommended that these items be split into two packages: 1) fixed gear marking and entanglement risk reduction, and 2) LEFG follow-on actions. The Council took final action on the fixed gear marking and entanglement risk reduction measures in June 2024, and the associated regulations are anticipated to be in place by the start of 2026.

In September 2023, the Council adopted a purpose and need and ROA for this action. At its March 2025 meeting, the Council adopt a preliminary preferred alternative (PPA) for this action and is expected to adopt a final preferred alternative (FPA) in June 2025.

1.4 Description of Management Area

The management area for this action is the Exclusive Economic Zone (EEZ) –defined as the waters in the area 3–200 nautical miles off the coasts of Washington, Oregon, and California –and the communities that engage in fishing in the EEZ off these states. Figure 3-1 in the Groundfish FMP depicts this management area and is incorporated by reference.

1.5 Description of the Fishery

1.5.1 Regulatory Requirements

To fish in the LEFG sector, vessels are required to be registered to an LEFG permit with a bottom longline and/or pot/trap endorsement (§660.25(3)(ii)). Meaning, if an LEFG vessel is to harvest the LEFG trip limit (or tier limit) for a particular species or complex, it must use the gear for which it is endorsed, except as specified below. Specific management measures for the LEFG sector are defined at 50 CFR Subpart E with LEFG groundfish trip limits found under the same subpart in Table 2 North and Table 2 South.

There are two fisheries within the LEFG sector:

- 1. LEFG sablefish primary (tier) fishery, which is managed with tier limits (§660.25(b)(vi)(A)) for sablefish north of 36° N. lat. ("sablefish north") rather than cumulative trip limits (§660.231); and
- 2. LEFG trip limit fishery, which is managed by cumulative trip limits.

Vessels fishing for sablefish (north or south of 36° N. lat.) with non-sablefish endorsed permits (the "trip limit" fishery) or those with sablefish-endorsed permits outside of the tier season (i.e., before April 1 or after a vessel has caught its tier limits) are subject to cumulative landing limits (weekly and bimonthly). Vessels registered to sablefish-endorsed permits (primary vessels) can fish their tier(s) for sablefish north any time after April 1, when and how they choose. Other non-sablefish species are subject to cumulative landing limits at the vessel level.

In addition to using bottom longline and pot gear as specified by their permit endorsement, LEFG vessels may also use non-bottom contact gear (stationary vertical jig gear or groundfish troll gear; 50 CFR 660.60(h)(7)(ii)(A)(2)) to fish up to the LEFG trip limits, and may do so inside or outside the non-trawl RCA. If a vessel chooses to fish gears other than that endorsed on their permit or the two non-bottom contact gear types, that is considered OA fishing and crossover provisions would apply (§660.60(h)(7)). Crossover provisions mean that vessels fishing in both the LE and OA fisheries, on different sides of a management line, or in both the shorebased IFO program and the LEFG fishery, are subject to the more restrictive trip limits or other management measures. In most cases, this would be the OA trip limits (§660.230(b)(2)). In select situations, if the OA trip limit is higher than the LEFG limit, LEFG vessels would be restricted to the LEFG trip limit (§660.60(h)(7)(ii)). Regardless of the gear type used by a vessel registered to an LEFG permit, any groundfish retained while using OA gear and/or during a crossover trip would count against the LEFG sector allocation (§660.60(h)(7)(ii)(A)). Finally, vessels are not allowed to retain two separate (i.e., LEFG and OA) trip limits (§660.60(h)(7)(ii)(A)) on the same trip. For example, if an LEFG vessel targets sablefish using its endorsed gear (e.g., bottom longline) and then switches to OA gear (e.g., vertical hook-and-line gear anchored to the bottom) to target rockfish on the same trip, the vessel could only retain the OA trip limit of that rockfish and sablefish (if applicable) (§660.60(h)(7)(ii)(A)). LEFG fishery participants are prohibited from operating within the boundaries of the non-trawl RCA, unless using the non-bottom contact gear types, and other specified groundfish conservation areas (GCAs) and essential fish habitat conservation areas (EFHCAs), regardless of gear type, unless transiting (§§660.212(c) and 660.230(d)(11)(iii)). Under §660.230(d), LEFG vessels allowed to operate "within a GCA (e.g., fishing for "other flatfish" with hook-and-line gear only) may not simultaneously have other gear on board the

vessel that is unlawful to use in the [LEFG] fishery." LEFG vessels are required to use vessel monitoring systems (VMS; §660.14(b)(1)) as well as carry an observer if selected for coverage (§660.18).

1.5.2 Participants

There are currently (2024) 223 total LEFG endorsed permits; the majority of which are endorsed for bottom longline gear (Table 1-1). All pot and dual-gear endorsed (i.e., endorsed for both pot and bottom longline) LEFG permits are endorsed to fish in the sablefish tier fishery. Only 132 of the 191 bottom longline permits include a sablefish endorsement. The remaining 59 permits are only allowed to fish in the LE trip limit fishery with bottom longline gear (noting exceptions described above). The majority of LEFG permits were registered to a vessel for the majority of the year (69 percent of all LEFG permits in 2024 and 62 percent in 2023). However, this was a significant drop compared to 2022, when 86 percent of all LEFG permits were registered to a vessel (see Table 1 of Agenda Item G.4, Attachment 2, September 2023). Of the permits that were not registered for an entire year, a majority (72 total permits- 61 longline endorsed, 10 pot endorsed, and 1 dual endorsed permit) were registered for part of the year while only 12 bottom longline permits were latent (i.e., not registered to any vessel) and therefore could be seen as available to the fishery. Approximately 98 percent of sablefish endorsed permits were registered to a vessel at some point during the primary season in 2024, but the degree of utilization by vessel and permit varied. Overall attainment in 2024 is estimated at approximately 80 percent based on landings and recent average discard mortality.

Table 1-1. Number of LEFG endorsed permits by gear type and sablefish endorsement, 2024.

Gear endorsement	Sablefish Endorsement	No Sablefish Endorsement	Total				
Bottom Longline	132	59	191				
Pot	28	0	28				
Bottom Longline/Pot	4	0	4				
Total	164	59	223				

Each permit is endorsed for a specific vessel length, which was established during Amendment 6, when the LE system was established. Vessel length was used to put an upward limit on capacity of the LE fleet instead of horsepower or a combination of length and volume. Each length is associated with a given harvest capacity rating (Table 3 of 50 CFR 660 Subpart C). Permits may be used on vessels up to five feet longer than the endorsed length to provide flexibility and, for the sablefish tier fishery, at least one permit (of the maximum three) must meet this requirement (50 CFR 660.25(b)(3)(iii)(C)). If permits are combined, then the resulting size endorsement will be the length rating indicated for the sum of the vessel harvest capacity ratings for each permit being combined (50 CFR 660.25(b)(3)(iii)(A) and 50 CFR 660.25(b)(3)(iii)(B)(2)). For sablefish endorsements specifically, a combination of permits would only maintain a sablefish endorsement if both permits were already endorsed, and the resulting permit would be the same as the largest cumulative landing of the permits being combined (50 CFR 660.25(b)(4)(ii)(A)).

Pot endorsed permits on average are longer than bottom longline or dual-endorsed permits, with the maximum length of a pot permit exceeding the longest bottom longline permit by over 40 ft length overall (LOA; Table 1-2). Dual-endorsed permits have a smaller range of lengths and are similar on average with bottom longline permits.

Table 1-2. Average, minimum, and maximum endorsement length (LOA) by gear for LEFG endorsed permits.

Gear Endorsement	Average Length	Minimum Length	Maximum Length
Bottom Longline	46.2	17	97.3
Pot	59.4	32	138.0
Bottom Longline and Pot	49.3	40	55.3

The number of participating vessels in the LEFG fishery has varied over time since the implementation of the tier fishery in 2002 (Figure 1-1). Recently (2020-2024), overall participation has declined to its lowest level since 2005. Participation by gear has varied over time, with the number of vessels using only bottom longline gear declining and the number of vessels using only pot gear being variable. The number of vessels using other hook-and-line gears (i.e., OA gears) has been increasing over the time series. In the last ten years (2015-2024), the number of vessels using both bottom longline and pot gears has varied, but is generally less than ten percent of the active fishing fleet annually (second column from the left;

Table 1-3). Fewer than three vessels annually (five in total) utilized pot gear and other styles of hook-and-line gear from 2015-2024.

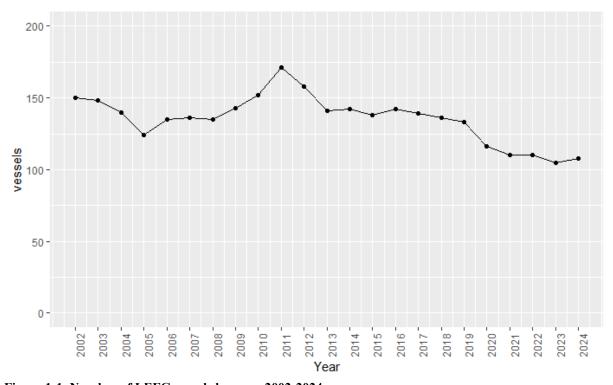


Figure 1-1. Number of LEFG vessels by year, 2002-2024.

Table 1-3. Number of LEFG vessels by gear type used in a single year, 2015-2024.

Year	Bottom Longline Only	Pot Only	Other Hook-and- Line Gear Only	Bottom Longline/ Other Hook-and Line	Pot/ Bottom Longline a/	Total
2015	89	12	5	26	6	138
2016	95	10		30	7	142
2017	89	11	5	27	7	139
2018	91	10	4	20	11	136
2019	84	8	4	24	12	132
2020	76	13		21	6	116
2021	69	11	6	16	9	110
2022	62	11	8	19	10	110
2023	63	12	8	17	6	106
2024	61	12	12	20	4	109

a/ Due to confidentiality, these counts include vessels that fished pot and other hook-and-line gears in a single year and fished all three gear types (pot, bottom longline, and other hook-and-line gears) in a single year.

Figure 1-2 shows the landings by gear group from 2015-2024. From 2015-2019, bottom longline gears accounted for approximately 81 percent of landings whereas since 2020, it has been 70 percent. The decline in the proportion of bottom longline landings corresponds with the decline in the number (and proportion) of vessels using bottom longline gear seen above in Table 1-3. The number of pot vessels has varied (14-24 annually); yet, as the proportion of vessels using pot gear has generally been increasing, so have the absolute and proportional landings of pot gear landings. Bottom longline landings have decreased overall when comparing pre-2020 to 2020 and beyond, but there has been an uptick in the amount of other hookand-line gear landings in the LEFG sector since that time.

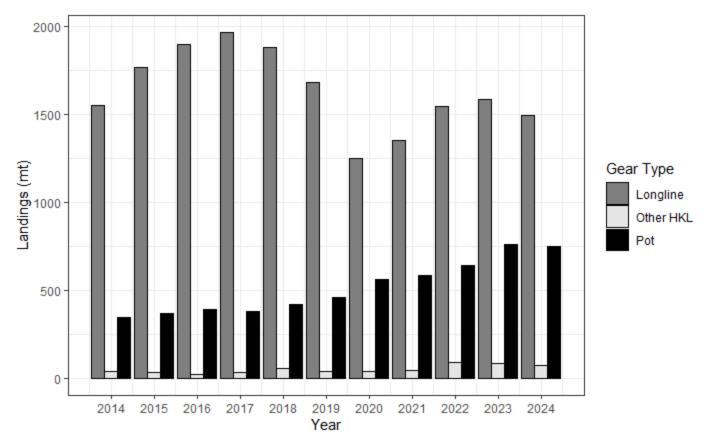


Figure 1-2. Landings by gear type by LEFG vessels, 2015-2024.

LEFG vessels using pot gear primarily harvest sablefish (98 percent of total revenue on average from 2020-2024), with landings by pot gear coinciding generally with the tier season (Figure 1-3, dashed line). This trend is likely due to all pot-endorsed permits having a sablefish endorsement (i.e., tier permit) and pot gear being more selective to sablefish compared to bottom longline (and other hook-and-line) gears. Vessels using bottom longline gear, on the other hand, see an average of 25 percent of revenue come from landings of non-sablefish species. Landings of groundfish (sablefish and non-sablefish) with bottom longline gears begin at the start of the calendar year and are not tied directly to the tier season opening (Figure 1-3, solid line). Other non-trawl gear landings are not included in the figure below, but represent very limited total landings.

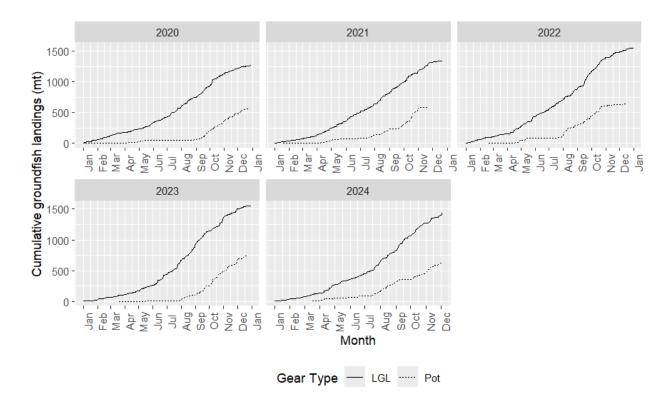


Figure 1-3. Cumulative groundfish landings by gear type (bottom longline (LGL) and pot gear), in the LEFG sector, 2020-2024. Landings by other hook-and-line gears not included.

Spatially, there is a difference in the location in where the hook-and-line gear and pot gear in the non-catch shares sector (i.e., the LEFG and OA fisheries; not including vessels fishing with fixed gear, or "gear switching", in the trawl catch share fishery) are fished. Figure 1-4 and Figure 1-5 below are reproduced from the West Coast Groundfish Observer Program (WCGOP) Fishing Effort Report (Somers, et al. 2023). While these maps combine all non-catch-shares fishing activity (LEFG and OA), they also show the likely distribution of effort by LEFG vessels. Hook-and-line effort (which includes all bottom longline and any other hook-and-line gear) has a more coastwide distribution compared to pot activity (which could include slinky pots, noting that there have been limited observations as described in 3.2.2), which is concentrated more to the north. This is especially true for 2021, where the effort was concentrated near the Oregon/Washington border and northern California (right panel).

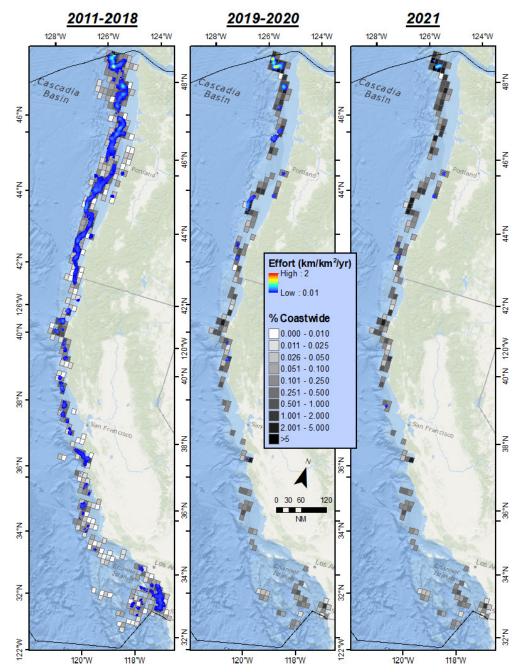


Figure 35. Spatial distribution and intensity of fishing effort by the non-catch shares hook-and-line sector. Intensity (units: $km/km^2/yr$) is depicted by a color ramp of cool (low) to warm (high) colors. The overall footprint of fishing for each time period is depicted in grayscale, with darker (black) tones depicting a higher relative contribution to coastwide effort within 10×10 -min cells.

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Figure 1-4. Spatial distribution and intensity of fishing effort by non-catch shares hook-and-line sector, 2011-2021. Reproduction of Figure 35 from Somers, et. al 2023.

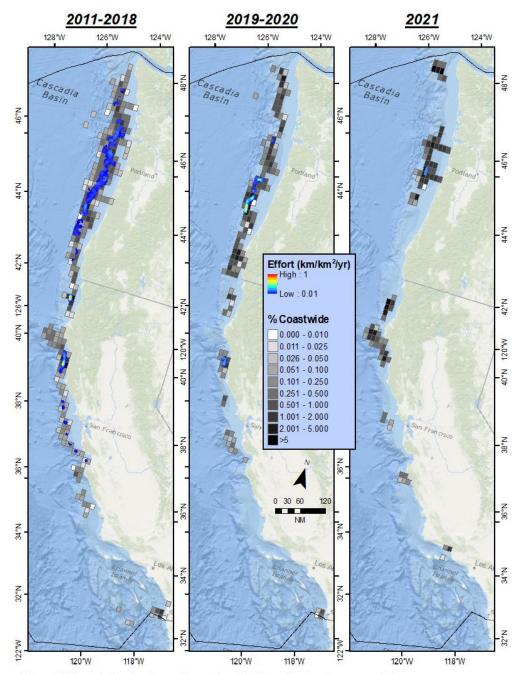


Figure 28. Spatial distribution and intensity of fishing effort by the non-catch shares pot sector. Intensity (units: $km/km^2/yr$) is depicted by a color ramp of cool (low) to warm (high) colors. The overall footprint of fishing for each time period is depicted in grayscale, with darker (black) tones depicting a higher relative contribution to coastwide effort within 10 × 10-min cells.

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Figure 1-5. Spatial distribution and intensity of fishing effort by non-catch shares pot sector. Reproduction of Figure 28 from Somers, et. al 2023.

1.5.3 LEFG and Community

The LEFG fishery occurs coastwide, noting that the primary tier fishery for sablefish north occurs only north of 36° N. lat. Table 1-4 shows the average landings, proportion of landings, average ex-vessel revenue (2024\$), and average proportion of ex-vessel revenue from the LEFG fishery (sablefish and non-sablefish) from 2015-2019 and 2020-2024. Additionally, it shows the number of vessels and dealers that delivered or purchased fish in that port, in total, for those two periods.

Table 1-4. Average landings (mt) and revenue (1000s of 2024\$) and associated percentages for LEFG landings by port group and time period (2015-2019,

2020-2024). Number of distinct vessels and dealers by port group and time period.

2020- 2024). Number of distinc		2015- 2019					2020- 2024					
Port Group	Avg.	Avg.%	Avg.	Avg.	Dist. #	Dist. #	Avg.	Avg.%	Avg.	Avg.	Dist. #	Dist. #
	Land.	of	Ex-	% of	of	of	Land.	of	Ex-	% of	of	of Deal
	(mt)	Land.	Ves.	Rev	Ves	Deal	(mt)	Land.	Ves.	Rev	Ves	
			Rev.						Rev.			
PUGET SOUND	266.4	11.7%	\$2,173	11.9%	18	6	318.3	14.9%	\$1,300	12.4%	21	4
NORTH WA COAST	99.7	4.4%	\$ 698	3.8%	10	14	51.1	2.3%	\$ 197	1.9%	9	11
SOUTH AND CENTRAL	134.5	5.9%	\$1,158	6.2%	20	14	107.3	4.8%	\$ 421	4.0%	16	17
WA COAST												
ASTORIA	90.5	4.0%	\$ 774	4.1%	6	3	129.8	5.9%	\$556	5.3%	11	6
NEWPORT	376.5	16.5%	\$3,164	17.2%	26	20	436.2	20.0%	\$1,735	16.4%	24	16
COOS BAY	154.1	6.8%	\$1,391	7.7%	20	12	146.8	6.7%	\$745	6.8%	13	13
BROOKINGS	98.8	4.4%	\$657	3.6%	15	14	78.9	3.6%	\$326	3.0%	7	18
CRESCENT CITY	52.6	2.3%	\$ 339	1.8%	6	20	59.0	2.7%	\$231	2.3%	4	17
EUREKA	65.4	2.9%	\$ 425	2.3%	9	11	116.2	5.4%	\$462	4.3%	9	14
FORT BRAGG	203.0	8.9%	\$ 881	4.8%	17	26	194.8	9.0%	\$663	6.3%	13	18
BODEGA BAY	44.0	1.9%	\$ 399	2.1%	8	20	12.7	0.6%	\$74	0.7%	3	6
SAN FRANCISCO	34.0	1.5%	\$ 295	1.6%	11	41	28.0	1.3%	\$127	1.2%	7	30
MONTEREY	128.0	5.6%	\$ 749	4.2%	23	20	206.3	9.5%	\$1,122	10.9%	17	17
MORRO BAY	124.6	5.5%	\$ 927	5.1%	20	29	73.8	3.4%	\$ 519	5.0%	9	22
SANTA BARBARA	277.7	12.2%	\$3,220	17.6%	35	66	150.1	7.1%	\$1,508	14.7%	38	55
LOS ANGELES	50.4	2.2%	\$ 504	2.8%	17	19	31.2	1.5%	\$ 271	2.6%	10	10
SAN DIEGO	77.8	3.4%	\$ 586	3.2%	11	23	26.9	1.3%	\$ 231	2.3%	10	23

Port involvement and port dependence are two measures to evaluate the level of importance of the LEFG fishery to West Coast port communities. A port's involvement and dependence on a particular fishery is indicated by several factors, including landings made to the port, the degree to which the landings are processed in the port, whether the vessels making the landings are homeported there, and whether the owners and crew reside in the community or elsewhere. Port involvement is a measure of a port's contribution to the West Coast LEFG fishery landings (measured as the ex-vessel value from the fishery landed in the area as a share of the total ex-vessel value of the entire LEFG fishery relative to other fishery landings in that port; dependence is thereby affected by the activities associated with a particular fishery in comparison to other fisheries and the port economy as a whole, and whether the reduction of one activity is likely to result in an increase in some other activity.

For the last five years (2020 through 2024), the communities that were the most involved in the LEFG fishery were Newport, Santa Barbara, and the Puget Sound port groups, with approximately 16, 15, and 12 percent of the total LEFG ex-vessel revenue landed on average in those port groups, respectively. These three ports were also the most involved in the previous five years (2015 through 2019). However, the port group with the most change between 2015-2019 and 2020-2024 was Monterey, seeing more than double the involvement into the fishery (4.2 percent to 10.9 percent). For dependence, the Puget Sound port group had an average of nearly 1/3 of their total revenue coming from the LEFG fishery from 2020-2024. It was next closely followed by Morro Bay (15 percent) and Fort Bragg (9.5 percent).

The most recent California Current Integrated Ecosystem Assessment (CCIEA) report (<u>Agenda Item F.1.a</u>, <u>CCIEA Team Report 1</u>, <u>March 2025</u>) shows the recent assessment of commercial fishing community vulnerability and engagement in fisheries. With respect to the ports most involved in the LEFG fishery, Newport was recently rated (2020-2022) as medium to medium-high social vulnerability. Santa Barbara was considered to have low social vulnerability and the Puget Sound port group (which consists of several individual ports such as Bellingham, Seattle, and Olympia) was a mix of low to medium social vulnerability. For the dependent communities, Morro Bay was ranked with low social vulnerability, whereas Fort Bragg is considered to be highly socially vulnerable to changes in fishing conditions.

Given that permits are endorsed for specific gear types (which are under consideration to be changed through this action) it is important to understand the spatial distribution of landings by gear type into port communities. Due to confidentiality, statistics were unable to be provided. However, Figure 1-6 and Figure 1-7 show the relative landings and ex-vessel revenue associated with pot, bottom longline, and other hookand-line gears by port group and era (2015-2019, 2020-2024). For most ports, bottom longline landings and ex-vessel revenue exceed those for pot gear landings (with other hook-and-line landings being the smallest proportion, noting some exceptions). Port groups where pot gear landings and revenue are larger than bottom longline landings and revenue include Astoria, Newport (2020-2024 only), and Crescent City. Comparing 2015-2019 and 2020-2024, there have been new landings for pot gear into the North Washington ports, but ports such as Brookings and Morro Bay have not had any pot landings in recent years.

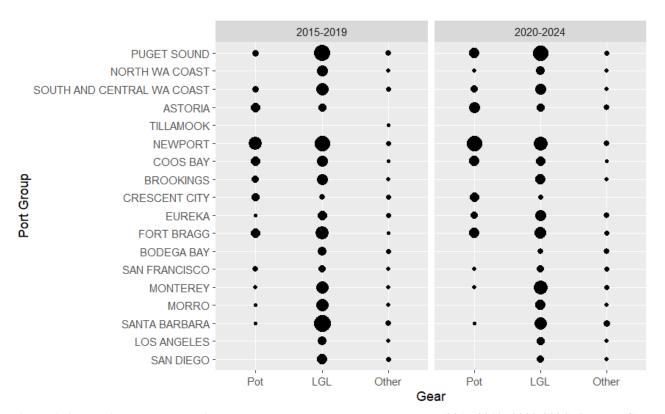


Figure 1-6. Relative average landings by port group, gear group, and era (2015-2019, 2020-2024) for LEFG sector. (LGL=bottom longline, Other= other hook-and-line gears)

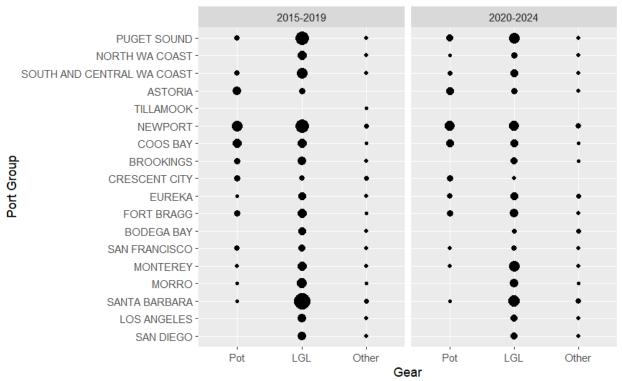


Figure 1-7. Relative average ex-vessel revenue by port group, gear group, and era (2015-2019, 2020-2024) for LEFG sector. (LGL=bottom longline, Other= other hook-and-line gears)

2 Description of Alternatives

The groundfish fishery management measures for vessels registered to LEFG endorsed permits and owners of LEFG endorsed permits that are under consideration as part of the proposed action include five action items:

- 1. Adding flexibility to LEFG permit gear endorsements,
- 2. Removing the base permit designation of LEFG permits,
- 3. Requiring reporting of permit prices when a LEFG permit is sold,
- 4. Removing the start and end times of the primary tier season in regulations, and
- 5. Developing a cost recovery program for the LEFG primary tier fishery.

The Council adopted the following alternatives for each component (i.e. action item) of the proposed action in September 2023. The action items are evaluated separately, and the Council may choose to select a distinct action alternative for each action item or could choose No Action for some or all of the items. The PPA selected by the Council in March 2025 is notated in bold for each action item below.

2.1 LEFG Permit Endorsement

No Action: Vessels registered to a LEFG permit(s) would only be able to harvest their limits/quotas with the gear endorsed on a permit, unless using non-bottom contact groundfish gear to harvest up to their LEFG trip limits.¹

<u>Alternative 1</u>: Vessels registered to bottom longline-endorsed permits would be permitted to also use slinky pots to harvest their quotas.

<u>Alternative 2</u>: Create a single LEFG-endorsed permit (i.e., remove the specific pot and bottom longline endorsements). Vessels registered to a LEFG-endorsed permit could utilize either bottom longline or pot gear to harvest their quota.

<u>Alternative 3 (PPA)</u>: Create a single LE non-trawl-endorsed permit. Vessels registered to a permit with this endorsement would be permitted to use any legal non-trawl groundfish gear to harvest their quota.

Suboption a (*new from March 2025*): Exclude entangling nets (defined at 660.11) from the gears permitted.

Under No Action, vessels registered to a LEFG permit(s) would only be able to harvest their limits/quotas with the gear endorsed on a permit, either bottom longline, pot/trap, or dual endorsement of bottom longline and pot/trap. The only exception to these restrictions is for those vessels using non-bottom contact groundfish gear to harvest up to their LEFG trip limits, regardless of the gear endorsement on their LEFG permit (see Amendment 32).

In response to the recent LEFG primary tier program review, industry asked for the allowance for vessels registered to bottom longline-endorsed permits to be able to use slinky pots to harvest their quotas. Slinky pots, which are lightweight collapsible pots currently used in Alaska to avoid whale depredation associated with bottom longline gear, are currently allowed off the West Coast for vessels registered to pot-endorsed

¹ Note that the original alternative adopted in September 2023 included "within the non-trawl RCA" at the end of the description. Staff has removed that phrase as the non-bottom contact provision applies both inside and outside the non-trawl RCA (Amendment 32 to the Groundfish FMP).

permits and vessels fishing in the OA sector. While the review was specific to the LEFG primary tier fishery, the Council expanded the proposed measure to be applicable to all LEFG permits endorsed for bottom longline gear (i.e., Alternative 1), which represents the majority of LEFG endorsements (Table 1-1). By contrast, under No Action, vessels registered to permit(s) with only a bottom longline endorsement could fish with slinky pots in the OA trip limit fishery, subject to any crossover provisions described above, but not while in the sablefish tier fishery (50 CFR 660.25(b)(3)(ii)).

In June 2023, the Council and its advisory bodies broadened this action item to consider a more holistic approach to considering gear restrictions. Alternative 2 would create a single LEFG gear endorsement, allowing the use of bottom longline or pot gear (including slinky pots) to harvest their tiers (if sablefishendorsed) or LE trip limits. The Council initially considered this option in the original license limitation discussions in Amendment 6 to the Groundfish FMP in 1994 (see discussion in Section 1.2). Alternative 3, on the other hand, would create a single LE non-trawl permit, in which vessels would be permitted to use any legal non-trawl groundfish gear to harvest their LEFG sablefish tier limits and groundfish trip limits. This is currently what is allowed for vessels fishing in the directed OA sector and for vessels fishing IFQ under the gear switching provisions of the shorebased IFQ Program. Alternative 3, the PPA, is most aligned with the Amendment 32 regulations that allow LEFG vessels to fish with non-bottom contact gears to harvest their LEFG groundfish trip limits. All LEFG vessels would be allowed to use pot and bottom longline gears, and vertical hook-and-line or other legal configurations. Alternative 3 would also remove applicable crossover provisions (e.g., those listed 50 CFR 660.230(b)(2)), reducing regulatory and enforcement complexity.

In March 2025, the GAP (<u>Agenda Item H.7.a</u>, <u>Supplemental GAP Report 1</u>) and Council raised concerns about the potential for expansion of set nets within the LEFG fishery under Alternative 3. Set nets are defined under the broader category of "entangling nets" which also include gillnets and trammel nets. While set nets are prohibited north of 38° N. lat. for groundfish fishing (<u>50 CFR 660.330(b)(2)(ii)</u>), under the PPA, if LEFG vessels wanted to use set nets south of 38° N. lat. to fish up to their limits/quotas or use other types of entangling nets coastwide, that would be permitted. There has been no record of directed groundfish vessels using set nets (or other entangling nets) to harvest groundfish (see Section 4.5.1). Given the concerns raised in March 2025 on this issue, if the Council wanted to restrict the use of set nets (and potentially other entangling nets), this would be within the current ROA and suboption a could be included within the FPA.

Any of the action alternatives would require an FMP amendment and change to Federal regulations.

2.2 Base Permit Designation

No Action: NMFS designates the base permit as the permit registered to the vessel for the longest period of time, so long as its length endorsement is sufficient for the vessel, and unless the vessel requests a different permit as described at 50 CFR 660.25(b)(3)(iii)(C).

<u>Alternative 1 (PPA)</u>: Remove the base permit designation and associated regulations at 50 CFR 660.25(b)(3)(iii)(C).

The base permit designation dates back to the development of Amendment 14 to the Groundfish FMP. As described in <u>Agenda Item E.4.a</u>, <u>NMFS Report 2</u>, <u>March 2022</u>, the provision was originally intended to assist in the administration of the gear restrictions and length restrictions then under consideration; most of which were ultimately not adopted. In order to operate in the primary tier fishery, vessels must be registered to a LEFG permit with a sablefish endorsement that is within five feet of the vessel length (i.e., endorsement length may be at maximum five feet shorter or longer than the vessel length; 50 CFR 660.25(b)(3)(iii)(B)(1)).

However, it was highlighted during the 2022 program review that the information on the base permit is incomplete, and the length requirement is already covered by a separate regulation within §660.25(b)(3)(iii), subpart C. Therefore, the base permit designation is not necessary to enforce the length requirement, and NMFS indicated that the designation of the base permit creates an unnecessary administrative burden on fishery participants and NMFS staff. Alternative 1, the PPA, would remove this requirement from the regulations.

Alternative 1 would require an FMP amendment and change to Federal regulations.

2.3 Permit Price Reporting

No Action: No permit price information is collected when LEFG permits are sold.

<u>Alternative 1 (PPA)</u>: Owners of all LEFG permits (sablefish and non-sablefish endorsed) would be required to disclose the permit price upon sale to a new owner.

Currently, when LEFG permits (sablefish and non-sablefish endorsed) are sold, there is no information on the price of that permit collected. In order to gain further insight into the LEFG primary tier fishery, the Scientific and Statistical Committee (SSC) proposed in both the 2014 (Agenda Item F.6.b, Supplemental SSC Report, June 2014) and 2022 LEFG Program Reviews that the routine collection of permit sale prices would help to indicate the market value of the fishery. These data could also help evaluate the performance of the tier system during the Magnuson-Stevens Act required review of the LAPP, would contrast performance of this program with that of the trawl catch share program, and could help assess impacts of provisions of the trawl catch share program on those vessels that move between the fisheries. In June 2023, the GAP noted that this could be a simple addition to the permit transfer application when a permit is sold (Agenda Item H.4.a, Supplemental GAP Report 1, June 2023).

Alternative 1, the PPA, would require a change to Federal regulations.

2.4 Season Start Time

<u>No Action</u>: The sablefish primary season would continue to start at noon on April 1 and close at noon on December 31.

<u>Alternative 1 (PPA)</u>: Remove the start and end times (i.e., hours of the day) in groundfish regulations for the dates on which the sablefish primary season opens and closes.²

Historically, the specification of the time of day of the primary season opening and closing was necessary for monitoring and enforcement, particularly when seasons were very short (<u>Agenda Item H.4.a, NMFS Report 1, June 2023</u>). Under Alternative 1, the PPA, the time references (i.e., references to noon local time) would be removed from regulations.

Alternative 1 would require a change to Federal regulations.

² Language for this alternative was modified from March 2025 to provide clarity.

2.5 Cost Recovery

No Action: There would be no cost recovery program for the sablefish tier program, which is not consistent with the Magnuson-Stevens Act requirements for LAPPs (16 U.S.C. §§ 1853a(e) and 1854(d)(2)).

Alternative 1: Develop a cost recovery program for the LEFG primary tier program, with

<u>Suboption a (PPA)</u>: The owner(s) or authorized representative of the vessel that makes landings of sablefish in the tier program would be responsible for paying the fee.

<u>Suboption b</u>: The owner(s) or authorized representative of the sablefish-endorsed permit that makes landings of sablefish in the tier program would be responsible for paying the fee.

At the conclusion of the most recent program review, NMFS determined that the LEFG primary tier program had actions that were cost-recoverable. While No Action is part of the ROA, NMFS has determined that it is not a viable alternative, as it is not consistent with Magnuson-Stevens Act requirements for LAPPs. Agenda Item H.4.a, NMFS Report 2 from June 2023 described a potential cost recovery program proposed under Alternative 1; a proposed program that would calculate costs and the fee percentage for the sector based on the ex-vessel value of the sablefish landed.

The initial proposal by NMFS was that a bill would be generated annually to each vessel owner (Suboption a) with applicable landings. However, in June 2023, the GAP recommended that the permit owner (Suboption b), rather than the vessel owner, be responsible for the cost recovery payments because, in many cases, the vessel owner may be leasing the permit(s) (Agenda Item H.4.a, Supplemental GAP Report 1). From 2011-2020, approximately 25 percent of vessels leased their permits during the tier season, where 60 percent of vessels were thought to be registered to permits they owned. The remaining vessels were registered to a mix of permits that they owned or leased. For more information, see page 43 of the 2022 Program Review. Should the vessel owner not pay the cost recovery fee, the permit owner may not be able to utilize his permit for the next year and therefore the owner of the permit may have the biggest incentive to pay the cost recovery fee. In March 2025, the GAP recommended that the harvester (i.e., vessel owner or authorized representative) pay the fee and recommended scoping of more frequent payments, such as on the individual fish ticket level (as done for the shorebased IFQ program) or on a monthly or quarterly basis. NMFS explored options aligned with the intent of the GAP request for payments and other administrative program details and provided a proposed program in Agenda Item E.2, NMFS Report 1.

Alternative 1 would require a change to Federal regulations.

2.6 Final Preferred Alternative

To be completed following Council's adoption of a FPA.

2.7 Additional Management Measure Alternatives Considered but not Analyzed Further

During the development of the follow-on actions, the Council scoped out two other potential management measures for the LEFG fishery:

- 1. Allowing cumulative non-sablefish trip limits for primary tier vessels, and
- 2. Allowing a fourth sablefish-endorsed permit to be stacked on a vessel as long as one of the permits was subject to the owner-on-board requirement.

Item 1, the allowance for cumulative trip limits, was removed during the June 2023 Council meeting. While the stacking of permits allows primary tier vessels to harvest sablefish north up to the cumulative amount allowed by the sum of the stacked permits' tiers, if vessels retain other species, each vessel is held to the per-vessel LEFG limits in the area and time that they are fishing, as the tier limits only apply to sablefish north. Any catch in excess of that limit would need to be discarded. Under the proposed change, a vessel would have been permitted to harvest a separate cumulative non-sablefish LEFG limit for each tier permit registered to the vessel. Preliminary analysis suggested that few vessels were achieving the trip limits for key non-sablefish species, like lingcod and shortspine thornyhead, and therefore inducing regulatory discards (Agenda Item H.4, Attachment 1, June 2023). The Council ultimately recommended that LEFG trip limits in general be assessed as a part of the biennial harvest specifications and management measures cycle and that this proposed measure be removed from consideration as part of this LEFG follow-on action. (June 2023 Decision Document)

The second item, fourth permit stacking, was removed in September 2023 after it was determined that to allow for the new management measure, the three-permit own and control limit would need to be modified as well (Agenda Item G.4, Attachment 2, September 2023). Given that this was not the intent, as proposed by the GAP in recommending this management measure, the GAP recommended (Agenda Item G.4.a, Supplemental GAP Report 1, September 2023) and the Council agreed with removing it from the ROA.

3 Preliminary Draft Environmental Assessment

This NEPA analysis is being conducted pursuant to the statutory provisions of NEPA at 42 U.S.C. 4321 et seq. The NOAA NEPA Companion Manual to NAO 216-6 is referred to as guidance for this analysis.

The NOAA NEPA Companion Manual to NAO 216-6 lists four required components for an EA. The purpose and need for the proposed action are described in Chapter 1. This chapter addresses the potential impacts of the proposed LEFG permit gear endorsement alternatives. The alternatives are described in Chapter 2 (i.e., No Action, and Action Alternatives 1-3). The remaining action items contained in this LEFG follow-on action (Action Items 2-5, see Section 2 above) have been determined to be strictly administrative in nature, and therefore would not result in impacts requiring further NEPA review. While there are potential socioeconomic impacts for Action Items 3 (Permit Price Reporting) and 5 (Cost Recovery), those impacts are determined to be not significant (see Section 4.5 for more details).

The anticipated effects of the gear endorsement alternatives on resources, including the magnitude and duration of those effects, would be caused by the nature and extent of potential shifts in fishing effort by gear type under Alternatives 1-3. Because the current gear endorsements have been in place since 1992 (see history of fishery in Section 1.2), there has been no opportunity for vessels in the LEFG sector to switch to different gear types as they would be allowed to do under the action alternatives. Expectations regarding the potential shifts are based on a combination of existing data and multiple assumptions, described in Section 3.1. The anticipated effects are therefore difficult to predict with certainty or precision.

Most likely, a shift from bottom longline to pot gear (traditional or slinky pot) is expected. However, the degree to which this shift would be likely to occur under the different action alternatives is uncertain. To address this uncertainty, Section 3.1.1 describes three scenarios that could occur under Alternatives 1-3. The scenarios are used in this analysis to understand the potential magnitude and degree of the expected impacts of the alternatives. It is important to note that multiple scenarios have the potential to occur under a single alternative (i.e., some combination of the scenarios) and a single scenario has the potential to occur under multiple alternatives. For each resource, the analysis identifies the necessary information to understand the affected human environment and the potential impacts of each alternative. Alternatives 1-3 would have the potential to affect marine mammals/turtles, seabirds, and habitat. Impacts to remaining resources (except economics) will be discussed in Section 3.6. Social and economic impacts will be discussed under the RIR (Section 4).

This EA tiers off of the 2025-2026 Harvest Specifications EA, which is incorporated by reference. It is important to note that the biennial harvest specifications and management measures for the groundfish fishery outline how much of a particular groundfish species may be caught along the U.S. West Coast each year (ACLs and possibly allocations), as well as where that catch may occur, in which sector, and what gear may be used. Additional rulemaking actions (such as fishery or area closures or openings) might adjust where fishing can occur during a biennium. While this action would, for example, allow for more flexibility in the gear type that could be used within the LEFG fishery, these actions do not change the allowable catch amounts, and thus overall effort, allowed in the fishery. Rather, any change in allowable harvest levels for the LEFG fishery would occur through a future harvest specifications and management measures process (or another rulemaking), and the potential impacts of any related changes in fishery effort would be fully evaluated during that rulemaking process.

3.1 Methods

3.1.1 Methods Used for the Impact Analysis

In assessing the impacts of changing the LEFG permit gear endorsement, the key source of uncertainty is the nature and degree to which vessels would alter their use of gear types under Alternatives 1-3, as compared to those which they are currently endorsed to use (No Action). This section presents three scenarios that might result from the alternatives. In combination, these scenarios are intended to present a range of potential effort shift from bottom longline to traditional pot and/or slinky pot gear. One of the scenarios assumes no change in gear use, which could occur under the No Action alternative or any of the action alternatives. The other two scenarios assume all bottom longline vessels switch to using some type of pot gear (discussed further below). The assumption of 100 percent effort shift is intended to represent the maximum amount of change in gear use that would be possible under the action alternatives. It is unlikely that all vessels currently using bottom longline gear would shift to pot gear due to the cost or availability of new gear, vessel operator preference, or other factors. In addition, it is unlikely that vessels would all change gears right away: some longline vessels may try out pot gear but decide not to continue using it; others may only make a change to pot gear at some future time. If only some of the vessels that currently use bottom longline shift to pot gear, and/or some vessels make a temporary rather than permanent shift, then the resulting impact would be somewhere between the outcomes described for Scenario 1 and Scenarios 2 or 3. Ultimately, all of the numbers in these scenarios are likely to be an overestimate, given the investment required to switch gears and that pot gear may not suit a vessel's operational portfolio.

No scenario of a shift from pot to bottom longline gear is included, because this change could occur now or under the No Action alternative in the future, since a relatively large number of bottom longline endorsed permits are currently available (i.e., not registered for the full year) or are latent (i.e., not registered to a vessel) and could be acquired by vessels interested in using bottom longline gear (see Section 1.5.2). Increased use of OA or non-fixed gears (e.g., vertical hook-and-line) might occur under Alternative 3, the PPA, even though it is not the primary expected outcome for most LEFG vessels. Although some level of increased effort with these other types of hook-and-line gears is expected, the degree of this potential change is not quantifiable given the limited data available. The potential environmental risks associated with these OA gear types are, however, discussed in qualitative terms in the analysis below.

Overall, it is likely that some combination of the three scenarios described below would occur under action Alternatives 1-3. (Note that the scenario numbering does not imply it is only associated with the alternative with the same number.)

Scenario 1: No shift in activity (could occur under No action or Alternatives 1-3)

Under Scenario 1, vessels would continue to use their current endorsed gears and not shift to slinky or "traditional" pots.

Scenario 2: All bottom longline vessels shift to slinky pots (could occur under Alternatives 1-3)

Under Scenario 2, all vessels currently using bottom longline gear would shift to using slinky pots (permissible under each action alternative). This scenario reflects the fact that a shift from bottom longline to slinky pots would have a relatively low barrier, since slinky pots can be used on the same groundline and are relatively inexpensive compared to traditional pots. Under this scenario, all vessels currently using bottom longline would switch to slinky pots for 100 percent of effort. Vessels registered to pot-endorsed permits would continue to use standard pots (or slinky pots). While the assumption that all bottom longline effort would change to slinky pots is likely unrealistic, this scenario represents a maximum bookend for the range of the possible shift from longline to slinky pots under this action. This range may be useful in identifying and evaluating the potential maximum magnitude of any increased entanglement risk that could result from the action.

Scenario 3: All bottom longline vessels shift to a mix of traditional and slinky pots (only could occur under Alternatives 2 and 3)

Under Scenario 3, all bottom longline vessels would shift to traditional pots or slinky pots, depending on vessel size. All vessels over 50 ft LOA currently registered to bottom longline-endorsed permits would shift to traditional pots, and all remaining vessels registered to bottom longline-endorsed permits would transition to slinky pots. Some vessel operators who wish to switch to pots may choose traditional pots because of familiarity or an expectation that they have higher catch rates and/or are more durable. However, given the size, weight, and cost of traditional pots, it is highly unlikely that all vessels would shift from bottom longline to traditional pot gear. Looking at the number of vessels by size class registered to LEFG permits in 2024³ (Table 3-1) and whether they are registered to both types of endorsements (either through a dual LEFG permit or a combination of bottom longline and pot-endorsed permits) or only one gear endorsement compared to the number of vessels by size class actually using the gear type in 2024 (Table 3-2)4, it appears as though 50 ft LOA is the size threshold over which traditional pot gear is likely to be used. This size category has the highest number of vessels using both types of gear or pot gear, either solely or with other types of hook-and-line gear (Table 3-2). Note that while there are 17 vessels registered to permits of both gear types (either a dual-endorsed permit or two permits of different endorsements) and only three registered to only pot-endorsed permits, there are nine vessels that used only pot gear in 2024. This suggests that even though vessels have the opportunity to fish both gear types, they choose to fish pot gear. This threshold, however, may be influenced by the length endorsements associated with pot permits, which show the average endorsement is 59.4 ft LOA and a minimum of 32 ft LOA (Table 1-2). Permits may only be registered for use with a vessel within 5 ft of the size endorsement without requiring a combination of permits or a change in the size endorsement (unless they are additional stacked permits) therefore pot-endorsed permits averaging a length of nearly 60 ft LOA would require that vessels generally be of a larger size to meet the permitting requirement.

Table 3-1. Number of LEFG vessels in 2024 by gear permitted to harvest quota by vessel length.

Vessel Length	Both	Longline	Pot
30 ft LOA or less	1	18	0
30-40 ft LOA	1	41	0
40-50 ft LOA	4	35	1
50 ft LOA or greater	17	27	3

Table 3-2. Number of LEFG vessels in 2024 by gear used to harvest quota by vessel length.

Vessel Length	Both ^a	Longline ^b	Pot
30 ft LOA or less	0	15	0
30-40 ft LOA	0	30	1
40-50 ft LOA	1	25	2
50 ft LOA or greater	3	23	9

^a Includes vessels that used pot gear and any type of hook-and-line gear (including bottom longline)

Given this information, 50 ft LOA was used as the breakpoint for Scenario 3, with respect to what size vessels might use slinky versus traditional pot gear. This scenario could require a change in operational setups for bottom longline vessels given the size/weight of traditional pots and the need for different groundline, etc. It is hypothesized in this scenario that larger vessels may have a greater operational ability

^b Includes vessels that used all types of hook-and-line gears

³ Registration does not mean that each vessel activity participated. Recent year registration numbers are similar to 2024 distribution amongst size classes and permit endorsement types.

⁴ Distribution from 2020-2023 by gear type used was similar to 2024.

to purchase/work with traditional pots as compared to smaller vessels. While it is unlikely that all bottom longline endorsed vessels longer than 50 ft LOA would make the shift to traditional pot gear or that there would be no vessels less than 50 ft LOA that shift to traditional pot gears, the assumption that all vessels over 50 ft LOA would shift to traditional pots provides another maximum bookend for the analysis to assess the potential impacts of this action. A further discussion of the potential for crossover from other fisheries to use pot gear will be discussed in Section 3.1.2.1.

Additionally, vessels less than 40 ft LOA may be less likely to shift away from longline gear given that the potential increase in revenue from higher sablefish landings per trip with pot gear would not offset the foregone revenue from non-sablefish species harvested with longline gear, based on an analysis of profitability (more details described in Section 4.5.1.3). The price per pound of the non-sablefish species does impact the potential profitability of larger vessels over 50 ft LOA and will be discussed in Section 4.5.1.3.

To further try and define the number of vessels that might use different gears under the scenarios used in this analysis, Table 3-3 below shows the maximum number of vessels that would be permitted to use each gear type in each scenario (assuming 1:1 permit to vessel ratio), and the recent average (2023-2024) number of vessels by gear type applying the scenario assumptions. Note that the assumed 1:1 permit-to-vessel ratio results in an overestimate of vessels, given that up to three sablefish-endorsed permits can be stacked on a vessel participating in the LEFG primary fishery. Approximately half of participating LEFG primary vessels have stacked permits (2014-2020; see <u>Table 6 of the 2021 LEFG Review</u>). This proportion is reflected in the right set of columns, showing the recent average number of vessels by gear type in row one where 119 vessels used bottom longline gear as compared to the available 191 LEFG permits (sablefish and non-sablefish endorsed). In 2023-2024, the years for which non-trawl logbook data is available, fewer than three LEFG participants (vessels that were registered to a LEFG permit at some point) utilized slinky pot gear (although not necessarily towards their LEFG quota).

Ultimately, all of the numbers in these scenarios are likely to be an overestimate given the investment required to switch gears and that pot gear may not suit a vessel's operational portfolio. These numbers have been developed, however, in order to capture the uncertainty in predicting future shifts in operations and effort that may result from the increased flexibility in gear endorsements, and thus to capture the range of potential impacts using the best scientific and other information available.

Table 3-3. Maximum Number of Vessels Expected to Fish by Gear Type Under Each Scenario Assuming

Maximum or Average Participation

	Maximum (1:1 permit vessel ratio)			Average, 2023-2024		
Scenario	Bottom Longline	Slinky Pot	Pot	Bottom Longline	Slinky Pot	Pot
1 (No Action)	191	Included in pot	32ª	119	Included in Pot	30
2 (Bottom Longline to Slinky Pot)	0	191	32ª	0	119	30
3 (Mix of slinky pot/pot based on size)	0	97	94	0	92	57

^a Includes 4 dual endorsed permits

Vertical lines in the water (i.e., line connecting surface gear/buoys to the groundline where hooks or pots are attached) are the primary source of entanglement risk for marine mammals and sea turtles from groundfish pot and longline gear. It is logical to assume that increases or decreases in the relative risk of entanglement of an action alternative compared to No Action would be related to increases or decreases in the total amount of time vertical lines are in the water ("vertical line hours") as a result of the action alternative. On a per vessel basis, vertical line hours would be affected by the number of vertical lines per set, the soak time per set, the total number of sets per trip, and the total number of trips taken per year. These factors in turn would be affected by variables including catch per unit effort (CPUE) and the total harvest level by vessel as well as fishing location, market demand for the product, etc. Vessels are likely to switch gears depending on whether it is profitable to do so, and more likely to switch gear if they can increase their total catch (not just maintain levels). The change in entanglement risk under the action alternatives would therefore be an outcome of the vessel-level change in vertical line hours multiplied by the numbers of vessels that change gear. It is not possible to predict the values of these variables with precision at this time. Therefore, the analysis will use a qualitative discussion of the relative direction, magnitude, and duration of the potential change in vertical line hours under each scenario.

3.1.2 Methods Used for the Reasonably Foreseeable Effects Analysis

This EA analyzes the impact on each resource that is anticipated to result from the incremental impact of this action item when added to other past, present, and reasonably foreseeable future actions (RFFA) regardless of what agency (federal or nonfederal) or person undertakes such other action.

The geographic scope for habitat, fish resources, and protected resources is the West Coast EEZ. For socioeconomic resources, the geographic scope is those United States fishing communities directly involved in the harvest or processing of Council-managed resources, particularly those of the states of Washington, Oregon, and California.

The temporal scope of selecting RFFA is based on the following three criteria.

1. Actions in the West Coast EEZ that affect the same resources impacted by the proposed action. Administrative fishery management actions that have no discernible effect are not included.

- 2. Actions that are not speculative, in that the action is defined to an extent that it can be analyzed and that some concrete step has been taken toward implementation. This includes actions for which the Council has at least decided on a PPA or if NMFS is anticipating publication of a proposed rule or issuance of a permit. Actions only "under consideration" have not generally been included, because they may change substantially or may not be adopted, and so cannot be reasonably described, predicted, or foreseen.
- 3. Actions being proposed by NOAA, NMFS or other entities which have been publicly announced, such as announced with a Notice of Intent.

Given the Council's current agenda, the timeframe for the most distant RFFA included in this analysis is 2026.

The anticipated effects of these actions, as they pertain to fisheries, extend into the future and are unlikely to decrease in magnitude. The direct, indirect, and reasonably foreseeable effects of substantive future fishery actions, such as the 2027-2028 groundfish specifications, will be analyzed in future NEPA documents. Therefore, we do not quantify a temporal scope for the effects of the RFFA.

The following sections summarize the relevant past and present actions and RFFA that contribute to potential effects on the same resource components analyzed in this document. The selection of actions to include is guided by the same criteria listed above for selecting the temporal scope of the actions (impacts the same resources as this proposed action and are reasonably foreseeable). Actions are understood to be human actions (e.g., a designation of northern right whale critical habitat in the Pacific Ocean), as distinguished from natural events (e.g., an ecological regime shift). This EA includes consideration of actions, whether taken by a government or by private persons, that are reasonably foreseeable. In addition to these actions, this effects analysis includes the effects of reasonably foreseeable changes in oceanic conditions.

This part lists and summarizes the past and present actions that are noteworthy in that their effects are expected to be more than minor or negligible.

Past and present actions that are considered in the effects section in this chapter include:

- 2025-2026 Harvest Specifications and Management Measures (effective January 1, 2025)
- Amendment 32 Non-Trawl Area Management Measures (effective January 1, 2023)

RFFA that are considered in the effects section in this chapter include:

- Fixed Gear Marking and Entanglement Risk Reduction Measures (estimated January 1, 2026): Requires gear-specific markings for vessels using bottom longline or pot gear (OA, LEFG, or IFQ); Allows vessels to voluntarily use one set of surface gear rather than surface gear on both terminal ends of a set; Limits the amount of surface line to 10 fathoms (fm).
- Gear Switching Action (estimated 2027): Restricts gear switching to a maximum of 29 percent of the trawl allocation in years in which the annual catch limit (ACL) is less than 6,000 metric tons (mt).

3.1.2.1 Potential for crossover from other fisheries

In order to inform the analysis of how many vessels may switch gears if the permit endorsements were relaxed, a separate recent Council action (gear switching, or using non-trawl gear in the trawl IFQ fishery) provides some helpful context. During the deliberations on the gear switching action, one of the main factors that was discussed that could alter or indicate future levels of gear switching in the IFQ program was the

opportunities in other non-trawl fisheries. Specifically, the draft analysis (<u>Agenda Item F.4.</u>, <u>Attachment 3</u>, <u>April 2024</u>) describes the overlap between the IFQ gear switching fleet and various other fisheries such as Dungeness crab, Alaska sablefish, and the LEFG tier fishery, describing how opportunities in those fisheries could result in different levels of gear switching. This section discusses a similar potential for the LEFG fishery.

With respect to vessels gear switching in the IFQ program ("gear switchers"), there has been considerable analysis and discussion regarding the reasons for crossover between the fisheries in both the gear switching action and the 2021 LEFG Review. Vessels are hypothesized to likely cross over to the IFQ sector from the LEFG tier fishery due to the three-permit stacking limit, historical seasonal constraints (although likely not as much of a factor with the permanent extension to December 31 starting in 2023), owner-on-board requirement for the LEFG tier fishery, and the limitation on pot permits. Historically, gear switchers used primarily pot gear to harvest IFQ sablefish, with all vessels using pot gear since 2019. While the three-permit limit and the owner-on-board requirement are proposed to stay in place under this action, the proposed changes in the allowances for pot gear in the LEFG fishery may impact the historical level of pot gear landings. The owner-on-board requirement in the LEFG primary sablefish fishery requires permit owners to be on board the vessel while fishing against the permit; however, high levels of exemptions are still present, which would allow participants to lease those permits and fish in the tier fishery (2021 LEFG Review). Furthermore, when future caps on the level of gear switching are set to 29 percent when the ACL for sablefish north of 36° lat. is less than 6,000 mt (as adopted by the Council in April 2024), those limitations could result in any gear switchers currently not participating in the LEFG fishery to cross over.

From 2019-2024, more than half of gear switching vessels participated in the LEFG tier fishery. ⁶ Of those vessels, all used pot gear in the IFQ fishery but fewer than three used longline gear in the tier fishery. This suggests that the vessels currently not using pot gear in the tier fishery are already set up for traditional pot gear operations and highly likely to utilize traditional pot gear under action Alternatives 2 and 3. For the other gear switching vessels, there is the potential to access permits (including some that are latent or not recently registered) and fish in the tier fishery using pot gear (as they use in the IFQ fishery). The tier fishery has lower opportunity overall (3 Tier 1 permits combined have approximately 57 percent of the allowance of the IFQ vessel limit). However, the tier fishery also does not have a requirement for 100 percent observer coverage and may have a lower cost recovery fee (when implemented) than the shorebased IFQ sector, which has been at the maximum of three percent for most years of the program. It is difficult to ascertain how many of the gear switching vessels would invest into the tier fishery, particularly in the current market climate and with currently high allocations. In the future though, if ACLs were to be below 6,000 mt and gear switching were to be constrained, the tier fishery may pose a lower cost opportunity to fish traditional pot gear already purchased.

Given that slinky pots are permitted in the Alaska sablefish and turbot fisheries and that one of the initial requests for this allowance stemmed from vessels that operated in both Alaska and off the West Coast, opportunities and experiences in Alaska could influence LEFG vessels. From 2011-2024, between 8 and 15 vessels have participated in the LEFG fishery and the Alaska sablefish, halibut or turbot fisheries. Since 2021, of the vessels that participate off the West Coast and Alaska, the majority of vessels that cross participated had at least one landing using slinky pot gear in Alaska (Table 3-4). It is therefore likely that these vessels may utilize slinky pot gear off the West Coast, depending on conditions.

⁵ See Table 14 in Agenda Item F.4., Attachment 3 for 2011-2022. All vessels used pot gear in 2023, 2024.

⁶ Includes only vessels fishing for sablefish north of 36° lat. given that was the focus of the gear switching action.

Table 3-4. Number of vessels that fished Alaska sablefish/turbot fisheries, LEFG, and both Alaska/LEFG in

total and those that fished slinky pots (at least one trip) in Alaska.

Year	Alaska Only		Alaska/West		West Coast	
			Coast			
	Total	Slinky	Total	Slinky	Total	Slinky Pot
		Pot		Pot		-
2021	260	80	11	3	91	0
2022	258	156	10	9	92	0
2023	259	161	8	8	89	0
2024	241	142	10	9	85	0

3.2 Marine Mammals

3.2.1 Status/Affected Environment

NMFS manages marine mammals that are primarily affected by fisheries through interactions with fishing gear, disturbance by fishing activity or vessel movement, or prey competition.

While marine mammals may be lawfully taken incidentally during commercial fishing operations, the 1994 Marine Mammal Protect Act (MMPA) Amendments established a requirement for commercial fishing operations to reduce incidental mortalities and serious injuries (MSI) of marine mammals to insignificant levels approaching a zero rate, commonly referred to as the Zero Mortality Rate Goal (ZMRG). ZMRG is considered to be met for a marine mammal stock when the MSI level from all commercial fisheries is 10 percent or below the Potential Biological Removal (PBR) level of that marine mammal stock (69 FR 43338, July 20, 2004). Likewise, the Endangered Species Act (ESA) was enacted to provide a means to conserve the ecosystems upon which endangered species and threatened species depend, provide a program for the conservation of endangered species and threatened species, and take steps as may be appropriate to achieve such conservation. In practice, the ESA outlines a program to protect endangered species on the brink of extinction and threatened species that are likely to be on the brink of extinction in the near future, pursuing their recovery. The ESA also requires designation of any critical habitat of endangered or threatened species, which is then considered to have physical or biological features essential to the conservation of the species and which may require special management considerations or protection.

Marine mammal Stock Assessment Reports (SARs) are published under the authority of the MMPA for all stocks that occur in state and federal waters off the West Coast. All stocks are reviewed at least every three years or as new information becomes available. Stocks that are designated as "strategic" are reviewed annually. 8 Individual SARs provide information on each stock's geographic distribution, population estimates, population trends, and estimates of the PBR levels for each stock. The SARs identify sources of human-caused mortality, including serious injury and mortality in commercial fishery operations, by

For which the level of direct human-caused mortality exceeds the PBR level;

See reports at https://www.fisheries.noaa.gov/national/marine-mammal-protection/marine-mammal-stockassessment-reports-region

⁸ Strategic means a marine mammal stock—

Which, based on the best available scientific information, is declining and is likely to be listed as a threatened species under the ESA within the foreseeable future; or

Which is listed as a threatened or endangered species under the ESA, or is designated as depleted under the MMPA.

fishery, and whether the stock has met ZMRG for all fisheries. The SARs also include the stock's ESA listing status and MMPA depleted and strategic designations.

Using the <u>List of Fisheries (LOF) from 2024</u> to determine the potential species that have historically interacted with the fisheries subject to this action, Table 3-5 describes the fishery, the fishery's category for MMPA interactions, the ESA or MMPA status for the relevant marine mammal species or stock, and whether that species or stock is at ZMRG, taking into account all commercial fisheries.

Table 3-5. Groundfish fishery by LOF Category in 2024, Mammal Stock/Species, ESA or MMPA Status, and ZMRG Status.

Category	Fishery	Marine Mammal Species/Stocks	ESA or MMPA Status	ZMRG Status (all fisheries)
Category 2 (occasional interactions)	Sablefish Pot	Humpback whale, Central America/Southern Mexico	Endangered (ESA) Depleted/Strategic (MMPA)	Not met (MSI> 10% PBR)
		Humpback whale, Mainland Mexico	Endangered (ESA) Depleted/Strategic (MMPA)	Not met (MSI> 10% PBR)
Category 3 (remote likelihood of/no known interactions)	Groundfish bottom longline/setline	Bottlenose dolphin, CA/OR/WA offshore	Not listed (ESA) Not depleted/not strategic (MMPA)	Met
		California sea lion	Not listed (ESA) Not depleted/not strategic (MMPA)	Met
		Steller sea lion, Eastern U.S.	Not listed (ESA) Not depleted/not strategic (MMPA)	Met
		Northern elephant seal, CA breeding	Not listed (ESA) Not depleted/not strategic (MMPA)	Met
		Sperm whale, CA/OR/WA	Endangered (ESA) Depleted/Strategic (MMPA)	Not met (MSI> 10% PBR)
Category 3 (remote likelihood of/no known interactions)	Groundfish hook-and- line	California sea lion	Not listed (ESA) Not depleted/not strategic (MMPA)	Met
,		Humpback whale, Central America/Southern Mexico	Endangered (ESA) Depleted/Strategic (MMPA)	Not met (MSI> 10% PBR)
		Humpback whale, Mainland Mexico	Endangered (ESA) Depleted/Strategic (MMPA)	Not met (MSI> 10% PBR)

Note that a take reduction team (TRT) is being developed related to humpback whales and the sablefish pot fishery. It is expected that discussions will begin in fall 2025. For details, please visit https://www.fisheries.noaa.gov/west-coast/marine-mammal-protection/west-coast-take-reduction-team.

While sperm whales are considered endangered by the ESA, the groundfish bottom longline/setline fishery is a category 3 fishery (remote likelihood of/no known interactions), and it was determined by the 2012

biological opinion evaluating the continued operation of the Pacific Coast groundfish fishery (2012 BiOp) that the actions of the fishery were not likely to adversely affect the population or critical habitat. Therefore, the following discussion focuses primarily on humpback whales.

In November 2024, the "Endangered Species Act Section 7(a)(2) Biological Opinion for Continuing Operation of the Pacific Coast Groundfish Fishery and Effects to Humpback whale (*Megaptera novaeangliae*) and Leatherback sea turtle (*Dermochelys coriacea*)" (2024 BiOp) was completed. Under the 2024 BiOp, it is anticipated that take of humpback whales from the Mexico and Central American Distinct Population Segments (DPS) would occur through entanglement of fishing gear, including pot, hook-and-line, and midwater trawl gear. It is important to note that stock delineations for humpbacks under the MMPA are different than the ESA-listed DPS, although there was a realignment in 2023 to better align the stocks. In terms of aligning MMPA stocks with the ESA DPS listings, there are two stocks (Mainland Mexico- CA/OR/WA stock and the Mexico-North Pacific stock) that fall within the Mexico DPS and the Central America/Southern Mexico-CA/OR/WA stock encompasses all of the Central America DPS. The Hawai'i humpback DPS also is present off the U.S. West Coast, however, it is not ESA-listed. The following discussion will refer to the humpback whales in the action area by their DPS category.

In general, humpbacks are present in U.S. waters at least 2/3rds of the year, moving in during mid-April and out during the latter part of December. While the Central America DPS appear to migrate to feed only off the West Coast, the Mexico DPS can forage off the U.S., British Columbia, and Alaska coastlines. Therefore, the 2024 BiOp describes the proportion of each DPS likely to be encountered based on foraging patterns (Table 3 of the 2024 BiOp), which suggests an approximate 58 percent Mexico DPS, 42 percent Central America DPS proportion for those humpbacks off the California/Oregon coastlines. Off of Washington, the proportions are 6 percent Central America, 25 percent Mexico, and 69 percent Hawai'i. Analysis of each of the DPS suggests that the populations of each DPS are increasing, with the Mexico DPS averaging around 8 percent of annual growth and the Central America DPS increasing by 1.6 percent. However, both of these are estimates (see Section 2.2.2.1 and 2.7.1 of the 2024 BiOp for more details about calculations and uncertainties).

The 2024 BiOp evaluated the risk of humpback whale bycatch (entanglement/hooking/capture) in fishing gear, looking at historical and modeled bycatch estimates and spatial and temporal trends in fishing effort, overlaid with density distribution for humpbacks.

3.2.1.1 Historical Bycatch

Since 2002, there have been three observed takes of humpback whales in the non-trawl groundfish fishery; one in the LE sablefish pot fishery in 2014, one in the OA pot sector in 2016, and one in the LE sablefish pot (slinky pot) fishery in 2023. The 2023 entanglement also involved Pacific halibut longline gear. Additionally, there were two humpback whale entanglements on the U.S. West Coast since 2011 that were not reported through WCGOP and not associated with a particular sector, but were associated with sablefish pot gear. These entanglements were identified using the identification numbers that were documented on the buoys that were recovered as part of disentanglement efforts. Based on modeled estimates, the LE pot fishery averaged 0.29 entanglements annually (range=0.06-1.20) from 2011-2023, with a five-year running average of 0.24 (range=0.1-0.37). The OA pot sector averaged 1.09 annual estimated entanglements (range=0.39-2.22), with a five-year running average of 1.09 (range=0.80-1.33). No entanglements have been observed in the catch share pot sector (i.e., IFQ gear switching fishery).

In addition to the pot sectors, the hook-and-line sector is included in the scope of the 2024 BiOp due to a species interaction in the OA vertical jig gear fishery in 2021. However, the 2024 BiOp notes that there have been no previous observations of entanglements with humpback whales with any type of line (longline, hook-and-line) gear and, therefore, no historical entanglement estimates were generated.

In over half of humpback entanglements, it is not possible to identify the gear type involved. Thus, there is overall a high level of uncertainty in attributing entanglements to specific gear types due to this high number of unidentified gear entanglements (2024 BiOp). The forthcoming fixed gear marking and entanglement risk reductions measures described in Section 3.1.2 are intended to better attribute (positively or negatively) entanglements with the groundfish fisheries.

3.2.1.2 Expected Take and Incidental Take Statement (ITS)

The 2024 BiOp anticipates that the following amount of expected take of the ESA-listed humpback whale DPSs is reasonably certain to occur in the groundfish fishery through entanglement with gears including pot, hook-and-line, and midwater trawl gears:

Table 3-6. Anticipated Take of ESA-listed humpback whale DPSs in the groundfish fishery by gear type

(reproduced from Table 26 of the 2024 BiOp).

Gear		Mexico DPS		Central America DPS	
		Annual Maximum	Maximum 5- Year Running	Annual Maximum	Maximum 5- Year Running Avg
Sablefish Pot	Total Bycatch	2.29	1.03	1.55	0.69
	Anticipated M/SI	2.11	0.95	1.43	0.63
Hook-and- Line	Total Bycatch	0.47	0.1	0.29	0.06
	Anticipated M/SI	0.47	0.1	0.29	0.06
Midwater Trawl	Total Bycatch	0.52	0.21	0.37	0.15
	Anticipated M/SI	0.52	0.11	0.37	0.08
All gears	Total Bycatch	3.28	1.34	2.21	0.90
-	Anticipated M/SI	3.1	1.16	2.09	0.77

The 2024 BiOp acknowledges that DPS may be unknown in the case of an entanglement. Therefore, it estimates that up to 6.62 humpback whales from any of the three DPSs off the West Coast, both listed and unlisted, could be entangled in any given year, with up to 6.25 killed or seriously injured. The 2024 BiOp estimates that the annual average over any 5-year period will not exceed 2.67 individuals entangled, leading to no more than 2.30 serious injuries or mortalities over that same five-year period. Reinitiation of ESA Section 7 consultation is required if the amount or extent of take estimated in the BiOp is exceeded.

3.2.1.3 Critical Habitat

Critical habitat for humpback whales is shown in Figure 3-1 and Figure 3-2 below. In general, the DPSs overlap off the West Coast. Within the critical habitat, there was only one Physical or Biological Feature (PBF) determined to be essential for conservation of both DPS, which was prey. Humpback whales travel to the West Coast to access energy-rich foraging areas and have high feeding location fidelity. Humpbacks are generalist predators and switch between prey (such as sardine and anchovy) depending on availability. Groundfish fisheries, particularly trawl, may unintentionally catch humpback prey species during their usual fishing activities; however, the bycatch is limited overall compared to the total prey needs. The 2024

BiOp concluded the	nat the groundfish hrough prey remov	fishery is no	ot likely to of pollution	adversely	affect	the	critical	habitat	of

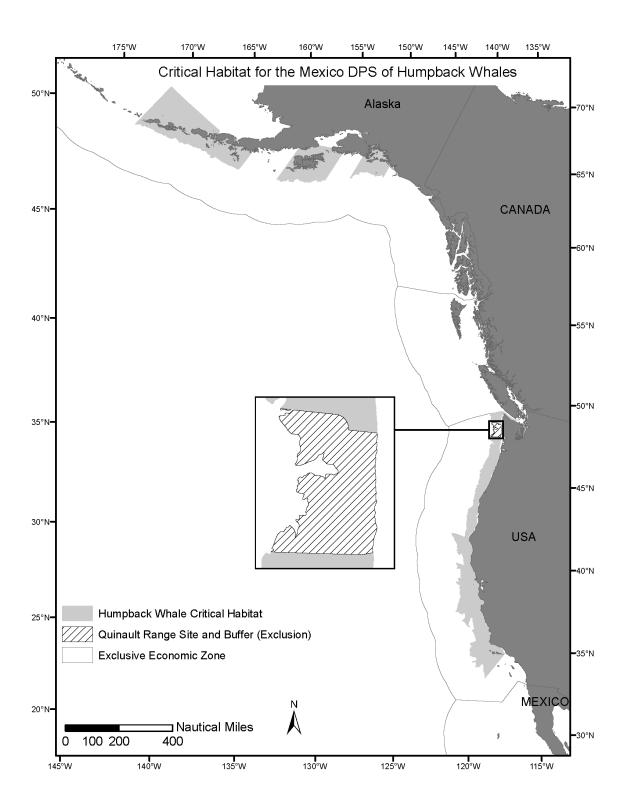


Figure 3-1. Critical habitat map for Mexico DPS for Humpback Whale.

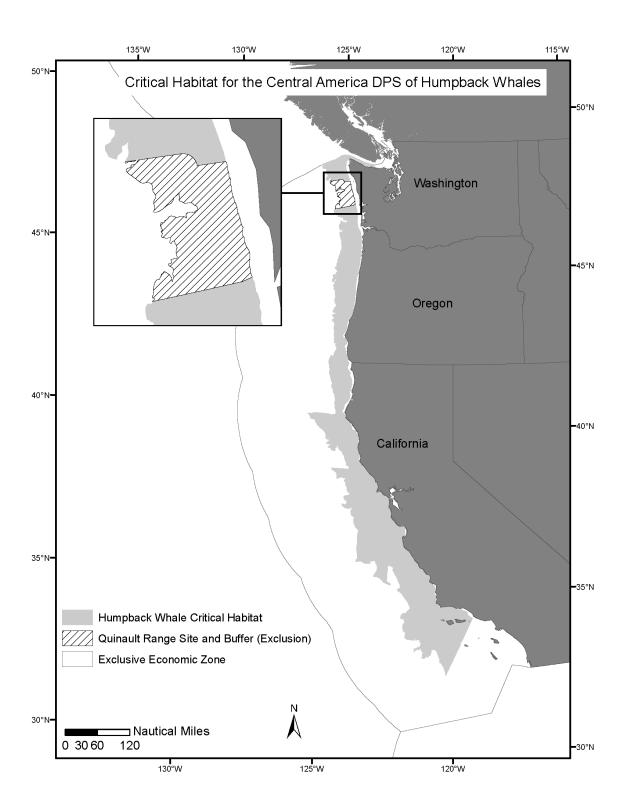


Figure 3-2. Critical habitat map for the Central American DPS for Humpback Whale

3.2.1.3 Groundfish Fishery Overlap

The 2024 BiOp uses a predicted species distribution model to show concentrations of humpback whales in the California Current Ecosystem (CCE). Hot spots can vary throughout the year, with central California seeing the highest concentrations in June-August and the highest concentrations off Oregon and Cape Elizabeth (WA) around October. There is a large amount of interannual variability both in terms of when peak humpback density is predicted to occur and where along the coast the large densities are anticipated. During 2014-2018 when the marine heat wave occurred, high densities occurred earlier in the year and San Francisco to Monterey Bay had the highest densities. More recently (2019-2023), there was a later than predicted migration, with the distribution being farther offshore in July and moving closer to the shore later in the year. The 2024 BiOp does note that beyond these trends, the density distributions and hotspots fluctuate annually. As an example, whale density was high off Washington in 2014, 2017, 2018, and 2020, but was missing in 2015, 2016, and 2019. October (which is when a significant portion of LEFG activity occurs) sees concentrations of humpbacks closer to shore off Oregon and Washington, and also higher density off Oregon and Washington compared to California. However, overall October is extremely variable across the analytical period.

Section 5 of the 2024 BiOp provides an analysis of the spatial distribution of co-occurrence between humpback whales and the groundfish fishery over the last decade and the variations seen across time. A majority of sablefish fishing occurs in August through November (as shown in Figure 1-3), when humpbacks are present off the West Coast. The 2024 BiOp notes that areas of dense overlap with sablefish pot fisheries (all sectors, not just LEFG) include San Francisco Bay, Monterey Bay, and Big Sur, south of Cape Mendocino, along the Oregon coast, and around the Columbia River. However, recently, overlap occurs primarily off Washington and Oregon. The 2024 BiOp notes that over the period of analysis (2014-2023) the risk of overlap has shifted north, likely due to oceanographic conditions altering species habitats and changing fishing locations.

For the LEFG sector, specifically vessels using pot gear, the highest degree of overlap happens in October (followed closely by September). The remainder of the year, the LEFG pot sector has a lower degree of overlap compared to OA and catch share (i.e., gear switchers) sectors. The overlap risk varied over the tenyear analytical period (2014-2023), with 2020 having the highest degree of overlap of any year. 2020 was impacted by the COVID-19 pandemic in terms of overall landings, however, pot gear landings were the highest in 2020 compared to the previous six years (Figure 1-2). It is important to consider that 2020 was the first extension of the sablefish tier season closure date from October 31 to December 31 through an emergency rule, which permitted additional opportunity for vessels to harvest sablefish quota (85 FR 68001). The emergency rule was driven by conditions in Alaska, including travel restrictions, postponed season start, and other quarantine requirements associated with the COVID-19 pandemic. While normally vessels would have started fishing by September, those conditions resulted in later fishing and, therefore, likely resulted in a higher overlap between the fishery and humpback whales. The extension resulted in 29 additional vessels fishing against their tiers and resulted in 16 of those vessels attaining nearly full tier limits (Agenda Item G.4.a, Supplemental GMT Report 1, March 2024).

Spatially, detailed analysis over the entire time series (2014-2023) of pot gear sets co-occurring with humpback distribution showed that "42 percent of total estimated pot sets in the LE sector overlapped with an area with humpback whale density, with 27% of these estimated overlap sets occurring in WA and 73% in CA/OR (Table A-11)".

With regards to hook-and-line fisheries (includes bottom longline and all other hook-and-line gears), the groundfish hook-and-line effort follows "a similar temporal distribution to sablefish pot fishing, with the majority of fishing occurring during peak humpback whale season from April-November (Figure A-7)" (2014-2023). For the LE primary and trip limit fisheries, the highest overlap occurred in September and

October, respectively (similar to pot gear). Overall, the LE hook-and-line fisheries have the most overlap with humpback distribution compared to OA or catch shares fisheries.

The LE hook-and-line sector had the highest level of overlap in 2016, over the ten-year analytical period (2014-2023). In terms of spatial overlap, the estimated proportion of overlap was 62 percent off Oregon and California for the LE primary fishery, 38 percent off Washington and 97 percent and 3 percent respectively for the LE trip limit fishery. The disparity between the two LEFG sectors is likely due to the sablefish-endorsement for the LE primary fishery being north of 36° N. lat. for sablefish, thereby resulting in a concentration of trip limit effort in southern California.

3.2.2 Anticipated Effects of the Gear Endorsement Alternatives on Marine Mammals

Generally, beyond closures, changes to the fisheries do not benefit marine mammals in relation to incidental take, prey availability, or disturbances; rather, changes to the fisheries tend to increase or decrease potential adverse impacts. Significantly, beneficial impacts are not likely outcomes with the management of groundfish fisheries, as few, if any, beneficial impacts to marine mammals are likely to result from groundfish harvest. The only exception may be instances in which marine mammals target prey from fishing gear, as seen with killer whales and sperm whales removing fish from hook-and-line gear or pinnipeds removing fish from nets or troll gear. In this example, prey availability is enhanced for these animals, as they may need less energy for foraging. However, that benefit may be offset by adverse effects from the artificial alteration of animal behavior and an increased potential for entanglement in the gear or of swallowing hooks, which are the most common impacts on marine mammals from the groundfish fishery.

Marine mammal entanglement risk arises based on the extent of overlap between marine mammals and fishing gear. Factors affecting the nature and magnitude of risk may include the location of gear deployment, the amount of gear deployed, the duration of in-water deployment (soak time), and whether gear is on the bottom (groundlines), in the water column (vertical buoy lines), or on the surface (surface gear). As the 2024 BiOp notes, not every encounter between a marine mammal and fishing gear results in entanglement. Information from forensic reviews of entanglement cases suggests that loose or slack line in the water, along with potential sources of snags such as knots, splices, or lead weights attached to the line, likely contribute to entanglements.

Under each of the gear endorsement Alternatives (and the scenarios developed to predict the potential changes in gear type used under the Alternatives), vessels are assumed to be operating under the fixed gear marking and entanglement risk reduction package (described above in Section 3.1.2), which is anticipated to be in regulation by January 2026. Vessels would be required to mark their gear (pot and bottom longline) with gear-specific markings on vertical lines, and gear-specific buoy tags, to better positively or negatively attribute entanglements of whales or other marine mammals to the groundfish fisheries. These gear marking regulations are expected to result in improved information on which gear types are involved in entanglements. This would facilitate a determination on whether management changes in the groundfish fishery are necessary to mitigate future entanglements, and if so, would allow for more tailored measures. Note that under the anticipated gear marking regulations, vessels would be prevented from using slinky pots and bottom longline hooks on the same groundline, as the gears would need different marking schemes. No cases of these gear types being mixed on the same groundline have been reported to date. Along with gear marking requirements, the anticipated regulations are expected to reduce entanglement risk by limiting the amount of surface line to 10 fm for all groundfish fixed gear (pot and bottom longline), and allowing vessels to voluntarily choose to utilize surface gear on only one terminal end of the groundline, rather than both ends as currently required.

Vertical lines are a key source of entanglements for fixed gear fisheries, which was a primary reason for the Council recommendation to change the regulation that surface gear at both ends of a groundline to at least one end from being a requirement to a voluntary measure, through the gear marking and entanglement risk reduction action. As described in the Groundfish Fixed Gear and Entanglement Risk Reduction Measures Initial Review Draft RIR/RFA/Magnuson-Stevens Act Analytical Document (Agenda Item F.5, Attachment 2, June 2024; hereafter "Draft Gear Marking Analytical Document"), this measure was designed as voluntary based on comments from the GAP. Specifically, the GAP recommended making it a choice rather than a requirement in order to allow a vessel operator to decide whether one set of surface gear is sufficient to ensure retrieval or whether surface gear should be used on both ends for that reason. The decision would be based on factors such as the type and weight of the gear and conditions at each site, including bottom topography, currents, and vessel traffic. Groundfish pot or bottom longline gear, or a portion of it, can be lost if the groundline or vertical line breaks, the gear drags to a different location, or all surface buoys submerge. Although vessel operators may attempt to retrieve lost gear to avoid financial and operational impacts, lost gear that is not recovered (derelict gear) can have adverse environmental impacts, including ghost fishing and increased entanglement risk. As outlined in the Draft Gear Marking Analytical Document, when the new measure is implemented, it is likely that vessels using bottom longlines would continue to utilize surface gear at both ends of the groundline, whereas vessels using traditional pot gear would be more likely to utilize only one set of surface gear. The analysis below considers how the change to fishing with surface gear on only one terminal end would be likely to impact the risk of entanglement when combined with the changes in gear endorsements anticipated to occur under the action alternatives and each scenario.

3.2.2.1 Scenario 1 (No shift in activity), Anticipated Effects (Applies to No Action and Alternatives 1, 2, and 3)

Under Scenario 1, vessels would not change the gears they use. This would be the outcome of the No Action alternative; it could also occur under any of the action alternatives. The expected impacts to marine mammals would be those described in the 2025-2026 Harvest Specifications and Management Measures EA, which is incorporated by reference, and which explains that the effects of the harvest specifications and management measures on protected resources are difficult to assess and cannot be predicted quantitatively. The 2025-2026 Harvest Specifications and Management Measures EA further provides that adaptive management, fishery monitoring, and periodic adjustment, support the objectives of protected species mandates and, in the NEPA context, this combination aims to avoid significant impacts to protected species. This same combination of adaptive management, monitoring and adjustment to fishery effort and management measures, as needed to avoid significant impacts to marine mammals, would continue to apply to the fishery under all Scenarios 1-3, and thus also supports the finding of non-significance in this NEPA analysis.

Under No Action, effort would continue to be constrained, in terms of the proportion of bottom longline to pot gear, due to the number of bottom longline endorsed permits compared to pot permits. Vessels would continue to be able to utilize non-bottom contact gear, as permitted, and access latent permits for other gear types, as available. Under the Action Alternatives, it is possible that there would be no shift in gear utilized and that vessels would use the gears for which they are currently endorsed (or within the previously seen historical range described in Section 1.2 or more recently in Table 3-3). Unlike the No Action alternative, there would be no regulatory constraint on effort by gear type, but other factors such as investments needed to switch gears or markets might result in no vessels changing from bottom longline to pot gear.

3.2.2.1.1 Effort (Sets and Trips)

Under the gear marking and entanglement risk reduction measures action, industry feedback included comments that LEFG vessels using bottom longline gear typically average two sets per trip and LEFG vessels using pot gear average seven sets per trip. Comparatively, using the most recent five years of WCGOP data (2018-2023), the average number of observed sets per trip for longline gear was 5.2 and for pot gear it was 13.9. It is important to consider that there is limited observer coverage for the LEFG fleet, and particularly the non-sablefish endorsed fishery. Based on the most recent WCGOP Fishing Effort Report available at the time of drafting of this report (Somers, et al 2023), between 2002 and 2022, the primary tier fishery had observation rates averaging 38 percent for pot gear and 27 percent for longline gear of all sablefish targeted landings, with the non-endorsed trip limit fishery averaging six percent (all gear types and for all groundfish). Recent years (2019-2022, excluding 2020 for COVID and lack of observer coverage) had increased observer coverage for the primary tier fishery (51 and 33 percent respectively for pot and longline gears). Therefore, the average sets from the WCGOP data set may not be representative of the entire fleet. For this analysis, the estimated range of sets per trip based on the two data sources (industry and WCGOP observations) is approximately two to five for longline vessels and seven to 14 for pot vessels.

The total number of bottom longline trips by all LEFG vessels annually (defined as a unique vessel landing date, and could include multiple hook-and-line gear types) is an average of 14 times more than the number of pot trips taken by LEFG vessels from 2015-2024 (Table 3-7). In recent years (2022-2024), an increasing proportion of LEFG trips have used pot gear, as compared to bottom longline gear (which aligns with the trends seen in Section 1.5.2). In the last five years (2020-2024), an average longline vessel takes 13.8 trips per year, as compared to a pot vessel (5.5 trips).

Table 3-7. Total number of trips for bottom longline and pot LEFG vessels, 2015-2024.

Year	Bottom Longline	Pot
2015	1977	129
2016	1995	147
2017	2015	137
2018	1913	107
2019	1739	90
2020	1343	87
2021	1250	93
2022	1184	92
2023	1114	105
2024	1251	150

Based on the range of sets per trip and the estimated trips per year thought to occur for longline and pot vessels, the average bottom longline vessel lays approximately 28 to 69 sets per year and the average pot vessel lays approximately 39 to 77 sets per year. Table 3-8 below provides a range of estimated total sets for pot and longline gears based on several sources from the recent years. Given the limited observer coverage, a range of total sets are provided to inform the total number of vertical line soak hours expected in each scenario evaluated under this action. The minimum and maximum number of sets for both gear types are based on the ranges provided above (industry feedback and WCGOP observations) multiplied by the average number of trips for bottom longline and pot vessels (2020-2024). The 2024 BiOp also provided an estimate of total annual sets in Tables A-1 and A-3 for the LE pot and hook-and-line fleets. The estimation was based on dividing the observed number of sets or trawl hours for each sector by the proportion of the landings observed in that year (i.e., observer coverage rate estimated by WCGOP) in each sector. Note that in the BiOp gear described as hook-and-line gear could include non-bottom longline sets,

which means that the estimate of average annual sets could be higher than the actual number of bottom longline sets expected to occur. Overall, the number of annual sets in the LEFG fleet is difficult to quantify, however, it is likely within the range presented in Table 3-8 below.

Table 3-8. Total number of average estimated annual sets in the LEFG fishery

Gear Type	Source of Sets	Trips (Average)	Total Sets
	Min (7 sets/trip, industry comments)	105	738
Pot	Max (14 sets/trip, 2020-2024 average)	105	1,476
	BiOp (2019-2023 Average, Table A-1)	n/a	880
	Min (2 sets/trip, industry comments)	1228	2,457
Longline	Max (5 sets/trip, 2020-2024 average)	1228	6,142
	Biop(2019-2023 Average, Table A-3)	n/a	2,760

3.2.2.1.2 Soak Time

Using WCGOP data from 2002 to 2023, Table 3-9 shows the observed distribution of soak times⁹ for bottom longline versus traditional pot gear sets from the LEFG fishery. Note that this table reflects just observed bottom longline sets, not other types of hook-and-line gears. Looking at the distribution, the majority of observed bottom longline sets soak for a day or less, with 69 percent soaking for less than 12 hours. Comparatively, 64 percent of observed traditional pot hauls had a soak time longer than a day. As described above, the LEFG sector does not have 100 percent observer coverage; therefore, it is possible that soak times or other effort characteristics are different from unobserved trips.

Table 3-9. Observed number of bottom longline and pot (no slinky pots included) hauls, by soak time, from 2002-2023 for the LEFG fishery. Includes percentage of hauls and number of vessels, by gear and soak time category.

	Longline			Pot		
Soak Times	Sets	Percentage	Vessels	Sets	Percentage	Vessels
	Observed	of Sets		Observed	of Sets	
		Observed			Observed	
<2 hrs	228	2%	20	41	1%	3
2-6 hrs	3,405	33%	103	84	1%	9
6-12 hrs	3,512	34%	117	366	5%	23
12-24 hrs	2,024	20%	90	1,980	29%	34
24-36 hrs	498	5%	42	1,435	21%	30
36+ hrs	567	6%	28	2,906	43%	35

3.2.2.1.3 Catch Per Unit Effort and Harvest Level

Catch per unit effort (CPUE) may be a consideration in a vessel operator's decision to test and/or continue using a different gear type, as well as in understanding potential changes in fishing effort and thereby relative entanglement risk. Utilizing the same set of WCGOP data used for the soak time analysis, Table 3-10 shows the average sablefish landings per trip for longline and pot vessels by size class between 2020 and 2024. Of the LEFG hauls observed with sablefish (the primary target for pot gear), pot gear has an average of 1,279 lbs of sablefish per set, as compared to longline vessels which have an average of 1,042 lbs of sablefish per set. Combined with the higher number of average sets per trip (~ 5 for longline, ~14 for pot), this results in a higher CPUE (in terms of hauls and trips) for pot vessels. Fish ticket data confirms

⁹ Estimated time a gear unit is deployed underwater. This is a categorical time estimate, given by the observer during gear retrieval.

that pot vessels typically landed a little less than double the amount of sablefish as bottom longline vessels per trip, and that this difference is consistent across vessel size classes.

Table 3-10. Average sablefish landings (rd. wt.) per trip for LEFG vessels by gear type, 2020-2024.

Vessel Length	Longline	Pot
30 ft LOA or less	856	-
30-40 ft LOA	1,347	2,846
40-50 ft LOA	2,566	4,590
50 ft LOA or greater	12,183	21,085

Taking the number of trips and the CPUE together gives the overall level of harvest for an individual vessel. In terms of average annual sablefish landings by gear type over the same time period (2020-2024), average landings per longline vessel were 31,161 lbs per year, as compared to 90,280 lbs per year for pot vessels ¹⁰. Given that pot vessels tend to be larger than longline vessels and that a portion of longline permits are not sablefish endorsed (i.e., do not have access to the tier limits which provide greater sablefish harvest opportunity to vessels registered to sablefish-endorsed permits), it makes sense that the fleetwide annual averages for pot gear might be higher than for longline gear, as compared to the trip level landings.

3.2.2.1.4 Vertical Line Hours

As described in Section 3.1.1, relative expected entanglement risk may be viewed in terms of estimated vertical line hours. Vertical line hours for a vessel would be affected by factors within and outside the scope of the changes proposed in the action alternatives, including but not limited to the factors outlined in the previous sections among others.

To provide a comparison across gear type on the potential risk of entanglement from vertical line hours, Table 3-11 shows the average vertical line hours per pound of sablefish for longline and pot vessels based on recent data. Given that the main target species of the LEFG fishery for pot and longline gear is sablefish, for the majority of vessels, this metric can connect the factors described above to the overall amount of vertical line hours that may be expected. Specifically, the table provides a range of sets per trip (described above in Section 3.2.2.1.1) and for pot gear, a range of vertical lines used. As described above, under the forthcoming gear marking and entanglement risk reduction measures package, it is anticipated that longline vessels will continue to use surface gear on each terminal end of the groundline while pot vessels will be likely to utilize the option to use a single vertical line. However, as this is a voluntary measure, an estimate is provided for pot vessels continuing to utilize two vertical lines per set.

Using a range of soak times (6 and 12 hours for longline vessels and 24 to 36 hours for pot vessels) from Table 3-9 to cover the time bins where the majority of sets occurred, the vertical hours per trip were calculated for each gear/vertical line/set number combination. Using the average number of trips (described in Section 3.2.2.1.1), the total hours per season for an average longline and a pot vessel, under the range of sets and vertical lines/hours, were estimated. Dividing those estimates of vertical line hours by the average landings of sablefish (Section 3.2.2.1.3) provides a range of vertical line hours per lb of sablefish.

Overall, based on the parameters in this table, the range of average vertical line hours per pound of sablefish for longline gear is less than that of vessels using pot gear with two vertical lines, but greater than if pot vessels were to use a single vertical line. However, it is important to consider that there is overlap across the ranges and that this represents an average vessel. It is likely that some pot vessels will have a lower rate of line hours per pound of sablefish than longline vessels and vice versa. As shown in Table 3-9, soak times can vary by set and landings can vary by size of vessel (Table 3-10). Additionally, on an annual

¹⁰ Includes any vessel with at least one pot gear landing.

basis, some pot vessels could have a higher rate of vertical line hours per pound of sablefish, but harvest less sablefish, resulting in an overall lower total vertical line hour amount than a longline vessel with a lower rate harvesting a larger amount of sablefish. Within gear types, there could also be differences across individual vessels.

Table 3-11. Range of average vertical line hour per pound of sablefish for longline and pot gear vessels. Line hour per pound is based on recent sablefish average landings (2020-2024), range of vertical line hours per trip (6-12 for longline, 24-36 for pot gear), range of sets per trip, and vertical lines per set.

average landings (2020-				·					
Gear Type	Vertical Lines	Sets	Vertical Line Hours Per Trip		Trips	Total Hours/Season	Total Catch	Line H	lour
								per Lb	of
								Sablef	ish
								Catch	
Soak Time (hours)			6	12				6	12
Longline	2	2	24	48	14	672	31161	0.011	0.022
		5	60	120		1680		0.027	0.054
Soak Time (hours)			24	36				24	36
Pot	2	7	336	504	6	3024	90280	0.022	0.033
		14	672	1008		6048		0.045	0.067
	1	7	168	252		1512		0.011	0.017
		14	336	504		3024		0.022	0.033

3.2.2.1.5 Spatial and Temporal Effort Distribution

While the amount of vertical lines and effort occurring can impact the risk of entanglement, it is also important to consider when and where the effort occurs (and the potential for shift in effort timing and location associated with the proposed action). In terms of depth of fishing, based on WCGOP observed sets in the LEFG fishery from 2018-2023, the general distribution of sets is relatively similar for longline and pot gear, with approximately 50 percent of sets for both gear types occurring in 200 fm or shallower. There is some differential in the upper end of the distribution with the upper 15th percentile of pot sets being deeper than 400 fm, as compared to approximately the upper 5th percentile of longline sets (i.e., a slightly greater proportion of pot sets are made deeper than 400 fm, as compared to longline sets).

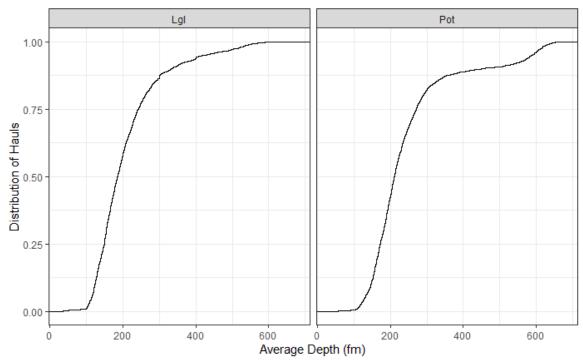


Figure 3-3. Distribution of observed LEFG sets by average depth (fm) for bottom longline and pot gear, 2018-2023.

3.2.2.2 Scenario 2 (All bottom longline vessels shift to slinky pots), Anticipated Effects (Applies to Alternatives 1, 2, and 3):

Scenario 2 (which could apply under Alternatives 1, 2 and 3) assumes that all bottom longline vessels switch to slinky pot gear. While it would be unlikely that all vessels would make this switch, this assumption provides a scenario of maximum shift to slinky pot gear, which may be useful to evaluate the range of potential change. The actual amount of shift from longline to slinky pot gear would likely be less than 100 percent, and it may vary over time as vessel operators experiment with the new gear and determine whether it is an improvement for their individual operation.

Potential Impacts to Entanglement Risk

There could be potential adverse minor to moderate impacts to marine mammals under Scenario 2 in terms of increased entanglement risk. There is limited information available to evaluate how the risk of

entanglement with slinky pot gear compares to the risk of entanglement posed by regular pot gear or longline gear. However, there was a known entanglement with slinky pot gear in 2023. Slinky pots would be required to be tended at least every seven days (50 CFR 660.230(b)(3) and 50 CFR 660.330(b)(2)(i)), the same interval as traditional pots, but are thought to be tended on a more frequent basis (similar to longline gear). The duration of the potential impacts would be expected to last as long as the duration of a change to slinky pot gear by longline vessels (unless future modifications to the gear used in this fishery occur that would affect entanglement risk, e.g., ropeless gear or other changes). In other words, if longline vessels switch to slinky pot gear permanently, any resulting impact on marine mammals would be long term; if vessels try out slinky pot gear and return to using longline gear, impacts arising from the change to slinky pots would be short-term.

3.2.2.2.1 Soak Time

As described in Section 3.1.1, any change in entanglement risk resulting from this action is expected to result from a possible change in vertical line hours (i.e., overall gear soak time). Due to the many variable factors and associated uncertainties that may affect vertical line hours described previously, this analysis provides a qualitative discussion of the expected direction, magnitude, and duration of potential change. Under Scenario 2, all longline permitted vessels are assumed to shift to using slinky pot gear (based on the recent average, this would be 119 vessels- see Table 3-3). With regards to the number of vertical lines used, larger vessels that use slinky pot gear may continue to utilize surface gear on both terminal ends of a set to reduce the likelihood of a line snagging and breaking thereby increasing the risk of gear loss. Smaller vessels with fewer pots may choose to use surface gear on only one end as permitted under the forthcoming gear marking and entanglement risk reduction measures. With the shorter strings of gear typically used by smaller vessels, there is an increased risk of the vertical lines tangling with surface gear attached on both terminal ends of the groundline (pers. comm., Alexander Stubbs).

For soak time, while there are limited observations of slinky pots in the LEFG fishery, these observations are not included in Table 3-9 due to confidentiality. Nevertheless, although slinky pot hauls are not included in Table 3-9, the limited data available shows that the majority of the observed hauls of slinky pots have a soak time greater than longline observed hauls, but less than pot observed hauls. Further, discussions with industry (pers. comm. Alexander Stubbs), indicate that slinky pots are likely to have a soak time shorter than standard pot gear and similar to longline soak times

3.2.2.2.2 CPUE and Harvest Level

The number of sets and trips that vessels in Scenario 2 are likely to make would depend on the CPUE (per set or per trip) and the level of harvest for a fishing year. For analytical purposes, CPUE is evaluated based on the assumption that a vessel shifts from using longline to slinky pot gear to target sablefish. Slinky pots have been shown to be more selective of sablefish, as compared to bottom longline gear (Sullivan, et. al, 2022). Overall catch rates between slinky pot and bottom longline vessels in the study were similar, however, and it is important to note that the study was limited. Thus, it is possible that slinky pots are more efficient in catching sablefish compared to bottom longline.

Additionally, the principal assumption behind longline vessels switching to slinky pot gear is that they would increase their harvest of sablefish, the primary target species, and that it would be profitable to do so. This is related to the CPUE for slinky pots in comparison to longline gear, but would also be affected by factors such as the cost of purchasing and using the new gear, individual vessel operations such as the number of crew needed to handle each gear type, whether there is a price differential for fish harvested with slinky pot gear as compared to longline gear (for example, due to average size or quality), and more. However, all things being equal in terms of costs and prices, if CPUE is the same as longline vessels, then this would require vessels to potentially increase the number of sets or trips to achieve a higher harvest

level. If the CPUE is higher than longline vessels, then an increase in harvest level may not require as many sets or trips.

It is important to consider that the level at which a longline vessel could increase its catch is limited in the management of the LEFG fishery. A portion of the LEFG fishery is non-sablefish endorsed (and all permits without sablefish endorsements are longline-endorsed) and subject to trip limits. A maximum per-vessel annual catch of sablefish based on current 2025 trip limits is 54,000 pounds for sablefish north of 36° N. lat. A tier 3 permit for the primary sablefish fishery in 2025 is 64,110 lbs. This suggests that longline vessels switching to slinky pots under Scenario 2 may be able to increase their sablefish landings about the recent longline average, but would likely be limited from increasing their harvest level to recent traditional pot averages even under the current high allocations/ACLs.

3.2.2.2.3 Vertical Line Hours

On an individual vessel level, if vessels switching to slinky pots under Scenario 2 are able to have a higher CPUE for sablefish per trip and maintain their average landing levels, then those vessels may require fewer sets/trips to land an equivalent amount of sablefish, potentially decreasing total vertical line hours for those vessels even at potentially longer soaking times, relative to No Action (Scenario 1). If, however, the CPUE for slinky pots is similar to that of longline gear, vessels would need to maintain their number of sets or trips to land an equivalent amount of sablefish. Depending on the soak time, which could be similar or longer than longline gear, and if a vessel continues to use surface gear on both ends of the groundfish, there could be an increase or no change in vertical line hours depending on the change in the vertical line hours per pound of sablefish landed. However, if a vessel were to use only one vertical line on each set after switching from longline to slinky pot gear, that could offset the longer soak time to some degree, potentially reducing the relative risk of entanglement compared to Scenario 1/No Action.

If vessels increase their harvest of sablefish, which is thought to be a key driver in switching gears, then the vertical line hours could be similar to or increase relative to Scenario 1 (No Action). The degree of change will depend on the change in CPUE, the soak time, and the number of vertical lines used (resulting in a rate of vertical line hours per pound of sablefish) and the change in the harvest level. It is likely that some vessels could maintain similar fishing operations as under Scenario 1, and therefore have no change in vertical line hours, while other vessels have a moderate increase in the total vertical line hours in a season if the rate of hours per pound of sablefish stays the same.

Overall, assuming that all bottom longline vessels move to slinky pot gear, the overall risk of entanglement in terms of total vertical line hours per season, could be unchanged or could increase or slightly decrease as compared to Scenario 1. An increase could result in minor to moderate adverse impacts to marine mammals in terms of the potential for increased entanglement risk, and would occur for the duration of the switch to slinky pot gear.

3.2.2.2.4 Spatial and Temporal Distribution

As described in Section 3.2.1.3, September and October show the highest rate of co-occurrence for the LEFG fishery and humpback whales for both pot and hook-and-line gears. If vessels shifting from bottom longline gear to slinky pot gear maintain the timing of their fishing (Figure 1-3), the pattern seen in the 2024 BiOp would be expected to remain the same under Scenario 2. However, if vessels shifting from longline to slinky pots also change the timing of fishing to have a higher concentration of overall landings in October similar to traditional pot gear (Table 3-12), there could be an increase in the co-occurrence of the fishery with humpback whales. However, the overall trend between the gear types is similar in terms of peak months of catch, suggesting that there is likely to be relatively little change in the timing of fishing with gear changes.

Table 3-12. Average percentage of total landings by month by gear type, 2019-2024. "C" denotes confidential strata.

Month	Longline	Pot
January	3%	0%
February	3%	C
March	4%	0%
April	7%	7%
May	8%	5%
June	9%	1%
July	12%	8%
August	13%	15%
September	15%	18%
October	14%	30%
November	8%	13%
December	4%	9%

Spatially, it is important to consider the reason for the current distribution pattern for pot gear when evaluating potential changes arising from a shift from longline to slinky pot gear. The spatial distribution of traditional pot use is likely due to the history of the LEFG permits (see Section 1.2); specifically, that all sablefish-endorsed permits are pot-endorsed and the primary sablefish tier fishery only exists north of 36° N. lat. Also, larger vessels are typically found further north (Table 4-10) and these larger vessels are typically needed to use traditional pot gear (pers. Comm. Gerry Richter and Bob Alverson). Given that slinky pots can be used on vessels of all sizes, vessels that switch to slinky pots may continue to fish in the same area they previously fished with longline gear, resulting in little or no spatial redistribution of effort (i.e., the spatial pattern of slinky pot use may align more with that of hook-and-line gears). This may be the most likely outcome, given existing vessel operators' familiarity with current fishing grounds and relationships with fish buyer, port, marine supply, and other services in their current area. However, if the switch to slinky pots results in a spatial distribution pattern more similar to traditional pots, Scenario 2 could result in a change in effort distribution northward, particularly for non-sablefish endorsed vessels. A northward shift could result in a lower take of the listed DPSs of humpback whales, and a potential higher encounter rate with the Hawai'i DPS that is likely to be found off Washington (Section 3.2.1), as compared to the Mexico and Central America DPSs that are more likely to be found off the California and Oregon coasts.

Potential Impacts on Prey availability

Under Scenario 2, there could be potential adverse impacts to marine mammal prey availability as compared to No Action (Scenario 1). As noted above, some marine mammals may depredate on hook-and-line gear and as such may need less energy for foraging. Several studies have noted the depredation on hook-and-line gears in the Gulf of Alaska and Bering Sea regions in both commercial fisheries and research efforts (Peterson and Hanselman 2017 and Hanselman, et. al. 2018). In recent years, levels of depredation and encounters with whale species resulted in the North Pacific Fishery Management Council (NPFMC) implementing allowances for longline pot gear (including slinky pots) in their sablefish and turbot fisheries to reduce the occurrence of depredation by sperm and killer whales on hook-and-line gears (NMFS 2016, NMFS 2023). While anecdotal reports of this occurring off the West Coast are rare to date, the benefit of slinky pots to reduce depredation was one of the fishing industry's rationales for requesting consideration

of gear endorsement flexibilities (Agenda Item H.4.a, Supplemental GAP Report 1, June 2023). However, any energetic disadvantage to marine mammals from reduced prey availability with a switch from longline to slinky pot gear may be offset by reduced behavioral alteration, if the depredation opportunity is removed with a switch from longlines to pots, and by a decreased risk of entanglement or swallowing hooks during longline depredation. Moreover, any change to prey availability may be short-term; while the use of slinky pots did seem to work for a few seasons in Alaska, a 2024 report by the Alaska Public Media stated that the pots limited depredation for a few seasons until the whales figured out how to open (shred) the pots. During the March 2025 Council discussion on this action, it was noted that some Makah tribal vessels have switched to slinky pots in order to limit depredation by a pod of orcas off northern Washington and have been extremely successful. Thus, it is possible that Scenario 2 may result in potential minor adverse impacts to marine mammals through reduced prey availability as compared to No Action. However, it is not clear that any potential adverse impact to marine mammals would be long-term as the animals may learn how to open (shred) slinky pots.

3.2.2.2.5 Scenario 2 Summary

Overall, under the Scenario 2 assumption that all bottom longline effort would switch to slinky pots, entanglement risk to marine mammals could range from the same as No Action/Scenario 1, to minor to moderate adverse impacts in the form of an increase in risk, depending on many factors: the change in effort (sets/trips) with a new gear type, the CPUE for slinky pot gear compared to longline gear, how much sablefish a vessel fishes, whether the soak time is different between the gear types and by how much, whether they change from using surface gear at both ends to only one end of the groundline, and whether they change where they fish. This potential adverse impact to marine mammals would be expected to occur for the duration of any switch in effort from longline to slinky pot gear. Under Scenario 2, there would be no change in the expected marine mammal interactions with vessels currently using standard pot gear as they are already permitted to use slinky pot gear.

3.2.2.3 Scenario 3 (All bottom longline vessels shift to mix of traditional and slinky pots), Anticipated Effects (Applies to Alternatives 2 and 3):

Scenario 3 assumes that all bottom longline vessels 50 feet LOA or smaller would switch to slinky pot gear, and all bottom longline vessels >50 feet LOA would switch to traditional pot gear. This could occur under Alternatives 2 and 3. As in Scenario 2, while it would be unlikely that all vessels would switch away from longline gear, this assumption provides a scenario of maximum shift to slinky and traditional pot gear, which may be useful to evaluate the range of potential impacts. The actual amount of change in gear use patterns would likely be less than 100 percent, and it may vary over time.

Potential Impacts on Entanglement risk

Under Scenario 3, there could be potential adverse impacts to marine mammals in the form of an increased risk of entanglement, due to increases in pot gear usage, as compared to Scenario 1 (No Action). There may also be the potential for increased risk of entanglement as compared to Scenario 2. While the total number of confirmed humpback whale entanglements in groundfish fixed gear is small (6 whales between 1982 and 2024)¹¹, all of the entanglements identified to a gear type came from groundfish pot gear. However, because the number of observations is so small, and not all entanglements can be attributed to specific gear types, it is difficult to draw conclusions about whether this number of confirmed entanglements reflects a higher entanglement risk with pot gear, a higher likelihood of observing a pot gear entanglement, or simply random chance.

¹¹ For historical reports, see West Coast Large Whale Entanglement Response Program | NOAA Fisheries

Risk of entanglement (and likelihood of observing an entanglement to report it) under Scenario 3 would depend on the total vertical line hours based on the number of sets (i.e., overall effort), number of vertical lines present in the water, soaking time, and gear type, as discussed for Scenario 2. The 2024 BiOp notes that detecting traditional sablefish pot entanglements "might be easier compared to those involving other types of gear because whales entangled in heavier strings of pot gear, like sablefish gear, are often more restricted in their movements and less likely to be free-swimming, making the entanglement more noticeable to observers." Slinky pots weigh less than a standard pot (approximately 12 pounds compared to 75 lbs, depending on the design), suggesting there could be a higher likelihood that a whale entangled with slinky pot gear could be free-swimming and would not be observed, as compared to a whale entangled with traditional pot gear (although one entanglement with slinky pot gear was observed in 2023). The weight of the two types of pots may also affect the risk of entanglement and the risk of mortality or serious injury if an entanglement occurs. The use of lighter weight slinky pot gear could result in fewer or less severe entanglements, especially if animals are able to more easily break free from or shed the gear during an interaction, such that entanglements or serious injuries do not occur.

3.2.2.3.1 Soak Time

For Scenario 3, the soak time for longline vessels switching to slinky pot gear would be the same as that described under Scenario 2. Although limited data is available, it is anticipated that slinky pot soak times would be the same or slightly longer than longline soak times (but shorter than traditional pot soaking times). Longline vessels switching to traditional pot gear under Scenario 3 are anticipated to have soak times similar to current pot vessels as shown in Table 3-9, which is on average longer than that for longline vessels and likely slinky pot vessels.

3.2.2.3.2 CPUE and Harvest Levels

Vessels switching to slinky pot under Scenario 3 would have the same considerations as outlined under Scenario 2 in terms of CPUE and harvest levels. Some vessels may maintain their harvest levels and CPUE while other vessels may increase their harvest levels with CPUE varying.

For vessels over 50 ft LOA that are hypothesized to switch to traditional pot gear under Scenario 3, it is likely that they would increase both their CPUE and overall harvest levels to similar to current pot vessels (see Table 3-10). Vessels over 50 ft LOA may have access to multiple tier permits and the assets, potentially, to profit by increasing their catch levels to the recent averages seen by other pot vessels. This could result in additional vertical line hours as compared to No Action for these vessels depending on the rate of vertical line hours per pound of sablefish, although this potential increase in vertical line hours could be mitigated by the use of a single vertical line or higher CPUE (see impact for Scenario 1 in Table 3-11).

3.2.2.3.3 Vertical Line Hours

As with Scenario 2, the expected change in the number of vertical line hours presented by Scenario 3 is difficult to quantify due to the numerous factors affecting it, and assumptions that would need to be made. However, the overall limits on the amount of effort that could occur in the fishery due to the fixed number of LEFG permits and the LE sector allocation, trip limits, and sablefish tier limits, would still be in place and would constrain the potential magnitude of effort and thus any potential increase in vertical line hours and entanglement risk.

If the average number of vessels participating in recent years (Table 3-3) continues to participate and switch to slinky pot or traditional pot gear based on their size, this would result in 92 longline vessels switching to slinky pot gear and 27 vessels to traditional pot gear (for a total of 57 vessels using standard pot gear taking into account the assumed 30 vessels using pot gear under No Action). On a per vessel basis, impacts from those vessels switching to slinky pots would be similar to those described under Scenario 2. The degree of

change would depend on if they maintained or increased their catch level and the vertical line hours per pound of sablefish that the vessel had. For the 27 additional traditional pot vessels (>50 ft LOA), if the vessels maintained their recent catch levels, the per-vessel vertical line hours could increase if the vessel continues to use two vertical lines with each set because the rate of vertical line hours per pound of sablefish are greater than the average rate for longline vessels (Table 3-11). Alternatively, if the vessel uses only a single vertical line per set (as suggested by industry), and maintains recent catch levels, total vertical line hours could see some degree of decrease or remain unchanged if the longer soak time, higher CPUE, and fewer vertical lines per set offset each other. In other words, the results would be dependent on how the vertical line hour rate changes based on the vessel's activity. Given the likelihood that vessels switching to traditional pot gear would only switch in order to increase their overall harvest levels and if it were profitable, the per-vessel vertical line hours could increase as compared to No Action for those vessels – even if using a single vertical line. The degree of that increase would be dependent, though, on the level of increased harvest and vertical hours per pound of sablefish as compared to current operations.

Overall, the total vertical line hours expected under Scenario 3 is difficult to assess in comparison to Scenario 1 (No Action). While it is anticipated that vessels would likely use a single vertical line for traditional pot gear and increase harvest levels as compared to recent averages of longline vessels (noting that the overall amount of harvest is limited through the biennial harvest specifications), the exact increase in vertical line hours is not quantifiable, particularly in light of the limited data available on slinky pots (see Scenario 2 discussion). In light of these factors, the range of potential impacts in terms of increased entanglement risk range from no impact to minor to moderate adverse impacts. This range of impacts would be expected to occur for the duration of any switch in effort within the fishery from longline to slinky pot and traditional pot gear.

3.2.2.3.4 Spatial and Temporal Effort Distribution

Given that the spatial concentration of gear at any time may change under Scenario 3, for the purpose of evaluating potential impacts associated with entanglement risk arising under Scenario 3, it is important to consider when and where pot gear activity typically occurs as compared to bottom longline gear. As described in Section 3.2.1.3, September and October show the highest rate of co-occurrence for the LEFG fishery for both pot and hook-and-line gears. Assuming that these months would remain the highest harvest months, this pattern seen in the 2024 BiOp may remain the same under Scenario 3. The magnitude of the overlap in those months is uncertain depending on the level of effort occurring. Similar to Scenario 2, it is likely that September and October would remain the highest harvest months under Scenario 3 and therefore the pattern seen in the 2024 BiOp may remain the same. Spatially, there could be an increase in the proportion of effort to the north if longline vessels that shift to traditional pot gear follow the recent pattern of effort shift seen in the sablefish pot fishery outlined in Section 3.2.1.3. Similar to Scenario 2, this could result in a change in the DPS encountered, with Washington seeing a larger proportion of Hawai'i DPS as compared to Oregon and California, which typically just observe the Central American and Mexico DPS. However, as with Scenario 2, if the vessels that choose to switch to pot gear are already fishing in the north, there would likely be limited impact to the DPS stocks encountered.

Potential Impacts on Prey availability

Under Scenario 3, in which all longline effort shifts to some type of pot gear, like with Scenario 2 there could be adverse impacts to prey availability as compared to No Action/Alternative 1. Pot gear (slinky pot or traditional) is known to have lower rates of depredation, thereby reducing ease of access for marine mammals to take fish off bottom longline hooks. The same considerations around behavior change and entanglement or hooking risk described under Scenario 2 would apply.

3.2.2.3.5 Scenario 3 Summary

Overall, under the Scenario 3 assumption that all bottom longline vessels switch to pot gear (traditional and/or slinky pots), entanglement risk to marine mammals could range from similar to No Action to minor to moderate adverse impacts resulting from an increase in entanglement risk. The degree of this potential increase in entanglement risk would be dependent on the factors described above. For vessels switching to slinky pots, the likely impacts in terms of potential increased entanglement risk would be those described above under Scenario 2. For larger vessels switching to traditional pot gear, while the use of a single vertical line could offset increases in total effort (resulting in the same vertical line hours per unit of sablefish), the degree of any increase in vertical line hours would ultimately be dependent on the increase in harvest levels and CPUE, given the longer soak times associated with pot gear as compared to longline gear. The duration of any change to entanglement risk would be the duration of a change in gear. As under Scenario 2, longline vessels might try pot gear (slinky or traditional) and then revert back to longline gear; in which case the duration would be short-term. Or, they might switch to pot gear on a permanent or long-term basis, in which case the duration of any impacts would be long-term.

3.2.2.4 Summary of the Potential Effects of the Proposed Action on Marine Mammals

Under each of the three scenarios associated with Alternatives 1-3, there is the potential for adverse impacts to entanglement risk for marine mammals, which range from minor to moderate, and for minor adverse effects to prey availability. The likelihood and potential magnitude of these potential impacts is dependent upon the degree of any shift to pot gear (slinky and/or traditional) from bottom longline gears, the type of pot gear shifted to, and any changes in the total amount of effort, catch, CPUE, fishing area, and/or timing of fishing to occur under an action alternative relative to No Action.

Alternative 1, which would permit slinky pot gear to be used by vessels registered to bottom longline endorsed permits, would likely result in impacts to marine mammals between those described for Scenarios 1 and 2 above. Under this alternative, some vessels would likely choose to utilize slinky pot gears and others would likely maintain bottom longline usage. If assumptions on the fishing style of slinky pots hold true and they are fished similarly to longline gear in terms of sets, harvest levels, and soak time, and continue to use surface gear on each terminal end of a set, it is likely that the impacts to entanglement risk from Alternative 1 would be unchanged as compared to No Action. However, if vessels increase harvest levels, without increased CPUE or without limiting vertical lines, than Alternative 1 would result in minor or moderate adverse impacts with respect to entanglement risk, as vertical line hours could increase. This alternative would also likely result in minor adverse impacts in terms of prey availability.

The potential impacts of Alternative 2 (which would permit vessels to utilize either bottom longline or slinky or traditional pot gear to harvest their quota) and Alternative 3 (which would permit vessels to use any legal non-trawl groundfish gear to harvest their quota) on marine mammals are likely to be similar to each other, as the additional gear types permitted under Alternative 3 (e.g., other OA hook-and-line gear types) are likely to have little to no impact on marine mammals. Impacts of these alternatives are likely to be a combination of the three scenarios, as some vessels are likely to maintain bottom longline gear (Scenario 1), some vessels to switch to slinky pots (Scenario 2 and 3), and some vessels to switch to traditional pot gear (Scenario 3). While Scenarios 2 and 3 look at the extreme situations of all bottom longline vessels shifting to pot gear (slinky and/or traditional), it is highly unlikely that this would occur given the investment that the utilization of a new gear type requires (new gear, operational changes, new targeting practices, etc.) and due to the fact that shifting gear type may not be profitable for vessels (see Section 3.1.1 and Section 4.5.1.3). Most likely, some vessels would make no change to the gear they use (i.e., for those vessels, the outcome would be as described for Scenario 1). Additionally, potential impacts to individual DPS stocks of humpback whales would depend on the fishing location of those vessels that choose to utilize pot gears instead of longline gears. If vessels are already fishing in a certain area, and choose to switch gears but still operate in the same area, then there would likely be no change in the impact

to specific DPS stocks. However, if the trends from the last decade persist, and pot vessels (existing and new) in general shift northward, then there could be a decline in the overall overlap between sablefish effort and listed DPS stocks.

In terms of entanglement risk, due to the wide and variable range of factors that can impact the total vertical line hours under each scenario, and because the actual number of entanglements attributed to the groundfish fishery, by gear type, is difficult to assess, the potential impacts of Alternatives 2 and 3 are difficult to pinpoint. However, it is likely that there would be some adverse impacts on marine mammals, in the form of increased entanglement risk, under these alternatives given the potential increase in vertical line hours presented by an increased utilization of slinky and traditional pot gear usage as compared to No Action. These potential adverse impacts are anticipated to be minor to moderate and the duration of impact would be expected to last as long as vessels use those new or different gears allowed by Alternatives 2 or 3. These potential adverse impacts may also be able to be mitigated, if pot gear (slinky pot or traditional pot) continues to be more efficient in harvesting key species, such as sablefish, thereby reducing total effort needed to harvest allocations through the fishing season. Additionally, this risk may be mitigated if there is a high degree of fishermen utilizing the voluntary measure to use a single set of surface gear. Finally, because the total number of entanglements attributed to the groundfish fixed gear fishery between 2002 and 2023 is small (five) to begin with, the magnitude of any increase in entanglement risk is expected to be small overall. In terms of prey availability (i.e. reduced depredation), Alternatives 2 and 3 are anticipated to result in a minor adverse impact.

Changes in the amount and location of pot effort under the alternatives, may also be driven by potential crossover from other fisheries due to changing opportunities, such as crossover from the gear switching fleet. Limitations in other fisheries may drive participation into a LEFG fishery with increased gear flexibility. As an example, for OA vessels, if the cost of purchasing a LEFG permit could be absorbed through the increased landings opportunities permitted by the new gear flexibility, then there could be a shift from OA to LEFG (noting that this would entail a higher barrier to entry). Sablefish allocations and market conditions are also likely to drive potential changes in effort. In 2025-2026, sablefish allocations are increasing by over three-fold as compared to 2023 (which was the highest since 2011). Market conditions and pricing are likely to keep total landings similar to recent years. However, vessel effort could increase in response to the sablefish allocations, particularly with pot gear (slinky or traditional).

Considering the potential impacts of the proposed gear endorsement flexibility action item under the alternatives evaluated in this analysis, together with the effects of past and present actions previously analyzed in other documents incorporated by reference as described in Section 3.2.2.1 and the impacts of RFFA, the overall potential impacts of the proposed action, while not certain, are determined to be not significant. There would continue to be an ultimate cap on the number of vessels allowed to fish in the LEFG fishery through the limited number of permits available. Within that number, there would be a cap on the total number of vessels that could participate in the tier fishery, due to the limit on the number of sablefish endorsements, which drive the majority of sablefish pot effort. As noted at the beginning of Section 3.1, the biennial harvest specifications and management measures for the groundfish fishery outline how much of a particular groundfish species may be caught along the U.S. West Coast each year (ACLs and allocations), as well as where that catch may occur, in which sector, and what gear may be used. These measures limit the magnitude of potential changes arising from this action, which does not change the amount of catch, and thus overall effort, allowed. Any change in allowable harvest levels for the LEFG fishery would occur through a future harvest specifications and management measures process (or other action), and the potential impacts of any related changes in fishery effort would be fully evaluated during that rulemaking process. Existing monitoring efforts that collect data on the amount and location of effort and catch and the gear type used, including observers, electronic logbooks, VMS, and fish tickets, will continue. The observer program and electronic logbooks have recently begun distinguishing between slinky pots and traditional pots, and between bottom longline and other hook-and-line gear, which is expected to

improve future data available on the fishery, and will be used to monitor changes that may arise from implementation of any of the action alternatives. This information will be considered in future evaluations of harvest specifications and management measures in an adaptive management cycle, as well as other relevant future proposed actions. In addition, with the implementation of the gear marking and entanglement risk reduction measures in 2026 (which would be prior to the implementation of this action), formerly unknown entanglements may be attributed or not attributed to the groundfish fishery, including by gear type, which would allow for more tailored management as needed. Biennial estimates of whale entanglements in the groundfish fishery are still anticipated to be produced by NMFS, which permits the Council to recommend new management measures to minimize risk of entanglement, if needed.

3.3 Turtles

As with marine mammals, NMFS manages ESA-listed turtles that are primarily affected by fisheries through interactions with fishing gear, disturbance by fishing activity or vessel movement, or prey competition.

3.3.1 Status/Affected Environment

There are two populations of leatherback turtles in the Pacific Ocean (West Pacific and East Pacific), with the 2024 BiOp noting that the population most likely to occur within the action area are those that originate from nesting aggregations in the Western Pacific. Genetic analyses indicate a low probability of the East Pacific population occurring off the West Coast and none have ever been sampled. The West Pacific populations nests at beaches in Papua Barat (Indonesia), Papua New Guinea, the Solomon Islands, and Vanuatu, and exhibit a bimodal nesting pattern, with nesting peaks during the boreal winter (December-February) and boreal summer (May-July; Agenda Item G.4.a, NMFS Report 5, June 2021). There is a subset of the Western Pacific population (summer nesters) that forages off northern and central California, southern Washington, and northern Oregon. The total nesting population has declined by six percent annually (2001-2017) and the subpopulation that forages in the CCE has declined at a similar rate since 1990. However, newly established monitoring programs, and data from those programs not incorporated in the studies used in the determination of that six percent decline, show increases in abundance from 2017.

Oceanographic conditions affect the arrival, departure, and abundance of leatherbacks in the CCE, mostly likely due to prey availability (e.g., jellyfish). Changes in temperature could result in shifting of dominant forage populations (less energetically dense jellyfish in favor of energetically poor pyrosomes) or shifting of those forage populations deeper or further north/south. Overall, though, limited information is known about the distribution and abundance of the sea turtle population in offshore waters as most surveys are focused on the shelf region and shallower (Agenda Item G.4.a, NMFS Report 5, June 2021). While it is thought that nearshore waters are the primary spot for foraging, offshore waters may also be used depending on conditions.

3.3.1.1 Historical Bycatch

Historically, the only entanglement of a leatherback sea turtle in the groundfish fishery was in the OA pot fishery in 2008. Additionally, there were sightings of leatherbacks by observers in the fixed gear fisheries in 2005 (LE sablefish hook-and-line) and 2014 (OA fixed gear). The 2024 BiOp describes estimated bycatch in the OA fleet (Table 23) and describes the projected uncertainty for the estimated total number of entanglements occurring in all fixed gear fisheries off the West Coast. However, with sablefish pot gear, it is thought that any entanglements may be easier to detect compared to other fisheries, as the gear is likely to restrict sea turtle movements compared to gear that uses lighter lines.

3.3.1.2 ITS

The 2024 BiOp anticipates that any take of leatherback sea turtles would occur through entanglement with sablefish pot gear (specifically in the OA sector). The expected bycatch (and anticipated M/SI) in sablefish pots is

- Annual Max= 1.67
- Maximum 5-year Running Average= 0.86

Therefore, if two or more leatherbacks are observed or estimated to be incidentally captured in any one year, or if the five-year running average exceeds 0.86 per year, then the ITS would be exceeded.

3.3.1.3 Critical Habitat

Critical habitat for leatherback sea turtles was revised in 2012 and includes nearly 42,000 sq miles of marine habitat off the West Coast from the surface to a maximum depth of 262 feet (Figure 3-4). The feature deemed essential to the species' conservation was the occurrence of prey species.



Figure 3-4. Critical habitat for leatherback sea turtle

3.3.1.4 Groundfish Fishery Overlap

The species distribution model in the 2024 BiOp used a predicted habitat suitability model for May (beginning of migration back to the CCE), August (middle of migration), and November (beginning of migration southeast to breeding/wintering grounds). Peak habitat suitability occurs July through September throughout the entire coast. However, unlike humpbacks, leatherback habitat suitability does not have a lot of annual variability in terms of seasonal or temporal distribution. Hot spots for leatherbacks include central California and the northern Oregon coast. For the three months modeled (May, August, and November), there are differences in habitat suitability. May can see some differences in the northern distribution of habitat suitability with some years having higher suitability further north and other years having minimal suitability in the northern part of the CCE. August sees suitable habitat across the entire coast, both nearshore and offshore. In August, denser aggregations occur in central California and Oregon near the coast. In November, suitable habitat moves offshore (similar to May). Overall, highly suitable leatherback

habitat is located near the coast of central California and slightly offshore of Oregon and Washington (Figure A-20, 2024 BiOp).

The 2024 BiOp notes that it is difficult to determine whether fishing effort or habitat suitability is driving co-occurrence of leatherback sea turtles and the groundfish fishery. Additionally, limited sightings of leatherbacks in areas of the Pacific Northwest are likely due to decreases in the population; however, limited leatherbacks in the region also decrease the risk of entanglement, even with high habitat suitability. Overall, groundfish fishery overlap with leatherback habitat has shifted northward in recent years (2021-2023) with minimal overlap occurring off California. This pattern aligns with shifts in pot fishing effort (all sectors, but particularly the IFQ sector). This shift in effort, particularly near key habitat off of Cape Elizabeth, WA, does raise the risk of entanglement, but again is mitigated by the limited leatherback sightings in the region. For the LE pot sector, specifically, the highest overlap with leatherback habitat occurred during September (over the time series; similar to the overlap seen for humpbacks) and in 2023 (single year; next highest in 2020). In terms of depth of foraging, leatherbacks are not likely to be in prime sablefish pot fishing grounds, as foraging habitats off central California are less than 110 fathoms or off Oregon are outside of 1000 fathoms. Climate impacts to jellyfish aggregations may also influence habitat overlap, with leatherback distribution shifting northward with prey aggregations (also aligning with shifting pot effort).

While there have been no observed leatherback entanglements with hook-and-line gears (including bottom longline gears), the 2024 BiOp still recognizes the risk of entanglements for leatherbacks, given the use of vertical lines in the fishery. Over the analyzed time series, there has been a general decline in the overlap of the hook-and-line fisheries and the habitat suitability for leatherbacks. LE effort with hook-and-line gear is highest off Oregon and Washington. The main hotspots for overlap with suitable leatherback habitat include north of the Columbia River, south of Cape Blanco, Monterey Bay, and Orange County, but overlap is overall sparse compared to the distribution of hook-and-line effort. The LE (primary and trip limit) sector had the highest habitat overlap in August and September (slightly earlier than pot gear).

3.3.2 Anticipated Effects of the Gear Endorsement Alternatives on Turtles

Similar to marine mammals, the risk to turtles from the groundfish fishery is primarily through entanglements with lines and the potential for the removal of prey (jellyfish). With regards to the latter, the occurrence of prey species is a primary constituent element (PCE) for the conservation of leatherbacks on the West Coast. Specifically,

The occurrence of prey species, primarily scyphomedusae of the order Semaeostomeae (Chrysaora, Aurelia, Phacellophora and Cyanea), of sufficient condition, distribution, diversity, abundance and density necessary for growth and success of leatherback sea turtles (NMFS 2012).

Given that non-trawl gears historically do not have a significant impact on jellyfish removal due to their selectivity, there is no significant impact anticipated under any of the action alternatives on the PCE and no impact expected to the critical habitat for leatherback sea turtles.

Under Alternatives 1-3, and depending on the scenario(s) that results from these alternatives, there is the potential for an increase in the total vertical line hours, as described above for impacts on marine mammals. It is likely that a mix of the scenarios would occur under any of the action alternatives, with some vessels maintaining bottom longline use and others moving all or a proportion of their fishing to pot gears (slinky or traditional, depending on the alternative). Additionally, as discussed for Alternative 3 and with respect to impacts to marine mammals from the potential additional use of other non-trawl gear types, there is no anticipated impact to turtles expected through increased use of these other non-trawl gear types.

Like for marine mammals, the overall range of potential adverse impacts to sea turtles in terms of increased entanglement risk is minor to moderate, with the duration of the impacts being for the duration of the utilization of new gear. Additionally, the magnitude of any increase in entanglement risk is expected to be small overall, because the total number of historical sea turtle entanglements attributed to the groundfish fixed gear fishery is very small (one in 2008). The main difference from the expected impacts on marine mammals, as compared to those expected on turtles, is the risk of effort shifting northward and the potential risk to entanglement given the high habitat suitability for turtles in the Pacific Northwest. For humpbacks, if there is a northward shift of effort, it could lead to a reduction in the risk of entanglement with the two listed DPS stocks. For leatherbacks, however, a northward shift could increase entanglement risk. However, as described above, there have been limited recent observations of leatherbacks in the CCE, specifically the Pacific Northwest, which decreases the overall anticipated risk of entanglement in that region.

3.3.2.1 Summary of Potential Effects on Sea Turtles

The overall anticipated effects on turtles from this action are generally the same as those expected for marine mammals; a minor to moderate increase in potential entanglement risk relative to No Action. Considering this potential impact of the proposed gear endorsement action under the action alternatives evaluated in this analysis, together with the effects of past and present actions previously analyzed, and the impacts of RFFA, the overall potential anticipated impacts of the proposed action on sea turtles, while uncertain, are determined to be not significant. With the implementation of the gear marking and entanglement risk reduction measures in 2026 (which would be prior to the implementation of this action), formerly unknown entanglements would be more likely to be attributed or not attributed to the groundfish fishery, allowing for more tailored adaptive management as needed. Additionally, any change in allowable harvest levels for the LEFG fishery would occur through a future harvest specifications and management measures process (or other process), and the potential impacts of any related changes in fishery effort would be fully evaluated during that rulemaking process.

3.4 Seabirds

Seabirds are protected under the Migratory Bird Treaty Act. Additionally, some species are listed and protected under the ESA. Seabirds are generally affected by fishing through interactions with fishing gear, disturbance by fishing activity or vessel activity, and prey competition.

3.4.1 Status/Affected Environment

Table 3-13 describes the identified seabird species with estimated mortality in West Coast non-trawl groundfish and directed halibut fisheries from 2012-2018. For a full description of all seabirds found on the West Coast, please see Jannot, et.al. 2021. With regards to this action, the primary species of concern likely to interact with the LEFG fishery is short-tailed albatross, which is listed under the ESA. Short-tailed albatross are managed in the groundfish fishery with take thresholds of five estimated or one observed albatross over a two-year period, neither of which have been exceeded based on the last status report (Agenda Item H.6.a, NMFS Report 4, June 2023). The only observed take of short-tailed albatross was in 2011, in the LEFG longline sablefish fishery.

Table 3-13. Seabird species Observed in West Coast Groundfish Fisheries. (Source: WCGOP Seabird Bycatch Report 2002-2018)

Type	Common name	Status ¹²
Albatrosses	Black-footed	Near threatened
	Short-tailed	Endangered
	Laysan	Near threatened
Fulmars	Northern fulmar	Least concern
Shearwaters	Sooty	Near threatened
	Pink-footed	Vulnerable
Cormorant	Brandts	Least concern
	Double-crested	Least concern
Loon	Common	Least concern
Phalarope	Red-necked	Least concern
Gulls	Glaucous-winged	Least concern
	Mew	Least concern
	Western	Least concern
	Arctic herring	Least concern
	California	Least concern
	Ring-billed	Least concern
Murres	Common	Least concern

3.4.2 Anticipated Effects of the Gear Endorsement Alternatives on Seabirds

Under No Action, the impacts to seabirds would remain as described in the 2025-2026 Harvest Specifications EA. Vessels greater than 26 ft LOA fishing for groundfish with bottom longline gear would be subject to the seabird mitigation measures as described in Section 660.21 of the Federal groundfish regulations; streamer lines are required when gear is deployed, unless night setting or under a weather safety exemption. There are no seabird mitigation requirements for vessels using other non-trawl gear types.

Under any of the action alternatives (Alternatives 1-3), it is likely that there would be a decrease in the use of bottom longline gear as described in Section 3.1.1. While the degree of this shift is not quantifiable, the potential for seabird entanglement with longline gear is expected to decrease with the anticipated increased use of pot gear under any of the proposed scenarios that could occur under the action alternatives. Other OA gears that would be permitted under Alternative 3 are not expected to impact seabirds as they are already used by OA vessels, and there have been minimal observed, non-lethal encounters with seabirds associated with those gear types (see Table 3 of Agenda Item H.6.a, NMFS Report 4, June 2023). While fishing effort with those gear types may increase under Alternative 3 in order to target non-sablefish species, it is not expected to result in significant impacts to seabirds.

3.4.2.1 Summary of Potential Effects on Seabirds

Considering the potential impacts of the proposed action under the alternatives evaluated in this analysis, together with the effects of past and present actions previously analyzed, and the impacts of RFFA, the overall potential impacts of the proposed action on seabirds are determined to be not significant.

 $^{^{12}}$ Endangered under ESA; all other categories are International Union for the Conservation of Nature.

3.5 Habitat

3.5.1 Status/Affected Environment

The Council and NMFS have updated available habitat information, and their understanding of the impacts of fishing on habitat, in periodic 5-year reviews of the EFH components in the FMP. Maps and descriptions of EFH for groundfish species are available in the FMP (Appendix B and Appendix C of the Groundfish FMP). The Pacific Marine and Estuarine Fish Habitat Partnership (PMEP) has a publicly available database of habitat data layers that utilize the Coastal and Marine Ecological Classification Standard (CMECS). The "West Coast USA Nearshore CMECS Substrate Habitat" dataset shows substrate data out to the deepest edge of available data on the West Coast. For more information about PMEP and to see the habitat information, please visit https://www.pacificfishhabitat.org/data/nearshore-cmecs-substrate-habitat/. All habitat closures (and other closures for groundfish non-trawl gears) can be found on the Amendment 32 Story Map.

3.5.2 Anticipated Effects of the Gear Endorsement Alternatives on Habitat

Fishing operations may change the abundance or availability of certain habitat features used by managed fish species to spawn, breed, feed, and grow to maturity. These changes may reduce or alter the abundance, distribution, or productivity of species. The effects of fishing on habitat depend on the intensity of fishing, the distribution of fishing with different gears across habitats, and the sensitivity and recovery rates of specific habitat features.

As described in the FMP Appendix C, habitat sensitivity and recovery time vary between habitat type and gear type. Table 2 in Agenda Item I.5.a, Attachment 1, November 2024 provides an overview of the impacts from groundfish gears. Each gear type has a different impact and recovery time on bottom substrate types. Within the non-trawl gear types (of those with research available), habitat is more sensitive to interaction with bottom longline and pot gear than other types of fixed gear (e.g., hook-and-line). The habitat also incurs a longer recovery time from those interactions. Of the three general bottom type categories (hard, mixed, soft), hard bottom is the most sensitive to pot/bottom longline gears, as compared to the other two bottom types. Though unlike sensitivity, recovery time is lowest for hard substrates and highest for soft bottom for non-trawl gears. Recently available information shows recovery rates vary due to multiple factors, including gear and habitat type, which is consistent with previous conclusions in the Groundfish FMP. For instance, a study conducted by Goode et al. (2021) found habitats achieved almost complete recovery from a trap fishery. Table 5 of Appendix C also shows the impact level of bottom longline and trap gear on geological and biological features and low/high energy environments. In mud/sand habitats, bottom longline gear has about 50 percent less of a reduction in "functional value" (which was used to show vulnerability of geological and biological features to differing impacts), as compared to pot gear, for geological features, and no impacts to biological features. In rocky substrates, however, the impacts to geological features are the same across longline and pot gear (up to a 20 percent reduction in functional value). For biological impacts, though, trap gear is estimated to result in a 10 percent reduction in functional value, whereas bottom longline gear can vary from no impact to up to an approximate 18 percent reduction in functional value. In terms of specific impacts, bottom longline gear is thought to overturn or snag corals and sponges, and pot/trap gear can smother habitat or crush organisms. Both gear types are subject to becoming derelict gear and affect EFH.

Lost or derelict fishing gear from various gear types contributes to marine debris, which can have diverse adverse effects on marine habitats and essential fish habitat (Do and Armstrong 2023, Amon et al. 2020, Richardson et al. 2019, Carvalho-Souza et al. 2018, Ragnarsson et al. 2017). Sensitive habitats, such as rocky reef HAPCs and deep-sea corals and sponges, can be particularly vulnerable to impacts, including

scouring, breaking, smothering, entanglement or ghost fishing (Nama et al. 2023, Amon et al. 2020, DuPreeze et al. 2020, Carvalho-Souza et al. 2018, Ragnarsson et al. 2017, Arthur et al. 2014).

In general though, there has been limited observed loss of longline gear in the groundfish fishery (Agenda Item F.5., Attachment 2 (Electronic Only), June 2024), and pot/trap gear types have a biodegradable escape panel that is designed to open and release any fish, if the gear is lost, in order to prevent ghost fishing. The impacts of the allowance for the use of a single vertical line for pot gear under the forthcoming gear marking and entanglement risk reduction measures, and the impacts to EFH through ghost fishing in the groundfish fishery, are assessed in Agenda Item F.5., Attachment 2 (Electronic Only), June 2024. Finally, while the likely impacts of slinky pot gear on habitat are unknown, the pots are significantly lighter in weight, and therefore may have a reduced impact on habitat, as compared to standard pot/trap gear. Though, one study has noted no significant differences between lightweight traps versus heavy commercial traps, in terms of impacts related to the penetration of the seafloor or movement of the trap during soaking time (Kopp, et. al, 2020).

Under No Action, there would be no habitat impacts outside of those described in the 2025-2026 Harvest Specifications EA, which states that bottom contact fishing gears, such as pot or longline gears, may impact benthic habitat and these impacts are mitigated to the extent practicable with gear restrictions and numerous closed areas throughout the EEZ. All bottom contact EFHCAs, Groundfish Exclusion Areas (GEAs) and other closures would remain in place restricting the use of bottom contact gear respectively within the action area.

Under Alternatives 1-3 (including suboption a), all habitat migration measures (EFHCAs, GEA, etc.) would also remain in place. However, under the action alternatives, there could be a change in impacts on habitat under the action alternatives, as compared to No Action. The degree of that impact would depend on the nature and extent of the change in the gear types used by LEFG vessels under each alternative and resulting scenario.

Alternative 1, which would permit all longline endorsed permitted vessels to utilize slinky pot gear, would likely have less impacts than Alternative 2 and 3, given that traditional pot gear allowance (and therefore use) would be the same as under No Action. While there is limited information available on the degree of impact of slinky pot versus bottom longline gear, it is likely to result in minor adverse to no impacts as compared to No Action. The highest degree of potential impact on habitat resulting from the proposed action would occur under Alternatives 2 and 3, under which impacts could range up to those that would occur under Scenario 3, where all LEFG bottom longline vessels shift to utilizing pot gear, with some using slinky pots and others using traditional pots. Pot gear can have more adverse impacts to habitat as compared to longline gear, as described above. However, as described in previous sections, the scenario where vessels shift wholly to pot gear is unlikely to occur, as some vessels are likely to maintain bottom longline usage. Therefore, there are likely to be minor adverse to no impacts from Alternatives 2 and 3 as compared to No Action. Other non-trawl gear types that would be permitted under Alternative 3 may have some habitat impacts, but are expected to be minimal as compared to fixed gear impacts.

3.5.2.1 Summary of Potential Effects on Habitat

Considering the potential impacts of the proposed action under the alternatives evaluated in this analysis, together with the effects of past and present actions previously analyzed, and the impacts of RFFA, the overall potential impacts of the proposed action on habitat are determined to be not significant. While additional pot gear effort may occur, many areas sensitive to bottom contact gear would still be protected through EFHCAs and GEAs, which limit the overall impacts to habitat from fishing in the action area.

3.6 Other Resources

3.6.1 No Action

Impacts to target species, non-target species, protected/prohibited species, ecosystem considerations, socioeconomic and tribal impacts under No Action would be those described under the 2025-2026 Harvest Specifications EA. Vessels would be required to fish under the current gear endorsements associated with the registered permits unless otherwise permitted (i.e., using non-bottom contact gear).

3.6.2 Anticipated Effects of the Proposed Gear Endorsement Alternatives on Other Resources

No effects are expected on target species, non-target species, protected/prohibited species, the ecosystem, or tribal communities. With regards to target species and non-target groundfish species, as described in previous NEPA documents (2025-2026 Harvest Specification EA), groundfish harvest specifications assume full removal of ACLs in assessing the impacts to target stock and the action alternatives would not affect how groundfish are managed to stay within allocations or ACLs. With regards to protected/prohibited species not discussed above, non-trawl gear types historically have little to no impact on salmonids (Richerson et. al 2022). Eulachon and green sturgeon are typically only encountered in groundfish trawl fisheries given gear selectivity (Agenda Item H.6.a, NMFS Report 1, June 2023 and Agenda Item H.6.a, NMFS Report 2, June 2023). Estimates of Dungeness crab and other prohibited species interactions are also low and can be found in the Groundfish Estimated Mortality Matrix (GEMM). Additionally, Pacific halibut catch on the West Coast is managed through a separate process (i.e., not in the Groundfish FMP). Vessels participating in the LEFG fisheries may retain halibut during the directed halibut season (per limits), or in the incidental fishery north of Pt. Chehalis when fishing in the primary tier fishery. Other halibut would need to be discarded if caught.

With regards to ecosystem impacts, there are no anticipated impacts from the potential shift in gear usage associated with the action alternatives, as non-trawl gear typically have minimal to negligible impacts on forage species. In addition, the overall effort of the groundfish fishery would continue to be constrained by the allocations and management measures set forth in the harvest specifications. Therefore, ecosystem impacts anticipated to result from the alternatives are expected to be similar to those as described in the 2025-2026 Harvest Specifications EA.

With regards to tribal interests, the proposed action will only impact non-tribal vessels participating in the LEFG fishery. Incidental benefits could occur to tribal communities if vessels participating in the LEFG fishery create additional support services or jobs in tribal ports through increases in activity under the proposed action alternatives.

All action alternatives are anticipated to have socioeconomic benefits to the fleet. A further discussion of the benefits will be described in Section 4.

4 Regulatory Impact Review

The President of the United States signed E.O. 12866, "Regulatory Planning and Review," on September 30, 1993. This order established guidelines for promulgating new regulations and reviewing existing regulations. The E.O. covers a variety of regulatory policy considerations and establishes procedural requirements for analysis of the benefits and costs of regulatory actions. The E.O. stresses that in deciding whether and how to regulate, agencies should assess all of the costs and benefits of available regulatory alternatives. Based on this analysis, they should choose those approaches that maximize net benefits to the Nation, unless a statute requires another regulatory approach.

NMFS satisfies the requirements of E.O. 12866 through the preparation of an RIR. The RIR provides a review of the potential economic effects of a proposed regulatory action in order to gauge the net benefits to the Nation associated with the proposed action. The analysis also provides a review of the problem and policy objectives prompting the regulatory proposal and an evaluation of the available alternatives that could be used to solve the problem.

The RIR provides an assessment that can be used by the Office of Management and Budget to determine whether the proposed action could be considered a significant regulatory action under E.O. 12866. E.O. 12866 defines what qualifies as a "significant regulatory action" and requires agencies to provide analyses of the costs and benefits of such action and of potentially effective and reasonably feasible alternatives. An action may be considered significant if it is expected to:

- Have an annual effect on the economy of \$100 million or more or adversely affect in a material
 way the economy, a sector of the economy, productivity, competition, jobs, the environment, public
 health or safety, or State, local or tribal governments or communities;
- Create a serious inconsistency or otherwise interfere with an action taken or planned by another agency;
- Materially alter the budgetary impact of entitlements, grants, user fees, or loan programs or the rights and obligations of recipients thereof; or
- Raise novel legal or policy issues arising out of legal mandates, the President's priorities, or the principles set forth in E.O. 12866.

4.1 Statement of the Problem

A statement of the problem is available above in Section 1.1 titled "Purpose and Need".

4.2 Description of the management goals and objectives

Management goals and objectives are found in Section 1.1 titled "Purpose and Need".

4.3 Description of Fisheries and Other Affected Entities

A detailed description of the fishery and affected entities is available in Section 1.5. The Stock Assessment and Fishery Evaluation (SAFE) Document also provides a summary of historic harvests, description of management, and economic characteristics of harvesting vessels, processors, and communities.

4.4 Description of the Alternatives

A description of the Alternatives is available in Section 1.

4.5 An Economic Analysis of the Expected Effects of Each Alternative Relative to the No Action Alternative

4.5.1 Analysis of Expected Effects: LEFG Permit Endorsement

Each of the endorsement alternatives has the potential to impact several factors that could result in economic impact (positive or negative) to LEFG participants. 'Participants' includes both vessels participating in the fishery and permit holders, including current and new entrants. The following analysis looks at these participants and the economic impact of each alternative.

4.5.1.1 Anticipated Effects of the Proposed Action on LEFG Gear Endorsement Flexibility

Under No Action, vessels registered to LEFG endorsed permits would be restricted to using the endorsed gear type unless fishing with non-bottom contact gears. In order to use a gear type for which they are not endorsed, vessels would need to declare into the OA sector and be restricted to the OA trip limits, per the crossover provisions, with any mortality counted towards the LEFG sector allocation (if applicable).

Alternative 1 would permit vessels with bottom longline endorsements to utilize slinky pot gear to harvest their quotas. In general, this alternative would provide increased flexibility for bottom longline participants and permit owners, but would cause no direct change in flexibility for pot gear participants or permit owners.

Alternative 2 would permit vessels registered to LEFG endorsed permits to utilize either pot (slinky or traditional) or bottom longline gears (in addition to non-bottom contact gears as permitted by Amendment 32) to harvest quotas. This alternative would provide increased flexibility for vessels registered to LEFG endorsed permits to use either type of fixed gear.

Alternative 3, the PPA, would permit vessels registered to LEFG endorsed permit to utilize any legal non-trawl gear type to harvest quotas (i.e., permits formerly endorsed for fixed gears would change to endorse all non-trawl gears). Of all three alternatives, this would provide the most flexibility to industry and be the least complex to regulate. Suboption a, which would exclude all entangling nets from the allowable gear types for the LEFG permits, would still provide increased flexibility. An examination of non-tribal fish ticket data and declarations codes from the last ten years (2015-2024) shows that vessels using entangling nets¹³ are targeting non-groundfish species, mostly California halibut or white seabass, and incidentally retaining groundfish. There are no records of any use of entangling nets in any directed OA groundfish fishery.

4.5.1.2 Anticipated Effects of the Proposed Action on Non-Trawl Attainment

The nature and extent of the flexibility of the gears permitted to be used in the LEFG fishery (along with other factors, including market constraints) under each alternative may have differential impacts on the overall attainment of the fishery's catch limits.

Under No Action, there would be no expected increase in the level of non-trawl attainment outside of that presented in the 2025-2026 Harvest Specifications EA. Vessels would still be subject to gear endorsement restrictions (noting allowances for non-bottom contact gears).

¹³ Entangling nets were filtered using the PACFIN_GROUP_GEAR_CODE in "STN", "GLN", "DGN", "OTN" and excluded specific gear names ("Bait net", "Herring G", and "Lampara/Round Haul") to eliminate any legal CPS or other nets not included in the entangling net definition.

There is likely little change in the overall non-trawl attainment of catch limits under Alternatives 1 and 2, compared to No Action, as only the use of currently permitted fixed gears (and non-bottom contact gears) would continue to be allowed to harvest groundfish species. Sablefish is the primary target for the LEFG fishery and, historically, attainment of LEFG sablefish north allocations has averaged 80 percent (2019-2023), and attainments were higher in the preceding five years (93 percent, 2013-2018); see Table 10 of Agenda Item I.7, Attachment 2, September 2024). While landings have been generally increasing, those increases have not occurred to the same degree that allocations have been increasing (percent increase from 2019 to 2023 was 30.2 percent for landings and 50.8 percent for allocation for the LEFG sector). Overall, the increased gear endorsement flexibility provided under Alternative 1 or 2 may increase attainment of sablefish (although would still be impacted by markets and other factors).

Alternative 3, the PPA, is likely to generate the most increases in the non-trawl attainment of catch limits and allocations given the ability it would provide to vessels to target all groundfish species with LE trip limits with any legal non-trawl gear. It is difficult to assess the likely nature and degree of these potential changes in attainments, or which target or non-target species may see the greatest changes in attainments. The GAP in March 2025 noted that key target species may include blackgill, bank, and shelf rockfish (using Portuguese longline), shelf and nearshore rockfish (using rod and reel), and chilipepper rockfish (using snake gear or Vietnamese longline; Agenda Item H.7.a, Supplemental GAP Report 1). Overall, there are likely to be positive impacts under Alternative 3 on overall non-trawl attainments, as compared to No Action and the other action alternatives, given the increased flexibility Alternative 3 would provide to target available groundfish species. Suboption a would not impact overall non-trawl attainment compared to Alternative 3, the PPA, without Suboption a.

4.5.1.3 Anticipated Effects of the Proposed Action on Profitability

A primary factor that may drive vessels to switch from bottom longline to pot gear is the profitability associated with fishing each gear type. Unlike the trawl catch share program, which requires the submission of economic data (including costs) for the two years prior to and every year since implementation, there is not a mandatory data collection program for the LEFG permit stacking program (or the LEFG program in general). Therefore, limited information on the costs specific to the LEFG program is available. However, this section attempts to provide relevant revenue information and a preliminary investigation into the potential effects of the proposed action on profitability, using available information.

As discussed above in Section 1.5.2, sablefish is the main driver of the LEFG fishery. The larger the vessel fishing for sablefish, regardless of gear type, the higher the average trip revenue from sablefish (Table 4-1). Pot vessels also tend to bring in a higher revenue per trip than bottom longline vessels; a trend that aligns with the higher landings per trip by pot vessels shown in Table 3-10. The differential between gear types in terms of price per pound for sablefish has been increasing since 2018 when the price per pound for sablefish caught by pot gear dropped below the price per pound for sablefish caught by bottom longline gear (Figure 4-1).

Table 4-1. Average trip revenue for sablefish and non-sablefish by gear type and vessel size, 2020-Dec 11, 2024.

	Sablefish		Non-Sablefish	
Vessel Size	Bottom Longline	Pot	Bottom Longline	Pot
30 ft LOA or less	\$2,231	-	\$1,545	1
30-40 ft LOA	\$3,254	\$4,370	\$1,889	\$566
40-50 ft LOA	\$5,638	\$9,267	\$1,461	\$177
50 ft LOA or greater	\$23,772	\$33,567	\$765	\$766

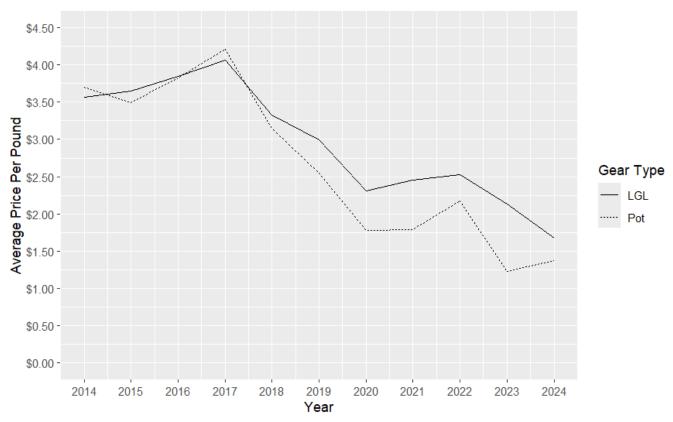


Figure 4-1. Average price per pound (2024\$) by gear type for sablefish landed in LEFG (endorsed and non-endorsed), 2014-2024.

In order to consider the predicted impact of allowing vessels with LEFG endorsed permits to use either bottom longline or traditional pot gear, it is important to understand the likelihood that bottom longline operators might choose to switch to traditional pot gear by comparing profitability. A variety of sample vessels (<30 ft, 30 ft-40 ft, 40-50 ft, and 50 ft or greater LOA) from the line fleet are used to calculate a simplified estimate of the net revenue achieved, if that vessel type participated in each gear type. 14 These estimates utilize fuel and bait cost models, further explained below, to estimate trip cost, if that vessel fished with longline gear or traditional pot gear. The simplified trip cost is then subtracted from the average revenue from sablefish and non-sablefish catch, for the sample vessel's size class, which was calibrated based on 2019 – 2023 fish ticket data. It is important to note that this simplified net revenue calculation only includes ex-vessel revenue, fuel costs, and bait costs. Other trip costs, such as labor costs and ice are not included because it is assumed that these costs do not vary by gear type. Additionally, it doesn't include any equipment investment costs. This analysis is designed to predict how gear choice can impact profitability. It is not an estimate of variable net revenue under the three scenarios identified above that could occur under Alternatives 1-3. All reported 'Simplified Net Revenue' values are an over-estimate of true variable cost net revenue because the calculations capture all revenue, but exclude some costs. Additionally, this model only uses data for traditional pots, not slinky pots, given the limited information currently available on slinky pots.

Methods of Estimation

¹⁴ All vessels using any type of line gear (including bottom longline and other hook-and-line style gears) were included in this analysis. Given the comparatively few vessels using other hook-and-line style gears (see Table 1-3) in the analytical period, this is not anticipated to affect the overall results.

This analysis (based on Krigbaum and Anderson, 2024) utilized gear-specific econometric models of fuel per trip in order to predict fuel consumption, based on vessel and operation characteristics (vessel length, horsepower, average catch per trip). With these models, we estimated differences in fuel costs if a vessel were to utilize traditional pot gear instead of line gear, by generating model predictions for fuel usage per trip from the line-specific fuel usage model given a set of vessel characteristics, then repeating for the potgear-specific fuel usage model using the same vessel characteristics as explanatory variables. It is important to note that these econometric models are trained using data that includes all available Economic Data Collection (EDC) program cost data on vessels that targeted sablefish from 2011-2020, which includes vessels outside the LEFG fishery. The dataset includes data on LEFG vessels collected through the voluntary cost and earnings survey, 15 as well as LEFG vessels which cross-participated in the IFQ fishery, which includes mandatory cost and earnings data collection. However, both the line-gear and pot-gear models included an indicator variable that specifies fishery participation, and the indicator variable was not significant at a standard threshold p = 0.05 level. For bait cost estimates, we utilized observer data to calibrate a gear-specific and sector-specific ratio of catch per unit of gear deployed (pot or line). That ratio is then used to calculate the predicted gear units deployed, given a total catch for any trip. The expected cost of bait per gear unit is calculated for vessels that provided cost data to the EDC program, which includes LEFG vessels who participated in the voluntary data collection, LEFG vessels that participated in the IFQ fishery, and non-LEFG vessels. The average cost per gear unit is then multiplied by total predicted gear units deployed to estimate bait cost.

To determine revenue costs, several price components were determined through fish ticket data and the Pacific States Marine Fisheries Commission (PSMFC) EFIN Monthly Marine Fuel Prices database¹⁶. For pot gear, total revenue is calculated as a vessel's yearly sablefish landings multiplied by average sablefish pot price (\$1.90; Table 4-2). For line gear, total revenue is the sum of sablefish revenue (yearly sablefish landings multiplied by \$2.67, average price per pound) and jointly caught species revenue (yearly non-sablefish landings multiplied by \$3.31, average price per pound). Simplified net revenue for each gear type is calculated by total revenue minus bait and fuel costs. Fuel cost is calculated as the product of model-predicted fuel use per trip multiplied by fuel price (\$3.68/gal) and the total number of trips taken.

Table 4-2. Price components

Parameter	Value	Basis
Average Pot Sablefish Price	\$1.90	2019-2023 Pot Average, Fish ticket data
Average Line Sablefish Price	\$2.67	2019-2023 Line Average, Fish ticket data
Average Jointly caught non-sablefish Price	\$3.31	2019-2023 Line Average, Fish ticket data
Fuel Price	\$3.68	PSMFC Marine Fuel Average 2019-2023

The shaded rows in the following tables (Table 4-3 through Table 4-8) labeled "Average Line" are the standard characteristics of a representative sample vessel within the line fleet that may consider switching to traditional pots under Alternatives 2 and 3. The remaining cells in the table are imputed or calculated using the methods described above to provide a point of comparison for what outcomes that representative

¹⁵ The voluntary CE survey was given to vessels with limited entry groundfish permits for joint years 2011/2012, 2014/2015, 2016/2017 and to vessels that participated in OA covering 2014/15, 2019/2020.

¹⁶ https://www.psmfc.org/efin/data/fuel.html

vessel may expect if using traditional pot gear instead. The "Average Pot" row represents what outcomes the vessel may expect if that catch rate per trip is held constant (i.e., the vessel expects to land the same amount of sablefish per trip using pot gear as it does using line gear). Since it is difficult to determine if vessels will change their catch rate if they switch gears, the analysis included a second point of comparison "Improve Catch Rate Pot," which represents a scenario in which that same representative vessel expects to increase their catch rate per trip when using pot gear. The increased catch rates tested in these scenarios were calculated as double the original catch rate but capped by the observed range of current pot vessels of the same category. All increased catch rate values are within the observed range of current pot vessels' catches per trip, so it is reasonable to assume such an estimated catch rate is possible for a vessel of each representative size, with a sufficient number of pots. In both points of comparison, the total number of trips is held constant, so that the line vessel considering the switch is comparing the profitability of spending the same number of trips fishing sablefish.

Observed within the line fleet there are some vessels which achieve their total season catch by taking fewer trips with higher-than-average catch per trip, which are not well represented by the average vessel. To test if this segment of the line fleet would have a stronger profitability advantage in switching to pot, making them more likely to switch, we included two representative vessels (30-40 foot size category and 40-50 size category) with these higher-than-average catch rates and similar total catch. The higher-than-average catch rate representative vessel is excluded from the analysis for the smallest category (<30 ft vessel) and the largest category (>50 ft vessel) because an insignificant number of vessels would fall into that category.

The analysis shows that given the additional revenue that line vessels currently earn from catch of jointly caught non-sablefish species, as well as the higher average sablefish price per pound for line gear as compared to pot gear, line operations have higher 'Simplified net revenue' than is expected if that vessel switched to pot gear without increasing the trip catch rate (Average Pot). Using Table 4-3 as an example, an average line vessel less than 30 ft LOA has an estimated simplified annual net revenue of \$91,276, as compared to \$33,334 if that vessel were to switch to pot gear and not increase its catch rate. The difference between the Average Line and Average Pot revenue values generally decrease as the vessel's size increases, confirming our understanding that larger pot vessels are typically more profitable, and the larger vessels in the line fleet are the most likely to switch (as considered under Scenario 2). However, if no vessels expect to land more sablefish per trip with traditional pot than line gear, we would expect very limited switching from line to traditional pot gear under the action alternatives.

In comparison, when a line vessel expects to increase their trip catch rate when switching to pot gear, the increase in revenue generated from an increased quantity of sablefish caught more closely balances out the lost revenue that would have been earned from other species caught with line gear. This balance can lead to a higher simplified net revenue for vessels switching to pot gear, despite higher bait costs for 30-40 feet (average catch rate) and 40-50 feet (average catch rate) vessels. This is not true, however, for smaller vessels (< 30 feet), which have approximately \$18,000 less in simplified net revenue, as compared to the scenario where they maintained line operations, even when doubling their sablefish catch rate per trip. For vessels 50+ feet in length, increasing sablefish catch by 5,500 pounds per trip when switching to pot gear is insufficient to have an improved simplified net revenue given current prices. Due to their large size, this size class of vessels may be capable of increasing their catch rate sufficiently to compensate for increased operating costs, but it will depend on the amount of gear acquired. This suggests that some vessels could utilize traditional pot gear to be relatively more profitable than line gear, but that not all vessels would be able to increase their catch rate per trip sufficiently to do so.

Table 4-3. Profitability comparison for <30 ft vessel, average catch rate.

		was may comparison for a vessely with tage earth factor									
	Vessel	Vessel	Number	Avg. Landings	Total	Non-	Pred	Est.	Overall	Fuel &	Simplified
	Length	HP	of Trips	of Sablefish	Sablefish	Sablefish	Fuel	Bait	Revenue	Bait	Net Revenue
			-	(lbs) per Trip	(lbs) per	Landings	Gallons	Cost		Costs	
				. , ,	year	(lbs) per year	per trip				
Average Line	30	295	25	900	22500	13000	92	3520	103,110	11,984	91,126
Average Pot	30	295	25	900	22500	NA	73	2700	42,750	9,416	33,334
Improve Catch Rate Pot	30	295	25	1800	45000	NA	75	5400	85,500	12,300	73,200

Table 4-4. Profitability comparison for 30-40 ft vessel, average catch rate.

	Vessel	Vessel	Number	Avg.	Total	Non-	Pred	Est.	Overall	Fuel &	Simplified
	Length	HP	of Trips	Landings of	Sablefish	Sablefish	Fuel	Bait	Revenue	Bait	Net
				Sablefish (lbs)	(lbs) per	Landings	Gallons	Cost		Costs	Revenue
				per Trip	year	(lbs) per year	per trip				
Average Line	35	275	15	1400	21000	6000	118	3360	75,390	9,873	66,720
Average Pot	35	275	15	1400	21000	NA	111	2520	39,900	8,647	31,253
Improve Catch Rate Pot	35	275	15	2800	42000	NA	115	5040	79,800	11,388	68,412

Table 4-5. Profitability comparison for 30-40 ft vessel, high catch rate.

	ble 4-5. From about y comparison for 50-40 it vessel, high catch rate.										
	Vessel	Vessel	Number	Avg.	Total	Non-	Pred	Est.	Overall	Fuel &	Simplified
	Length	HP	of Trips	Landings of	Sablefish	Sablefish	Fuel	Bait	Revenue	Bait	Net
				Sablefish (lbs)	(lbs) per	Landings	Gallons	Cost		Costs	Revenue
				per Trip	year	(lbs) per year	per trip				
Average Line	35	275	4	5,000	20,000	6,000	165	3,200	73,260	5,629	67,631
Average Pot	35	275	4	5,000	20,000	NA	152	2,400	38,000	4,637	33,362
Improve Catch Rate Pot	35	275	4	8,000	32,000	NA	162	3,840	60,800	6,224	54,575

Table 4-6. Profitability comparison for 40-50 ft vessel, average catch rate.

	Vessel	Vessel	Number	Avg.	Total	Non-	Pred	Est.	Overall	Fuel &	Simplified
	Length	HP	of Trips	Landings of	Sablefish	Sablefish	Fuel	Bait	Revenue	Bait	Net
				Sablefish (lbs)	(lbs) per	Landings	Gallons	Cost		Costs	Revenue
				per Trip	year	(lbs) per year	per trip				
Average Line	45	262	15	2,000	30,000	5000	138	4,640	96,650	12,257	84,392
Average Pot	45	262	15	2,000	30,000	NA	161	3,420	57,000	12,307	44,692
Improve Catch Rate Pot	45	262	15	4,000	60,000	NA	168	7,200	114,000	16,573	97,526

Table 4-7. Profitability comparison for 40-50 ft vessel, high catch rate.

	Vessel	Vessel	Number	Avg.	Total	Non-	Pred	Est.	Overall	Fuel &	Simplified
	Length	HP	of Trips	Landings of	Sablefish	Sablefish	Fuel	Bait	Revenue	Bait	Net
				Sablefish (lbs)	(lbs) per	Landings	Gallons	Cost		Costs	Revenue
				per Trip	year	(lbs) per year	per trip				
Average Line	45	350	5	6,150	30,750	5000	227	4,920	98,650	9,097	89,553
Average Pot	45	350	5	6,150	30,750	NA	239	3,690	58,425	8,087	50,337
Improve Catch Rate Pot	45	350	5	10,000	50,000	NA	258	6,000	95,000	10,747	84,253

Table 4-8. Profitability comparison for 50+ ft vessel, average catch rate.

50+	Vessel	Vessel	Number	Avg.	Total	Non-	Pred	Est.	Overall	Fuel &	Simplified
Vessels	Length	HP	of Trips	Landings of	Sablefish	Sablefish	Fuel	Bait	Revenue	Bait	Net
				Sablefish (lbs)	(lbs) per	Landings	Gallons	Cost		Costs	Revenue
				per Trip	year	(lbs) per year	per trip				
Average	55	350	4	12,500	50,000	3,000	331	7,520	143,430	12,392	131,037
Line											
Average	55	350	4	12,500	50,000	NA	418	7,440	95,000	13,593	81,407
Pot											
Improve	55	350	4	18,000	72,000	NA	439	8,640	136,800	15,102	121,698
Catch Rate											
Pot											

Overall, considering potential changes in profitability associated with vessels switching from line to traditional pot gear, we predict a limited number of vessels would choose to switch to pot gear under Alternatives 2 and 3, given the current economic dynamics. The factors that may limit the number of line vessels we expect to switch to traditional pots include:

- 1. The currently highly profitable pot vessels are typically larger in size than the current line fleet and have high catch rates per trip. Few vessels in the line fleet are of the size typically observed for the most profitable vessels in the pot fleet.
- 2. Achieving the high catch rate needed to increase a vessel's simplified net revenue using pot gear rather than line gear would require significant capital investment. A vessel's catch rate on a given trip is directly related to the amount of gear deployed, with a wide range of values for catch per trip being observed for all vessel sizes, due to different vessels deploying different amounts of gear. Therefore, in order to have a high catch rate and achieve the increase in net revenue exemplified by the "Improved Catch Rate Pot" scenario shown in the tables above, a line vessel switching to traditional pots would need to invest a significant amount of capital in purchasing pots. Traditional pots are a large investment. In 2011, two strings of 40 traditional pots (~\$292 per pot, adjusted for inflation) required an investment of \$56,100 in Alaska. This value can be compared to the potential use of the same longline set up for slinky pots, which averaged \$224 per pot (totaling an approximate \$18,000 investment, adjusted for inflation). (ADF&G, 2021) A December 2024 review of other gear supply companies (Englund Marine, Go2Marine, Fish Tech) shows prices ranging from \$95-\$170 for a slinky pot depending on size, webbing, and diameter.
- 3. Some vessel or other operational configurations will make switching from line to traditional pot gear unfeasible, though, this analysis is unable to speak to how many of those exist. Vessel configuration complications could include the size or shape of the deck, and operational configuration complications could include logistics, such as those associated with the storage and transport of pots.

Future conditions, such as potential changes in the relative ex-vessel price of sablefish and other groundfish, the relative ex-vessel price across gear types, longline catch composition, or the price of acquiring pot gear could shift the relative profitability of sablefish fishing towards pot gear, and thus cause more line vessels to prefer to switch from line to traditional pot gear. It's important to note that these changes in future conditions might not need to be substantial to change the profitability dynamics. For example, if the average pot sablefish ex-vessel price increased from \$1.90 to \$2.05 while all other values remained the same, the representative 50+ feet vessel's simplified net revenue for pot gear with an improved catch rate would change to \$132,498 compared to the previous value of \$121,698 in Table 4-8. As a result, this increase in revenue from the increase in ex-vessel price leads to a higher simplified net revenue compared to maintaining line gear operations (\$131,037, Table 4-8). The decision to switch gear-types will be based on each vessel's expectation of future conditions and understanding of these dynamics for their individual operation.

To summarize, using the analysis described in this section, under No Action, profitability by gear type is expected to continue to be driven by costs and revenues. Vessels choosing to switch gears would need to acquire the necessary endorsement or be subject to crossover provisions under OA limits. For Alternatives 1, 2, and 3, the profitability would be determined by the specific operations of the vessel, including aspects such as vessel configuration and the investment needed to change gears. Investments required for slinky pots are expected to be lower than for traditional pots, particularly given that the same longline set up can be used for slinky pots (thereby only requiring the investment into the pots themselves). Under any of the action alternatives, some shift to from bottom longline to slinky pot gear is expected. However, the overall likelihood of a shift to slinky pot due to changes in profitability for bottom longline versus slinky pot is uncertain. Alternatives 2 and 3, which would allow longline-endorsed vessels to utilize standard pot gear, may allow for increased profitability for larger vessels if the catch rate of sablefish is able to compensate

for the loss in non-sablefish revenue. Overall, it is anticipated that there would be a limited shift in vessels using longline gear to standard pot gear due to the lack of increased profitability under Alternatives 2 or 3. The margins of difference between the gear types are small enough that a change in prices can change the profitability calculations which reiterates the idea that market conditions will be a key driver.

4.5.1.4 Anticipated Effects of the Proposed Action on New Entrants

In considering the expected economic impacts of the endorsement alternatives, it is necessary to consider the impact to new entrants.

Under No Action, participants wanting to enter the fishery would need to acquire an LEFG endorsed permit and fish the endorsed gear type or the non-bottom contact gear types permitted through Amendment 32. With each action alternative, there could be increased opportunities for new entrants, particularly for those operating in other sectors. Under all three alternatives, there would potential expansion of opportunities for longline-endorsed permits of which there are 12 latent in 2024 (and another 60 that were not registered for the entirety of the year) and therefore may be available for purchase (see Section 1.5.2). Under Alternative 1, vessels using slinky pots in other fisheries (such as in Alaska or in the OA sector) may be motivated to purchase a longline permit and fish at higher trip limits or potentially tier limits. Under Alternatives 2 and 3, it is likely that the vessels using pot gear to gear switch in the shorebased IFQ program (i.e., use nontrawl gear to harvest trawl quota) and which are only licensed for longline gear in the tier fishery (fewer than three vessels, see Section 3.1.2.1)), would utilize the increased flexibility to shift to using pot gear under an LEFG permit particularly given that there is not a requirement for 100 percent monitoring (that is industry funded). That being said, some gear switching vessels that are permitted for both longline and pot gear in the tier fishery have historically used bottom longline gear in the tier fishery. Alternative 3, the PPA, could also encourage new entrants in the form of existing OA fishery participants, who might invest in the LEFG fishery in order to harvest higher limits by utilizing OA gear types (other than non-bottom contact gears), such as vertical hook-and-line anchored to the bottom or dinglebar gear. Given that there is no apparent targeting of groundfish with entangling nets currently, Alternative 3 suboption a would likely not result in any lost opportunity for new entrants.

Overall, there are opportunities for new entrants under each of the action alternatives, which could result in positive economic impacts as compared to No Action.

4.5.1.5 Anticipated Impacts of the Proposed Action on Permit Prices

In the LEFG Primary Tier Reviews from 2014 and 2022, it was noted that there was limited information for determining any trends in the permit values over time for sablefish-endorsed permits. As a part of this package, the Council is considering collecting permit data to be able to assess changes in permit value, as without this information, few conclusions can be made, especially on the differences between permit prices by gear type. The 2022 review produced some information on the price per pound of tier permits (i.e., price paid for the permit per pound of quota), showing a similar trend as the average ex-vessel price per pound for primary sablefish.

Under No Action, there would likely be no impact to the value of LEFG permits. However, given the risks associated with pot gear in terms of whale and turtle entanglements, the value of a pot permit could potentially decline as compared to previous years. For each of the action alternatives (including Alternative 3, suboption a), longline-endorsed permits, including non-sablefish endorsed permits, may increase in value relative to No Action, as they would permit additional gear opportunities. The increase in value would be restricted by the return on the investment associated with the additional opportunity permitted by the permit, however. For pot-endorsed permits, the value of a permit may or may not be impacted under the action alternatives.

It has also been suggested that there could be a devaluation of pot-endorsed permits under the proposed action alternatives considered in this package. Given the number of pot permits (32, including those with dual endorsements) compared to the number of longline permits (191), it is possible that the value of the pot-endorsed permits could decline, as they would no longer be as limited of a resource. The potential degree of the decline under the action alternatives, though, is unknown given that no permit price information is formally collected and the limited public information available shows few pot-endorsed permits being sold.

4.5.1.6 Anticipated Impacts of the Proposed Action on Fishing Communities

Changes in the endorsements that result in changes to fishing activity would also have impacts on the communities in which the vessels deliver. Section 1.5.3 outlined the port communities that the LEFG fishery delivers to, and how much each of those communities is engaged in and dependent on the fishery overall. In addition, there is an overview presented of the relative amount of landings and revenue by gear type. Depending on the endorsement alternative, communities may see different levels of impact depending on how the participants react to the gear flexibility provided. Communities would only see benefits if vessels currently delivering into those ports are able to maintain or exceed current landings levels.

Under No Action, each of the port communities is expected to see the typical levels of activity, which are driven by markets, fishing conditions, and infrastructure. Under the action alternatives, vessels that deliver bottom longline caught fish (particularly sablefish) could switch to using slinky pots or traditional pots depending on the alternative. Under Alternative 3, all LEFG vessels could utilize any legal non-trawl gear to harvest groundfish. A majority of port groups have landings of each gear type (pot, bottom longline, and other hook-and-line gears), suggesting that each community could see benefits from the action alternatives, if the alternative resulted in bringing additional landings (not simply a net exchange) into the port community. This could result in additional jobs or money being spent within that community.

Given the current endorsement restrictions, which only permit pot gear for sablefish-endorsed permits (tier fishery), there is limited to no pot activity in southern California (Figure 1-6 and Figure 1-7). Under the action alternatives, these communities could benefit if more vessels utilize pot gear (in addition to line gear).

Depending on the distribution of permit owners and vessel owners, the communities in which they reside might offer some insight into which states may see benefits. As described under the profitability discussion (Section 4.5.1.3), it is likely that larger longline vessels will switch to traditional pot gear. In 2024, LEFG longline-endorsed permit owners for permits endorsed over 40 ft LOA mostly resided in Washington (~40 percent). California and Oregon were similar in terms of permits owned by residents (~30 percent of permits). California did have the highest number of bottom longline-endorsed permits for smaller vessels. While more permit owners reside in Washington for larger LEFG-endorsed permits, the number of larger Washington and Oregon vessels is much more similar (43 and 47 for Washington and Oregon, respectively). Following the scenario laid out throughout this analysis, which indicates that it is likely that larger bottom longline vessels would switch to traditional pot gear (assuming it is profitable), communities in Washington and Oregon are likely to see the most benefits from Alternatives 2 and 3. For Alternative 1, which would permit use of slinky pots for all longline-endorsed permits, it is possible that communities in California could see the most benefits (given the number of longline permits owned by California residents and the number of vessels out of California as compared to the other states). Ultimately, all of these potential benefits will depend on where permits are fished and the vessels to which they are registered. Overall, it is difficult to predict how communities may benefit from each of the action alternatives, as it will depend on how participants react to each of those alternatives.

Table 4-9. Number of LEFG endorsements by gear, endorsement length, and permit owner state of residence

in 2024. Dual-endorsed permits included with pot-endorsements.

	CA		OR		WA	
Endorsement Length	Longline	Pot	Longline	Pot	Longline	Pot
30 ft LOA or less	16	0	1	0	1	0
30-40 ft LOA	39ª	3	14	3	6	0
40-50 ft LOA	19	1	17	1	17	5
50 ft LOA or greater	16	3	18	12	28	5

a/ Includes one registered permit owner from Arizona

Table 4-10. Number of vessels registered to LEFG-endorsements in 2024 by vessel owner state of residence, gear endorsement, and vessel size group. Dual-endorsed permits included with pot-endorsements. Includes all

vessels registered to permits at any point in the year.

<u> </u>	CA		OR		WA	
Vessel Length	Longline	Pot	Longline	Pot	Longline	Pot
30 ft LOA or less	19	1	0	0	0	0
30-40 ft LOA	33	1 ^a	6	0	4	0
40-50 ft LOA	22	2	15	1	17	2
50 ft LOA or	13	3	32	17	29 ^b	8°
greater						

a/Includes one vessel owner from Arizona b/Includes two vessel owners from Alaska c/Includes one vessel owner from Alaska

4.5.1.7 Summary of Anticipated Effects

Table 4-11. Summary of Anticipated Effects of the Proposed Gear Endorsement Action Item

	No Action	Alternative 1	Alternative 2	Alternative 3 (PPA)
Gear Flexibility	Limited to gear endorsement and ability to acquire a different permit (or restricted to OA limits)	Allows longline vessels to utilize slinky pot gear; No changes to pot endorsed permits	All permits would be permitted to use longline and pot gears (including slinky pots) in addition to non-bottom contact gears	All permits would be permitted to use any legal non-trawl gear
Non-Trawl Attainment	No Increase	Likely limited to no increase	Likely limited to no increase	Highest potential for increase
Profitability	No changes	Uncertain due to lack of information on slinky pots; Shift depends on degree that slinky pot catch rates increase overall profitability.	Uncertain due to lack of information on slinky pots; larger longline vessels likely to increase overall profit if switch to pot gear (and increase catch rate).	Same as Alternative 2, although vessels could increase non-sablefish revenue potentially with expanded gear opportunities.
Permit Prices	Pot permit prices may be higher than longline prices, but uncertain. Potential impacts to pot permits due to entanglement concerns.	Uncertain, but differential between pot and longline permit price might decrease	No differential between pot and longline permits	Same as Alternative 2
New Entrants	Limited based on permit availability	Potential for increased participation due to latent LGL endorsed permits and ability to use slinky pots	Uncertain, but potential may be slightly higher than Alternative 1	Same or potentially higher than Alternative 2, given ability to use any legal non- trawl gear (i.e., vertical hook- and-line anchored to the bottom)
Communities	No changes.	Depends on the degree to which vessels change gears, impacting overall landings and revenue into ports.	Depends on the degree to which vessels change gears, impacting overall landings and revenue into ports.	Depends on the degree to which vessels change gears, impacting overall landings and revenue into ports.

4.5.2 Analysis of the Expected Effects of the Proposal on Base Permit Designation

Under No Action, tracking base permit information would continue to be required. The removal of the base permit designation under the PPA (Alternative 1) is administrative in nature and would likely have slightly positive economic impacts to NMFS by not requiring NMFS to maintain records related to base permits. Additionally, the proposal would remove unnecessary regulations and thus could result in greater ease of the public's understanding of the tier program, as the requirement to have a permit of sufficient length is already required. There are no impacts from the proposal on vessel safety.

4.5.3 Analysis of the Expected Effects of the Proposal on Permit Price Reporting

Under No Action, when LEFG permit owners sell a permit there is no requirement to report the sale price to NMFS. If future analysis or program reviews were to assess the LEFG permit values, there would be limited information available to support these analyses outside of what is available in public databases (such as Jefferson Trading). Under Alternative 1, the PPA, LEFG permit owners would be required to report the sale price of a permit. In June 2023, the GAP noted that this could be a simple addition to the permit transfer application, which is already required when a permit is sold (Agenda Item H.4.a, Supplemental GAP Report 1, June 2023). There would be negligible cost to industry as compared to the current status quo permit transfer process. Administratively, there would be a one-time cost to NMFS to change the application form, and an ongoing collection of the permit price data by NMFS permit staff. However, those costs are likely to be minimal.

While the ongoing collection of permit price data may result in minimal costs, the actual utility of the data for future analyses (that could lead to changes in management) should be considered. Many times, when a permit is sold, it is a bundled asset. That is, the vessel and permit might be sold together resulting in the permit itself not having an assigned value. While there could be a value noted by the permit seller on the form, it may not be truly accurate of the price of the permit. Furthermore, the initial cost of changing the application cost (resulting in a Paperwork Reduction Act requirement) would be higher than No Action and may have limited returns on the investment depending on how many permits are actually sold each year. As a reminder, that given data confidentiality restrictions, this data would only be useful for analytical purposes if at least three permits were sold in a given timeframe. If the permit endorsements are changed to promote flexibility, this could result in more permit mobility than currently thought to occur.

4.5.4 Analysis of the Expected Effects of the Proposal on Season Start Time

Under No Action, the season start and end times (i.e., noon local time) would remain in place in regulations, resulting in unnecessary specificity in the regulation. Alternative 1, the PPA, is strictly an administrative action, which has no associated costs and would not impact vessel safety.

4.5.5 Analysis of the Expected Effects of the Proposal on Cost Recovery

No cost recovery program would be developed under No Action. While this would have a positive impact for industry members who would continue to operate in the LEFG tier program without paying any cost recovery fees, NMFS has determined that this would be out of compliance with the Magnuson-Stevens Act for LAPPs (Agenda Item H.4.a, NMFS Report 2).

Under Alternative 1, the PPA, a cost recovery program would be developed as described in Section 2.5. Vessel owners (or their authorized representative) would be required to pay a percentage fee (up to three percent) annually based on the previous year's ex-vessel revenue and the direct program costs (DPC). Table

4-12 below shows the last ten years (2015-2024) of ex-vessel revenue for the primary fishery from sablefish north (2024\$), and the maximum value that could have been collected assuming a three percent fee.

Table 4-12. Revenue in 2024\$ from sablefish landed in the LEFG tier fishery and the maximum amount of cost

recovery that could occur (3 percent) based on the revenue.

Year	Rev	renue (2024\$)	Ma	x Cost Recovery (3 percent fee)
2015	\$	10,731,326	\$	321,940
2016	\$	12,137,216	\$	364,117
2017	\$	13,246,337	\$	397,390
2018	\$	10,378,522	\$	311,356
2019	\$	8,174,551	\$	245,237
2020	\$	5,082,465	\$	152,474
2021	\$	6,559,137	\$	196,774
2022	\$	8,280,189	\$	248,406
2023	\$	7,023,184	\$	210,696
2024	\$	4,971,026	\$	149,131

At the time of the drafting of this report, there is no estimate that could be provided on the DPC, since costs have not been tracked to date. In March 2022, NMFS provided a report on a preliminary determination of the core elements of the LEFG permit stacking program that would subject to cost recovery under this proposed action. Past costs for years prior to the implementation of any program would not be recoverable, including any infrastructure built to implement the core elements of the program. Elements that are considered "core" to the LAPP and would be subject to future calculations for cost recovery, including items such as the monitoring and enforcement of tier limits, electronic fish tickets, and collecting ownership information to assess ownership limitations and exemptions. One estimate on costs that the NMFS WCR staff provided at the time of this analysis is an estimate of the DPC from the administration of e-tickets since they were implemented, for the 2019-2023 period, based on the 2024 groundfish fee calculations, which average \$2 per ticket (Table 4-13).

Table 4-13. Number of LEFG tier e-tickets and associated estimated DPC (assuming 2024 groundfish fee calculations) from 2019-2023.

Year	Tier Tickets	DPC
2019	460	\$915
2020	402	\$800
2021	398	\$792
2022	380	\$756
2023	412	\$820
	Average	\$816

A preliminary examination of the range of costs for a cost recovery fee to be paid by vessel owners (Suboption a, the PPA), under a potential cost recovery program where the fee is one percent (right side) and three percent (left side), is provided in Figure 4-2. Note this estimate does not consider whether multiple vessels are owned by the same owner and excludes outliers to preserve confidentiality. Assuming a one-to-one correlation between vessels and vessel owners, from 2019-2023, the average cost recovery fee for vessels at a one percent rate would be \$889 and at a three percent rate would be \$2,667. Under Suboption b, permit owners would be responsible for paying the cost recovery fee. While a corresponding graphic for Figure 4-2 was not produced for permit owners, based on publicly available information, the 2022 Review

noted that approximately 60 percent of vessels were registered to permits that they were thought to own, with another 12 percent of vessels registered to a combination of both leased and owned permits. Therefore, Figure 4-2 is likely to show the range of cost recovery fees under the one percent and three percent scenarios for about 60 percent of permit holders. However, the other 40 percent of permit holders may be represented by multiple points shown in the range of Figure 4-2, if they own several permits leased to different vessels, or some of these permit holders may be represented by a fraction of a point in the range, if they lease out a single permit to a vessel who has multiple stacked permits.

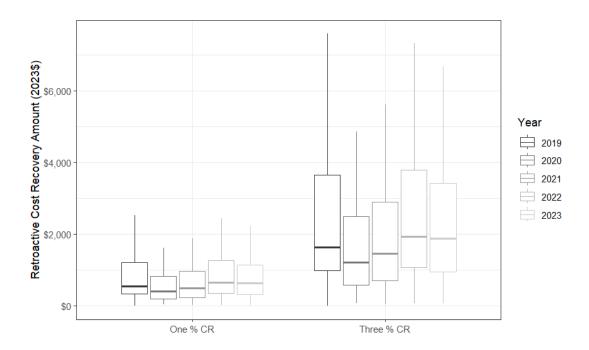


Figure 4-2. Range of cost recovery fees for LEFG primary tier vessels from 2019-2023 based on one percent and three percent levels. Outliers removed for confidentiality.

4.6 Summation of the Alternatives with Respect to Net Benefit to the Nation

The action items and corresponding alternatives described below are not mutually exclusive.

- Under No Action, LEFG vessels would be restricted to using only the gear endorsed on their
 registered permit (unless using non-bottom contact gear as allowed). There would be no price
 permit reporting to inform future actions, and no cost recovery program implemented to fund the
 governance of the LEFG program.
- Under the LEFG endorsement alternatives, there would be increased flexibility with each alternative to harvest target stocks with the most efficient and profitable gear for the vessel. Alternative 3, the PPA, would provide the greatest potential economic net benefit to the Nation by providing the most opportunity to harvest all stocks (not just sablefish), thereby increasing the seafood supply. Additionally, there is not expected to be any additional costs to participants under the alternatives unless they invest in other gear types if they determined if it beneficial for their operation to switch or add gear types. Furthermore, with regards to administrative/management costs, each alternative has fewer management complexities as you move from Alternative 1 to 3 resulting in likely fewer overall costs. For more details, see Table 4-11.

- Under the permit price reporting PPA, LEFG permit owners would be required to report the price of a LEFG endorsed permit when sold. While mainly administrative in nature, this alternative may provide information to better understand the costs associated with the LEFG fishery and guide future actions (assuming that the information is representative of the true permit price; see Section 4.5.3). The costs would be negligible for participants, however, NMFS would see some administrative costs in implementation of the data collection and resulting PRA.
- The proposed changes under the PPA to the base permit designation would provide some limited cost savings to NMFS in not having to track the designation and would be a deregulatory action for participants.
- The proposed changes under the PPA to the season start time are administrative actions and would have no impact with respect to net benefits to the Nation.
- LAPPs, like the primary tier fishery, are designed to provide a net benefit to the Nation by having a rationalized versus a non-rationalized management structure. Specifically, the tier program was designed to allow for longer fishing seasons, economic efficiency, increased safety, and other factors (see 2021 Review for goals and objectives). While there would be additional costs to industry under the PPA (Alternative 1) for the cost recovery component of this proposed action, which could reduce potential profits and benefits to the communities in which fishery participants deliver to and reside in, there is likely a net benefit to the Nation, achieved by shifting the responsibility of the management of the LAPP from the general taxpayer to the participants of the program that access the public fishery resource.

4.7 Determination of Significant Impact

As noted above, under E.O. 12866, a regulation is a "significant regulatory action" if it is likely to: (1) have an annual effect on the economy of \$100 million or more or adversely affect in a material way the economy, a sector of the economy, productivity, competition, jobs, the environment, public health or safety, or State, local, or tribal governments or communities; (2) create a serious inconsistency or otherwise interfere with an action taken or planned by another agency; (3) materially alter the budgetary impact of entitlements, grants, user fees, or loan programs or the rights and obligations of recipients thereof; or (4) raise legal or policy issues for which centralized review would meaningfully further the President's priorities or the principles set forth in this Executive order, as specifically authorized in a timely manner by the Administrator of OIRA in each case. A determination regarding the significance of this proposed action under E.O. 12866 will be made after the selection of the FPA.

5 Regulatory Flexibility Analysis

For any rule subject to notice and comment rulemaking, the Regulatory Flexibility Act (RFA) requires Federal agencies to prepare, and make available for public comment, both an initial and final regulatory flexibility analysis, unless the agency can certify that the proposed and/or final rule would not have a "significant economic impact on a substantial number of small entities." These analyses describe the impact on small businesses, non-profit enterprises, local governments, and other small entities as defined by the RFA (5 U.S.C. § 603). This analysis is to inform the agency and the public of the expected economic effects of the alternatives, and aid the agency in considering any significant regulatory alternatives that would accomplish the applicable objectives and minimize the economic impact on affected small entities. The RFA does not require the alternative with the least cost or with the least adverse effect on small entities be chosen as the preferred alternative.

The Initial Regulatory Flexibility Analysis (IRFA) must only address the effects of a proposed rule on entities subject to the regulation (i.e., entities to which the rule will directly apply) rather than all entities affected by the regulation, which would include entities to which the rule will indirectly apply.

Part 121 of Title 13, Code of Federal Regulations (CFR), sets forth, by North American Industry Classification System (NAICS) categories, the maximum number of employees or average annual gross receipts a business may have to be considered a small entity for RFAA purposes. See 13 C.F.R. § 121.201. Under this provision, the U.S. Small Business Administration (SBA) established criteria for businesses in the fishery sector to qualify as small entities. Standards are expressed either in number of employees, or annual receipts in millions of dollars. The number of employees or annual receipts indicates the maximum allowed for a concern and its affiliates to be considered small (13 C.F.R. § 121.201).

- A <u>fish and seafood merchant wholesaler</u> (NAICS 424460) primarily engaged in servicing the fishing industry is a small business if it employs 100 or fewer persons on a full time, part time, temporary, or other basis, at all its affiliated operations worldwide.
- A business primarily engaged in <u>Seafood Product Preparation and Packaging</u> (NAICS 311710) is a small business if it employs 750 or fewer persons on a full time, part time, temporary, or other basis (13 CFR § 121.106), at all its affiliated operations.

In addition to small businesses, the RFA recognizes and defines two other kinds of small entities: small governmental jurisdictions and small organizations. A small governmental jurisdiction is any government or district with a population of less than 50,000 persons. A small organization is any not-for-profit enterprise that is independently owned and operated and not dominant in its field. (5 U.S.C. § 601). There is no available guidance beyond this statutory language regarding how to determine if non-profit organizations are "small" for RFA purposes. The SBA does have provisions for determining whether a business is "small" for RFA purposes and whether it is "dominant in its field," and those provisions can inform how NMFS classifies non-profit organizations for the purposes of RFA analyses in rulemaking. After consultation with the SBA, NOAA Fisheries has decided to use SBA's size standards for non-profit organizations to determine whether a non-profit organization is "small" and, in turn, whether it is "dominant in its field," to apply the statutory definition of a "small organization" in practice:

- A <u>nonprofit organization</u> is determined to be "not dominant in its field" if it is considered "small" under SBA size standards:
- Environmental, conservation, or professional organizations (NAICS 813312, 813920): Combined annual receipts of \$19.5 million or less.
- Other organizations (NAICS 813319, 813410, 813910, 813930, 813940, 813990): Combined annual receipts of \$13.5 million or less.

Provision is made under the SBA's regulations for an agency to develop its own industry-specific size standards after consultation with advocacy and an opportunity for public comment (see 13 CFR 121.903(c)). NMFS has established a small business size standard for businesses, including their affiliates, whose primary industry is commercial fishing (80 FR 81194, December 29, 2015). This standard is only for use by NMFS and only for the purpose of conducting an analysis of economic effects in fulfillment of the agency's obligations under the RFA.

NMFS' small business size standard for businesses, including their affiliates, whose primary industry is commercial fishing is \$11 million in annual gross receipts. This standard applies to all businesses classified under NAICS code 11411 for commercial fishing, including all businesses classified as commercial finfish fishing (NAICS 114111), commercial shellfish fishing (NAICS 114112), and other commercial marine fishing (NAICS 114119) businesses. (50 C.F.R. § 200.2; 13 C.F.R. § 121.201).

5.1 Description of why action by the agency is being considered

The reasons why agency action is being considered are explained in Section 1.1, the "Purpose and Need".

5.2 Statement of the objectives of, and legal basis for, the proposed rule

The statement of the objectives of the proposed rule are explained in the "Purpose and Need" Section 1.1, above.

Under the MSA (16 U.S.C. 1801, et seq.), the United States has exclusive fishery management authority over all marine fishery resources found within the EEZ. The management of these marine resources is vested in the Secretary of Commerce (Secretary) and in the regional fishery management councils. In the West Coast Region, the Council has the responsibility for preparing FMPs and FMP amendments for the marine fisheries that require conservation and management, and for submitting its recommendations to the Secretary. Upon approval by the Secretary, NMFS is charged with carrying out the Federal mandates of the Department of Commerce with regard to marine and anadromous fish.

The groundfish fisheries in the EEZ off the West Coast are managed under the Pacific Coast Groundfish FMP. The proposed action under consideration would amend Federal regulations at 50 CFR 660. Actions taken to implement regulations governing these fisheries must meet the requirements of applicable Federal laws, regulations, and Executive Orders.

5.3 A description and, where feasible, estimate of the number of small entities to which the proposed rule will apply.

All LEFG groundfish participants (permit owners or vessels registered to permits) operating in the EEZ off Washington, Oregon, and California managed under the Pacific Coast Groundfish FMP may be affected by this action. However, some action items may only impact those owning or fishing with LEFG permits with a sablefish endorsement (i.e., tier fishery; cost recovery, base permit designation, start/end times) while others (LEFG permit endorsement, permit price reporting) may impact all LEFG participants (permit owners and vessels utilizing LEFG permits).

A detailed description of the fishery and affected entities is available in the <u>Stock Assessment and Fishery</u> Evaluation document. This includes a description of the fishery (Chapter 1); description of management,

and economic characteristics of harvesting vessels, processors, and communities (Chapter 2); and summary of historic landings and revenue (Chapter 3).

A description of the vessels participating in the LEFG sector can be found in Section 1.5.2. Using the NMFS permit owner database, Table 5-1 below shows the number of permit owners in 2024 by LEFG permit endorsement (gear and sablefish endorsement). Overall, there are an estimated 141 unique number of permit owners in 2024 (some permit owners may own different types of permits and therefore may be counted in multiple rows). As part of the permit application process, NMFS asks permit applicants if they considered themselves a small business based on a review of the Small Business Administration size criteria and asks each permit applicant to provide detailed ownership information. 24 of the 223 LEFG permits were owned by 16 permit owners that identified as large entities (Table 5-2). For sablefish-endorsed LEFG permits, 18 of the 164 were owned by 12 permit owners that self-reported as large entities (Table 5-2). Note that owner counts in each column of Table 5-2 may not sum to the total for that column because an owner could own permits of another gear endorsement.

Table 5-1. Number of estimated owners by LEFG permit (all and sablefish endorsed only) by gear endorsement, 2024.

Gear Endorsement	All LEFG Permit	Sablefish Endorsed
Bottom Longline	131	91
Pot	22	22
Pot and Bottom Longline	4	4

Table 5-2. Number of permit owners (and associated permits in parentheses) by gear endorsement and small business designation, 2024.

business designation, 2024.				
Gear Endorsement	All LEFG Permit		Sablefish	
			Endorsed	
Entity Size	Small	Large	Small	Large
Bottom Longline	116 (170)	15 (21)	80 (117)	11 (15)
Pot	19 (25)	3 (3)	19 (25)	3 (3)
Pot and Bottom	4 (4)	0 (0)	4 (4)	0 (0)
Longline				
Total	125 (139)	16 (24)	89 (146)	12(18)

Note that there is not a strict one-to-one correlation between vessels or permits and entities, therefore, some persons or firms likely have ownership interests in more than one vessel or permit (described in Section 4.5.5). Given these factors, the actual number of entities regulated by this action could be lower than the preceding estimates.

5.4 An explanation of the criteria used to evaluate whether the rule would impose "significant" economic effects; and a description and estimate of economic effects on entities, by entity size and industry.

The criteria used to evaluate this rule are disproportionality and profitability.

Action Items 2-4 (base permit designation, permit price reporting, and season start time) are strictly administration and will not impact entities in different ways.

In regard to the gear endorsement action item, the PPA (or any of the action alternatives) will not disproportionately disadvantage small entities compared to large entities. The PPA would provide the same opportunity across all permits (and vessels to which they are registered) to utilize any legal non-trawl gear type to harvest quotas/limits. Profitability would generally be expected to increase for all participants, regardless of the entity's size due to the increased flexibility allowed through the PPA. There could be a slight reduction in profit for pot endorsed permit owners if lease fees for pot permits are higher than longline endorsed permits currently and with the PPA (or any of the action alternatives) that differential were to decline due to all permits having the same gear allowances. Of the pot permits that are leased, it is likely that the majority are small entities (given that 29 of the 32 pot or dual-endorsed permits are owned by small entities). However, it is difficult to ascertain what the impact would be given limited to no information on permit pricing or lease fees.

In regard to the cost recovery action item, the PPA would affect entities proportionally as the fee would be calculated for the fleet. However, in terms of profitability, depending on the cost recovery fee collected (maximum of 3 percent), a reduction in the profitability over time for small entities participating in the tier fishery compared to large entities could occur. If smaller entities have a smaller profit margin than large entities, then the impacts of the cost recovery fee would be greater on the profitability of the smaller entities. However, it is unclear the degree to which this would happen given the limited information on profitability available for LEFG participants.

5.5 An explanation of the criteria used to evaluate whether the rule would impose effects on "a substantial number" of small entities.

Given that small entities make up the majority of LEFG permit owners, it is anticipated that this rule would impose effects on a substantial number of small entities.

5.6 A description of, and an explanation of the basis for, assumptions used.

Section 5.3 describes the data sources and methods used to determine the population of potential affected entities and those that would classify as small entities. Overall, fishing participation levels can change over time, leading to uncertainty in the number of affected entities. However, it is likely that the estimates provided are representative of the potential affected parties.

5.7 Reporting and recordkeeping requirements

Under the PPA, a new reporting requirement would be required under Action Item 3, Permit Price Reporting. The PPA would require that the sale price of a LEFG permit be reported on the permit transfer form in the case of a sale.

5.8 Relevant Federal rules that may duplicate, overlap or conflict with the proposed rule:

There are no relevant federal rules that duplicate, overlap, or conflict with the proposed rule.

5.9 A description of any significant alternatives to the proposed rule that accomplish the stated objectives of applicable statutes and that minimize any significant economic impact of the proposed rule on small entities

No additional alternatives were considered by the Council for full evaluation in this EA/RIR/RFAA/MSA.

5.10 Certification statement by the head of the agency.				
To be completed after final Council action.				

6 Magnuson-Stevens Act and FMP Considerations

6.1 Substantive Authority for the Proposed Action

This action includes five sub-actions, proposed modifications to: LEFG permit gear endorsements, the base permit provision, permit price reporting, season start time, and cost recovery. The first two sub-actions relate to the West Coast groundfish limited entry permitting system. Section 303(b) of the Magnuson-Stevens Act allows FMPs to require permits for fishing. Relative to sub-action 3, section 303(a)(5) requires FMPs to specify "[...] economic information necessary to meet the requirements of this Act [...]". Relative to sub-action 4, Section 303(b)(4) allows FMPs to "prohibit, limit, condition, or require the use of specified types and quantities of fishing gear, fishing vessels, or equipment for such vessels [...]". And relative to sub-action 5, Sections 303A(e) and 304(d)(2) of the Magnuson-Stevens Act require a cost recovery program for all LAPPs.

6.2 Magnuson-Stevens Act National Standards

Below are the 10 National Standards as contained in the Magnuson-Stevens Act, and a brief discussion of how each alternative is consistent with the National Standards (NS), where applicable. In recommending a preferred alternative, the Council must consider how to balance the national standards.

National Standard 1 — Conservation and management measures shall prevent overfishing while achieving, on a continuing basis, the optimum yield from each fishery for the United States fishing industry.

The biennial groundfish harvest specifications and management measures described in analytical documents and undertaken for the current biennium establish harvest levels consistent with National Standard 1 and the harvest management framework are described in Chapter 4 of the Groundfish FMP.

This action would not revise the harvest management framework nor groundfish harvest limits. None of the alternatives would influence the ability of the Council and NMFS to prevent overfishing. The alternatives for the increase in gear endorsement flexibility considered in this action could promote achieving optimum yield (OY) by increasing the flexibility by which vessels could target stocks, including non-sablefish stocks in particular. Capacity in the LEFG tier fishery would still be controlled through the sablefish-endorsement (limiting the number of vessels) and the three permit limit (limiting the amount of catch that could be taken in the fishery). Historically, sablefish has been a highly attained stock under status quo management measures, and by increasing the gear flexibility associated with LEFG permits, that trend should go unchanged. However, for non-sablefish stocks, which are mostly under attained, with limited LE effort due to the gear restrictions associated with the permits, increased flexibility could allow for greater opportunity to achieve OY for the fishery as a whole.

National Standard 2 — Conservation and management measures shall be based upon the best scientific information available.

This analysis uses the best scientific information available to describe the potential economic impacts to the fishery (using PacFIN fish ticket data and WCGOP observer data), as well as the best scientific information available to assess the potential impacts to marine mammals, turtles, seabirds, and other resources (including data from non-trawl logbooks and WCGOP data).

National Standard 3 — To the extent practicable, an individual stock of fish shall be managed as a unit throughout its range, and interrelated stocks of fish shall be managed as a unit or in close coordination.

The Council develops and designates management units for groundfish, which include stocks, stock complexes, or geographic subdivisions thereof. The proposed action does not change any management units for groundfish. The alternatives considered would not result in stocks being managed differently throughout their range, nor would they fail to manage stocks as a unit.

National Standard 4 — Conservation and management measures shall not discriminate between residents of different states. If it becomes necessary to allocate or assign fishing privileges among various United States fishermen, such allocation shall be; (A) fair and equitable to all such fishermen, (B) reasonably calculated to promote conservation, and (C) carried out in such a manner that no particular individual, corporation, or other entity acquires an excessive share of such privileges.

Amendment 6 established allocations to the LE fisheries based on historic landings by three gear types, pot/trap, bottom longline, and trawl, as described in Section 1.2. Under No Action, those allocations would remain in place and participants would be restricted to using the gear associated with the endorsement registered to the vessel. Gear endorsement Alternatives 1-3 would change those allocations by allowing vessels to utilize slinky pot gear on longline-endorsed permits (Alternative 1), allowing all LEFG vessels to use pot or longline gears (Alternative 2), or allowing the use of any legal non-trawl gear for all permits (Alternative 3, PPA). None of the alternatives would discriminate against residents of different states and the current provisions of the LEFG program would remain in place to limit any excessive share concerns (i.e., the owner-on-board provisions and the three-permit stacking limit). Therefore, the following analysis focuses on the other two relevant factors when considering allocations: fairness and equity and the promotion of conservation.

Fairness and Equity

National Standard 4 states that any allocation be connected to achievement of OY or with furtherance of an FMP objective. Additionally, allocations of fishing privileges may impose a hardship on one group if it is outweighed by benefits received by another group(s). Specifically, "An allocation need not preserve the status quo in the fishery to qualify as "fair and equitable," if a restructuring of fishing privileges would maximize overall benefits."

Alternatives 2 and 3 would make all the permits equitable in terms of opportunity as compared to Alternative 1 (which would provide additional opportunity to bottom longline permits only) and No Action (which maintains current allocations of opportunity). A discussion of the anticipated effects of the alternatives on the gear use flexibility of the LEFG permits can be found in Section 4.5.1.1. The consideration of "fair and equitable" for current holders of permits, particularly those with pot gear endorsements, may vary by permit owner, depending on whether they purchased the permit in question with the intent of using that specific gear versus receiving an initial permit. As described under National Standard 1 above, Alternative 3 is likely to promote the best likelihood of achieving OY, as it would allow any LEFG vessel to utilize any legal non-trawl gear to harvest LEFG allocations for stocks not likely to be harvested by fixed gear. With respect to the FMP goals and objectives, the proposed action alternatives would meet FMP goals 2 and 3, with Alternative 3, the PPA, creating the greatest benefit related to those objectives. Alternative 3, as compared to the other action alternatives and No Action, would likely contribute to achieving the maximum value of the groundfish fishery as a whole (by allowing for the most gear flexibility; FMP Goal 2), and promote year-round availability of quality seafood to the consumer (by allowing gear flexibility and increased potential for other non-trawl species harvest; FMP Goal 3).

Promotion of Conservation

National Standard 4 also states that "An allocation scheme may promote conservation by encouraging a rational, more easily managed use of the resource. Or, it may promote conservation (in the sense of wise

use) by optimizing the yield in terms of size, value, market mix, price, or economic or social benefit of the product." Gear endorsement Alternative 1 would increase management complexity, as compared to No Action, by providing another exception to bottom longline-endorsed permits (allowing for slinky pot gear), which increases the burden of management. However, it would also allow for vessels registered to bottom longline-endorsed permits to increase yield by increasing the efficiency of their sablefish harvest through pot gear (assuming slinky pots have similar CPUE as traditional pots and are selective of sablefish). Similar to the assessment above, Alternatives 2 and 3 would make all permits the same in terms of opportunity and would decrease management complexity. Alternative 2 would optimize the ability for the LEFG to harvest sablefish through use of either pot or bottom longline gears. Ultimately, Alternative 3, the PPA would likely see the greatest amount of optimization, increasing potential yield and market mix of the LEFG fishery, by expanding the use of all non-trawl gear types for harvesting quota. Even if suboption a were included in the FPA (restricting the use of entangling nets), Alternative 3 would still have the highest degree of potential for increased optimum yield.

None of the other items would allocate or assign fishing privileges.

National Standard 5 — Conservation and management measures shall, where practicable, consider efficiency in the utilization of fishery resources, except that no such measure shall have economic allocation as its sole purpose.

National Standard 5 states that "an efficient fishery would harvest the OY with the minimum use of economic inputs such as labor, capital, interest, and fuel". Limited access programs, such as the limited entry portion of the groundfish fishery, are a type of measure under Section 303(b) of the MSA that could contribute to economic efficiency or conservation. The primary purpose of the groundfish LE program was to deal with capacity (see discussion in Section 6.3). However, the gear endorsements on LEFG permits do not currently allow the fishery to operate at its lowest possible cost (i.e. what would be considered efficient), because they prevent the use of other non-trawl gear types that could be used to access under attained non-trawl allocations. Alternative 1 would provide for cost-effective techniques (i.e., slinky pots) for harvesting sablefish, a primary target species; however, it would also increase management and administration costs by adding an additional exception to longline permit endorsement, thereby likely not resulting in any efficiency compared to No Action. Alternative 2 would provide vessels with the ability to use whatever fishing techniques they deemed cost-effective (pots or longlines) considering factors such as fuel and equipment costs and would lower administrative and enforcement costs by making the fishery regime equal in terms of opportunity. Alternative 3, the PPA, would create the highest level of flexibility, and therefore anticipated efficiency, in the fishery, as it would allow for the use of any legal non-trawl gear type. This authorization could permit greater utilization of fisheries resources, by allowing vessels to use the most efficient and effective gears to target all species of groundfish, rather than just sablefish. Similar to Alternative 2, it would lower administrative and enforcement costs by creating equal permit opportunities.

None of the other action items are expected to impact efficiency of utilization of the resource.

National Standard 6 — Conservation and management measures shall take into account and allow for variations among, and contingencies in, fisheries, fishery resources, and catches.

In the development of the LEFG program, it was determined that clear divisions were needed between gear types, in order to manage the sablefish fisheries in particular. Over time, as the groundfish fishery has evolved, ocean conditions have changed, and variations in other fishing opportunities (e.g., salmon) have become more prevalent, there is a need to develop more flexibility to allow participants to expand their portfolios and fish on healthy and abundant stocks. The endorsement alternatives would permit vessels currently restricted to the use of bottom longline gear (and non-bottom contact gear) to utilize pot gear

(slinky and/or traditional) and/or potentially other OA gear types to continue to target sablefish. Additionally, under Alternative 3, vessels could expand into other non-trawl gear types, such as vertical hook-and-line anchored to the bottom, to target other rockfish species. As sablefish (and other non-trawl species) allocations vary over time, the allowance of using whichever gear type is more effective for an individual vessel would permit vessels to harvest the species that are most abundant and available. Furthermore, given other concerns related to entanglements and depredation (as discussed in Sections 3.2, 3.3, and 3.4), the ability to change gear types to adapt to future challenges would be best addressed with the increased flexibility offered by Alternative 3, the PPA. The inclusion of suboption a is anticipated to not limit any opportunities for directed groundfish vessels.

None of the other alternatives would have impacts related to National Standard 6.

National Standard 7 — Conservation and management measures shall, where practicable, minimize costs and avoid unnecessary duplication.

None of the gear endorsement alternatives are expected to change costs.

Alternative 1 for the base permit designation would meet the goals of National Standard 7 as it would remove an unnecessary regulation from the Federal groundfish regulation. Federal regulations at 50 CFR 660.25(b)(3)(iii)(B)(1) already require vessels to be registered to a permit of sufficient length, therefore, the tracking of a base permit is an additional administrative cost and unnecessary for management of the program.

While the requirement to submit permit price information would require some administrative costs (i.e., developing a database to track the sale of the permits), by including permit price in the existing permit transfer form, no new form would be required, thereby minimizing the costs of collecting the information. The removal of the exact times of the season start and end date for the primary tier season from regulation would have no associated costs and would remove unnecessary specificity from the regulations. Historically, those times were needed for enforcement, but given advancements in inseason tracking, set season start and end dates are no longer needed.

The implementation of the cost recovery program would add costs to industry. However, NMFS has determined that No Action is not a viable alternative, as it is not consistent with Magnuson-Stevens Act requirements for LAPPs.

National Standard 8 — Conservation and management measures shall, consistent with the conservation requirements of this Act (including the prevention of overfishing and rebuilding of overfished stocks), take into account the importance of fishery resources to fishing communities by utilizing economic and social data that meet the requirements of National Standard 2, in order to (A) provide for the sustained participation of such communities, and (B) to the extent practicable, minimize adverse economic impacts on such communities.

The increase in flexibility that would be allowed under the endorsement alternatives would provide additional opportunities (of varying nature and extent, depending on the alternative) to LEFG participants. As discussed in Section 4.5.1.6, the impact on communities would depend on the degree to which participants react under each alternative (i.e., shift or utilize multiple gears) and the distribution by which any changes in landings occur.

National Standard 9 — Conservation and management measures shall, to the extent practicable, (A) minimize bycatch, and (B) to the extent bycatch cannot be avoided, minimize the mortality of such bycatch.

Under the action alternatives for the gear endorsement action item, there would likely be a change in the proportion of bottom longline gear used as compared to pot gear (slinky and/or traditional). The degree to which vessels shift from using one type to another (likely bottom longline to pot gear), or by which vessels expand their current operations to utilize other OA gear types, is uncertain, as described in Section 3.1.1. However, bycatch for some groundfish and non-groundfish species may decrease. Research has shown that pot gear (both traditional and slinky pots) tends to be more selective than bottom longline gear in terms of bycatch.

With regards to bycatch of marine mammals, turtles, and seabirds, a discussion of impacts can be found in Sections 3.2, 3.3, and 3.4, respectively. Overall, risk of bycatch of marine mammals and turtles may increase under the action alternatives, depending on the resulting nature and degree of any shift towards slinky and/or standard pot gear. The resulting risk of marine mammal and turtle mortality may not be certain, but, for the reasons explained in Sections 3.2, 3.3, and 3.4, it is likely not significant. For seabirds, all alternatives are likely to reduce mortality, with a shift away from bottom longline gears. Mitigation measures for marine mammals and turtles (through the gear marking and the entanglement risk reduction package anticipated in 2025) and for seabirds would still be in place to reduce the risk of bycatch and associated mortality under any alternative.

None of the other alternatives are expected to have any impact on bycatch outside of those described in the 2025-2026 Harvest Specifications and Management Measures EA.

National Standard 10 — Conservation and management measures shall, to the extent practicable, promote the safety of human life at sea.

There is no expected impact from the proposed action to the safety of human life at sea outside of that described in the 2025-2026 Harvest Specifications and Management Measures EA.

6.3 Amendment 6 Considerations

The goals and objectives of Amendment 6 to the Groundfish FMP included reducing harvest capacity with the least disruption to current fishing practices, accommodating historical participation and investments, and reducing conflicts between user groups. Several components of the program were aimed at achieving that objective, including limiting the number of vessels in the fishery and putting constraints on increasing capacity of individual vessels. Gear limitations through gear endorsements were seen as a way to meet this objective by preventing vessels from being able to switch from a less powerful to a more powerful gear (e.g., longlining to trawling, as noted on page 3-3 of Amendment 6). For the gear endorsements, the Council considered whether there should be a single "fixed gear" endorsement or separate endorsements for longline and pot gears (in addition to a separate trawl gear endorsement). While this single endorsement would have provided more flexibility to fixed gear vessels and be consistent with how sablefish are allocated, ultimately, the Council chose to keep the endorsements separate for two reasons: 1) greater constraint on capacity, and 2) the connection between those using the two fixed gear types did not appear to be stronger than between those using pot and trawl gears. On the latter point, during the period analyzed by the LEC (1984-1986), there were two times as many vessels that had at least one landing with trawl and pot gear as compared to longline and pot gear. The issuance of separate gear endorsements was intended "to minimize opportunity for expansion of effort... Allowing unrestricted movement between these gears could result in increased harvest capacity directed toward a given species. Movement and flexibility is allowed through the ownership of a permit with more than one gear endorsement or purchase of a second permit. Flexibility achieved through the latter means will help reduce capacity, making the program more effective." (page 99 of Amendment 6 FEIS).

In looking at the proposed gear endorsement alternatives, it is important to consider how things have changed since Amendment 6, as this action would change the LE system that has been in place for three decades. At the time of Amendment 6, the Council kept the endorsements for longline and pot gear separate even though it was different from how sablefish is managed (with a single LEFG allocation for sablefish north or under the non-trawl allocation for sablefish south). The groundfish fishery and the LEFG fishery has continued to evolve since the implementation of Amendment 6. Under any of the action alternatives, capacity would continue to be limited by the vessel size allowance associated with a permit (which has a direct tie to capacity), the number of permits, and other factors such as market conditions and port infrastructure.

On the second point, that there was more connection between pot and trawl gears versus longline and pot gears, that trend is no longer prevalent given the structure of the current fishery. During the gear switching action, it was noted that three or fewer vessels annually from 2011 through 2024 utilized both trawl and fixed gear in a single year (average of one per year). By comparison, there are six to 12 vessels within the LEFG fishery annually utilizing both types of fixed gear (see Table 1-3). Amendment 6 noted that allowing use of both gears could result in increased harvest capacity directed towards a given species. Under the action alternatives and the related scenarios contemplated in this analysis, it is likely that there could be an increase in the use of pot gear in the fishery, which is efficient at targeting sablefish mostly. As has been discussed, however, the nature and extent of the likely shift in gear usage will depend on multiple factors, such as profitability.

At the time of Amendment 6, there was a decision to allocate between LE and OA, which was formulaic for select species, including sablefish north. At that time, allocations for the OA fishery were established for species where the ABC was historically fully harvested, but for other species no allocations were made. Section 11.2.2. in the FMP does describe criteria for such allocations, if they ever needed to be established. Three decades later, the groundfish fishery and how it is managed has changed. Vessels require a broader portfolio, including the targeting of non-sablefish species in situations where market conditions are poor. There is no longer a LE/OA allocation for any species outside of sablefish north, given the change to managing the fishery via trawl and non-trawl allocations through Amendment 21. However, the policy of allowing LE vessels to harvest higher limits than OA vessels still remains via trip limits. LE vessels are still subject to crossover provisions, which require fishing to OA limits when using non-endorsed gears and catch counting towards any LE limits/allocations. While gear endorsement Alternatives 1 and 2 would not change the definition of the LE sector, in that all vessels would still be restricted to using fixed gear (noting exceptions for non-bottom contact gears), Alternative 3 would result in the removal of the crossover provisions, as it would permit the use of all legal non-trawl gear (i.e., OA gears) by LE vessels to harvest any catch limits.

6.4 Section 303(a)(9) Fisheries Impact Statement

Section 303(a)(9) of the Magnuson-Stevens Act requires that a fishery impact statement be prepared for each FMP or FMP amendment. A fishery impact statement is required to assess, specify, and analyze the likely effects, if any, including the cumulative conservation, economic, and social impacts, of the conservation and management measures on, and possible mitigation measures for, (a) participants in the fisheries and fishing communities affected by the plan amendment; (b) participants in the fisheries conducted in adjacent areas under the authority of another Council; and (c) the safety of human life at sea, including whether and to what extent such measures may affect the safety of participants in the fishery.

The EA/RIR prepared for this plan amendment constitutes the fishery impact statement. The likely effects of the proposed action are analyzed and described throughout the EA/RIR. The effects on participants in the fisheries and fishing communities are analyzed in the RIR chapter of the analysis (Chapter 3.6). The effects of the proposed action on safety of human life at sea are evaluated in Section 4.5, and above under

National Standard 10, in Section 6.1. Based on the information reported in this section, there is no need to update the Fishery Impact Statement included in the FMP.

The proposed action affects the LEFG groundfish fisheries in the EEZ off the West Coast, which are under the jurisdiction of the Pacific Fishery Management Council. Impacts on participants in fisheries conducted in adjacent areas under the jurisdiction of other Councils are not anticipated as a result of this action.

7 Other Applicable Laws

7.1 Executive Order 13175 Consultation and Coordination with Indian Tribal Governments

Executive Order 13175 is intended to ensure regular and meaningful consultation and collaboration with tribal officials in the development of Federal policies that have tribal implications, to strengthen the United States government-to-government relationships with Indian tribes, and to reduce the imposition of unfunded mandates upon Indian tribes.

The Secretary recognizes the sovereign status and co-manager role of Indian tribes over shared Federal and tribal fishery resources. At Section 302(b)(5), the Magnuson-Stevens Act reserves a seat on the Council for a representative of an Indian tribe with Federally-recognized fishing rights from California, Oregon, Washington, or Idaho. The Council process and tribal representatives allow for meaningful and timely engagement between the Council, NMFS, and Tribes as actions and alternatives are developed.

The proposed action may impact the Federally-recognized fishing rights of the Pacific Coast treaty Indian tribes. Tribal comments and participation at the Council played a role in developing and analyzing the proposed action, therefore it is consistent with EO 13175.

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