# Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

**Nominees:** This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

**Members:** A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**SSC Nominees and Members:** Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**Questions?** 

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

## **PENALTIES**

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

### STATEMENT OF FINANCIAL INTERESTS

Nominee or Member's Nam	e ( <i>Print last, first, mid</i>	ddle initial) Anderson, Philip, M.	
Name of Council or Counci	I Committee Pacific F	Fishery Management Council	
Type of Appointment (list N	lominee or Member)	Member	_
Type of Filing: <b>■</b> Annual			
In about all and			

#### **Instructions**

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- 2. In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more room to provide detail, please attach those pages to this form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

#### Paperwork Reduction Act

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3<sup>rd</sup> Floor, Silver Spring, Maryland 20910.

#### **Public Access to Information**

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

# **Part 1: Personal Financial Interest**

## **Section 1.1: Assets and Ownership**

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Question 1.1.1 Do you have any <b>sto</b>	ck, equity or ownership interest (whole or partial ownership) in a company or business engaged in
any of the following	activities in any fishery under the jurisdiction of the Council concerned?
● No	
O Yes Check all th	at apply:
	rvesting
	ocessing
	arketing ovides equipment essential to any of the above activities
	ovides other services essential to any of the above activities
share holdings or	scribe each applicable interest, as indicated above. Please include the percentage ownership or any other monetary or in-kind enumeration of the company or business. Please include ess name, date the interest began, and fishery or fisheries of interest.
following activities i  No  Yes  Check all that apply:  Ha  Pr.  M.  Pr.  Pr.	orvesting ocessing arketing ovides equipment essential to any of the above activities ovides other services essential to any of the above activities
share holdings or company or busin Owner, charter	scribe each applicable interest, as indicated above. Please include the percentage ownership or any other monetary or in-kind enumeration of the vessel. Please include vessel name, ness name, date the interest began, gear type and fishery or fisheries of interest. If ishing vessel Monte Carlo, ownership began in 1982, provides a platform reational salmon fishing.

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activities in any fishery under the jurisdiction of the Council	partial ownership) in any <b>other entity</b> engaged in the following
No	concerned:
O Yes	
Check all that apply:	
☐ Harvesting	
□ Processing	
☐ Marketing	
Provides equipment essential to any of the	e above activities
<ul> <li>Provides other services essential to any of</li> </ul>	the above activities
Please list and describe each applicable interest, as indicasts share holdings or any other monetary or in-kind enumeral interest began, and fishery or fisheries of interest.	
following services related to any fishery under the jurisdiction of   No  Yes	artial) in a <b>company, business, or other entity</b> that provides any of the the Council concerned?
Check all that apply: Consulting	
<ul><li>□ Lobbying</li><li>□ Legal</li><li>□ Advocacy</li><li>□ Representational Service</li></ul>	res
Please list and describe each applicable interest, as indice share holdings or any other monetary or in-kind enumera interest began, and fishery or fisheries of interest.	ated above. Please include the percentage ownership or
Question 1.1.5  Do you have have any stock, equity or ownership interest in	

Please list and describe each applicable interest, as indicated above. Please include the percent share holdings or any other monetary or in-kind enumeration of the entity. Please include companse, date the interest began, and fishery or fisheries of interest.	
Section 1.2: Employment	
Question 1.2.1  Do you have employment with any company or business engaged in the following activities in any figurisdiction of the Council concerned?   No	ishery under the
<ul> <li>✓ Yes</li> <li>Check all that apply:         <ul> <li>□ Harvesting</li> <li>□ Provides equipment essential to any of the listed activities</li> <li>□ Processing</li> <li>□ Provides other services essential to any of the listed activities</li> <li>□ Marketing</li> </ul> </li> </ul>	
Please list and describe each applicable interest, as indicated above. Please include beginning a employment, company or business name and fishery or fisheries of interest.	late of
Question 1.2.2  Do you have employment with a fishing vessel engaged in the following activities in any fishery und the Council concerned?  O No O Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities	er the jurisdiction of
Please list and describe each applicable interest, as indicated above. Please include beginning of employment, vessel name, gear type and fishery or fisheries of interest.  I'm the captain of the charter fishing vessel Monte Carlo that I also own. I have vessel since 1982.	

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the Council co	mployment with <b>any other entity</b> engaged in the following activities in any fishery under the jurisdiction of ncerned?
] ] ]	<ul> <li>all that apply:</li> <li>Harvesting</li> <li>Processing</li> <li>Marketing</li> <li>Provides equipment essential to any of the above activities</li> <li>Provides other services essential to any of the above activities</li> </ul>
	and describe each applicable interest, as indicated above. Please include beginning date of at, entity name and fishery or fisheries of interest.
to any fishery  No Yes  Check all that	mployment with <b>any company, business, or other entity</b> that provides any of the following services related under the jurisdiction of the Council concerned?
	nd describe each applicable interest, as indicated above. Please include beginning date of t, entity name and fishery or fisheries of interest.
another entity processing, lol  No Yes Check	mployment with any entity that either owns (wholly or partially) or is owned by (wholly or partially) providing any of the following services, or providing equipment or services essential to harvesting, obying, advocacy, or marketing activities, in any fishery under the jurisdiction of the Council concerned?    Consulting

	escribe each applicable interest, as indicated above. Please include beginning date of tity name and fishery or fisheries of interest.
entities engaged in	yment with <b>an association or organization</b> whose members include companies, vessels, or other any of the following activities in any fishery under the jurisdiction of the Council concerned?
☐ Pr	arvesting rocessing
□ Ad □ M □ Pr	obbying dvocacy larketing rovides equipment essential to any of the above activities rovides other services essential to any of the above activities
	lescribe each applicable interest, as indicated above. Please include beginning date of sociation name and fishery or fisheries of interest.
Section 1.3: Ot	her Service with an association or organization
vessels, or other en	an officer, director, or trustee of any organization or association whose members include companies, tities engaged in any of the following activities in any fishery under the jurisdiction of the Council concerns that apply:  arvesting publying dvocacy larketing
☐ Pr	rovides equipment essential to any of the above activities rovides other services essential to any of the above activities
entity name and I	escribe each applicable interest, as indicated above. Please include beginning date of service, fishery or fisheries of interest.  nember of the Westport Charter Boat Association. I am serving a one year g January 5, 2023

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# Part 2: Financial Interest of Spouses, Partners, and Minor Children

If you have no spouse, partner, or minor children, check the box below and proceed to Part 3.  I have no spouse, partner, or minor children
Section 2.1: Assets and Ownership
Question 2.1.1  Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial ownership) in company or business engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.
Question 2.1.2  Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing vessel engaged in the following activities in any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.  My wife has joint ownership of the charter vessel Monte Carlo. Her joint ownership began in 1982. The Monte Carlo provides a fishing platform for recreational fishing.

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## Ouestion 2.1.3

Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any other entity engaged in the following activities in any fishery under the jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Question 2.1.4  Does your spouse, partner, or minor child have any stock, equity or ownership interest (wholly or partially) in a company, business, or other entity that provides any of the following services for any fishery under the jurisdiction of the Council concerned?  No Yes Check all that apply: Consulting Legal Representational Services Lobbying Advocacy Marketing
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.
Question 2.2 Employment of Spouse, Partner, or Minor Child  Question 2.2.1  Does your spouse, partner, or minor child have employment with any company or business engaged in the following activities in any fishery under the jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities

Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, company or business name and fishery or fisheries of interest.
Question 2.2.2  Does your spouse, partner, or minor child have employment with a <b>fishing vessel</b> engaged in the following activities in any fishery under the jurisdiction of the Council concerned?
No
O Yes
Check all that apply:
☐ Harvesting
□ Processing
<ul><li>Marketing</li><li>Provides equipment essential to any of the above activities</li></ul>
Provides other services essential to any of the above activities
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, vessel name, gear type and fishery or fisheries of interest.
Question 2.2.3  Does your spouse, partner, or minor child have employment with any other entity engaged in the following activities in
any fishery under the jurisdiction of the Council concerned?
No     No
○ Yes  Check all that apply:
☐ Harvesting
□ Processing
Provides equipment essential to any of the above activities
Provides other services essential to any of the above activities  Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.
Question 2.2.4
Does your spouse, partner, or minor child have employment with <b>any company, business, or other entity</b> that provide any of the following services related to any fishery under the jurisdiction of the Council concerned?
No
O Yes
Check all that apply: ☐ Consulting
☐ Lobbying ☐ Legal
☐ Advocacy ☐ Representational Services
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.

## Question 2.2.5

Does your spouse, partner, or minor child have employment with any entity that either owns (wholly or partially) or is
owned by (wholly or partially) another entity providing any of the following services in any fishery under the jurisdiction
of the Council concerned?

<ul> <li>No</li> <li>Yes</li> <li>Check all that apply:</li> <li>□ Consulting</li> <li>□ Legal</li> <li>□ Representational Services</li> </ul>	<ul> <li>☐ Harvesting</li> <li>☐ Processing</li> <li>☐ Lobbying</li> <li>☐ Advocacy</li> <li>☐ Marketing</li> <li>☐ Provides equipment essential to any of the listed activities</li> <li>☐ Provides other services essential to any of the listed activities</li> </ul>	
Please list person and relationship and des beginning date of employment, entity nam	scribe each applicable interest, as indicated above. Please include	

## Question 2.2.6

Does your spouse, partner, or minor child have employment with **an association or organization** whose members include companies, vessels, or other entities engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

•	No						
0	Yes						
	Check all that apply:						
		Harvesting		Consulting			
		Processing		Legal			
		Lobbying		Representational Services			
		Advocacy		·			
		Marketing					
		Provides equipment essential to any of the above activi					
	Provides other services essential to any of the above active						

Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, association name and fishery or fisheries of interest.