#### **INITIAL REVIEW DRAFT**

#### Regulatory Impact Review/ Regulatory Flexibility Analysis/MSA Analysis for Proposed Amendment to the Groundfish Fishery Management Plan for Deep Sea Coral Research and Restoration

#### June 2024

Lead Agency:	National Marine Fisheries Service, West Coast Region National Oceanic and Atmospheric Administration
Responsible Official:	Jennifer Quan, Administrator West Coast Regional Office, National Marine Fisheries Service (NMFS)
For further information contact:	Jessi Doerpinghaus, Pacific Fishery Management Council Staff Abbie Moyer, NMFS Staff

Abstract: This Regulatory Impact Review/Magnuson Stevens Act Analysis analyzes an action to implement closures to commercial groundfish bottom contact gear in the Monterey Bay National Marine Sanctuary (MBNMS) for deep sea coral research and restoration. The action responds to a request by the Office of National Marine Sanctuaries. The three sites proposed for closure within the MBNMS are Año Nuevo Canyon, Ascension Canyon and Sur Ridge.

Acronym or Abbreviation	Meaning	Acronym or Abbreviation	Meaning
CEQ CFR Council E.O. EA EEZ	Council on Environmental Quality Code of Federal Regulations Pacific Fishery Management Council Executive Order Environmental Assessment Exclusive Economic Zone	NMFS NOAA OFL OMB PPA PRA	National Marine Fishery Service National Oceanic and Atmospheric Administration Overfishing limit Office of Management and Budget Preliminary preferred alternative Paperwork Reduction Act
EFH EIS FMP FONSI FR FRFA ft IRFA m Magnuson- Stevens Act NAO NEPA	essential fish habitat Environmental Impact Statement fishery management plan Finding of No Significant Impact <i>Federal Register</i> Final Regulatory Flexibility Analysis foot or feet Initial Regulatory Flexibility Analysis meter or meters Magnuson-Stevens Fishery Conservation and Management Act NOAA Administrative Order National Environmental Policy Act	RCA RFA RIR SAFE SBA Secretary U.S. USCG VMS WCGOP	Rockfish Conservation Area Regulatory Flexibility Act Regulatory Impact Review Stock Assessment and Fishery Evaluation Small Business Act Secretary of Commerce United States United States Coast Guard vessel monitoring system West Coast Groundfish Observer Program

#### List of Acronyms and Abbreviations

<u>Accessibility of this Document</u>: Effort has been made to make this document accessible to individuals with disabilities and compliant with Section 508 of the Rehabilitation Act. The complexity of this document may make access difficult for some. If you encounter information that you cannot access or use, contact the NMFS West Coast Region so that we may assist you.

#### **Table of Contents**

1	Introduction 4	
	1.1 Problem Statement	4
	1.2 History of this Action	4
	1.3 Description of Management Area	5
2	Description of Alternatives	6
	2.1 No Action	
	2.2 Alternative 1- Año Nuevo and Ascension Canyons	6
	2.3 Alternative 2-Sur Ridge	
	2.4 Final Preferred Alternative	9
	2.5 Comparison of Alternatives	9
3	Regulatory Impact Review	11
	3.1 Statement of the Problem	11
	3.2 Description of the management goals and objectives	
	3.3 Description of Fisheries and Other Affected Entities	
	3.4 Description of the Alternatives	
	3.5 An Economic Analysis of the Expected Effects of Each Selected Alternative Relative to the No Action Alternative	
	3.5.1 Analysis of Expected Effects: No Action	
	3.5.2 Analysis of Expected Effects: Alternative 1	
	3.5.3 Analysis of Expected Effects: Alternative 2	
	3.6 Summation of the Alternatives with Respect to Net Benefit to the Nation	
	3.7 Determination of Significant Impact	18
4	Initial Regulatory Flexibility Analysis	19
	4.1 Description of why action by the agency is being considered	
	4.2 Statement of the objectives of, and legal basis for, the proposed rule	
	4.3 A description and, where feasible, estimate of the number of small entities to which the proposed rule will apply; and	
	description and estimate of economic effects on entities, by entity size and industry	
	4.4 An explanation of the criteria used to evaluate whether the rule would impose "significant" economic effects.	22
	4.5 An explanation of the criteria used to evaluate whether the rule would impose effects on "a substantial number" of su	
	entities	
	<ul> <li>4.0 A description of, and an explanation of the basis for, assumptions used.</li> <li>4.7 Reporting and recordkeeping requirements</li></ul>	
	<ul><li>4.7 Reporting and record council requirements</li><li>4.8 Relevant Federal rules that may duplicate, overlap or conflict with the proposed rule:</li></ul>	
	4.9 A description of any significant alternatives to the proposed rule that accomplish the stated objectives of applications applied to the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications applied to the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications and the proposed rule that accomplish the stated objectives of applications are proposed rule that accomplish the stated objectives of applications are proposed rule that accomplish the stated objectives of applications are proposed rule that accomplish the stated objectives of applications are proposed rule that accomplish the stated objectives of applications are proposed rule that accomplish the stated objectives of applications are proposed rule that accomplish the stated objectives are proposed rule that accomplish the stated objectives of applications are proposed rule that accomplish the stated objectives are	
	statutes and that minimize any significant economic impact of the proposed rule on small entities	
	4.10 Certification statement by the head of the agency.	
5	Magnuson-Stevens Act and FMP Considerations	23
•	5.1 Magnuson-Stevens Act National Standards	
	5.2 Section 303(a)(9) Fisheries Impact Statement.	
6	Other Applicable Laws	
7	Preparers and Persons Consulted	
/ 8	References 28	21
0		

#### List of Tables

Table 1. Coordinates for Año Nuevo Canyon coral restoration and research area (Alternative 1, Option a)	6
Table 2. Coordinates for Ascension Canyon coral research and restoration area (Alternative 1, Option b)	7
Table 3. Coordinates for Sur Ridge coral research and restoration site	8
Table 4. Summary of alternatives and major impacts.	9
Table 5. Average revenues (\$2023) by port group and groundfish sector from PacFIN catch area 1b (40° 30' to36° N. lat.) from 2019-2023. c/ denotes confidential strata.	. 17
Table 4-1. Range of Vessels by Fishery Sector (2019-2023)	.21

### List of Figures

Figure 1. Año Nuevo Canyon coral research and restoration area (Alternative 1, Option a)	6
Figure 2. Ascension Canyon coral research and restoration area (Alternative 1, Option b)	7
Figure 3. Sur Ridge coral restoration and research site (Alternative 2)	8
Figure 4. Map of potential deep sea coral research and restoration locations within the MBNMS	10
Figure 5. Intensity of Fishing Effort (km/km <sup>2</sup> /yr) and footprint (percent of coastwide efforts) for catch shares and non-catch shares fisheries from 2011-2018. Source: WCGOP	
Figure 6. Intensity of Fishing Effort (km/km <sup>2</sup> /yr) and footprint (percent of coastwide efforts) for catch shares and non-catch shares fisheries from 2019-2020. Source: WCGOP	
Figure 7. Intensity of Fishing Effort (km/km <sup>2</sup> /yr) and footprint (percent of coastwide efforts) for catch shares and non-catch shares fisheries from 2021. Source: WCGOP	

# **1** Introduction

This document analyzes proposed management measures that would apply exclusively to the Pacific Coast groundfish fishery. The action would implement a groundfish exclusion area(s) (GEA) for the purposes of coral research and restoration within the Monterey Bay National Marine Sanctuary (MBNMS). GEAs were developed as a part of Amendment 32 to the Groundfish Fishery Management Plan (FMP) (<u>88 FR 83830</u>) and are designed to "mitigate impacts to sensitive environments from certain groundfish fishing activity" (pg. 92 of the Groundfish FMP). GEAs were developed as a specific groundfish conservation area that could "be used to protect sensitive areas that are separate and distinct from groundfish essential fish habitat (EFH)" (<u>88 FR 83830</u>).

This document is a draft Regulatory Impact Review/Regulatory Flexibility Act Analysis/Magnuson-Stevens Act Analysis (RIR/RFAA/MSA). An RIR/RFAA/MSA provides an assessment of the benefits and costs of the alternatives and the distribution of impacts (the RIR), identification of the small entities that may be affected by the alternatives (RFAA), and analysis of how the alternatives align with the MSA National Standards. This RIR/RFAA/MSA addresses the statutory requirements of the Magnuson Stevens Fishery Conservation and Management Act, Presidential Executive Order 12866, and the Regulatory Flexibility Act. An RIR/RFAA/MSA is a standard document produced by the Pacific Fishery Management Council (Council) and the National Marine Fisheries Service (NMFS) West Coast Region to provide the analytical background for decision-making. Analysts have consulted with NMFS West Coast Region and have preliminarily determined that the proposed action falls within one of the NOAA Categorical Exclusion categories listed in Appendix F of the Companion Manual for NOAA Administrative Order 216-6A and that none of the alternatives have the potential to have a significant effect individually or cumulatively on the human environment. This determination is subject to further review and public comment. If this determination is confirmed when a proposed rule is prepared, the proposed action will be categorically excluded from the need to prepare an Environmental Assessment.

#### 1.1 Problem Statement

The purpose of this action is to close areas within the National Marine Sanctuaries off California to commercial groundfish bottom contact gear, in order to protect deep-sea coral research and restoration projects from the impact of fishing gear.

#### **1.2** History of this Action

A <u>Draft Restoration Plan and NEPA Evaluation for the YFD-70 Dry Dock (</u>"Draft Restoration Plan") was released by NOAA for public comment in December 2022 and the public comment period closed March 15, 2023. The Draft Restoration Plan is the result of a Natural Resource Damage Assessment (NRDA) process that began after the dry dock sank in 2016. The NRDA process is driven by law, science, economics, and public input. Through the NRDA process, NOAA determined the extent of natural resources injuries resulting from the dry dock incident and developed the Draft Restoration Plan, which describes and evaluates the locations and methods of proposed restoration activities. Pursuant to the settlement resolving natural resource damages claims associated with the incident, ONMS recovered approximately \$8.7 million for restoration actions.

In February 2023, Greater Farallones NMS (GFNMS) and MBNMS sent a joint letter to the Council to share the Draft Restoration Plan and provide additional details on coral restoration locations planned for restoration actions beginning in 2025 (<u>Agenda Item F.4.a, Supplemental ONMS Report 1, March 2023</u>). That report detailed a proposed deep-sea coral restoration project that focused on deep-sea coral

outplanting<sup>1</sup> in two to five locations. These locations were (and still are) closed to bottom trawl fishing from both federal (i.e., groundfish) and state (e.g., pink shrimp) fisheries in bottom trawl EFH Conservation Areas (EFHCAs), and some portion of four of the five locations in the proposal were closed at the time to non-trawl commercial groundfish in the Non-Trawl Rockfish Conservation Area (RCA).

In that letter, ONMS encouraged the Council to consider pathways to protect potential coral restoration sites from groundfish bottom-contact fishing gear in the future. At the March 2023 meeting, the Council was considering final action on Amendment 32, which made changes to the non-trawl RCA and opened up areas of interest to ONMS for coral restoration. While the timing did not allow for the Council and ONMS to include any area closures for coral restoration under the <u>Amendment 32</u> action, the Council did commit to considering the matter at a future meeting.

In September 2023, ONMS presented a scoping paper that posed five locations (the same general locations posed in the March 2023 letter) with ten areas (or 'sites') within GFNMS and MBNMS (<u>Agenda Item H.2.a.</u> <u>ONMS Report 1, September 2023</u>) for restoration and research (i.e., expanding the previous scope to add research). The potential general sites (outplanting areas) from March 2023 did differ for some of the locations (five larger described areas) that were presented in the September 2023 scoping paper. Ultimately, the Council chose to only continue to scope closures at the locations of Año Nuevo and Ascension Canyons (two sites) and Sur Ridge (one site) for areas to promote coral research and restoration. These three sites have been opened to fishing by non-trawl gears and were never closed through the non-trawl RCA. The Council proposed these areas to be closed to bottom contact gears.

At the March 2024 meeting, the Council adopted a range of alternatives that included modified versions of the Año Nuevo and Ascension Canyon sites to address concerns raised by the public of closing off key fishing grounds shallower than 400 fm (Agenda Item E.2.a, Supplemental NMFS Report 2, March 2024) and enforcement concerns (Agenda Item E.2.a, Supplemental EC Report 1, March 2024). The Council also confirmed that the sites would only be closed to commercial groundfish bottom contact gears and no other gear types or fisheries would be included in the closures. At the June 2024 meeting, the Council is expected to take final action on the closures so that ONMS can begin deep-sea coral outplanting in 2025.

#### **1.3 Description of Management Area**

The action area is within the United States Exclusive Economic Zone (EEZ) within the boundaries of the MBNMS. Some areas within the MBNMS are not considered part of the action area because direct and indirect impacts are not anticipated from any of the alternatives described in Chapter 2.

<sup>&</sup>lt;sup>1</sup> Coral outplanting is a process that takes coral segments "source corals" from healthy colonies and transplants them to a new location.

### 2 Description of Alternatives

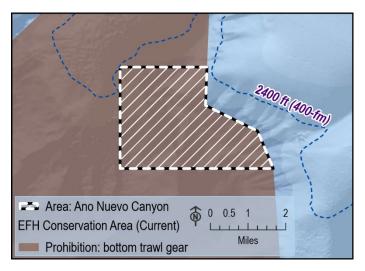
The following alternatives were adopted by the Council at its March 2024 meeting.

#### 2.1 No Action

Under No Action, there would be no new closures implemented for any federal groundfish fisheries for the purposes of coral research and restoration. Fisheries would be able to continue to operate as allowed under groundfish regulations found at 50 CFR 660.

#### 2.2 Alternative 1- Año Nuevo and Ascension Canyons

Alternative 1 would create a GEA closure to commercial groundfish bottom contact gears, for the purpose of deep-sea coral research and restoration, and includes two options, a and b. Both options may be selected as final preferred alternative (FPA).



Option a: Año Nuevo Canyon (Figure 1, Coordinates defined in Table 1)

Figure 1. Año Nuevo Canyon coral research and restoration area (Alternative 1, Option a)

 Table 1. Coordinates for Año Nuevo Canyon coral restoration and research area (Alternative 1, Option a)

Vertices	Coordinates (Decimal Degrees)	
1	36.91755886° N. lat., -122.42741356° W. lon.	
2	36.9175589° N. lat., -122.39404778° W. lon.	
3	36.90284944° N. lat., -122.39463141° W. lon.	
4	36.89305058° N. lat., -122.37460721° W. lon.	
5	36.87869299° N. lat., -122.36859491° W. lon.	
6	36.878693° N. lat., -122.42741356° W. lon.	
7 (Same as 1)	36.91755886° N. lat., -122.42741356° W. lon.	

Option b: Ascension Canyon (Figure 2, Coordinates defined in Table 2)

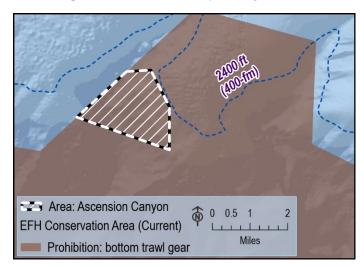


Figure 2. Ascension Can	1 1	1 4 4 (	
FIGURE / Acconcion ( an	van caral recearch an	d restoration area (	Alternative I (Infian h)
rigui c 2. Ascension Can	vun cui ai i cscai ch an	u i colui aliun ai ca c	

Table 2. Coordinates for	Ascension (	Canyon cor	al research	and	restoration	area (	(Alternative	1,
Option b).								

Vertices	Coordinates (Decimal degrees)	
1	36.93068188° N. lat., -122.46581824° W. lon.	
2	36.9312256° N. lat., -122.45655193° W. lon.	
3	36.91718039° N. lat., -122.44940173° W. lon.	
4	36.90060991° N. lat., -122.44940174° W. lon.	
5	36.9131568° N. lat., -122.48495246 ° W. lon.	
6 (Same as 1)	36.93068188° N. lat., -122.46581824° W. lon.	

Under Alternative 1, a closure for bottom contact gear would be developed within the Monterey Bay/Canyon bottom trawl EFHCA at Año Nuevo (Option a) and/or Ascension Canyon (Option b). These options are not mutually exclusive, and the Council could select one or both of the options. Depths for the closures range from 2,493-4,659 ft at Año Nuevo Canyon or 2,395-4,790 ft for Ascension Canyon.

For federal groundfish fisheries, a GEA (groundfish exclusion area) would be implemented at one or both of the two areas. As a reminder, GEAs were developed to protect sensitive areas that are separate from EFH. The only legal commercial non-trawl gear type that would be permitted in this area would be non-bottom contact gears, as specified in the "Fishing Gear" definitions at 50 CFR 660.11(12). Midwater trawl gears would continue to be permitted in the area. Bottom trawl fisheries (state and federal) would continue to be prohibited from operating in this area because it is within the EFHCA. If the EFHCA were to be removed in the future, the GEA would continue to apply to groundfish bottom trawl fisheries as bottom trawl gear is considered bottom contact gear. Recreational groundfish fisheries would not be subject to the GEA.

This action would require a regulatory amendment and an amendment to the FMP. Proposed FMP language is shown in Agenda Item F.2, Attachment 2.

#### 2.3 Alternative 2-Sur Ridge

Alternative 2 would create a GEA closure to commercial groundfish bottom contact gears, for the purpose of deep-sea coral research and restoration.

Under Alternative 2, a GEA for bottom contact gear would be developed at Sur Ridge (Figure 3). Coordinates for the closure can be found in Table 3.

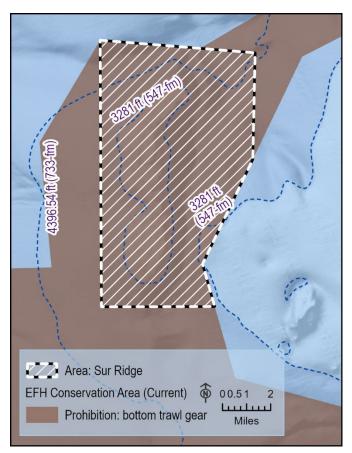


Figure 3. Sur Ridge coral restoration and research site (Alternative 2).

Vertices	Coordinates (Decimal degrees)	
1	36.43333817° N. lat., -122.34684636° W. lon.	
2	36.42576220° N. lat., -122.25391390° W. lon.	
3	36.36187572° N. lat., -122.25532814° W. lon.	
4	36.29922023° N. lat., -122.28545913° W. lon.	
5	36.27374036° N. lat., -122.27816015° W. lon.	
6	36.27352593° N. lat., -122.34606915° W. lon.	
7 (Same as 1)	36.43333817° N. lat., -122.34684636° W. lon.	

As with Alternative 1, for federal commercial groundfish fisheries, a GEA would be implemented at the site. The only legal gear type that would be permitted in this area would be non-bottom contact gears, as specified in the "Fishing Gear" definitions at 50 CFR 660.11(12). Midwater trawl gears would continue to be permitted in the area. Bottom trawl fisheries (state and federal) would continue to be prohibited from operating in this area because it is within the EFHCA. If the EFHCA were to be removed in the future, the GEA would continue to apply to bottom trawl fisheries. Recreational groundfish fisheries would not be subject to the GEA closure.

This action would require a regulatory amendment and an amendment to the groundfish FMP. Proposed FMP language is shown in Agenda Item F.2, Attachment 2.

#### 2.4 Final Preferred Alternative

To be completed after June 2024.

#### 2.5 Comparison of Alternatives

	No Action	Alternative 1	Alternative 2
Location		Año Nuevo/Ascension Canyon	Sur Ridge
Differences in Alternatives (Sections 2.1 and 2.2)			
Area size	No closures	1.83-4.92 sq. nm	36.64 sq. nm
Depth range	No closures	Año Nuevo Canyon: 2,493- 4,659 ft (416-776 fm) Ascension Canyon: 2,395- 4,790 (399-798 fm)	2,690-5,118 ft (448-853 fm)
Environmental			
Impacts			
Fish	No changes	Negligible impacts	Negligible impacts
Protected Species	No changes	Negligible impacts	Negligible impacts
Habitat	No change	Positive impacts	Positive impacts
Ecosystem	No change	Positive impacts	Positive impacts
<b>Economic Impacts</b>			
Fishing effort	No change	Uncertain, but potential shift in effort	Uncertain, but potential shift in effort
Gross Revenue at Risk	No change	Uncertain, but negligible to potential negative	Uncertain, but negligible to potential negative

#### Table 4. Summary of alternatives and major impacts.

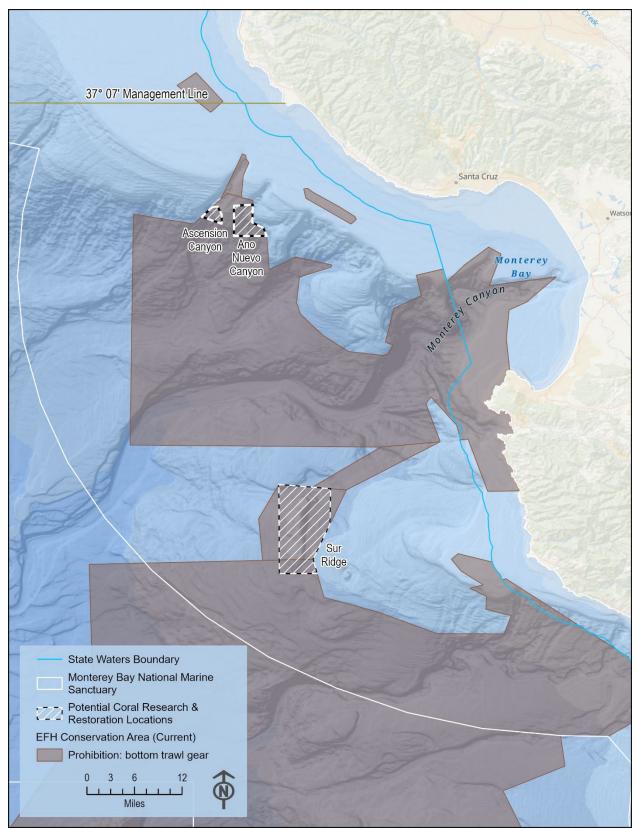


Figure 4. Map of potential deep sea coral research and restoration locations within the MBNMS.

### **3** Regulatory Impact Review

The President of the United States signed E.O. 12866, "Regulatory Planning and Review," on September 30, 1993. This order established guidelines for promulgating new regulations and reviewing existing regulations. The E.O. covers a variety of regulatory policy considerations and establishes procedural requirements for analysis of the benefits and costs of regulatory actions. The E.O. stresses that in deciding whether and how to regulate, agencies should assess all of the costs and benefits of available regulatory alternatives. Based on this analysis, they should choose those approaches that maximize net benefits to the Nation, unless a statute requires another regulatory approach.

NMFS satisfies the requirements of E.O. 12866 through the preparation of an RIR. The RIR provides a review of the potential economic effects of a proposed regulatory action in order to gauge the net benefits to the Nation associated with the proposed action. The analysis also provides a review of the problem and policy objectives prompting the regulatory proposal and an evaluation of the available alternatives that could be used to solve the problem.

The RIR provides an assessment that can be used by the Office of Management and Budget to determine whether the proposed action could be considered a significant regulatory action under E.O. 12866. E.O. 12866 defines what qualifies as a "significant regulatory action" and requires agencies to provide analyses of the costs and benefits of such action and of potentially effective and reasonably feasible alternatives. An action may be considered significant if it is expected to:

- Have an annual effect on the economy of \$200 million or more or adversely affect in a material way the economy, a sector of the economy, productivity, competition, jobs, the environment, public health or safety, or State, local or tribal governments or communities;
- Create a serious inconsistency or otherwise interfere with an action taken or planned by another agency;
- Materially alter the budgetary impact of entitlements, grants, user fees, or loan programs or the rights and obligations of recipients thereof; or
- Raise novel legal or policy issues arising out of legal mandates, the President's priorities, or the principles set forth in E.O. 12866.

#### 3.1 Statement of the Problem

A statement of the problem is available above in Section 1.1 titled "Problem Statement".

#### **3.2** Description of the management goals and objectives

A description of the management goals and objectives can be found in Section 1.1.

#### **3.3 Description of Fisheries and Other Affected Entities**

The groundfish sectors subject to this action include shorebased individual fishing quota (IFQ), limited entry fixed gear (LEFG), and directed open access (OA) vessels using bottom contact gear types. A detailed description of the fishery and affected entities is available in <u>Section 1.4.1.2 of the Stock Assessment and Fishery Evaluation (SAFE)</u> document. This includes a summary of historic harvests, description of management, and economic characteristics of harvesting vessels, processors, and communities.

#### 3.4 Description of the Alternatives

A description of the Alternatives is available in Section 2.

# **3.5** An Economic Analysis of the Expected Effects of Each Selected Alternative Relative to the No Action Alternative

#### 3.5.1 Analysis of Expected Effects: No Action

Under No Action, there would be no new area closures for deep sea coral research and restoration in the MBNMS. Groundfish fisheries would be able to operate as under current regulations. There would be no costs associated with No Action to the fishing industry or NMFS.

#### 3.5.2 Analysis of Expected Effects: Alternative 1

Under Alternative 1, a GEA for coral restoration and research would be implemented at Año Nuevo and/or Ascension Canyon and be applicable to groundfish bottom contact gears. Depending on the option selected, this would result in 4.92 (Option a), 1.83 sq. nm. (option b), or a total of 6.75 sq. nm. of area being closed to applicable groundfish operations.

For groundfish fisheries, there has historically been non-trawl activity within the areas of Año Nuevo and Ascension Canyons in both the non-catch shares and catch shares (i.e., trawl permitted vessels using nontrawl gear or "gear switching") fisheries. Figure 5 through Figure 7 below show the intensity of fishing (color scale) and footprint (grey scale) from 2011-2018, 2019-2020, and 2021, respectively, in the catch shares pot (left panel), non-catch shares pot (middle panel) and hook and line (right panel) fisheries observed by WCGOP. There were no observations from the catch shares hook and line fishery (2011-2021) in the general area of the closure and therefore are excluded from the figures. Additionally, there were no observations from the non-catch shares hook and line fishery in the figure extent in 2021 (Figure 7) and therefore there is not a panel representing this stratum in the figure. The data compared to the potential closures can be seen at the Deep Sea Coral Research and Restoration Scoping Tool. The fishing intensity scale shows the effort by each gear/sector strata in the given year(s) at a finer spatial scale (noting that areas with fewer than three vessels were removed for confidentiality). The footprint scale is at a larger scale (10 x 10 min blocks) and shows the percentage of coastwide effort in that block in that strata; these blocks are not considered confidential even if fewer than three vessels were active in a given time period. As an example of how to read this on the map, in Figure 5 for 2011-2018, the darker shading of grey behind Año Nuevo for catch shares pot (left panel) means that there was a higher percentage of effort coastwide in that block compared to the block to the right of Año Nuevo (lighter grey), but due to confidentiality, the precise intensity scale of the fishing at the finer spatial scale could not be shown. For a full description of the methodology, see https://www.pcouncil.org/documents/2023/05/h-6-a-nmfs-report-6-fishing-effort-in-the-2002-2021-u-s-pacific-coast-groundfish-fisheries-electronic-only.pdf/ Somers, et.al 2023.

Fishing activity was observed in the areas of potential closure under both Alternative 1 options over the time series and, therefore, there is likely to be some impacts to fishing vessels that operate in these areas historically. However, it is not clear how much total fishing activity is taking place in those areas due to confidentiality mandates and the fact that there is less than 100 percent observer coverage in the non-catch shares fisheries (discussed in detail below). In relation to the overall footprint of groundfish bottom contact fishing on the California coast, it is likely that negative impacts would be negligible. That said given the proposed changes to the groundfish fisheries off of areas of California (areas north of 37°07' N lat.) due to restrictions associated with California quillback rockfish and other limited fishing opportunities (such as salmon or crab), it is likely that there could be increased effort in the general area of these proposed closures with vessels being forced offshore or in the groundfish OA sector in general with vessels able to target high

quotas of sablefish. However, it is worth noting that the proposed GEAs are south of the area of California being restricted due to quillback mortality so the impacts to potentially affected individuals may be less than if the proposed closures were north of 37° 07' N. lat.

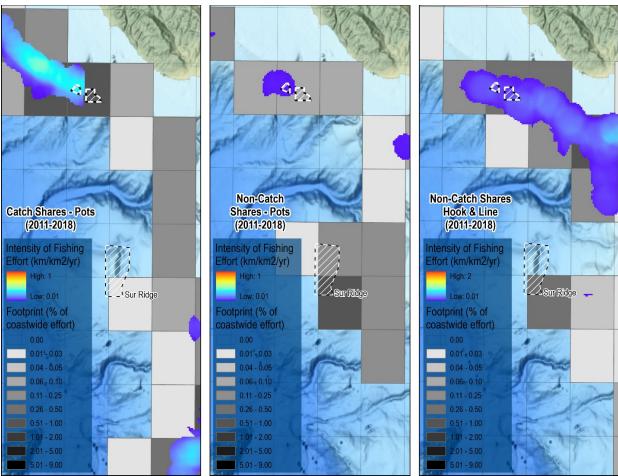


Figure 5. Intensity of Fishing Effort (km/km<sup>2</sup>/yr) and footprint (percent of coastwide efforts) for catch shares and non-catch shares fisheries from 2011-2018. Source: WCGOP

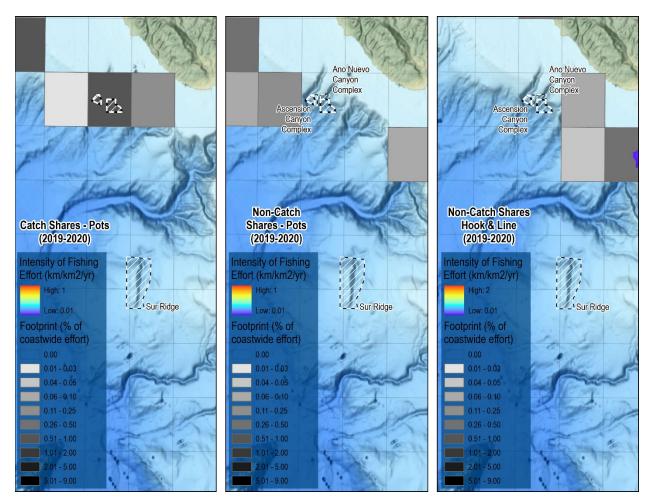


Figure 6. Intensity of Fishing Effort (km/km<sup>2</sup>/yr) and footprint (percent of coastwide efforts) for catch shares and non-catch shares fisheries from 2019-2020. Source: WCGOP

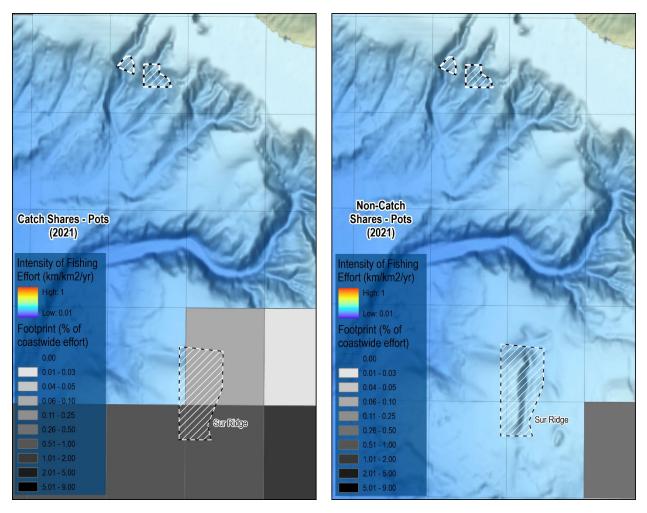


Figure 7. Intensity of Fishing Effort (km/km<sup>2</sup>/yr) and footprint (percent of coastwide efforts) for catch shares and non-catch shares fisheries from 2021. Source: WCGOP

Note that while the intensity and footprint maps may show low levels of fishing effort or a lack of recent historical footprint, these data represent only observed sets and, in the non-catch shares sectors, reflect a relatively low level of observer coverage of the sector. As an example of the limitations of the observer coverage, within the latitudinal bin of  $40^{\circ}$  10' to  $36^{\circ}$  N. lat., only 5 percent of groundfish landed were observed (representing 2.5 percent of coastwide OA landings) on average from 2018-2022. Yet, on average, 45 percent of all OA groundfish landings are landed in this area (Somers, et. al 2023). While this band of latitudes is much larger than the actual proposed action areas, it is the catch area that encompasses the proposed closures (both Alternatives). For the sablefish primary fishery, there were fewer than three vessels in the area annually observed from 2018-2022, with the exception of 2019 when 1.4 percent of the total coastwide sablefish landings were observed (or 9 percent of the landings in the sector were landed in this area. For the limited entry non-sablefish endorsed fishery (i.e., daily trip limit, DTL), it is estimated that an average of 3.4 percent of coastwide landings are observed.<sup>2</sup> No further stratification could occur due to confidentiality. The majority of non-catch shares observed.<sup>2</sup> No further stratification could occur for a verse of 3.4 percent of coastwide landings are observed.<sup>2</sup> No further stratification could occur due to confidentiality. The majority of non-catch shares observed.<sup>3</sup> No further stratification could occur for a verse of 3.4 percent of coastwide landings are observed.<sup>4</sup> No further stratification could occur due to confidentiality. The majority of non-catch shares observations are from the limited entry fixed gear primary fleet, which primarily delivers into Puget Sound, Newport and Coos Bay/Brookings, outside of the

 $<sup>^{2}</sup>$  This value was calculated by dividing the observed landings in the observer coverage report (Somers et al 2023) by the total groundfish landed in the DTL fishery in the GEMM report.

action area (<u>Table 13 of 2022 LEFG Program Review</u>). All catch shares trips are monitored (via electronic monitoring (EM) or observer) and less than 13 percent of total landings are caught in this area on average.

In March 2024, the Council requested that vessel monitoring system (VMS) data be examined to determine how much fishing is potentially occurring in the vicinity of the proposed coral research and restoration areas given the limited observer data. Using VMS data from 2019-2023 with declaration codes associated with non-trawl bottom contact gears<sup>3</sup> and speeds of less than five knots (used to simulate when vessels are fishing rather than transiting), analysts examined fishing track density as a proxy to assess the overall fishing effort in the proposed closed areas. Overall, in the last five years, there has been activity in the proposed Alternative 1 areas of Año Nuevo and Ascension Canyons- which corresponds with what is shown in the WCGOP observer data and what was noted by industry in March 2023 (discussed more below). A closer look at a longer time series of data (2010-2022) for the four declaration codes was also examined. Overall, there has historically been a high degree of fishing effort (based on fishing tracks being a proxy for effort) in the OA hook-and-line sector compared to the other declaration codes. All sectors had some degree of effort historically in the proposed Alternative 1 areas.

While WCGOP and VMS depict some level of fishing in the areas of Alternative 1, these areas were identified as key sablefish grounds by the Groundfish Advisory Subpanel (GAP) and <u>public comment</u> in March 2024. To minimize the impact to participants based on conversations with the GAP, the MBNMS revised the proposed coral restoration and research areas in March 2024 to be at depths greater than 400 fm (Agenda Item E.2.a, Supplemental NMFS Report 2). Sablefish fishing can occur at deeper depths, but it was suggested that by restricting the closures to deeper depths, vessels could maintain access to the canyons in the original proposed closed areas (commonly known as the "Fingers" or "Año Nuevo Fingers"). Given other current restrictions present off the California coast, including the recent and ongoing poor salmon seasons and the nearshore restrictions north of 37°07' N lat. established due to California quillback rockfish being declared overfished, offshore areas are expected to be of increased importance to the portfolios of commercial fisheries.

While the actual impacts to the fishing industry are uncertain, looking at the fishing revenues of various sectors that fish within the area might provide insight to the sectors, ports, and communities that could be impacted. Table 5 below shows the ex-vessel revenues by groundfish sector and port group from landings between 40° 30' and 36° N. lat from 2019-2023. While WCGOP estimates discussed above are for the area spanning 40°10' N. lat. to 36° N. lat., the closest approximation available in the Pacific Fisheries Information Network (PacFIN) is 40° 30' to 36° N. lat. Monterey Bay is the closest port group to the sites in Alternative 1 and therefore could be the most likely to be impacted (assuming vessels in the area are fishing closer to the ports in which they are landing). Within the ports near the proposed GEA closures, Monterey Bay is the most involved (measured as the ex-vessel value in a port as a share of total revenue) in the LE fixed gear DTL fisheries (sablefish and non-sablefish<sup>4</sup>). If vessels are actively fishing in the area of Alternative 1 options, it is possible that vessels could fish in other areas and still maintain their operational levels. The degree of this impact can't be quantified, but depending upon the fishing opportunity typically available in the locations proposed for closure, as compared to different locations, it could range from negligible to something greater. Yet, given the size of the closures relative to the broader

<sup>&</sup>lt;sup>3</sup> Declarations codes used were 210 (Limited entry fixed gear, not including shorebased IFQ), 211 (Limited entry groundfish nontrawl, shorebased IFQ, observer/electronic monitoring), 233 (Open access bottom contact hook-and-line gear for groundfish), and 234 (Open access groundfish trap or pot gear). Note that trips could include multiple declarations. Any trip with one of the four declaration codes listed here were included in the analysis.

<sup>&</sup>lt;sup>4</sup> Table 11-16 of Agenda Item F.4., Attachment 2, April 2022 depicts the involvement of all IOPAC port groups coastwide for non-sablefish fisheries in LEFG with Monterey ranking #3.

fishing footprint along the California coast, the impact is likely negligible to the fleet overall. No impacts to vessel safety are expected with Alternative 1, as GEAs allow for continuous transit.

Sector	Port Group									
	Bod	ega Bay	Ft.	Bragg	Eur	eka	Mc a/	onterey Bay	San	Francisco
Catch Shares	\$	0	\$	0	\$	0	\$	77,084		
LE Fixed Gear DTL	\$	49,330	\$	16,064	\$	0	\$	716,955	\$	18,375
Limited Entry Sablefish	\$	c	\$	500,902	\$	12,314	\$	264,376	\$	88,603
OA Fixed Gear	\$	158,321	\$	316,694	\$	90,357	\$	476,050	\$	443,529

Table 5. Average revenues (\$2023) by port group and groundfish sector from PacFIN catch area 1b (40° 30' to 36° N. lat.) from 2019-2023. c/ denotes confidential strata.

a/ includes Morro Bay port group landings

#### 3.5.3 Analysis of Expected Effects: Alternative 2

Under Alternative 2, a total area of 36.64 sq. n. mi. would be closed to commercial bottom contact groundfish fishing gears. While observer data do not show concentrated fishing intensity in the Sur Ridge area (see Figure 5 through Figure 7), a small amount of groundfish fishing effort was observed occurring in the area (shown by grey shading behind the proposed Sur Ridge closure). However, as described under Alternative 1, there is no way to assess whether the spatial patterns of observed effort are fully representative of the fleet's effort at fine spatial scales. VMS data showed no recent (2018-2023) effort (again, proxy using fishing track length) in the proposed location for Alternative 2 at Sur Ridge. However, historically (2010-2022), there was some effort in the area for the select groundfish non-trawl bottom contact declaration codes- but it was limited across the time series.

Compared to either or both options under Alternative 1, Alternative 2 would close off a larger portion of the currently accessible fishing area to the fleet. The degree of impact is again, not quantifiable, but if fishing activity in the area would be displaced as a result of this action, the net impact would depend on the availability of fishing opportunities with similar proximity to shore, fishing success, and operational costs. The greater depth of Sur Ridge (300 feet deeper than Ascension/Año Nuevo Canyons) could imply a lower baseline level of fishing effort and therefore less impact to fishing activities than the Alternative 1 options. In March 2024, there was less public comment around Sur Ridge, compared to the sites under Alternative 1, likely due to the depths of the proposed closure. However, sablefish vessels do operate at the depths of the Sur Ridge location, or deeper (pers. comm. Gerry Richter), and therefore could be potentially displaced. Overall, impacts are uncertain, but likely to be negligible given the size of the closure relative to the broader fishing footprint off the coast of California. Similar to Alternative 1, the port group most likely to be impacted is Monterey, given the proximity to the proposed closure.

No impacts to vessel safety are expected with Alternative 2, as GEAs allow for continuous transit.

#### 3.6 Summation of the Alternatives with Respect to Net Benefit to the Nation

The action alternatives described below are not mutually exclusive.

• The No Action Alternative would allow for fishing operations to continue as allowed under current regulations. There would be no new closures for deep-sea coral research and restoration, which

may result in the loss of scientific information on corals in these areas and a failure to mitigate adverse environmental impacts, including habitat loss, from the 2016 drydock sinking.

- Under Alternative 1, one or two new GEAs for coral research and restoration would be implemented near Año Nuevo and/or Ascension Canyons. These closures would be beneficial to scientific studies of deep-sea coral research and restoration. The new fishery closures to groundfish participants could impact select sectors in the area, therefore causing a reduction in ex-vessel revenue and impacts to coastal communities. However, the degree to which is uncertain, but likely limited.
- Under Alternative 2, a new GEA for coral research and restoration would be implemented near Sur Ridge. This closure would be beneficial to scientific studies of deep-sea coral research and restoration. The new fishery closures to groundfish participants could impact select sectors in the area to a degree, therefore causing a reduction in ex-vessel revenue and impacts to coastal communities. However, the degree to which is uncertain, but likely more limited than Alternative 1.

#### **3.7 Determination of Significant Impact**

As noted above, under E.O. 12866, a regulation is a "significant regulatory action" if it is likely to: (1) have an annual effect on the economy of \$200 million or more or adversely affect in a material way the economy, a sector of the economy, productivity, competition, jobs, the environment, public health or safety, or State, local, or tribal governments or communities; (2) create a serious inconsistency or otherwise interfere with an action taken or planned by another agency; (3) materially alter the budgetary impact of entitlements, grants, user fees, or loan programs or the rights and obligations of recipients thereof; or (4) raise legal or policy issues for which centralized review would meaningfully further the President's priorities or the principles set forth in this Executive order, as specifically authorized in a timely manner by the Administrator of OIRA in each case. A determination will be made after the selection of the final preferred alternative.

### 4 Initial Regulatory Flexibility Analysis

For any rule subject to notice and comment rulemaking, the Regulatory Flexibility Act (RFA) requires Federal agencies to prepare, and make available for public comment, both an initial and final regulatory flexibility analysis, unless the agency can certify that the proposed and/or final rule would not have a "significant economic impact on a substantial number of small entities". These analyses describe the impact on small businesses, non-profit enterprises, local governments, and other small entities as defined by the RFA (5 U.S.C. § 603). This analysis is to inform the agency and the public of the expected economic effects of the alternatives, and aid the agency in considering any significant regulatory alternatives that would accomplish the applicable objectives and minimize the economic impact on affected small entities. The RFA does not require the alternative with the least cost or with the least adverse effect on small entities be chosen as the preferred alternative.

The IRFA must only address the effects of a proposed rule on entities subject to the regulation (i.e., entities to which the rule will directly apply) rather than all entities affected by the regulation, which would include entities to which the rule will indirectly apply.

Part 121 of Title 13, Code of Federal Regulations (CFR), sets forth, by North American Industry Classification System (NAICS) categories, the maximum number of employees or average annual gross receipts a business may have to be considered a small entity for RFAA purposes. See 13 C.F.R. § 121.201. Under this provision, the U.S. Small Business Administration established criteria for businesses in the fishery sector to qualify as small entities. Standards are expressed either in number of employees, or annual receipts in millions of dollars. The number of employees or annual receipts indicates the maximum allowed for a concern and its affiliates to be considered small (13 C.F.R. § 121.201).

- A <u>fish and seafood merchant wholesaler</u> (NAICS 424460) primarily engaged in servicing the fishing industry is a small business if it employs 100 or fewer persons on a full time, part time, temporary, or other basis, at all its affiliated operations worldwide.
- A business primarily engaged in <u>Seafood Product Preparation and Packaging</u> (NAICS 311710) is a small business if it employs 750 or fewer persons on a full time, part time, temporary, or other basis (13 CFR § 121.106), at all its affiliated operations.

In addition to small businesses, the RFA recognizes and defines two other kinds of small entities: small governmental jurisdictions and small organizations. A small governmental jurisdiction is any government or district with a population of less than 50,000 persons. A small organization is any not-for-profit enterprise that is independently owned and operated and not dominant in its field. (5 U.S.C. § 601). There is no available guidance beyond this statutory language regarding how to determine if non-profit organizations are "small" for RFA purposes. The Small Business Administration (SBA) does have provisions for determining whether a business is "small" for RFA purposes and whether it is "dominant in its field," and those provisions can inform how NMFS classifies non-profit organizations for the purposes of RFA analyses in rulemaking. After consultation with the SBA, NOAA Fisheries has decided to use SBA's size standards for non-profit organizations to determine whether a non-profit organization is "small" and, in turn, whether it is "dominant in its field," to apply the statutory definition of a "small organization" in practice:

- A <u>nonprofit organization</u> is determined to be "not dominant in its field" if it is considered "small" under SBA size standards:
- <u>Environmental, conservation, or professional organizations (NAICS 813312, 813920)</u>: Combined annual receipts of \$19.5 million or less.

• <u>Other organizations (NAICS 813319, 813410, 813910, 813930, 813940, 813990)</u>: Combined annual receipts of \$13.5 million or less.

The SBA size standard for Subsector 487, "Scenic and Sightseeing Transportation, Water", which includes charter fishing, is \$14 million in gross receipts (13 CFR § 121.201).

Provision is made under the SBA's regulations for an agency to develop its own industry-specific size standards after consultation with advocacy and an opportunity for public comment (see 13 CFR 121.903(c)). NMFS has established a small business size standard for businesses, including their affiliates, whose primary industry is commercial fishing (80 FR 81194, December 29, 2015). This standard is only for use by NMFS and only for the purpose of conducting an analysis of economic effects in fulfillment of the agency's obligations under the RFA.

NMFS' small business size standard for businesses, including their affiliates, whose primary industry is <u>commercial fishing</u> is \$11 million in annual gross receipts. This standard applies to all businesses classified under NAICS code 11411 for commercial fishing, including all businesses classified as commercial finfish fishing (NAICS 114111), commercial shellfish fishing (NAICS 114112), and other commercial marine fishing (NAICS 114119) businesses. (50 C.F.R. § 200.2; 13 C.F.R. § 121.201).

#### 4.1 Description of why action by the agency is being considered

The reasons why agency action is being considered are explained in Section 1.1, the "Problem Statement".

#### 4.2 Statement of the objectives of, and legal basis for, the proposed rule

The statement of the objectives of the proposed rule are explained in the "Problem Statement" Section 1.1 above.

Under the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act) (16 U.S.C. 1801, *et seq.*), the United States has exclusive fishery management authority over all marine fishery resources found within the exclusive economic zone (EEZ). The management of these marine resources is vested in the Secretary of Commerce (Secretary) and in the regional fishery management councils. In the West Coast Region, the Council has the responsibility for preparing FMPs and FMP amendments for the marine fisheries that require conservation and management, and for submitting its recommendations to the Secretary. Upon approval by the Secretary, NMFS is charged with carrying out the Federal mandates of the Department of Commerce with regard to marine and anadromous fish.

The LEFG, directed OA, and shorebased IFQ fisheries in the EEZ off the West Coast is managed under the Groundfish FMP. The proposed action under consideration would amend Federal regulations at 50 CFR Subpart C, Section 660.70 and 50 CFR 660, Subpart D-F. Actions taken to implement regulations governing these fisheries must meet the requirements of applicable Federal laws, regulations, and Executive Orders.

# 4.3 A description and, where feasible, estimate of the number of small entities to which the proposed rule will apply; and a description and estimate of economic effects on entities, by entity size and industry.

All commercial groundfish participants that use bottom contact gear for fisheries in the EEZ off Washington, Oregon, and California managed under the Groundfish FMP may be affected by this action. Bottom contact are defined in federal regulation at 50 CFR 660.11:

"fishing gear designed or modified to make contact with the bottom. This includes, but is not limited to, beam trawl, bottom trawl, dredge, fixed gear, set net, demersal seine, dinglebar gear, and other gear (including experimental gear) designed or modified to make contact with the bottom. Gear used to harvest bottom dwelling organisms (e.g. by hand, rakes, and knives) are also considered bottom contact gear for purposes of this subpart."

This list is non-exhaustive. All of the proposed coral research and restoration areas are within bottom trawl EFHCAs, which prohibit all bottom trawl gears from operating within the EFHCA boundaries. Therefore, the action proposed would apply to fishing with non-trawl bottom contact gear types within the proposed area closures. The commercial gears that would be permitted to operate within the closed areas, as currently proposed, are midwater trawl and select non-trawl gear types (commercial vertical hook-and-line gear not anchored to the bottom (e.g., vertical jig gear or rod-and-reel gear with weights suspended off the bottom) and troll gear).

A detailed description of the fishery and affected entities is available in the <u>Stock Assessment and Fishery</u> <u>Evaluation document</u>. This includes a description of the fishery (<u>Chapter 1</u>); description of management, and economic characteristics of harvesting vessels, processors, and communities (<u>Chapter 2</u>); and summary of historic landings and revenue (<u>Chapter 3</u>).

Given that these areas are currently closed to bottom trawl vessels, there would be no new impact to those vessels in implementing any of the alternatives. Therefore, this analysis focuses on non-trawl vessels that would be subject to new area closures.

From 2019-2023, there were 429 distinct vessels that utilized non-trawl bottom contact gears<sup>5</sup> in the three commercial groundfish sectors (directed OA, LEFG, and IFQ gear switching) with an annual average of 174 (Table 4-1), between the latitudes of 40° 30' to 36° N. lat. As described in Section 3.5.2, this is the catch area that encompasses the three proposed coral restoration and research areas and this annual average is likely to be representative of the vessels that would be potentially affected by this action. The majority of affected vessels participate in the directed OA fishery. Due to confidentiality, IFQ gear switching vessels were combined with LEFG vessels.

Fishery Sector	Range	Average
OA	130-172	149
LEFG/IFQ	24-29	26

Table 4-1. Range of Vessels	s by Fishery Sector (2019-2023	3)
-----------------------------	--------------------------------	----

All directed OA vessels are assumed to be small entities, with ex-vessel revenues for all landings (groundfish and non-groundfish) averaging \$71,959. In 2023, 26 of the 29 LEFG permits associated with vessels that would likely be subject to this action (required to fish in the primary or LE trip limit fisheries) were owned by small entities (self reported). For gear switching vessels likely affected by this action, all reported as small entities in 2023.

Note that there is not a strict one-to-one correlation between vessels or permits and entities, therefore some persons or firms likely have ownership interests in more than one vessel or permit. Given these factors, the actual number of entities regulated by this action could be lower than the preceding estimates.

<sup>&</sup>lt;sup>5</sup> Vessels were selected based on FOS\_Groundfish\_Sector\_Codes of "Catch Shares", "LE Fixed Gear DTL", "Limited entry Sablefish", and "OA Fixed Gear" and were not declared (using declaration code) associated with non-bottom contact gears.

# 4.4 An explanation of the criteria used to evaluate whether the rule would impose "significant" economic effects.

The criteria used to evaluate this rule are disproportionality and profitability.

The impact of the proposed action may disproportionately affect small entities compared to large entities and may result in some profit loss, if the proposed areas to be closed are key to the affected parties and there are limited other fishing opportunities available. Given other likely fishing restrictions (nearshore restrictions north of the area, limited to no salmon seasons), offshore opportunities are likely to be of greater importance in the portfolios of California fishing vessels. However, given the size and depth of the proposed closures, it is likely that vessels could move to other areas and maintain harvest opportunities. This ability to shift fishing effort and the actual impact of the proposed closures to profitability is uncertain.

# 4.5 An explanation of the criteria used to evaluate whether the rule would impose effects on "a substantial number" of small entities.

Given that this action would apply to the entirety of all entities fishing with fixed gear, and that the majority are considered small entities, this rule is expected to have an impact on a substantial number of small entities.

#### 4.6 A description of, and an explanation of the basis for, assumptions used.

Section 4.3 describes the data sources and methods used to determine the population of potential affected entities and those that would classify as small entities. Overall, fishing participation levels can change over time, leading to uncertainty in the number of affected entities. However, it is likely that the estimates provided are representative of the potential affected parties.

#### 4.7 Reporting and recordkeeping requirements

There are no reporting or recordkeeping requirements associated with this action.

# **4.8** Relevant Federal rules that may duplicate, overlap or conflict with the proposed rule:

There are no relevant federal rules that duplicate, overlap, or conflict with the proposed rule.

#### 4.9 A description of any significant alternatives to the proposed rule that accomplish the stated objectives of applicable statutes and that minimize any significant economic impact of the proposed rule on small entities

To be completed after final action.

#### 4.10 Certification statement by the head of the agency.

A decision will be determined by the agency after the selection of a final preferred alternative.

# 5 Magnuson-Stevens Act and FMP Considerations

#### 5.1 Magnuson-Stevens Act National Standards

Below are the 10 National Standards as contained in the Magnuson-Stevens Act, and a brief discussion of how each alternative is consistent with the National Standards, where applicable. In recommending a preferred alternative, the Council must consider how to balance the national standards.

**National Standard 1** — Conservation and management measures shall prevent overfishing while achieving, on a continuing basis, the optimum yield from each fishery for the United States fishing industry.

The biennial harvest specifications and management measures undertaken and described in the 2023-2024 Biennial Harvest Specifications and Management Measures EA, establish harvest levels consistent with National Standard 1 and the harvest management framework described in Chapter 4 of the Groundfish FMP. This action does not revise the harvest management framework, or groundfish harvest limits. Proposed GEAs under Alternatives 1 and 2 would protect groundfish habitat (indirectly) within the proposed closed areas. These areas could contribute to productive fish populations. The closure of these areas may displace fishing effort, but the degree to which is unknown. However, given the size of the proposed GEAs, it would be unlikely that this action would prevent the non-trawl fishery from helping the groundfish fishery as a whole achieve optimum yield.

**National Standard 2** — Conservation and management measures shall be based upon the best scientific information available.

The best scientific information available was used to select the proposed deep-sea coral research and restoration sites as outlined in <u>Agenda Item H.2.a, ONMS Report 1, September 2023</u>. Scientific experts in deep-sea coral research and restoration were provided with deep-sea coral location information, historical fishing data, and other background materials, when designing the proposed polygons for closure.

With regards to the fisheries impacts information, fish ticket, observer data, and VMS were used to estimate impacts of the proposed action on the socioeconomic environment. As discussed in Section 3.5, there is limited spatial information about the areas proposed for closure, given the lack of observer coverage and the small areas proposed to be closed. However, the best available historic fish ticket, observer data, and VMS data are used in this analysis, and are the best indicators of historic importance of the areas to the larger fishery.

**National Standard 3** — To the extent practicable, an individual stock of fish shall be managed as a unit throughout its range, and interrelated stocks of fish shall be managed as a unit or in close coordination.

The Council develops and designates management units for groundfish, which include stocks, stock complexes, or geographic subdivisions thereof. The proposed action does not change any management units for groundfish. The alternatives considered would not result in stocks being managed differently throughout their range, nor would they likely fail to manage stocks as a unit.

**National Standard 4** — Conservation and management measures shall not discriminate between residents of different states. If it becomes necessary to allocate or assign fishing privileges among various United States fishermen, such allocation shall be; (A) fair and equitable to all such fishermen, (B) reasonably calculated to promote conservation, and (C) carried out in such a manner that no particular individual, corporation, or other entity acquires an excessive share of such privileges.

The alternatives only apply to commercial groundfish bottom contact gear types fishing in the EEZ off the West Coast and new restrictions would only result for non-trawl vessels from this action. If the bottom

trawl EFHCA currently present in the area(s) were to be removed, bottom trawl vessels would still be subject to closure and therefore there would be no impact.

National Standard 5 — Conservation and management measures shall, where practicable, consider efficiency in the utilization of fishery resources, except that no such measure shall have economic allocation as its sole purpose.

Implementation of the GEAs could have a negative impact on utilization of fishery resources in the nontrawl groundfish fisheries by closing areas to fishing. However, given the small size of the proposed closure areas, it is likely that vessels could shift effort to outside the proposed closures and still utilize the fishery resources.

**National Standard 6** — Conservation and management measures shall take into account and allow for variations among, and contingencies in, fisheries, fishery resources, and catches.

GEAs are designed in a manner in which they can be turned off at a future time if the purpose for which they are implemented is no longer warranted. In the future, if coral planting is not successful in the area, the GEA could be removed, thereby opening up opportunities to fishing vessels.

**National Standard 7** — Conservation and management measures shall, where practicable, minimize costs and avoid unnecessary duplication.

The implementation of these new GEAs would create new costs associated with implementing GEAs and enforcement costs for monitoring the closure(s), as well as costs to industry for avoiding the area(s). However, given the small size of the proposed closures and the ability for enforcement to use VMS, the costs should be relatively minimal overall.

**National Standard 8** — Conservation and management measures shall, consistent with the conservation requirements of this Act (including the prevention of overfishing and rebuilding of overfished stocks), take into account the importance of fishery resources to fishing communities by utilizing economic and social data that meet the requirements of National Standard 2, in order to (A) provide for the sustained participation of such communities, and (B) to the extent practicable, minimize adverse economic impacts on such communities.

Alternatives 1 and 2 would create new discrete fishery closures, which may have some degree of impact on fishing communities if vessels that deliver to those communities currently fishing in the proposed closures have less success fishing at other locations. However, the degree of that impact is uncertain, as it will depend on the ability for vessels to shift effort and still provide deliveries into those communities.

Deep sea coral communities are complex ecosystems that provide sources of food, shelter and spawning grounds for fish and invertebrates. They are reserves for biodiversity and more diverse marine ecosystems, which are generally able to better withstand survival in changing conditions. In addition, the public derives benefits because MBNMS provides outreach on the importance of deep-sea coral communities through video webinars, school curriculum, teacher materials, exhibits in visitor centers, and posters. The proposed closures would generally support these benefits provided by the MBNMS and its coral communities.

**National Standard 9** — Conservation and management measures shall, to the extent practicable, (A) minimize bycatch, and (B) to the extent bycatch cannot be avoided, minimize the mortality of such bycatch.

There are no expected impacts to bycatch of any species outside of No Action as described in the 2023-2024 Harvest Specifications EA.

**National Standard 10** — Conservation and management measures shall, to the extent practicable, promote the safety of human life at sea.

There is no expected impact to the safety of human life at sea outside of that described in the 2023-2024 Harvest Specifications EA.

#### 5.2 Section 303(a)(9) Fisheries Impact Statement

Section 303(a)(9) of the Magnuson-Stevens Act requires that a fishery impact statement be prepared for each FMP or FMP amendment. A fishery impact statement is required to assess, specify, and analyze the likely effects, if any, including the cumulative conservation, economic, and social impacts, of the conservation and management measures on, and possible mitigation measures for (a) participants in the fisheries and fishing communities affected by the plan amendment; (b) participants in the fisheries conducted in adjacent areas under the authority of another Council; and (c) the safety of human life at sea, including whether and to what extent such measures may affect the safety of participants in the fishery.

The RIR prepared for this plan amendment constitutes the fishery impact statement. The likely effects of the proposed action are analyzed and described throughout the RIR. The effects on participants in the fisheries and fishing communities are analyzed in the RIR (Section 3.3). The effects of the proposed action on safety of human life at sea are evaluated in Section 3.5, and above under National Standard 10, in Section 5.1.

The proposed action affects the groundfish fisheries in the EEZ off the West Coast, which are under the jurisdiction of the Pacific Fishery Management Council. Impacts on participants in fisheries conducted in adjacent areas under the jurisdiction of other Councils are not anticipated as a result of this action.

# 6 Other Applicable Laws

#### Executive Order 13175 Consultation and Coordination with Indian Tribal Governments

Executive Order 13175 is intended to ensure regular and meaningful consultation and collaboration with tribal officials in the development of Federal policies that have tribal implications, to strengthen the United States government-to-government relationships with Indian tribes, and to reduce the imposition of unfunded mandates upon Indian tribes.

The Secretary recognizes the sovereign status and co-manager role of Indian tribes over shared Federal and tribal fishery resources. At Section 302(b)(5), the MSA reserves a seat on the Council for a representative of an Indian tribe with Federally-recognized fishing rights from California, Oregon, Washington, or Idaho.

The proposed action and other alternatives have been developed through the Council process. Through the tribal representative on the Council, and the opportunities for public comment provided at Council meetings, the Tribes have had a role in developing the proposed action and analyzing the effects of the alternatives; therefore, the proposed action is consistent with EO 13175.

#### National Marine Sanctuaries Act

The National Marine Sanctuary Act (16 U.S.C. § 1431 et seq.) authorizes NOAA to identify and designate national marine sanctuaries as certain areas of the marine environment with special conservation, recreational, ecological, historical, scientific, educational, cultural, archeological, or aesthetic qualities. NOAA is authorized to develop regulations to protect, restore, and enhance sanctuary resources.

# 7 Preparers and Persons Consulted

#### Preparers

Jessi Doerpinghaus, Pacific Fishery Management Council

Kerry Griffin, Pacific Fishery Management Council

#### Contributors

Sage Tezak, Office of National Marine Sanctuaries

#### Persons (and Agencies) Consulted

Keeley Kent, National Marine Fisheries Service

Abbie Moyer, National Marine Fisheries Service

Karen Grimmer, Officer of National Marine Sanctuaries Shelby Mendez, NEPA Coordinator, National Marine Fisheries Service Corinna McMackin, General Counsel, National Marine Fisheries Service

# 8 References

- Somers, Kayleigh A. et al. (2023). Fisheries Observation Science Program Coverage Rates, 2002-22. https://doi.org/10.25923/mm68-n944
- Somers, Kayleigh A. et al. (2023). Fishing Effort in the 2002–21 U.S. Pacific Coast Groundfish Fisheries. https://doi.org/10.25923/fa7g-yp86