Agenda Item F.6 Attachment 1 (Electronic Only) November 2024

1 Title: Methods for assessing and responding to bias and uncertainty in U.S. West Coast 2 salmon abundance forecasts 3 Authors: William. H. Satterthwaite^{a,*}, Andrew Olaf Shelton^b 4 ^a Fisheries Ecology Division, Southwest Fisheries Science Center, National Marine Fisheries 5 Service, National Oceanic and Atmospheric Administration, Santa Cruz, CA, USA 6 ^b Conservation Biology Division, Northwest Fisheries Science Center, National Marine Fisheries 7 Service, National Oceanic and Atmospheric Administration, Seattle, WA, USA 8 9 *Corresponding author. 110 McAllister Way, Santa Cruz, California, USA, 95060. 10 will.satterthwaite@noaa.gov 11 12 13 Highlights: 14 • Uncertainty in salmon abundance forecasts can be modeled based on past performance 15 • Bias corrections and/or buffers often bring forecasts closer to postseason estimates 16 • Buffers were predicted to reduce risks of under-escapement and overfished status • Harvest reductions from buffers often smaller than recent management error overages 17 18 19 20 Abstract: We quantified the bias and accuracy of U.S. West Coast Chinook and coho salmon 21 abundance forecasts using lognormal distributions fitted to annual ratios between postseason 22 abundance estimates and preseason forecasts, or constrained to assume unbiased forecasts. 23 Accuracy was modest to low, with CVs exceeding 50% for 8/19 Chinook and 17/17 coho stocks. 24 We evaluated the fitted median as a bias correction, and uncertainty buffers based on quantiles 25 below the median. We tested whether retrospective application of bias corrections and/or buffers 26 brought forecasts closer on average to postseason estimates; and performed retrospective and 27 prospective analyses of consequences for stock status, harvest, and escapement for Sacramento 28 River Fall Chinook (SRFC), a key fishery stock. Bias corrections and/or buffers improved most 29 forecasts, with buffers providing improvement more often. For SRFC, bias correction alone 30 could have led to one less year of overfished status, while buffers could have further shortened or 31 avoided overfished status and reduced the frequency of under-escapement. Reductions in mean 32 annual harvest resulting from applying bias corrections and/or moderate buffers were predicted 33 to be smaller than the increases in harvest resulting from forecast and implementation error.

34	Prospective simulations showed buffers could reduce risks of overfished status and under-
35	escapement, at small costs to long-term mean harvests. However, this metric misses substantial
36	harvest reductions in some years, since mean harvest is most sensitive to harvest at high
37	abundance; though our analyses also neglected benefits of increased escapement for future
38	production. Future work should incorporate observation error and nonstationarity, and the
39	combined effects of forecast and implementation error on the probability of missing escapement
40	goals.
41	
42	Keywords: Forecasting; bias; uncertainty; buffer; salmon
43	
44	1. Introduction
45	Fisheries management for salmon in both the Atlantic (Salmo salar, ICES 2021) and
46	Pacific (Oncorhynchus spp., Peterman et al. 2016, PFMC 2021a) relies on preseason abundance
47	forecasts. Forecasting is known to be a challenging task (Mertz and Myers 1995, Glaser et al.
48	2014, Haltuch et al. 2019), especially for short-lived species like salmon (Ward et al. 2014,
49	Peterman et al. 2016). The performance of particular forecast methodologies often worsens over
50	time (Winship et al. 2015), leading to calls for the development of salmon management
51	frameworks that are robust to forecasting uncertainty (ICES 2021, Wainwright 2021).
52	Different salmon species and populations vary substantially in how thoroughly, if at all,
53	uncertainty is accounted for in the management of fisheries impacts. Well-developed examples
54	include European Atlantic salmon (Salmo salar, ICES 2021), Fraser River sockeye salmon (O.
55	nerka, Michielsen and Cave 2019, Hawkshaw et al. 2020), and Yukon River Chinook salmon (O.
56	tshawytscha, Staton and Catalano 2019, Brenner et al. 2022). Approaches incorporating

57 uncertainty have also been developed for specific populations of other species including pink 58 salmon (O. gorbuscha, Adkison 2002) and coho salmon (O. kisutch, DeFilippo et al. 2021). 59 Often, this is done using a Bayesian approach producing explicit probability distributions for 60 expected run sizes. In most cases, these approaches have leveraged the ability to perform in-61 season updating based on information gained over the course of a run in terminal area fisheries 62 (i.e. in-river, or in the ocean area immediately outside a river at the expected time of spawner 63 return). Such in-season information gathering and responses are more difficult in mixed-stock 64 ocean fisheries that are substantially spread out in time and space. Partially as a result of such 65 difficulties, management of ocean fisheries on Chinook and coho salmon along the west coasts 66 of Canada and the United States uses deterministic forecasts that do not account for uncertainty 67 (Peterman et al. 2016, PFMC 2021a), and this is often true of terminal fisheries management as 68 well.

69 Ocean fisheries for Chinook and coho salmon along the west coast of the United States 70 are managed under the purview of the Pacific Fishery Management Council (PFMC 2021a). 71 Each year, maximum allowable exploitation rates for targeted stocks are determined by applying 72 control rules to preseason abundance forecasts (generally expressed as expected spawning 73 escapement in the absence of fishing), using deterministic point estimates. Forecasts that are too 74 high may result in inappropriately high exploitation rates, jeopardizing future productivity and 75 fishing opportunities and creating conservation concerns. Conversely, forecasts that are too low 76 may reduce harvest opportunities and thereby impose unnecessary costs on fishing communities. 77 Forecast errors in either direction may cause especially complex problems in mixed-stock 78 fisheries, where an inaccurate forecast for a single stock may lead to mis-specifying target 79 harvest rates for a suite of co-occurring stocks (e.g., SMAW 2022).

80 The PFMC tracks forecast performance for key Chinook and coho salmon stocks by 81 reporting preseason forecasts and postseason abundance estimates over time (PFMC 2022a), but 82 does not quantify forecast performance with formal metrics, nor does it define acceptable 83 forecast performance. Scientific advisors have long called for the PFMC to formally report and 84 incorporate uncertainty in the use of preseason forecasts for salmon management (SSC 2002, 85 Bradford 2006, Pawson 2006, SSC 2021a). However, the only incorporation of uncertainty or 86 buffers into current PFMC salmon management is multiplying the reference point for the fishing 87 mortality rate producing maximum sustainable yield (MSY), F_{MSY} , by 0.95 (for stocks with data 88 used to estimate stock-specific F_{MSY} values) or 0.90 (for data-poor stocks using a proxy value) 89 when determining the maximum allowable harvest rate at high abundance, F_{ABC} (PFMC 2021a). 90 Because exploitation rates below F_{ABC} are required at low abundance in order to meet 91 escapement goals even in the absence of forecast error, such buffers provide no protection 92 against overharvest at low abundance, when the consequences of overharvest are likely most 93 severe. While some methods adopted by the PFMC are capable of producing distributions for 94 forecasts rather than point estimates (O'Farrell et al. 2016, DeFilippo et al. 2021), and a 95% 95 prediction interval for SRFC was reported (but not used) in two years (PFMC 2010, 2011), to 96 date only the medians or means of these distributions have been used.

97 The use of deterministic, point estimate forecasts to determine allowable harvest rates for 98 salmon contrasts to the formal incorporation of uncertainty buffers into the use of assessment 99 outputs in PFMC management of both groundfish (PFMC 2020) and coastal pelagic species 100 (PFMC 2021b). Briefly, the ratio between the true and estimated overfishing limit (OFL) or 101 maximum catch compatible with MSY is assumed to follow a lognormal distribution with 102 median 1.0 and a log-scale standard deviation specified based on the form of the assessment

model. The acceptable biological catch (ABC) is reduced from the OFL based on a buffer chosen
as the P* quantile of the distribution of the modeled ratio between true and assessed OFLs
(Ralston et al. 2011). If all model assumptions are met, P* indicates the probability that fishing at
the ABC would result in catch higher than the OFL corresponding to perfect knowledge of the
population. If salmon forecasts were viewed as distributions rather than point estimates, P*
buffers (or similar approaches) could be derived before applying control rules to determine
allowable exploitation rates (PFMC 2021a).

110 To demonstrate an approach that would allow fuller and more objective consideration of 111 uncertainty in salmon management, this paper pursues four goals. First, to document the extent 112 of uncertainty and bias, we quantified forecast performance for all available Chinook and coho 113 salmon forecasts tracked in PFMC records (PFMC 2022a). Second, for all of these stocks, we 114 assessed the biases and trends in forecast performance over time. Third, we quantified the extent 115 to which bias corrections and/or uncertainty buffers could bring preseason forecasts closer to 116 postseason abundance estimates. Fourth, the management consequences of a forecast can depend 117 on more than accuracy alone (Rupp et al. 2012) due to factors including mixed-stock effects, 118 implementation error (i.e., realized exploitation rates different from those projected by preseason 119 planning models), and supplemental management guidance. Therefore, we performed detailed 120 retrospective and prospective analyses of likely management consequences of bias corrections 121 and/or buffers applied to a single stock of high conservation and fishery importance, Sacramento 122 River Fall Chinook (SRFC).

123

124 **2. Methods**

125 2.1 Data sources

We obtained records of preseason forecasts and postseason abundance estimates for most PFMC-managed Chinook and coho salmon stocks from Tables II-4 (total adults), II-8 (April STT Modeled Forecast), II-9, III-1, III-3, and III-4 in Preseason Report 1 (PFMC 2022a), obtaining non-rounded values and year-specific values for early years from a spreadsheet version of the tables provided by Robin Ehlke, the PFMC salmon staff officer. We provide a full list of stocks analyzed, and the years covered, in Table 1. Data limitations or other issues led to the exclusion of a few stocks or years as described in the Supplementary Material.

The PFMC report tables do not include information for SRFC, for which a new forecast methodology was adopted in 2014 (PFMC 2022a). For SRFC, we obtained records of what the current forecast approach would have yielded based on data at the time if applied as far back as 1995 from validation exercises performed when the forecast method was developed (Winship et al. 2015, Model 8) along with recent records maintained by the PFMC but not presented in tabular form (PFMC 2022a Figure II-4).

139 Our analysis neglects the potential effects of past forecast methodology changes for 140 stocks other than SRFC due to limited documentation of such changes (SSC 2021a), simply 141 using the records of forecast performance as reported, and thus may not always reflect 142 performance of the current forecast methods. Following precedent set by almost every salmon 143 model used to inform PFMC management (but see Allen et al. 2017 and Auerbach et al. 2021 for 144 partial exceptions), we did not attempt to address the effects of observation error on the 145 postseason abundance estimate, nor on escapements, catches, or exploitation rates used in the SRFC case study described in more detail below. 146

147

148 2.2 Quantification of forecast uncertainty and bias

149 For each stock each year, we calculated the ratio *R* between the postseason abundance 150 N_{post} and preseason forecasts N_{pre} :

151
$$R = \frac{N_{post}}{N_{pre}}$$

and assumed:

153
$$\log(R) \sim Normal(\mu, \sigma)$$
 Equation 2

Equation 1

154 where μ is the mean of log(*R*) (throughout this paper, "mean" denotes arithmetic mean unless 155 specified otherwise, and logarithms are natural [base *e*]) and σ is the log-scale standard 156 deviation. In other words, we assumed that the ratio of postseason abundance estimates (which 157 we assumed equaled true abundances) to preseason forecasts followed a lognormal distribution 158 with arithmetic-scale median *C* where:

159
$$C = e^{\mu}$$
 Equation 3

160 with arithmetic-scale CV:

161
$$CV = \sqrt{e^{\sigma^2} - 1}$$
 Equation 4

We calculated 80% and 95% confidence intervals on *C*, the median postseason:preseasonratio, using the normal approximation:

164
$$CI_{80} = (e^{\mu - 1.28SE}, e^{\mu + 1.28SE}); CI_{95} = (e^{\mu - 1.96SE}, e^{\mu + 1.96SE})$$
 Equation 5

where SE is the standard error (σ/√Y, with Y the number of years with observations). To
identify scenarios in which bias could be confidently identified when present, we performed a
power analysis by solving for the largest value of C at each sample size (number of years) where
the upper bound of these confidence intervals first excluded 1.0 based on different values of σ.
For each stock, we performed these calculations for all available data (results denoted
with the subscript "all") and, when available, for the period 2001-2020 to provide for a common
period of reference across stocks with different temporal coverage (denoted with subscript "20").

172 Although postseason estimates were available for 2021 for some stocks, 2020 was the most

173 recent postseason abundance estimate available for others.

174

175 2.3 Alternative quantification of uncertainty, assuming unbiased forecasts

Because of the inherent challenges in accurately quantifying bias for noisy forecasts with modest sample sizes, we considered a method similar to the approach that the PFMC employs for groundfish and coastal pelagic species to quantify uncertainty in overfishing limits, which assumes that stock assessments are uncertain but unbiased. In this approach we assume that forecasts are unbiased and derive an alternative estimator σ_0 for the uncertainty based on log-

181 scale standard deviations around $E[\log(R)]=0$ rather than around μ ,

182
$$\sigma_0 = \sqrt{\frac{\sum \log(R_y)^2}{\gamma - 1}}$$
 Equation 6

183 reflecting the alternative assumption:

184
$$\log(R) \sim Normal(0, \sigma_0)$$
 Equation 7

185

186 *2.4 Potential drivers of forecast performance*

187 To explore variation in forecast performance over time, we fit linear models of log(R) as 188 a function of time, using all available years for each stock:

 $\log(R) = a + bY + \epsilon$ Equation 8

190 where *Y* is year and ϵ is a normally distributed error term. A similar model using the postseason 191 abundance estimate as the predictor would not be appropriate for statistical inference, since 192 postseason abundance also appears in log(*R*) and so would appear on both sides of the equation. 193 However, to visualize relationships between forecast performance and abundance, we generated 194 plots of percent error ([N_{pre} - N_{post}]/ N_{post}) as a function of the postseason abundance estimate and added loess smoothed fits with width of 1.5 fitted using the stat_smooth function in the ggplot2R package (Wickham 2016).

197

198 2.5 Derivation and evaluation of potential bias corrections and uncertainty buffers

For all stocks with at least 18 years of reported forecast ratios, we simulated applying a bias correction factor by multiplying each years' preseason forecast N_{pre} by an estimate of *C* estimated from preceding forecast ratios, starting in year 11. Thus, we used a bias correction factor estimated from the first 10 years' data to adjust the forecast in year 11, used the first 11 years' data to adjust the forecast in year 12, and so on.

204 In addition to a bias correction based only on C, we explored the application of a buffer 205 based on the P* quantile of the forecast ratio distribution estimated from preceding years. If all 206 model assumptions (notably stationarity and the distributional form of annual forecast ratios) are met, P* represents the probability that the adjusted forecast in a given year will be an over-207 208 forecast. We explored P* values of 0.50 (i.e., a risk neutral approach), 0.45 and 0.40 (based on 209 PFMC precedent for groundfish and coastal pelagic species), and 0.33 (the highest value that the 210 Intergovernmental Panel on Climate Change [IPCC] characterizes as "unlikely" [Table 3 of 211 Mastrandrea et al. 2010], and close to the 0.35 value that the PFMC has considered in some risk-212 averse options but not used to date [John Devore, PFMC, pers. comm.]). We also investigated 213 the performance of a buffer that assumed unbiased forecasts, using the P* quantile of a 214 lognormal distribution with median=1.0 and estimated stock-specific σ_0 . For each year, we then calculated the percent error (PE) between the raw forecast $N_{pre,raw}$ 215 216 and the postseason abundance estimate N_{post} , as well as between the adjusted forecast $N_{pre,adj}$ and

217 Npost:

 $PE = \frac{N_{pre} - N_{post}}{N_{post}}$ Equation 9

219 Under this definition, positive PE represents over-forecasting and negative PE represents 220 under-forecasting. We then summarized performance across adjusted years using mean percent 221 error (MPE) by taking a mean across adjusted years and mean absolute percent error (MAPE) by 222 taking a mean across adjusted years of the absolute value of the annual PE. These are familiar 223 metrics often used to evaluate bias (MPE) and accuracy (MAPE) of forecasts, but are more 224 sensitive to over-forecasting than under-forecasting because forecast ratios tend to follow 225 lognormal or at least asymmetric distributions and (assuming forecasts cannot be negative) PE 226 can never be less than -100% but can be greater than 100%. Therefore, we also calculated the 227 median log accuracy ratio (MLAR, Morley et al. 2018) which is equally sensitive to proportional 228 over- versus under-forecasts (with positive MLAR indicating over-forecasting). Note that the 229 sign conventions for assessing forecast error using these metrics (values greater than zero 230 indicate over-forecasting) differs from the interpretation of C (values less than one indicate over-231 forecasting).

232

$$MLAR = Median\left(\log\left(\frac{N_{pre}}{N_{post}}\right)\right)$$
 Equation 10

We calculated these performance statistics for a one-year ahead validation exercise applied to each stock with at least 18 years of observations (to allow for at least 10 years of training data when the bias correction or buffer was first applied, and at least eight years of testing data). We also summarized the median forecast ratio and its 80% confidence interval calculated from the first 10 years of data to explore how well an initial assessment of forecast performance predicted the degree to which a bias correction and/or buffer increased or decreased forecast performance. The analysis of bias corrections and buffers excluded Skagit Hatchery Chinook, Columbia River Summer Chinook, Lower Columbia Natural coho, and Willapa Baynatural coho due to insufficient temporal coverage.

242

243 2.6 Retrospective application of bias correction and/or buffers to SRFC

244 To explore the potential management consequences of applying a bias correction and/or 245 buffer, we performed a retrospective analysis of SRFC management performance. Because of its 246 southerly distribution (Satterthwaite et al. 2013, Shelton et al. 2019), this stock is relatively 247 unaffected by Pacific Salmon Treaty management, such that only PFMC management actions 248 need to be carefully considered. SRFC makes up the majority of ocean harvest off of California 249 (Satterthwaite et al. 2015) and often much of Oregon (Bellinger et al. 2015), and frequently 250 experiences the highest ocean exploitation rate of any salmon stock managed by the PFMC 251 (PFMC 2022a). SRFC was determined to be overfished based on the three-year geometric mean 252 escapement from 2015-2017 being below the Minimum Stock Size Threshold (MSST) of 91,500 253 (O'Farrell and Satterthwaite 2021), then subsequently declared rebuilt based on the geometric 254 mean of escapements from 2018-2020 being above the reference point for spawning escapement 255 producing maximum sustainable yield (S_{MSY}) of 122,000 (PFMC 2022b). Additionally, SRFC 256 serves as an indicator for the Central Valley Fall (and late-fall) Chinook salmon stock complex 257 (PFMC 2021a) which is recognized by the National Marine Fisheries Service as a "species of 258 concern" (https://www.st.nmfs.noaa.gov/data-and-259 tools/Salmon CVA/pdf/Salmon CVA Name Central Valley fall-late fall-run Chinook.pdf).

260 Crucially, we know the history of the forecasts used in SRFC management and can generate

retrospective estimates of what the current method (Winship et al. 2015, Model 8) would have

262 forecasted in previous years based on data available at the time.

263 The retrospective analysis began with 2014, the first year that the current forecasting 264 model was used by managers, and the third year (the window used for calculating status relative 265 to the overfished criterion) since the first application of the current control rule. Each year, we 266 determined the value of the SRFC forecast actually used, N_{pre,rec} and the value the forecast would 267 have taken if adjusted using one of the methods described earlier, with multipliers calculated 268 using all years available at the time of the forecast in question. For these analyses, in addition to the P* values of 0.50, 0.45, 0.40, and 0.33 considered previously, we also tested P* values of 269 270 0.25 based on ICES (2021) guidelines calling for a 75% probability of meeting all conservation 271 criteria and 0.10 based on the highest value that IPCC characterizes as "highly unlikely" 272 (Mastrandrea et al. 2010).

273 The consequences for management depend on multiple steps after the forecast, and 274 simply comparing control rule outputs for the raw and adjusted forecast would not capture this. 275 We were interested in comparing the exploitation rates derived from the forecasts of record (F_{rec}) 276 with exploitation rates expected to have occurred based on adjusted forecasts (F_{adj}). When 277 simulating adjusted forecasts, we needed to account for the effects of the control rule (control), 278 supplemental guidance from the PFMC (guidance), mixed stock constraints on the exploitation 279 rate planned for at the start of the fishing season (*plan*), and implementation error that leads to a 280 realized exploitation rate different from the planned rate.

To generate an appropriate F_{adj} , we first applied the SRFC control rule (Figure 1, PFMC 2021a) to determine the allowable exploitation rate, $F_{control.}$ We then searched PFMC preseason planning records for additional SRFC-specific guidance (generally expressed as crafting fisheries to target an escapement goal larger than S_{MSY}, see Supplementary Material) and determined the

allowable exploitation rate $F_{guidance}$ needed to accommodate the additional guidance (in the absence of additional guidance, $F_{guidance}=F_{control}$). For example, for a target escapement $E_{guidance}$,

287
$$F_{guidance} = \frac{N_{pre} - E_{guidance}}{N_{pre}}$$
 Equation 11

Note that Equation 11 neglects the effects of natural mortality or maturation rates, but this follows the convention in SRFC management models (O'Farrell et al. 2013). Note that blind application of Equation 11 regardless of how much a bias correction and/or buffer reduced a forecast could theoretically lead to $F_{guidance} < 0$, so we constrained $F_{guidance} \ge 0$.

292

Figure 1. Control rule for SRFC. Hash marks denote forecasts of record during 2014-2021 (note

two forecasts were very close together near 641 thousand). The shaded region indicates

295 uncharted territory of the control rule, which has the steepest sections and allowable exploitation 296 rates that have not been generated in practice as of 2021.



Forecasted escapement absent fishing (thousands)

To account for mixed stock constraints (e.g., it may be impossible to plan a fishing season expected to achieve the full exploitation rate $F_{guidance}$ on SRFC without being expected to exceed the allowable impacts on endangered Sacramento River Winter Chinook [O'Farrell and

303 based on the regulations ultimately adopted, F_{plan}^* from Table 5 of each year's Preseason Report

Satterthwaite 2015]), we then determined the exploitation rate that managers expected to achieve

304 III. If
$$F_{plan}^*$$
 was less than $F_{guidance}$ we set $F_{plan} = F_{plan}^*$; otherwise $F_{plan} = F_{guidance}$

302

Finally, we determined the historical exploitation rate F_{rec} as the postseason estimate of the SRFC exploitation rate reported by the PFMC (2022a). We assumed that if the adjusted forecast would have led to a different planned exploitation rate, the same proportional implementation error would have occurred. Thus, we set the hypothetical alternative exploitation rate as:

310
$$F_{adj} = F_{plan} \frac{F_{rec}}{F_{plan}^*}$$
 Equation 12

311 We then used N_{post} and F_{adj} to determine the harvest H and escapement E expected upon 312 implementation of management based on the adjusted forecast,

313
$$H_{adj} = F_{adj} N_{post}$$
 Equation 13

$$E_{adj} = N_{post} - H_{adj}$$
 Equation 14

and compared these to the harvest and escapement estimates of record H_{rec} and E_{rec} (Table II-1 of PFMC 2022a)

Finally, we calculated mean harvest across all years for the baseline and adjusted scenarios, tracked the frequency of escapements less than the S_{MSY} (122,000) and Minimum Stock Size Threshold (MSST, 91,500) reference points, and calculated status each year based on the geometric mean of escapements over the last three years. Following PFMC nomenclature, stock status was "OK" if it never became "overfished" and was classified as "overfished" if the three-year geometric mean escapement fell below the MSST. The stock remained overfished if the three-year geometric mean *E* was less than MSST, was "rebuilding" if the three-year geometric mean *E* was at or above MSST but below S_{MSY} , and "rebuilt" if the three-year geometric mean *E* was at or above S_{MSY} (PFMC 2021a).

To put differences in annual mean harvest among the different scenarios in context, we also calculated the mean annual harvest expected if the exploitation rates planned at the end of the preseason planning process (F_{plan}^*) had been implemented without error (so removing the effects of implementation error, but leaving effects of forecast error and mixed stock constraints on allowable harvest rates) or if exploitation rates corresponding to application of the control rule to the postseason abundance estimate had been applied without error in place of the forecast (so removing the effects of forecast error, implementation error, and mixed stock constraints).

333

334 2.7 Simulated prospective application of bias correction and/or buffers to SRFC

The retrospective exercise had the advantage of incorporating *ad hoc* PFMC guidance and mixed-stock constraints, but only explored a limited range of abundance forecasts – in particular, the 2014-2022 period did not include any instances where the unadjusted forecast was less than 229,432 or the allowable exploitation was less than 46% and therefore did not involve the complicated control rule shapes that govern fishing at lower abundances (see shaded region in Figure 1) where the consequences of adjusting forecasts may be more pronounced.

To simulate application of bias corrections and buffers to management, we modified the closed loop simulation of SRFC developed for the SRFC Rebuilding Analysis (O'Farrell and Satterthwaite 2021). Under this approach, we simulated the pre-fishing abundance N_{sim} into the future based on autocorrelated draws from a lognormal distribution parameterized based on the

postseason abundance estimates for SRFC from 1995-2022 (yielding arithmetic-scale mean 461
thousand fish, log-scale standard deviation 0.957, and log-scale autocorrelation 0.784). We

347 simulated a biased, noisy forecast as

348
$$N_{pre,sim} = N_{sim} e^{0.473 - 5.49 \times 10^{-7} N_{sim} + \varepsilon}$$
 Equation 15

349 where

350

 $\epsilon \sim Normal(mean = 0, SD = 0.419)$ Equation 16

351 and N_{pre,sim} is the simulated preseason forecast. Equations 15 and 16 were parameterized based 352 on fitting a linear model of the log (preseason:postseason) forecast ratio as a function of the 353 logged postseason abundance estimate to SRFC observations from 1995-2021 (Figure S.1 in the 354 Supplementary Material). We included abundance as a predictor of forecast error because in 11 355 years with a postseason SRFC abundance estimate less than 500,000, there were nine cases of 356 over-forecasting, some of which were substantial, compared to relatively small proportional 357 under-forecasts in the remaining two years. To avoid extrapolating this relationship beyond the 358 range of the input data, when N_{sim} was greater than the highest postseason estimate on record, we 359 applied the multiplier corresponding to the maximum observed postseason abundance.

360 We then performed 2,000 replicate simulations of 25 years each, starting from conditions 361 in 2021. For each simulated year, we determined a target exploitation rate based on applying the 362 SRFC control rule to N_{pre,sim} or N_{pre,sim} after adjustment using each of the bias correction and/or 363 buffers described previously (we did not simulate updating these values based on simulated 364 data). To approximate mixed-stock constraints, we limited the target exploitation rate to be no 365 higher than 0.60, based on a maximum preseason expected exploitation rate of 0.58 for 2014-366 2021. Following O'Farrell and Satterthwaite (2021), we modeled the achieved exploitation rate 367 using a random draw from a beta distribution with mean equal to the target exploitation rate and

a CV of 0.10. We then tracked the simulated harvest and escapement each year, and determined
the mean annual probability of being in overfished status, frequency of allowable exploitation
rates <0.25 or <0.10, mean and median annual SRFC harvest, frequency of escapement less than
S_{MSY}, and frequency of escapement less than MSST.

Although O'Farrell and Satterthwaite (2021) simulated observation error in escapements (but not harvests), they had no empirical basis for the value used. Since we were more interested in true stock status than estimated status, we ignored observation error. Note also that although the autocorrelated abundance was meant to capture some degree of biological realism relative to independent random draws, this analysis neglects the effects of escapement on future production (i.e., a stock-recruit relationship) through both natural production and the ability of hatcheries to meet their production goals.

379

380 2.8 Data availability

381 Compiled data, along with the code required to reproduce all results presented here, are
382 available from Mendeley Data at <u>https://dx.doi.org/10.17632/pym9v82t7b.2</u>.

383

384 3. Results

Forecast performance was highly variable across years (Figure 2), and over-forecasting (i.e., postseason abundance estimate less than the preseason forecast) was more common than under-forecasting for 11 out of 19 Chinook stocks and 14 out of 17 coho stocks. Overforecasting occurred more often, and to a greater proportional extent, at low abundance (Figure 3).

- 391 Figure 2. Box plots displaying the annual distribution of ratios between postseason abundance
- 392 and preseason forecast. Values less than one (to the left of the dotted line) indicate over-
- 393 forecasting. In box plots, the vertical lines are the medians (derived as the midpoint of an ordered
- 394 list, and thus possibly divergent from C calculated assuming a lognormal distribution), boxes are
- 395 the central quartiles (25%-75%), whiskers are ± 1.5 interquartile range, and dots are individual
- 396 observations more than 1.5 times the interquartile arrange beyond the median.



400 **Figure 3.** Relationship between postseason abundance and forecast error for each stock. The

401 fitted curves are loess smoothed fits. Stocks are grouped by species and region, and distinguished 402 by darkness (print version) or color (online) within each grouping. A small number of very large

402 by darkness (print version) of color (online) within each grouping. A small number of very large 403 positive percent errors are outside of the plotted range, but included in calculation of the

3.1 Quantification of forecast uncertainty and bias

409Point estimates of C indicated over-forecasting for nine of 19 Chinook stocks over the410full time period available, with the 80% confidence interval excluding a median ratio of 1.0 in411five cases and the 95% confidence interval excluding it in three cases (Table 1). The point412estimate of C indicated under-forecasting in ten cases, although the 80% confidence interval only413excluded 1.0 in one of these cases, and the 95% confidence interval never excluded 1.0. For coho414stocks, the point estimate of C indicated over-forecasting in 14 out of 17 stocks, with 80%415confidence intervals excluding 1.0 in six cases and 95% confidence intervals excluding it in one

416 case. For the three coho stocks where the point estimate indicated under-forecasting, 80% 417 confidence intervals included 1.0 in two cases. The log-scale standard deviation (σ) ranged from 418 0.29 to 0.94 for Chinook salmon and 0.50 to 0.94 for coho. The quality of fit of the assumed 419 lognormal distribution to yearly values varied substantially across stocks (Supplementary Figure 420 S.2).

421 For just the common period 2001-2020 (Table S.1 in Supplementary Material), patterns were broadly similar, although some stocks had to be dropped from the analysis due to 422 423 inadequate temporal coverage and confidence intervals generally widened due to the smaller 424 sample sizes. The 80% confidence intervals on C for Snohomish Hatchery Chinook and Strait of 425 Juan de Fuca coho in the recent dataset indicated over-forecasting despite including 1.0 for the 426 longer dataset, while the 80% confidence intervals on C were entirely above 1.0 (but only by 427 0.0006 or 0.00005, respectively) indicating under-forecasting for Hood Canal Chinook and Strait 428 of Juan de Fuca Chinook despite including 1.0 in the longer dataset. Otherwise results were 429 broadly similar between the full dataset and recent period, except that confidence intervals on C 430 grew to include 1.0 for several stocks (Columbia Lower River Wild Chinook, Oregon Coast 431 North of Cape Blanco coho, Oregon Production Index-Hatchery Total coho, Grays Harbor coho, 432 Stillaguamish River coho, and Snohomish coho) where it was excluded in the full dataset.

433

Table 1. Summary of forecast performance (postseason abundance : preseason forecast ratio *C*) for all available years. Bold text
denotes stocks where the 95% confidence interval on *C* excluded 1.0. Values of 1.00 are greater than 1.00 at full precision, values
between 0.99 and 1.00 are reported to a higher precision.

				post:pre	ratio								
Species	Stock	Year Ran	ge	Call	CV_{all}	80	% (Clall	95	% C	l _{all}	σ_{all}	$\sigma_{0,all}$
Chinook	SRFC	1995 -	2021	0.89	51%	0.79	-	0.998	0.74	-	1.06	0.49	0.50
	KRFC	1985 -	2021	0.93	59%	0.83	-	1.05	0.78	-	1.11	0.54	0.55
	Columbia URB	1984 -	2021	1.06	29%	0.997	-	1.12	0.97	-	1.16	0.29	0.29
	Columbia LRW	1988 -	2021	1.11	44%	1.01	-	1.22	0.97	-	1.28	0.42	0.43
	Columbia LRH	1984 -	2021	1.04	36%	0.97	-	1.12	0.93	-	1.16	0.35	0.35
	Columbia SCH	1984	2021	0.96	47%	0.88		1.05	0.83		1.10	0.44	0.44
	Columbia MCB	1990	2021	1.02	35%	0.94		1.10	0.90		1.15	0.34	0.34
	Columbia Summer	2012 -	2021	0.95	34%	0.83	-	1.08	0.77	-	1.16	0.33	0.33
	NookSamish H&N	1993 -	2020	0.89	42%	0.81	-	0.98	0.77	-	1.03	0.40	0.42
	Skagit Hatchery	2004 -	2020	0.25	72%	0.20	-	0.30	0.18	-	0.33	0.64	1.59
	Skagit Natural	1993 -	2020	1.01	45%	0.91	-	1.12	0.87	-	1.19	0.43	0.43
	Stillaguamish Natural	1995 -	2020	1.09	41%	0.99	-	1.20	0.94	-	1.27	0.40	0.41
	Snohomish Hatchery	1994 -	2020	0.96	55%	0.84	-	1.09	0.79	-	1.16	0.52	0.52
	Snohomish Natural	1993 -	2020	0.65	61%	0.57	-	0.74	0.53	-	0.80	0.56	0.71
	Tulalip Hatchery	1993 -	2020	1.06	119%	0.84	-	1.33	0.75	-	1.50	0.94	0.94
	So Puget Sound H	1993 -	2020	1.07	38%	0.98	-	1.16	0.93	-	1.22	0.37	0.37
	So Puget Sound N	1993 -	2020	0.68	63%	0.59	-	0.78	0.55	-	0.84	0.58	0.70
	SJdF Hat + Nat	1993 -	2020	1.04	40%	0.95	-	1.14	0.90	-	1.20	0.39	0.39
	Hood Canal H+N	1994 -	2020	1.17	72%	0.999	-	1.37	0.92	-	1.49	0.64	0.66
Coho	Col. Hat early	1996 -	2021	0.90	61%	0.78	-	1.04	0.73	-	1.12	0.56	0.57
CONO	Col. Hat late	1996 -	2021	0.86	68%	0.73	-	1.00	0.68	-	1.09	0.62	0.64
	Lower Col. N	2007 -	2021	1.23	68%	1.00	-	1.51	0.90	-	1.68	0.62	0.66
	OR Coast Natural	1996 -	2021	1.11	85%	0.92	-	1.34	0.84	-	1.48	0.74	0.75

OR Coast N of Blanco	1996	-	2021	0.80	98%	0.65	-	0.99	0.59	-	1.10	0.82	0.85
CA+OR Co S of Blanco	1996	-	2021	0.54	118%	0.42	-	0.68	0.37	-	0.77	0.93	1.13
OPI-H Total	1996	-	2021	0.86	58%	0.76	-	0.99	0.70	-	1.06	0.54	0.56
Quillayute Fall	1990	-	2020	0.92	53%	0.82	-	1.04	0.78	-	1.10	0.50	0.50
Hoh River	1990	-	2020	1.10	55%	0.97	-	1.23	0.91	-	1.31	0.51	0.52
Queets River	1990	-	2020	0.94	82%	0.80	-	1.11	0.73	-	1.21	0.72	0.72
Grays Harbor	1990	-	2020	0.85	64%	0.74	-	0.97	0.69	-	1.04	0.59	0.61
Willapa Bay	2010	-	2020	0.84	93%	0.62	-	1.14	0.53	-	1.34	0.79	0.81
Skagit River	1997	-	2020	0.95	118%	0.75	-	1.22	0.66	-	1.39	0.94	0.94
Stillaguamish River	1990	-	2020	0.76	118%	0.61	-	0.94	0.55	-	1.05	0.93	0.97
Hood Canal	1990	-	2020	0.84	96%	0.70	-	1.01	0.63	-	1.12	0.81	0.83

440	Power to confidently detect bias was limited (Figure 4) due to a combination of high
441	inter-annual variability and modest sample sizes. With a typical $\sigma = 0.5$, over-forecasting with C
442	of 0.80 would require at least nine years of data for the 80% confidence interval to support this
443	bias and at least 19 years for the 95% confidence interval to support it. For the typical 30-year
444	dataset with $\sigma = 0.5$, C would need to be less than 0.89 for support via the 80% confidence
445	interval or less than 0.84 for the 95% confidence interval. For $\sigma = 1.0$, even 50 years of data
446	would not suffice for the 80% confidence interval to exclude 1.0 if C was greater than 0.83.
447 448 440	Figure 4 . The maximum of the median ratio of postseason abundance : preseason forecast for which the 80% (solid lines) or 95% (dashed lines) confidence interval would exclude 1.0 given $\pi = 0.2$ (thick block lines) 0.5 (thin block lines) or 1.0 (thin gray lines) increases with increasing

- σ = 0.3 (thick black lines), 0.5 (thin black lines) or 1.0 (thin grey lines) increases with increasing years of observations.

453 *3.2 Potential drivers of forecast performance*

454 Relationships between time and forecast performance (i.e., linear models of log(R) as a 455 function of year) rarely met the p<0.05 criterion for statistical significance, but KRFC, Tulalip 456 Hatchery Chinook, California/Oregon coho South of Cape Blanco, and Queets River coho 457 showed a significant tendency toward increased incidence of under-forecasting over time while 458 Stillaguamish River coho showed a significant tendency toward increased incidence of over-459 forecasting (Figure S.3, Table S.2 in Supplementary Material). Statistical considerations 460 precluded simple regressions of forecast performance against postseason abundance estimates 461 (because postseason abundance would occur on both sides of the regression equation), but Figure 462 3 strongly suggests a tendency to over-forecast at low abundance for most stocks. 463 3.3 Alternative quantification of uncertainty, assuming unbiased forecasts 464 Estimates of the log-scale standard deviation assuming unbiased forecasts (σ_0) were 465 always larger than the corresponding σ for each stock, with small differences for stocks with 466 small estimated biases in their forecasts and larger differences when estimated biases were more 467 substantial (Table 1 and Supplementary Table S.1). 468 469 3.4 Evaluation of potential bias corrections and uncertainty buffers

To give an indication of how well an initial estimate of *C* would predict the utility of bias corrections or buffers going forward, Table 2 reports the estimate of *C* and its 80% confidence interval based on the first decade available for each stock for which one-year-ahead application of potential bias corrections and/or buffers was performed, along with MPE in the raw forecasts or adjusted forecasts for each year in the testing dataset. Note that out of the nine stocks included in this table for which the 80% confidence interval on *C* for the full dataset indicated over-

476 forecasting, three had estimates of C for the first ten years >1.0 (i.e., suggesting under-

477 forecasting), and in a fourth case the 80% confidence interval included unbiased forecasts.

478 Conversely, for both stocks where the 80% confidence intervals from the full dataset indicated

479 under-forecasting, this was also the case for the point estimate from the first decade.

480 Supplementary Table S.3.a reports performance of raw versus adjusted forecasts using MAPE,

481 and Supplementary Table S.3.b reports performance measured via MLAR.

482 For all but three out of 32 cases, a buffer improved performance according to MPE, and 483 more conservative buffers often performed better. For MPE raw forecasts performed best (had 484 MPE closest to zero) in only two cases, both Chinook; and a bias correction without buffer (P*=0.50) performed best for one Chinook stock (Table 2). A bias correction plus P*=0.33 485 buffer performed best in 13 cases, P*=0.33 with no bias correction performed best in six cases, 486 487 bias correction plus $P^{*}=0.40$ performed best in five cases, $P^{*}=0.40$ with no bias correction 488 performed best in three cases, and P*=0.45 with no bias correction performed best in two cases 489 (bias correction plus P*=0.45 never performed best by MPE). Note that given either choice regarding use of bias correction, P*=0.33 was the optimal buffer according to MPE most often 490 491 and P*=0.45 was optimal least often.

492 Results for MAPE were broadly similar to results for MPE (Supplementary Table S.3.a), 493 although application of a bias correction was favored less often (perhaps reflecting MPE's 494 greater sensitivity to bias). In some but not all cases where MAPE favored dropping the bias 495 correction it also favored a more conservative (lower P*) buffer. Overall, MAPE favored 496 P*=0.33 with no bias correction 13 times, P*=0.33 with a bias correction 11 times, P*=0.40 with 497 no bias correction three times, P*=0.40 with a bias correction once, P*=0.45 with no bias 498 correction twice, and raw forecasts twice. Results for MLAR diverged more substantially from

the MPE and MAPE results and generally favored less precautionary approaches, which likely

- 500 reflects the different sensitivities of mean versus median error (Supplementary Table S.3.b).
- 501 Overall, MLAR favored raw forecasts in six cases, a bias correction with no buffer in four cases,
- 502 $P^{*}=0.45$ with no bias correction in six cases, $P^{*}=0.45$ with a bias correction in four cases,
- 503 $P^{*}=0.40$ with no bias correction in one case, $P^{*}=0.40$ with a bias correction in six cases, $P^{*}=0.33$
- with no bias correction in two cases, and $P^{*}=0.33$ with a bias correction in three cases. There
- 505 was no stock for which the raw forecast was identified as the best approach according to all three
- 506 scoring metrics.

508 **Table 2**. Performance of raw or adjusted forecasts for the period after the first ten years as measured via Mean Percent Error (MPE). C

509 is the median postseason:preseason ratio estimated for the first ten years of data. Start year indicates the beginning of the period over

510 which performance was tested. Note that C estimates for the first decade were not always concurrent with the longer-term conclusions

511 regarding bias. Bold text indicates the adjustment (or lack thereof) performing best (closest to zero error, regardless of sign) for each

512 stock-performance metric combination. Italics in the bias corrected, no buffer (i.e., P*=0.50) column indicate cases where the bias-

513 adjusted forecast outperformed the "raw" forecast receiving neither a bias correction nor a buffer. (Some cases appear to be ties at the

514 precision reported in the table, but optimal choices were identified at full precision.)

	F	irst Decade			Apply bias	s correctio	on		Assume	unbiased	
					no						
Stock	С	80% CI	Start	raw	buffer	P=0.45	P=0.40	P=0.33	P=0.45	P=0.40	P=0.33
SRFC	1.08	0.97 - 1.22	2005	45%	35%	28%	21%	12%	37%	29%	19%
KRFC	1.03	0.78 - 1.35	1995	25%	29%	20%	12%	1%	16%	8%	-3%
Columbia URB	1.12	1.04 - 1.20	1994	1%	12%	9%	5%	0%	-2%	-6%	-10%
Columbia LRW	1.20	1.06 - 1.36	1998	2%	20%	15%	9%	1%	-3%	-9%	-16%
Columbia LRH	0.96	0.85 - 1.09	1994	-1%	6%	2%	-3%	-9%	-5%	-9%	-15%
Columbia SCH	1.05	0.92 - 1.21	1994	21%	24%	18%	13%	5%	15%	10%	2%
Columbia MCB	1.01	0.90 - 1.14	2000	4%	8%	3%	-1%	-7%	0%	-4%	-10%
NookSamish H&N	1.08	0.90 - 1.29	2003	32%	29%	22%	16%	7%	25%	18%	9%
Skagit Natural	1.22	0.98 - 1.52	2003	14%	23%	15%	9%	-1%	8%	1%	-8%
Stillaguamish Natural	1.03	0.93 - 1.15	2005	-2%	2%	-3%	-7%	-12%	-6%	-10%	-16%
Snohomish Hatchery	1.04	0.83 - 1.32	2004	22%	13%	5%	-2%	-11%	14%	6%	-5%
Snohomish Natural	0.79	0.68 - 0.91	2003	109%	45%	36%	28%	17%	93%	78%	59%
Tulalip Hatchery	1.86	1.49 - 2.33	2003	131%	200%	175%	152%	121%	109%	89%	63%
So Puget Sound H	1.19	1.06 - 1.34	2003	7%	23%	18%	14%	7%	3%	-1%	-7%
So Puget Sound N	0.78	0.68 - 0.89	2003	96%	26%	19%	12%	3%	81%	66%	47%
SJdF Hat + Nat	0.90	0.77 - 1.06	2003	-4%	-6%	-11%	-15%	-22%	-9%	-14%	-20%
Hood Canal H+N	1.46	1.05 - 2.04	2004	10%	43%	31%	21%	6%	0%	-9%	-20%
Col. Hat early	1.05	0.87 - 1.27	2006	43%	45%	36%	28%	16%	35%	26%	15%
Col. Hat late	0.90	0.71 - 1.13	2006	45%	38%	29%	20%	8%	35%	26%	13%
	Stock SRFC KRFC Columbia URB Columbia LRW Columbia LRH Columbia SCH Columbia MCB NookSamish H&N Skagit Natural Stillaguamish Natural Stillaguamish Natural Stillaguamish Natural Snohomish Hatchery Snohomish Natural Tulalip Hatchery So Puget Sound H So Puget Sound H So Puget Sound N SJdF Hat + Nat Hood Canal H+N Col. Hat early	Stock C SRFC 1.08 KRFC 1.03 Columbia URB 1.12 Columbia LRW 1.20 Columbia LRH 0.96 Columbia SCH 1.05 Columbia MCB 1.01 NookSamish H&N 1.08 Skagit Natural 1.22 Stillaguamish Natural 1.03 Snohomish Hatchery 1.04 Snohomish Natural 0.79 Tulalip Hatchery 1.86 So Puget Sound H 1.19 So Puget Sound N 0.78 SJdF Hat + Nat 0.90 Hood Canal H+N 1.46 Col. Hat early 1.05 Col. Hat late 0.90	First DecadeStockC 80% C/SRFC1.08 0.97 -KRFC1.03 0.78 -1.35Columbia URB1.121.04-1.20Columbia LRW1.201.06-1.36Columbia LRH0.960.85-1.09Columbia SCH1.050.92-1.21Columbia MCB1.010.90-1.24NookSamish H&N1.080.90-1.22Skagit Natural1.220.98-1.22Stillaguamish Natural1.030.93-1.32Snohomish Hatchery1.040.83-1.32So Puget Sound H1.191.06-1.34So Puget Sound N0.780.68-0.89SJdF Hat + Nat0.900.77-1.06Hood Canal H+N1.461.05-2.04Col. Hat early1.050.87-1.27Col. Hat late0.900.71-1.13	First DecadeStockC $80\% - 5$ StartSRFC1.08 0.97 -1.222005KRFC1.03 0.78 -1.351995Columbia URB1.121.04-1.20194Columbia LRW1.201.06-1.361998Columbia SCH1.05 0.92 -1.211994Columbia MCB1.01 0.90 -1.142000NookSamish H&N1.08 0.90 -1.222033Skagit Natural1.03 0.93 -1.522003Stillaguamish Natural1.03 0.93 -1.322004Snohomish Hatchery1.04 0.83 -1.322003So Puget Sound H1.191.06-1.342003So Puget Sound H1.191.06-1.342003SJdF Hat + Nat 0.90 0.77 -1.062003Hood Canal H+N1.461.05-2.042004Col. Hat late 0.90 0.71 -1.132006	First DecadeStockC 80% · CStartrawSRFC1.08 0.97 -1.22200545%KRFC1.03 0.78 -1.35199525%Columbia URB1.121.04-1.2019941%Columbia LRW1.201.06-1.3619982%Columbia SCH0.96 0.85 -1.091994-1%Columbia MCB1.010.90-1.1420004%NookSamish H&N1.080.90-1.22203332%Skagit Natural1.220.98-1.52200314%Stillaguamish Natural1.030.93-1.152005-2%Snohomish Hatchery1.040.83-1.32200422%So Puget Sound H1.191.06-1.342003131%So Puget Sound N0.780.68-0.8920396%SJdF Hat + Nat0.900.77-1.06203 4% Hood Canal H+N1.461.05-2.0420410%Col. Hat early1.050.87-1.27200643%Col. Hat late0.900.71-1.13200645%	First Decade Apply biase Stock C 80" Start raw buffer SRFC 1.08 0.97 - 1.22 2005 45% 35% KRFC 1.03 0.78 - 1.20 1994 1% 12% Columbia URB 1.12 1.04 - 1.20 1994 1% 12% Columbia LRW 1.20 1.06 - 1.36 1998 2% 20% Columbia SCH 1.05 0.92 - 1.21 1944 21% 24% Columbia MCB 1.01 0.90 - 1.24 1994 21% 24% Columbia MCB 1.01 0.90 - 1.24 1994 21% 24% Stagit Natural 1.02 0.90 - 1.29 2003 32% 29% Skagit Natural 1.02 0.93 - 1.52 2003 14% 23% Snohomish Natural	First Decade Apply bias correction Stock C 80% CI Start raw buffer P=0.45 SRFC 1.08 0.97 - 1.22 2005 45% 35% 28% KRFC 1.03 0.78 - 1.20 1995 25% 29% 20% Columbia URB 1.12 1.04 - 1.20 1994 1% 12% 9% Columbia LRW 1.20 1.06 - 1.36 1998 2% 20% 15% Columbia LRH 0.96 0.85 - 1.09 1994 1% 6% 2% Columbia SCH 1.05 0.92 - 1.21 1994 21% 24% 18% MookSamish H&N 1.08 0.90 - 1.22 203 32% 29% 22% Skagit Natural 1.22 0.98 - 1.52 2003 14% 23% 15% Snohomish Natural	First DeceterApply bis-cruectionStockC80% - IStartrawbufferP=0.45P=0.40SRFC1.080.97-1.22200545%35%28%21%KRFC1.030.78-1.35199525%29%20%12%Columbia URB1.121.04-1.201941%12%9%5%Columbia LRW1.001.06-1.3619982%20%15%9%Columbia SCH1.050.92-1.21194421%24%18%33%Columbia MCB1.010.90-1.21194421%24%18%34%Nook-Samish H&N1.080.90-1.22200332%29%22%16%Skagit Natural1.020.98-1.52200314%23%15%9%Snohomish Hatchery1.040.83-1.32200412%13%5%28%So Puget Sound M1.191.06-1.3420037%23%16%14%So Puget Sound M1.190.07-1.0620%13%20%15%15%So Puget Sound M1.190.08-0.2320%16%16%14%15%So Puget Sound M1.190.07-1.0620%16%26%16%15%15%	Hirst DecodeApply bias correctionnoNoStock C 800^{-7} \cdot 1.22 2005 45% 35% 28% 21% $9=0.33$ SRFC 1.08 0.97 \circ 1.22 2005 45% 35% 28% 21% $9=0.43$ 12% Columbia URB 1.03 0.78 \circ 1.20 1995 25% 29% 20% 12% 12% 10% 9% 12% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 9% 11% 10% 10% 10% 11% 10% 10% 11% 10% 11% 10% 11% 10% 11% 10% 11% <th< td=""><td>First DeceterApply bis currectionAssume noNoteStockCNoteNoteStockCNoteNoteStockCNote</td><td>First Delevity Apply bis vertexity Apply bis vertexity Assume vertexity Stock C 80° V Start raw buffer P=0.45 P=0.40 P=0.43 P=0.45 P=0.45 P=0.40 P=0.45 P=0.</td></th<>	First DeceterApply bis currectionAssume noNoteStockCNoteNoteStockCNoteNoteStockCNote	First Delevity Apply bis vertexity Apply bis vertexity Assume vertexity Stock C 80° V Start raw buffer P=0.45 P=0.40 P=0.43 P=0.45 P=0.45 P=0.40 P=0.45 P=0.

OR Coast Natural	1.28	0.94	-	1.75	2006	29%	55%	40%	27%	10%	17%	5%	-10%
OR Coast N of Blanco	0.66	0.51	-	0.84	2006	64%	27%	16%	5%	-9%	48%	34%	15%
CA+OR Co S Blanco	1.06	0.82	-	1.36	2006	319%	202%	175%	150%	117%	276%	237%	187%
OPI-H Total	0.96	0.81	-	1.14	2006	45%	40%	32%	24%	14%	37%	29%	18%
Quillayute Fall	0.95	0.74	-	1.23	2000	20%	18%	11%	3%	-6%	13%	5%	-4%
Hoh River	1.23	0.97	-	1.57	2000	7%	28%	19%	11%	0%	-1%	-8%	-17%
Queets River	1.21	0.93	-	1.57	2000	50%	72%	57%	44%	26%	37%	25%	9%
Grays Harbor	0.70	0.56	-	0.86	2000	29%	13%	5%	-3%	-13%	20%	11%	-1%
Skagit River	0.87	0.61	-	1.24	2007	58%	55%	38%	24%	5%	41%	26%	7%
Stillaguamish River	0.35	0.27	-	0.46	2000	28%	-19%	-28%	-36%	-46%	12%	-2%	-20%
Hood Canal	0.65	0.44	-	0.96	2000	32%	19%	6%	-5%	-19%	18%	5%	-11%
Snohomish	0.62	0.53	-	0.73	2000	41%	21%	12%	3%	-9%	30%	19%	5%
Str. Juan de Fuca	1.13	0.90	-	1.43	2000	67%	70%	56%	44%	27%	54%	41%	24%

517 3.5 Retrospective application of bias correction and/or buffers to SRFC

518 Expected management consequences varied depending on the application of a bias 519 correction and the level of buffering applied, compared to the outcomes observed under 2014-520 2021 status quo management (Table 3). Of the scenarios explored, only a bias correction along 521 with $P^{*}\leq 0.33$ or a buffer with $P^{*}\leq 0.25$ (if assuming unbiased forecasts) were predicted to 522 prevent overfished status, at a cost of approximately 40,000 fewer SRFC harvested annually (or 523 larger costs for even more conservative buffers). However, numerous options could have reduced 524 the duration of the overfished state and/or reduced the number of low escapement years at lower 525 cost to harvest (Table 3). If the exploitation rate expected at the end of the preseason planning 526 process had been implemented without error each year (i.e. if there was no implementation error, 527 but the observed levels of forecast error and mixed-stock constraints), annual harvest would have 528 been 158,638 fish; within 1,000 fish of a scenario that could have prevented overfished status 529 (note however that removing implementation error alone would not be predicted to have avoided 530 overfished status, due to the over-forecast of the critically low 2017 abundance and allowing a 531 high harvest rate on it). Thus, overfished status could have been prevented at a cost comparable 532 to the overages resulting from implementation error alone (or shortened at even lower cost), and 533 less than the overages resulting from over-forecasting and implementation error combined. 534 Conversely, if the full exploitation rate allowed by the control rule applied to true abundance 535 could be achieved each year (i.e., in the absence of forecast and implementation error and mixed 536 stock constraints), annual harvest would have been 189,998 (versus an estimated actual harvest 537 of 197,313). Note also that these scenarios do not account for the potential benefits of increased 538 natural production due to higher spawning escapement for future harvest and escapement.

- 539 **Table 3**. Management outcomes for $2014-202\theta$ based on management actually implemented, as
- well as modified outcomes expected based on alternative scenarios for applying a bias correctionand/or uncertainty buffer.
- 542

	Mean				
	ann. SRFC	Years	Years	Years	Years
Scenario	harvest	overfished	rebuilding	Esc <s<sub>MSY</s<sub>	Esc <msst< td=""></msst<>
Status quo	197,313	3	0	5	2
Bias adjustment, no buffer (P*=0.5)	186,469	2	1	4	2
Bias adjustment, P*=0.45 buffer	179,193	1	1	3	2
Bias adjustment, P*=0.40 buffer	170,790	1	1	3	1
Bias adjustment, P*=0.33 buffer	156,871	0	0	3	1
Bias adjustment, P*=0.25 buffer	143,060	0	0	2	1
Bias adjustment, P*=0.10 buffer	116,909	0	0	2	1
Assume unbiased, P*=0.45 buffer	193,336	2	1	5	2
Assume unbiased, P*=0.40 buffer	187,306	2	1	4	2
Assume unbiased, P*=0.33 buffer	175,637	1	1	3	1
Assume unbiased, P*=0.25 buffer	157,860	0	0	3	1
Assume unbiased, P*=0.10 buffer	127,638	0	0	2	1
	Scenario Status quo Bias adjustment, no buffer (P*=0.5) Bias adjustment, P*=0.45 buffer Bias adjustment, P*=0.40 buffer Bias adjustment, P*=0.33 buffer Bias adjustment, P*=0.25 buffer Bias adjustment, P*=0.10 buffer Assume unbiased, P*=0.45 buffer Assume unbiased, P*=0.33 buffer Assume unbiased, P*=0.25 buffer Assume unbiased, P*=0.25 buffer	Mean ann. SRFCScenarioharvestStatus quo197,313Bias adjustment, no buffer (P*=0.5)186,469Bias adjustment, P*=0.45 buffer179,193Bias adjustment, P*=0.40 buffer170,790Bias adjustment, P*=0.33 buffer156,871Bias adjustment, P*=0.10 buffer143,060Bias adjustment, P*=0.10 buffer116,909Assume unbiased, P*=0.40 buffer187,306Assume unbiased, P*=0.33 buffer175,637Assume unbiased, P*=0.10 buffer157,860Assume unbiased, P*=0.10 buffer127,638	Mean ann. SRFC Years voerfished Scenario harvest overfished Status quo 197,313 3 Bias adjustment, no buffer (P*=0.5) 186,469 2 Bias adjustment, P*=0.45 buffer 179,193 1 Bias adjustment, P*=0.40 buffer 170,790 1 Bias adjustment, P*=0.33 buffer 156,871 0 Bias adjustment, P*=0.10 buffer 116,909 0 Assume unbiased, P*=0.45 buffer 193,336 2 Assume unbiased, P*=0.33 buffer 187,306 2 Assume unbiased, P*=0.10 buffer 175,637 1 Assume unbiased, P*=0.10 buffer 175,637 0 Assume unbiased, P*=0.10 buffer 157,860 0	Mean ann. SRFCYearsYearsScenarioharvestoverfishedrebuildingStatus quo197,31330Bias adjustment, no buffer (P*=0.5)186,46921Bias adjustment, P*=0.45 buffer179,19311Bias adjustment, P*=0.40 buffer170,79011Bias adjustment, P*=0.33 buffer156,87100Bias adjustment, P*=0.25 buffer143,06000Bias adjustment, P*=0.45 buffer193,33621Assume unbiased, P*=0.45 buffer187,30621Assume unbiased, P*=0.45 buffer187,30621Assume unbiased, P*=0.10 buffer157,86000Assume unbiased, P*=0.10 buffer157,86000	Mean ann. SRFCYearsYearsYearsScenarioharvestoverfishedrebuildingEsc <s<sub>MSYStatus quo197,313305Bias adjustment, no buffer (P*=0.5)186,469214Bias adjustment, P*=0.45 buffer179,193113Bias adjustment, P*=0.40 buffer170,790113Bias adjustment, P*=0.33 buffer156,871003Bias adjustment, P*=0.10 buffer116,909002Assume unbiased, P*=0.40 buffer193,336214Assume unbiased, P*=0.25 buffer187,306214Assume unbiased, P*=0.40 buffer175,637113Assume unbiased, P*=0.10 buffer175,637113Assume unbiased, P*=0.10 buffer127,638002</s<sub>

543

544 3.6 Simulated prospective application of bias correction and/or buffers to SRFC

545 Simulated intermediate-term (next 25 years) performance (Table 4) of the various

546 forecast treatments showed similar patterns to the retrospective analysis. The probability of

547 overfished status was highest if raw forecasts were used without adjustment, declining if a bias

548 correction was applied and declining with the amount of buffering applied. Similarly,

549 increasingly precautionary approaches decreased the frequency of years with low escapement but

550 increased the frequency of years with low allowable exploitation rates (although allowable

551 F<0.10 was rare across all scenarios, and occurred less than 5% of the time with $P^* \ge 0.25$).

552 Although mean harvest generally declined slightly with increasing precaution, differences were

553 generally small (<10% for P*≥0.25) and sometimes swamped by stochasticity (even with 2,000

replicates) that caused departures from the expected monotonic decline with increased

555 precaution. Median harvest showed a stronger decline with increasing precaution, but remained

556	within 10% of baseline for $P^* \ge 0.33$ without bias correction or $P^* \ge 0.40$ if accompanied by a bias
557	correction. The lack of strong contrast in harvest except for the most precautionary scenarios is
558	because numbers of fish harvested were primarily driven by high abundance years, and mean
559	harvest was sensitive to random variation across runs in how high the highest simulated
560	abundances were.

Table 4. Simulated 25-year performance of SRFC management based on raw forecasts versus adjusted forecasts including a bias
 correction and/or uncertainty buffer.

	Probability	Allowable	Allowable	Mean SRFC	Median SRFC	Escapement	Escapement
Scenario	Overfished	F<0.25	F<0.10	Harvest	Harvest	< S _{MSY}	< MSST
Status quo (raw forecast)	0.27	8%	1.0%	262,544	169,687	47%	31%
Bias adjustment, no buffer (P*=0.5)	0.24	10%	1.6%	258,589	167,066	44%	28%
Bias adjustment, P*=0.45 buffer	0.22	11%	1.9%	251,865	161,478	43%	26%
Bias adjustment, P*=0.40 buffer	0.20	12%	2.2%	257,000	156,847	42%	25%
Bias adjustment, P*=0.33 buffer	0.19	14%	2.7%	251,383	150,369	40%	23%
Bias adjustment, P*=0.25 buffer	0.16	17%	3.6%	244,364	144,533	36%	20%
Bias adjustment, P*=0.10 buffer	0.13	27%	6.8%	221,479	102,482	29%	16%
Assume unbiased, P*=0.45 buffer	0.25	9%	1.4%	266,076	171,333	45%	29%
Assume unbiased, P*=0.40 buffer	0.24	10%	1.6%	252,895	162,101	45%	28%
Assume unbiased, P*=0.33 buffer	0.20	12%	2.1%	260,881	160,687	42%	25%
Assume unbiased, P*=0.25 buffer	0.19	15%	2.9%	248,802	152,169	39%	23%
Assume unbiased, P*=0.10 buffer	0.13	22%	5.1%	235,726	120,924	31%	17%

- 566 **4. Discussion**
- 567

568 *4.1 Prevalence of uncertainty*

569 We found evidence of substantial uncertainty in all salmon forecasts used by the PFMC. 570 Using the full available timeseries for each forecast, Chinook stocks had a median CV of 45% 571 (ranging as high as 119%) and coho stocks had a median CV of 80% (ranging as high as 118%). 572 Lewis (1982, as cited in Vélez-Espino et al. 2019) suggests classifying MAPE<10% as highly 573 accurate forecasting, 10-20% as good forecasting, 20-50% as reasonable forecasting, and 574 MAPE>50% as inaccurate forecasting. Under these criteria, none of the salmon forecasts 575 examined would qualify as either highly accurate or good, while four out of 17 Chinook 576 forecasts, and 13 out of 15 coho forecasts, would qualify as inaccurate. On top of the substantial 577 noise, we detected evidence for bias in multiple forecasts, despite limited statistical power. While 578 performing multiple tests may increase the risk of detecting spurious patterns, failure to account 579 for important covariates can also obscure real effects (Simpson 1951). 580 Forecasts varied in how well their annual performance was described by the assumed 581 lognormal distribution of proportional forecast errors (Figure S.2 in Supplementary Material). 582 This is not surprising given the presence of observation error in postseason abundance estimates 583 that is not accounted for in PFMC salmon management, confounding factors such as abundance 584 (as suggested here), time (Peterman et al. 2016) or environmental conditions (Satterthwaite et al. 585 2020) that may affect forecast performance, and the potential for the effects of confounding 586 factors to vary over time (Litzow et al. 2019). In addition, forecast methods for some stocks may 587 have changed over time in ways not captured by the PFMC reports we relied on for information

588 (SSC 2021a), a common problem in evaluating the performance of forecasts used in management

589 (Peterman et al. 2016).

591 *4.2 Suitability of bias corrections and buffers derived using this approach*

592 We identified statistical evidence of bias in several stocks. However, conclusions about 593 the presence or even sign of bias were not always constant for the full timeseries versus shorter 594 subsets, and precisely quantifying the amount of bias is difficult to impossible given typical 595 inaccuracies and sample sizes. There was a tendency toward poorer forecast performance and 596 over-forecasting at low abundance which we speculate may be statistically inevitable to some 597 extent (i.e., only a limited amount of under-forecasting is possible at low abundance if forecasts 598 are constrained to be positive), but still of concern in terms of its management implications. If a 599 bias correction was deemed suitable for a particular case, we recommend applying the bias 600 correction both when calculating allowable exploitation rates through the application of a control 601 rule, and when inputting the forecast into a harvest model (e.g., SMAW 2022) that requires 602 abundance forecasts for multiple stocks when setting quotas. 603 Application of uncertainty buffers-improved the forecast performance (as measured by 604 MPE or MAPE) for most Chinook stocks and all coho stocks. This approach offers a 605 quantitative, objective, and repeatable method for accommodating uncertainty and specifying 606 degrees of risk tolerance, similar to the P*/ σ approach (Shertzer et al. 2008) used by the PFMC 607 for groundfish and coastal pelagic species (PFMC 2020, 2021b), and by other fishery 608 management entities. Although the annual forecast ratios were not always well described by the

609 fitted lognormal distributions, the same could be said of many of the assessments used in the

610 initial derivation of σ values for use by the PFMC (Ralston et al. 2011, see their Figure 3).

611 Nevertheless, the Ralston et al. (2011) values informed management for about a decade and

612 provided a valuable starting point for later analyses incorporating additional sources of

uncertainty (Wetzel and Hamel 2019, Privitera-Johnson and Punt 2020). Similarly, we view our
proposed method not as an endpoint, but a potential starting point for formally incorporating
uncertainty and risk tolerance decisions into salmon fishery management. If uncertainty buffers
intended to reflect risk aversion are employed, it may be appropriate to incorporate them when
determining allowable exploitation rates, but not when providing forecasts for multiple stocks as
inputs into mixed-stock harvest models (e.g., SMAW 2022) to avoid complications in setting
total catch quotas.

For forecasting methods that are capable of outputting predictive distributions rather than simply point estimates (O'Farrell et al. 2016, Auerbach et al. 2021), the buffer approach might use quantiles of the model-generated predictive distribution, perhaps ideally using a fully Bayesian approach. Additionally, σ values to inform buffer calculations could come from metaanalyses of related forecasts rather than using stock-specific distributions; and the values could be updated only periodically rather than annually to provide for some predictability and stability in the annual management process.

627

628 *4.3 SRFC case study*

For the SRFC case study, applying a bias correction and uncertainty buffer yielded the highest forecast accuracy. Our retrospective evaluation showed that application of a bias correction alone was predicted to result in one less year in an "overfished" state and one less year of escapement below the S_{MSY} reference point. The addition of an uncertainty buffer was predicted to reduce or eliminate time spent in an overfished state. More precautionary buffers were also predicted to further reduce the frequency of under-escapement, including avoiding some cases of escapement below MSST. While application of a bias correction or buffer would

have reduced harvest, the reduction in harvest was similar to or less than the excess catch
attributable to forecast and implementation error over the same years, except for the most
precautionary buffers explored.

Our prospective evaluation for SRFC further demonstrated the ability of a bias correction and/or uncertainty buffer to reduce the risk of an overfished state or under-escapement. This came at a relatively small expected cost to the mean long-term harvest, which is most sensitive to harvest during periods of high abundance. That said, there are social and economic consequences from short-term reductions in harvest opportunity (Richerson and Holland 2017, Richerson et al. 2018) even if mean harvest is modestly affected.

Note that the retrospective analysis reflected restrictions on harvest arising from 645 646 supplemental guidance issued by PFMC to target higher escapement in two years while SRFC 647 was classified as overfished, but in the most highly buffered scenario the overfished state could 648 have been avoided and so presumably harvest could have been higher during those years. In 649 addition, these analyses ignored the benefits to both the fishery and to conservation from 650 increased escapement leading to increased future production (e.g., Munsch et al. 2020), and thus 651 potentially overstate the fishery costs and understate the conservation benefits of bias corrections 652 or buffers. This could be addressed through a fuller management strategy evaluation (Punt et al. 653 2016) incorporating a stock-recruit relationship. The closed loop simulation may further over-654 estimate costs to the fishery because it assumes implementation error is unbiased, whereas the 655 postseason exploitation rate estimate exceeded the preseason expectation every year from 2014-656 2021.

657

658 *4.4 PFMC-specific management implications*

At minimum, the forecast performance statistics calculated here could be used to identify priority forecasts for methodology review. In addition, erring on the side of precaution (incorporating an uncertainty buffer based on a P*<0.50, and possibly a bias correction) might be warranted when applying the control rule for SRFC given its recently overfished state, frequency of under-escapement, and evidence for biased forecasts (especially at low abundance); along with concerns about outdated reference points (Lindley et al. 2009, California HSRG 2012, PFMC 2019, STT 2020, SSC 2021b).

666 The most suitable approaches for other PFMC-managed stocks, particularly the choice of 667 the degree of precaution incorporated into an uncertainty buffer, would require careful stock-668 specific considerations and coordination with co-managers. This should involve analyses of both 669 forecast error and its management consequences, as presented here for SRFC. It is important to 670 note that SRFC had forecast errors larger than most other Chinook stocks examined (e.g., MPE 671 larger than all but three other Chinook stocks), though errors for most coho stocks were 672 comparable or larger. Management performance for stocks with more accurate forecasts might 673 show smaller benefits from bias corrections or buffers. The apparent high frequency of over-674 forecasting in coho could be worrying, especially given its implications for fisheries impacting 675 ESA-listed listed stocks. Thus, while the preferred long-term alternative would be development 676 of unbiased forecasts that fully incorporate multiple sources of uncertainty, a bias correction may 677 be a suitable near-term response for some stocks. Additional precaution might be warranted for 678 stocks classified as overfished, rebuilding, or at risk of approaching an overfished condition (see 679 PFMC 2021a for definitions of these terms), as well as for stocks listed under the Endangered 680 Species Act. It could also be sensible to make the level of precaution a function of abundance or 681 environmental state, with increased precaution at low abundance or when the environmental state

is unfavorable (Harvey et al. 2022) or in a state associated with poor forecast performance in the
past (Satterthwaite et al. 2020). To some extent, the control rules for SRFC and many other
Council-managed stocks (PFMC 2021a) would inherently be more responsive to application of a
buffer when forecasted abundance is low, because the allowable exploitation rate asymptotes at
high abundance such that small adjustments to a large forecast have no effect, but small
adjustments to a small forecast might substantially change the allowable exploitation rate.

688

689 *4.5 Alternative approaches*

690 We have offered a series of approaches for quantifying forecast performance and 691 potential ways to correct for biases and/or apply uncertainty buffers when using forecasts to 692 guide management. There are of course alternative methods for measuring forecast performance 693 (e.g., Ward et al. 2014, DeFilippo et al. 2021, Kiaer et al. 2021) and alternative ways for 694 accounting for uncertainty when making management decisions based on forecasts. Risk tables 695 (Dorn and Zador 2020) might be used for guidance on when forecasts should be treated with 696 more caution, and harvest control rules may be inherently more conservative when forecasted 697 abundance is low (e.g., PFMC 2021a), although it may be important to account for the possibility 698 that true abundance is in the precautionary zone even when a deterministic forecast is not. When 699 in-season updating is possible, this may reduce the need for uncertainty buffers, or may allow for 700 a more precautionary approach early in the course of a terminal run fishery along with more 701 confident management as information accumulates. Improved forecast performance may also 702 reduce the need for precaution, although there are likely limits to achievable forecast skill 703 (Wainwright 2021). For stocks showing trends in forecast performance over time, non-704 stationarity in the drivers incorporated in forecasts may be an important issue (Litzow et al.

705 2019, Duplisea et al. 2019), and it is possible that a moving-window approach might improve 706 performance in such cases. However, a moving-window approach was not well supported in an 707 earlier comparison of forecast methods for our SRFC case study (Winship et al. 2015), although 708 the model chosen for that stock does include an autocorrelated error term that might capture 709 some degree of nonstationary effects. Rather than modifying forecasts, modification of reference 710 points and targets might be an appropriate response to maintain a consistent level of risk 711 tolerance (Roux et al. 2022). Management strategy evaluations (Punt et al., 2016) provide a 712 valuable tool for considering the tradeoffs among management goals and risks.

713

714 *4.6 Broader considerations*

715 We encourage careful consideration of bias and uncertainty, and possible application of 716 bias correction factors and/or uncertainty buffers, throughout the use of forecast models in 717 fishery management. When determining the appropriate level of precaution, careful 718 consideration of the tradeoffs among potentially conflicting goals is warranted (Mildenberger et 719 al. 2022), as illustrated by our case study of SRFC. Different management systems have adopted 720 differing degrees of precaution. For example, ICES (2021) describes an approach where adopted 721 regulations for Atlantic Salmon are expected to achieve conservation criteria with at least 75% 722 probability, loosely corresponding to P*=0.25. Conversely, using raw (or bias-corrected but non-723 buffered) forecasts most of the time but occasionally adopting a more precautionary approach is 724 loosely equivalent to using P*=0.50 (and assuming no bias, if no bias correction is applied) in 725 most years but lower P* in years with worrying conditions (and/or for stocks of particular 726 conservation concern), but less reproducible.

727 Importantly, while discussing the ideas behind this paper with several colleagues 728 involved in salmon fishery management, they indicated their belief that managers providing 729 forecasts for some stocks are already applying informal buffers not reflected in easily-accessed 730 reports. While this may explain some instances of under-forecasting, and could obviate the need 731 for an additional uncertainty buffer, informal or undocumented buffers have the potential to 732 confound harvest models that depend on unbiased forecast estimates for multiple stocks when 733 establishing quotas. We suggest that a formal, documented, and repeatable approach to buffering 734 would be preferable. Similarly, we encourage keeping careful records of the unadjusted forecast 735 for use in future performance evaluations.

736 While we hope that ongoing evaluation and revision of forecasting methods will make 737 them more accurate and reduce the need for the sorts of adjustments described here, we echo 738 Wainwright's (2021) warning that "Improved models and improved indicators can only go so far 739 in reducing prediction error, and are unlikely to completely prevent the sudden prediction 740 failures that characterize salmon management. The best strategy would be to devise management 741 systems that can deal with the uncertainties inherent in [forecasts]." An uncertainty buffer 742 approach like the one we describe here could be a substantial first step in addressing this goal, 743 that should ultimately be accompanied by consideration of uncertainty in escapement, harvest, 744 and resultant total abundance estimates whenever possible. Ideally, estimates of uncertainty in 745 preseason abundance forecasts would be combined with estimates of uncertainty in the achieved 746 harvest rates expected based on the adopted season structure (e.g., SMAW 2022) so that fishery season structures could be evaluated and adopted based on their probabilities of achieving 747 748 escapement goals (SSC 2002).

749

750 Acknowledgments

- 751 We thank Robin Ehlke and all members past and present of the Pacific Fishery Management
- 752 Council's Salmon Technical Team for compiling, maintaining, and reporting information on
- 753 forecast performance. Dan Auerbach, Thomas Buehrens, Carrie Holt, Galen Johnson, Peter
- 754 McHugh, and Michael O'Farrell provided valuable discussions and feedback; and an anonymous
- reviewer provided numerous helpful suggestions. We have no competing interests to declare.
- This research did not receive any specific grant from funding agencies in the public, commercial,
- 757 or not-for-profit sectors.
- 758

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Supplementary Material. Additional details, tables and figures for Satterthwaite and Shelton
 "Methods for assessing and responding to bias and uncertainty in U.S. West Coast salmon
 abundance forecasts"

4

5 Stocks and years excluded from analysis

6 We excluded East Sound Bay Hatchery Chinook from our analysis due to exceptionally 7 poor forecast performance (e.g., forecasts as much as 400x higher than the postseason abundance 8 estimate) and some years with reports of zero returns for this low abundance stock, and excluded 9 Salmon Trout Enhancement Project coho due to limited temporal coverage, low abundance, and 10 one year with returns of zero. We excluded Skagit Hatchery Chinook data prior to 2004 due to 11 several earlier preseason forecasts reported as 0.0. For Washington coastal coho stocks, PFMC 12 reports provided information on forecast performance for 1984-1985 and 1990-2020, due to the 13 gap in temporal coverage we excluded records for 1984-1985.

14

15 Deviations from reported values in PFMC 2022a

16 Although age-specific forecasts are supplied for Klamath River Fall Chinook (KRFC), 17 we evaluated only the composite total adult forecast, since allowable exploitation rates on this 18 stock are driven by expected total adult escapement in the absence of fishing (PFMC 2021a). 19 For Willapa Bay natural coho (WBC), a new forecasting method was adopted for use 20 starting in 2022 (Auerbach et al. 2021, based on methods as detailed in DeFilippo et al. 2021), 21 however the forecast is based on ensemble weighting of at least two methods with the option to 22 add additional methods in the future. Thus, expected performance of the newly adopted, and 23 potentially further revised, methods could not be evaluated at this time. We note however that it 24 may be appropriate to use the internally-generated uncertainty estimates of the WBC forecast 25 rather than quantifying its uncertainty using the approach described here.

- Forecasts for Grays Harbor coho in 1993 and 1994 were reported as ranges, which we
 collapsed to their midpoints for this analysis.
- 28
- 29 Supplemental guidance on escapement
- 30 In 2018, PFMC issued supplemental guidance to target an escapement of at least 151,000.
- 31 In 2019, supplemental guidance specified an escapement target of at least 160,000. A higher
- 32 escapement target was also set for 2022 fishery planning purposes, but incomplete data at the
- 33 time of writing did not allow incorporating that year into the analyses presented here.

Table S.1. Summary of forecast performance for the shared period 2001-2020. Bold text denotes stocks where the 95% confidence interval *C* excluded 1.0.

		post:pre	e ratio				
Species	Stock	C20	CV ₂₀	80% CI20	95% CI ₂₀	σ_{20}	$\sigma_{0,20}$
Chinook	SRFC	0.85	56%	0.73 - 0.99	0.67 - 1.07	0.52	0.55
	KRFC	0.88	55%	0.76 - 1.02	0.70 - 1.10	0.51	0.53
	Columbia URB	1.00	34%	0.91 - 1.10	0.87 - 1.16	0.33	0.33
	Columbia LRW	1.05	51%	0.92 - 1.21	0.85 - 1.30	0.48	0.48
	Columbia LRH	1.00	39%	0.90 - 1.12	0.85 - 1.18	0.37	0.37
	Columbia SCH	0.87	56%	0.75 1.01	0.69 1.09	0.52	0.54
	Columbia MCB	1.02	39%	0.92 1.14	0.86 1.21	0.38	0.38
	NookSamish H&N	0.88	44%	0.78 - 0.99	0.73 - 1.06	0.42	0.44
	Skagit Natural	1.00	41%	0.89 - 1.12	0.84 - 1.19	0.39	0.39
	Stillaguamish Natural	1.05	45%	0.93 - 1.19	0.87 - 1.27	0.43	0.43
	Snohomish Hatchery	0.85	52%	0.74 - 0.98	0.68 - 1.05	0.49	0.52
	Snohomish Natural	0.61	69%	0.51 - 0.73	0.46 - 0.81	0.63	0.80
	Tulalip Hatchery	0.82	117%	0.63 - 1.07	0.55 - 1.23	0.93	0.95
	So Puget Sound H	1.05	38%	0.94 - 1.16	0.89 - 1.23	0.37	0.37
	So Puget Sound N	0.67	75%	0.55 - 0.81	0.50 - 0.89	0.66	0.78
	SJdF Hat + Nat	1.11	36%	1.00 - 1.22	0.95 - 1.29	0.35	0.37
	Hood Canal H+N	1.13	44%	1.00 - 1.27	0.94 - 1.36	0.42	0.44
Coho	Col. Hat early	0.91	62%	0.77 - 1.07	0.71 - 1.17	0.57	0.58
	Col. Hat late	0.87	61%	0.74 - 1.02	0.68 - 1.12	0.56	0.58
	OR Coast Natural	1.17	89%	0.94 - 1.46	0.84 - 1.63	0.76	0.78
	OR Coast N of Blanco	0.85	103%	0.67 - 1.08	0.58 - 1.23	0.85	0.87
	CA+OR Co S of Blanco	0.50	131%	0.37 - 0.66	0.32 - 0.77	1.00	1.23
	OPI-H Total	0.87	57%	0.75 - 1.02	0.69 - 1.10	0.53	0.55
	Quillayute Fall	0.91	48%	0.80 - 1.03	0.74 - 1.11	0.45	0.46

Hoh River	1.02	51%	0.89 - 1.1	.8 0.83 -	1.27	0.48	0.49
Queets River	0.84	87%	0.68 - 1.0	04 0.60 -	1.17	0.75	0.77
Grays Harbor	0.95	67%	0.80 - 1.1	.3 0.73 -	1.24	0.61	0.61
Skagit River	0.94	133%	0.70 - 1.2	25 0.60 -	1.46	1.01	1.01
Stillaguamish River	1.09	100%	0.86 - 1.3	88 0.76 -	1.57	0.83	0.84
Hood Canal	0.96	86%	0.78 - 1.1	.9 0.70 -	1.34	0.74	0.74
Snohomish	0.95	91%	0.76 - 1.1	.9 0.67 -	1.34	0.78	0.78
Str. Juan de Fuca	0.79	88%	0.63 - 0.9	98 0.56 -	1.09	0.76	0.80

Table S.2. Coefficients and associated p-values of models fitting log(postseason:preseason) for each stock as a function of year.

Positive coefficients indicate a tendency to over-forecast early in the time series relative to late in the time series, negative coefficients indicate an increasing tendency toward over-forecasting later in the time series.

	Stock	Years	Coef	р
Chinook	SRFC	1995 - 2021	-0.009	0.44
	KRFC	1985 - 2021	-0.019	0.02
	Columbia URB	1984 - 2021	-0.006	0.18
	Columbia LRW	1988 - 2021	-0.007	0.35
	Columbia LRH	1984 - 2021	-0.005	0.36
	Columbia SCH	1984 - 2021	-0.011	0.11
	Columbia MCB	1990 - 2021	0.000	0.95
	Columbia Summer	2012 - 2021	0.017	0.68
	Nooksack-Samish H&N	1993 - 2020	-0.015	0.12
	Skagit Hatchery	2004 - 2020	-0.037	0.26
	Skagit Natural	1993 - 2020	-0.007	0.52
	Stillaguamish Natural	1995 - 2020	0.008	0.43
	Snohomish Hatchery	1994 - 2020	-0.003	0.83
	Snohomish Natural	1993 - 2020	-0.010	0.44
	Tulalip Hatchery	1993 - 2020	-0.044	0.04
	So Puget Sound H	1993 - 2020	-0.014	0.12
	So Puget Sound N	1993 - 2020	0.017	0.22
	SJdF Hat + Nat	1993 - 2020	0.016	0.08
	Hood Canal H+N	1994 - 2020	-0.018	0.25
coho	Col. Hat early	1996 - 2021	-0.021	0.16
	Col. Hat late	1996 - 2021	-0.016	0.33
	Lower Col. N	2007 - 2021	-0.002	0.97
	OR Coast Natural	1996 - 2021	-0.004	0.86
	OR Coast N of Blanco	1996 - 2021	0.018	0.41

CA+OR Coast S of Blanco	1996	-	2021	-0.070	0.002	
OPI-H Total	1996	-	2021	-0.018	0.20	
Quillayute Fall	1990	-	2020	-0.005	0.62	
Hoh River	1990	-	2020	-0.011	0.29	
Queets River	1990	-	2020	-0.035	0.01	
Grays Harbor	1990	-	2020	0.002	0.90	
Willapa Bay	2010	-	2020	-0.123	0.11	
Skagit River	1997	-	2020	-0.009	0.75	
Stillaguamish River	1990	-	2020	0.046	0.01	
Hood Canal	1990	-	2020	-0.002	0.89	
Snohomish	1990	-	2020	0.004	0.77	
Str. Juan de Fuca	1990	-	2020	-0.016	0.27	

45 **Table S.3**. Performance of raw or adjusted forecasts for the period after the first ten years as measured via Mean Absolute Percent

46 Error (MAPE, a) or Median Log Accuracy Ratio (MLAR, b). C is the median postseason:preseason ratio estimated for the first ten

47 years of data. Start year indicates the beginning of the period over which performance was tested. Note that *C* estimates for the first

48 decade were not always concurrent with the longer-term conclusions regarding bias. Bold text indicates the adjustment (or lack

49 thereof) performing best (closest to zero error, regardless of sign for MLAR) for each stock-performance metric combination. Italics in

the bias corrected, no buffer (i.e., $P^{*}=0.50$) column indicate cases where the bias-adjusted forecast outperformed the "raw" forecast receiving neither a bias correction nor a buffer. (Some cases appear to be ties at the precision reported in the table, but optimal choice

51 receiving neither a bias correction nor a buffer. (Some cases appear to be ties at the precision reported in the table, but optimal choices 52 were identified at full precision.)

a)															
MAPE	First Decade								oias correct	ion		Assume unbiased			
								no							
Sp.	Stock	С	80	% Cl	1	Start	raw	buffer	P*=0.45	P*=0.40	P*=0.33	P*=0.45	P*=0.40	P*=0.33	
Chnk	SRFC	1.08	0.97	- 1	1.22	2005	63%	60%	56%	52%	48%	58%	54%	50%	
	KRFC	1.03	0.78	- 1	1.35	1995	48%	51%	46%	42%	39%	44%	41%	38%	
	Columbia URB	1.12	1.04	- 1	1.20	1994	26%	31%	29%	28%	27%	26%	25%	25%	
	Columbia LRW	1.20	1.06	- 1	1.36	1998	32%	40%	37%	35%	33%	32%	31%	32%	
	Columbia LRH	0.96	0.85	- 1	1.09	1994	25%	27%	26%	26%	26%	25%	26%	27%	
	Columbia SCH	1.05	0.92	- 1	1.21	1994	44%	46%	43%	41%	39%	42%	40%	38%	
	Columbia MCB	1.01	0.90	- 1	1.14	2000	29%	31%	29%	28%	27%	28%	27%	27%	
	NookSamish H&N	1.08	0.90	- 1	1.29	2003	42%	40%	34%	30%	26%	37%	31%	27%	
	Skagit Natural	1.22	0.98	- 1	1.52	2003	27%	34%	30%	27%	25%	25%	23%	24%	
	Stillaguamish Natural	1.03	0.93	- 1	1.15	2005	37%	39%	38%	37%	35%	36%	35%	34%	
	Snohomish Hatchery	1.04	0.83	- 1	1.32	2004	45%	41%	37%	36%	34%	39%	36%	34%	
	Snohomish Natural	0.79	0.68	- (0.91	2003	115%	75%	71%	67%	63%	103%	93%	81%	
	Tulalip Hatchery	1.86	1.49	- 2	2.33	2003	163%	221%	200%	180%	156%	148%	134%	118%	
	So Puget Sound H	1.19	1.06	- 1	1.34	2003	30%	39%	36%	33%	28%	28%	27%	27%	
	So Puget Sound N	0.78	0.68	- (0.89	2003	112%	66%	64%	62%	59%	100%	89%	76%	
	SJdF Hat + Nat	0.90	0.77	- 1	1.06	2003	32%	32%	33%	34%	36%	33%	34%	36%	
	Hood Canal H+N	1.46	1.05	- 2	2.04	2004	43%	59%	52%	46%	42%	41%	41%	43%	

coho	Col. Hat early	1.05	0.87	- 1.27	2006	66%	66%	60%	55%	50%	61%	57%	51%
	Col. Hat late	0.90	0.71	- 1.13	2006	72%	68%	63%	58%	52%	67%	62%	56%
	OR Coast Natural	1.28	0.94	- 1.75	2006	76%	90%	84%	78%	71%	71%	67%	61%
	OR Coast N of Blanco	0.66	0.51	- 0.84	2006	101%	85%	80%	77%	72%	92%	86%	79%
	CA+OR Co S Blanco	1.06	0.82	- 1.36	2006	319%	208%	185%	165%	139%	278%	241%	196%
	OPI-H Total	0.96	0.81	- 1.14	2006	68%	64%	59%	54%	49%	63%	59%	53%
	Quillayute Fall	0.95	0.74	- 1.23	2000	37%	35%	33%	31%	32%	34%	32%	32%
	Hoh River	1.23	0.97	- 1.57	2000	35%	46%	41%	37%	36%	35%	35%	36%
	Queets River	1.21	0.93	- 1.57	2000	78%	94%	83%	73%	62%	69%	62%	52%
	Grays Harbor	0.70	0.56	- 0.86	2000	60%	55%	52%	48%	47%	56%	52%	47%
	Skagit River	0.87	0.61	- 1.24	2007	109%	108%	97%	87%	74%	98%	88%	74%
	Stillaguamish River	0.35	0.27	- 0.46	2000	78%	64%	63%	64%	67%	71%	67%	62%
	Hood Canal	0.65	0.44	- 0.96	2000	64%	56%	51%	49%	51%	55%	51%	49%
	Snohomish	0.62	0.53	- 0.73	2000	81%	74%	70%	66%	63%	76%	71%	65%
	Str. Juan de Fuca	1.13	0.90	- 1.43	2000	94%	97%	89%	83%	76%	86%	79%	72%

b)														
MLAR		First Decade							ion		Assume unbiased			
							no							
Sp.	Stock	С	80%	CI	Start	raw	buffer	P*=0.45	P*=0.40	P*=0.33	P*=0.45	P*=0.40	P*=0.33	
Chnk	SRFC	1.08	0.97 -	1.22	2005	0.30	0.17	0.11	0.05	-0.04	0.23	0.17	0.07	
	KRFC	1.03	0.78 -	1.35	1995	0.13	0.15	0.08	0.01	-0.08	0.07	0.00	-0.10	
	Columbia URB	1.12	1.04 -	1.20	1994	-0.01	0.11	0.09	0.06	0.02	-0.05	-0.08	-0.13	
	Columbia LRW	1.20	1.06 -	1.36	1998	-0.07	0.12	0.07	0.02	-0.05	-0.12	-0.18	-0.26	
	Columbia LRH	0.96	0.85 -	1.09	1994	-0.03	0.02	-0.02	-0.07	-0.13	-0.08	-0.12	-0.19	
	Columbia SCH	1.05	0.92 -	1.21	1994	0.06	0.08	0.04	-0.01	-0.08	0.02	-0.03	-0.10	
	Columbia MCB	1.01	0.90 -	1.14	2000	0.05	0.10	0.05	0.01	-0.06	0.00	-0.04	-0.11	
	NookSamish H&N	1.08	0.90 -	1.29	2003	0.24	0.24	0.19	0.13	0.05	0.19	0.13	0.06	

	Skagit Natural	1.22	0.98	-	1.52	2003	0.08	0.15	0.09	0.03	-0.06	0.02	-0.05	-0.13
	Stillaguamish Natural	1.03	0.93	-	1.15	2005	-0.12	-0.11	-0.15	-0.19	-0.24	-0.16	-0.20	-0.25
	Snohomish Hatchery	1.04	0.83	-	1.32	2004	0.13	0.07	0.00	-0.07	-0.18	0.06	-0.01	-0.11
	Snohomish Natural	0.79	0.68	-	0.91	2003	0.32	0.01	-0.05	-0.12	-0.21	0.25	0.17	0.07
	Tulalip Hatchery	1.86	1.49	-	2.33	2003	0.08	0.46	0.36	0.26	0.12	-0.02	-0.12	-0.26
	So Puget Sound H	1.19	1.06	-	1.34	2003	0.06	0.19	0.14	0.09	0.03	0.02	-0.03	-0.09
	So Puget Sound N	0.78	0.68	-	0.89	2003	0.42	-0.07	-0.14	-0.20	-0.30	0.33	0.23	0.09
	SJdF Hat + Nat	0.90	0.77	-	1.06	2003	-0.20	-0.22	-0.27	-0.32	-0.40	-0.25	-0.30	-0.38
	Hood Canal H+N	1.46	1.05	-	2.04	2004	-0.08	0.22	0.13	0.02	-0.10	-0.17	-0.26	-0.39
C	Col. Hat early	1.05	0.87	-	1.27	2006	0.25	0.22	0.15	0.08	-0.02	0.18	0.11	0.01
	Col. Hat late	0.90	0.71	-	1.13	2006	0.20	0.18	0.11	0.04	-0.07	0.12	0.05	-0.06
	OR Coast Natural	1.28	0.94	-	1.75	2006	-0.25	-0.10	-0.20	-0.30	-0.45	-0.35	-0.46	-0.60
	OR Coast N of Blanco	0.66	0.51	-	0.84	2006	0.04	-0.27	-0.37	-0.48	-0.61	-0.06	-0.17	-0.33
	CA+OR Co S Blanco	1.06	0.82	-	1.36	2006	0.82	0.61	0.53	0.44	0.32	0.72	0.63	0.48
	OPI-H Total	0.96	0.81	-	1.14	2006	0.27	0.22	0.16	0.10	0.02	0.22	0.16	0.07
	Quillayute Fall	0.95	0.74	-	1.23	2000	0.09	0.07	0.00	-0.07	-0.18	0.02	-0.05	-0.16
	Hoh River	1.23	0.97	-	1.57	2000	-0.03	0.15	0.08	0.01	-0.09	-0.09	-0.16	-0.26
	Queets River	1.21	0.93	-	1.57	2000	0.33	0.53	0.44	0.35	0.22	0.24	0.14	0.01
	Grays Harbor	0.70	0.56	-	0.86	2000	0.03	-0.06	-0.13	-0.21	-0.32	-0.04	-0.12	-0.23
	Skagit River	0.87	0.61	-	1.24	2007	0.15	0.16	0.05	-0.06	-0.23	0.04	-0.07	-0.24
	Stillaguamish River	0.35	0.27	-	0.46	2000	-0.24	-0.71	-0.82	-0.94	-1.10	-0.37	-0.50	-0.68
	Hood Canal	0.65	0.44	-	0.96	2000	0.16	0.05	-0.06	-0.16	-0.33	0.04	-0.08	-0.26
	Snohomish	0.62	0.53	-	0.73	2000	-0.07	-0.19	-0.27	-0.34	-0.46	-0.15	-0.23	-0.35
	Str. Juan de Fuca	1.13	0.90	-	1.43	2000	0.11	0.09	-0.01	-0.10	-0.24	0.04	-0.04	-0.15

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- 55 Figure S.1. Forecast error for SRFC as a function of the postseason abundance estimate, along
- 56 with best fit linear model of the logged ratio between the preseason forecast and the postseason 57 abundance estimate.

Postseason abundance estimate

- Figure S.2. Fit of modeled lognormal distributions to annual observations of
- postseason:preseason ratios for each stock.

Snohomish Hat. Chinook Tulalip Hatchery Chinook So Puget Sound H Chinook 2.0 0.0 0.2 0.4 Density Density 1.0 Density 1.0 0.0 0.0 0.5 1.5 0 2 3 0.5 1 4 1.0 1.5 2.0 Post:Pre ratio Post:Pre ratio Post:Pre ratio So Puget Sound N Chinook SJdF Hat + Nat Chinook Hood Canal H+N Chinook 2.0 0.6 Density Density Density 1.0 1.0 0.3 0.0 0.0 0.0 2.0 2 3 5 6 0.0 0.5 1.0 1.5 0.5 1.0 1.5 0 1 4 Post:Pre ratio Post:Pre ratio Post:Pre ratio Col. Hat early coho Col. Hat late coho Lower Col. N coho 0.0 0.4 0.8 1.2 0.6 0.8 Density Density Density 0.4 0.3 0.0 0.0 1.5 2.5 0.5 1.5 0.5 1.5 2.5 3.5 0.5 2.5 Post:Pre ratio Post:Pre ratio Post:Pre ratio **OR Coast N of Blanco coho OR Coast Natural coho** CA+OR Co S of Blanco coho

Density

Density

70

67

68

Post:Pre ratio

1.5

Post:Pre ratio

Grays Harbor coho

2.5

0.5

Density

Hoh River coho

Post:Pre ratio

Queets River coho

Density 0.0 2 0 1

0.6

Density

Willapa Bay coho

Skagit River coho

Post:Pre ratio

5

6

Snohomish coho

Post:Pre ratio

72

71

- 77 Figure S.3. Trends in forecast performance over time for each stock, including best fit model of
- 78 the logged ratio between the postseason estimate and preseason forecast (R) over time.
- 79 Downward slope of the best fit line indicates a tendency toward increased over-forecasting later
- 80 in the time series.

Year

Year

Year

So Puget Sound H Chinook

So Puget Sound N Chinook

86

Hood Canal H+N Chinook

Str. Juan de Fuca coho

Year

