# Estimated Discard and Catch of Groundfish Species in the 2019 U.S. West Coast Fisheries

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# **Executive Summary**

The primary objectives of this report are to (1) update estimated fishing mortality of groundfish species in U.S. West Coast fisheries in 2002 to 2018, (2) provide mortality estimates for 2019, and (3) compare the 2019 estimates to annual catch limits (ACLs), acceptable biological catch (ABC), and overfishing limit (OFL) harvest specifications. These management specifications are published in the federal groundfish regulations for selected groundfish species (USOFR 2001, 2015). Based on a recommendation from the Pacific Fishery Management Council's (PFMC) Scientific and Statistical Committee (SSC), we present groundfish mortality estimates by species, whenever possible (PFMC 2014). Our primary findings include that:

- Targeted landings by most fishery sectors were within the range of the last 5 years (2014-2018), except lower landings by the sea cucumber trawl sector and higher landings by the directed Pacific halibut sector (Figure 2).
- Two groupings exceeded 2019 ACLs: shortbelly rockfish (133%) and cabezon/kelp greenling in Washington (104%). More than half of the shortbelly rockfish mortality was attributed to at-sea hake fleet discards, and the majority of the remaining was caught by the shoreside hake fleet (Tables 1 and 2, Figures 3 and 4). Washington recreational fishery landings comprised nearly all mortality of cabezon/kelp greenling in Washington (Table 1, Figure 3).
- Groupings consistently targeted by groundfish fisheries include Pacific hake, Dover sole, and sablefish north of lat 36°N. ACL attainment of Dover sole (12%) was below the five-year range, while sablefish north of lat 36°N. ACL attainment (91%) was near the minimum of the five-year range (Table 1, Figure 3). Pacific hake is managed using total allowable catch (TAC) and, at 72% attainment, was within the five-year range (Table 1, Figure 3).
- Additional groupings with high ACL attainment in 2019 included minor nearshore rockfish north of lat 40°10′N., which was above the five-year maximum at 95%, and petrale sole, which was below the five-year minimum at 90% (Table 1, Figure 3).
- ACL attainment of yelloweye rockfish, the only remaining rebuilding groundfish species, was 61% and within the five-year range (Table 1, Figure 3). Catch by recreational fisheries accounted for the majority of this mortality (Table 2, Figure 4).
- After being declared rebuilt in September 2019, ACL attainment of cowcod rockfish south of lat 40°10′N. was more than 50% and almost double the maximum of the previous five years (Table 1, Figure 3).

ACL attainment for all other groundfish species and complexes was less than 90% (Table 1).

Summaries of 2019 catch from the following groundfish fishery sectors are included: Commercial:

Limited Entry (LE) shorebased individual fishing quota (IFQ) program:\* Bottom trawl gear.

Fixed gear.

Midwater trawl gear, landing 50% or more rockfish.

Midwater trawl gear, landing 50% or more hake.

Bottom trawl gear and using electronic monitoring (EM).

Fixed gear and using EM.

Midwater trawl gear, landing 50% or more rockfish and using EM.

Midwater trawl gear, landing 50% or more hake and using EM.

At-sea hake co-ops:\*

Pacific hake CP.

Pacific hake MSCV. Open Access (OA) fixed gear nearshore (Oregon/California).\* Fixed gear LE sablefish primary season (tier endorsed).\* Fixed gear LE non-primary sablefish (non-endorsed and daily trip limit [DTL] sectors).\* Directed 2A Pacific halibut fishery.\* Fixed gear OA DTL.\* Exempted fishing permit (EFP), not including EM sectors listed above.\* Tribal: Shoreside hake. At-sea hake.\* Recreational (Washington/Oregon/California). Research.

Summaries of 2019 catch from the following commercial non-groundfish fisheries are also included:

OA pink shrimp trawl (Washington/Oregon/California).\* OA ridgeback prawn trawl (California).\* OA bottom trawl targeting California halibut.\* OA bottom trawl targeting sea cucumber (California).\* OA bottom trawl not included above. Other gear groups not included above. Fixed gear targeting non-groundfish.

\* Indicates sectors that use federal observer data for catch estimation.

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#### A note about tables:

Tables 1 and 2 have been typeset in this report. They are also available in the accompanying Excel file, together with Table 3, the Groundfish Expanded Multiyear Mortality data product, and appendix tables (A-1–A-6).

# **Data Sources**

Data sources used to estimate groundfish fishing mortality include landing receipts, onboard observer records, EM logbooks, and recreational and research catch information.

Fleetwide landing receipts (a.k.a. fish tickets) are the cornerstone of retained catch information for all shoreside sectors of the commercial groundfish fishery on the U.S. West Coast. Fish tickets are tripaggregated sales receipts issued to vessels by fish buyers in each port for each delivery of fish and, in most fisheries, are now reported electronically to state agencies. Each state conducts speciescomposition sampling for numerous market categories reported on fish tickets. Market categories represent either a single species or a mixture of species. Fish ticket and species-composition data are submitted by state agencies to the Pacific Fisheries Information Network (PacFIN) regional database, which is maintained by the Pacific States Marine Fisheries Commission (PSMFC). For analytical purposes, we used fish ticket data with PacFIN-applied percentages of each species weight within market categories obtained from species-composition sampling and distributed weights to individual species whenever possible. Landings are presented in round weight (complete weight as caught, prior to any dressing), as any conversion factors (e.g., for at-sea processing) have already been applied by state agencies or in the PacFIN database.<sup>1</sup> Fish ticket landings data for the calendar year 2019 were retrieved from the PacFIN database on 13 April 2020. We allocated these landings to reflect sectors as defined for observer coverage (Figure 1; <u>Appendix B</u>). All additional data processing steps are described in <u>Methods</u>.

"Discard" is defined in this report primarily as catch which is discarded at sea; however, some estimates of additional discard occurring shoreside in optimized or maximized retention are included and explicitly labeled. In all other sectors, WCGOP assumed that the small amount of discard at the dock is accounted for in PacFIN fish ticket landings data. Discard estimation focused on commercial groundfish fishery sectors with scientific at-sea observations of discards conducted by the Fisheries Observation Science Program (FOS), Fishery Resource Analysis and Monitoring Division (FRAM), Northwest Fisheries Science Center (NWFSC). WCGOP and A-SHOP observe distinct sectors of the groundfish fishery. WCGOP observes a number of different sectors of the groundfish fishery, including IFQ shorebased, LE and OA fixed gear, directed Pacific halibut, and state-permitted nearshore fixed gear sectors. WCGOP also observes several fisheries that incidentally catch groundfish, including the pink shrimp, California halibut, California ridgeback prawn, and California sea cucumber trawl fisheries. The A-SHOP observes the catcher-processor (CP) and mothership catcher vessel (MSCV) sectors of the at-sea Pacific hake fishery. No tribal fishing in the at-sea hake fishery occurred in 2019.

The NWFSC's WCGOP was established in 2001 by the National Marine Fisheries Service (NMFS or NOAA Fisheries; USOFR 2001) to improve total catch estimates by collecting information on west coast groundfish species discarded at-sea. All commercial vessels that land groundfish caught in the U.S. Exclusive Economic Zone from 3–200 miles offshore are required to carry an observer when notified to do so by NOAA Fisheries or its designated agent. Subsequent state rule-makings and policies also require vessels that fish for groundfish within three miles of shore, or that participate in other state-managed fisheries, to carry federal observers when notified. The sampling protocol employed by WCGOP primarily focuses on the discarded portion of catch; detailed information on data collection methods employed in each observed fishery can be found in the WCGOP manual (NWFSC 2020). Additionally, observers record haul-level retained amounts, either by estimating based on catch and effort or by transcribing the

<sup>&</sup>lt;sup>1</sup> Scientific names of species and/or groups of species mentioned in this report appear in the List of Species.

captain's visual estimates as recorded in the logbook. These haul-level data are reconciled with the physical measurements reported in trip-level fish ticket landings data, so that the WCGOP estimate of total retained catch is equal to that on landings receipts.

The A-SHOP has conducted observations of the U.S. West Coast at-sea hake (a.k.a., Pacific whiting, henceforth referred to as hake) fishery since 2001. Prior to 2001, observer coverage of the west coast at-sea hake fishery was conducted by the North Pacific Observer Program. Current A-SHOP program information and documentation on data collection methods can be found in the observer manual (NWFSC 2019). The at-sea hake fishery has mandatory observer coverage, with each vessel over 38 m carrying two observers. Beginning in 2011 and in accordance with IFQ/co-op program management, all catcher vessels that deliver to motherships were required to carry WCGOP observers or EM systems in addition to the A-SHOP observers aboard the motherships.

At-sea discards of IFQ species by IFQ vessels participating in the EM exempted fishing permit (EFP) sector in both the shoreside and at-sea processing fleets were recorded by EM systems. Estimates of discard weight by IFQ species or grouping at the haul level for vessels that process catch shoreside were provided by PSMFC and are used in this report.

For all PacFIN, WCGOP, A-SHOP, and PSMFC data, we maintain confidentiality of persons and businesses as required by the Magnuson–Stevens Fishery Conservation and Management Act (MSA), which was most recently reauthorized in 2007. NOAA Fisheries guidance recommends, and FOS follows, the "rule of three," which states that "Information from at least three participants in the fishery must be aggregated/summarized at a temporal and spatial level to protect not only the identity of a person or a business, but also any business information" (N. Cyr, 2009 memorandum to NOAA Fisheries on data aggregation and summarization guidelines).

Groundfish species catch data from the recreational fisheries were provided by the Washington Department of Fish and Wildlife (WDFW) and the California Department of Fish and Wildlife (CDFW) via the Recreational Fisheries Information Network (RecFIN). Additionally, the Oregon Department of Fish and Wildlife (ODFW) provided data directly to the NWFSC FOS. Estimates from all three state agencies include catch weight (discarded and retained) estimates with PFMC-approved mortality rates applied to account for discard mortality (PFMC 2014). WDFW includes only surface-release mortality rates for released rockfish; ODFW and CDFW apply depth-dependent mortality rates.

Each year, a certain portion of the ACL for groundfish species is harvested through research activities. Research programs that caught groundfish included NWFSC's groundfish bottom trawl survey and sablefish tagging and collection research, and the International Pacific Halibut Commission's (IPHC) survey. Total groundfish research catch (discarded and retained) information was provided by NOAA's WCR and compiled by FOS analysts. Catch varies by research permit, including but not limited to a) catch from permits with only retained catch, b) tagging study catch where all fish were released alive, and c) combined discarded and retained catch. In this report, depth-dependent mortality rates (PFMC 2019b) were applied to canary, cowcod, and yelloweye rockfish discards caught using fixed gear and released at depth, where data were available.

In addition to these data sources, discard mortality rates were provided by PFMC's Groundfish Management Team (GMT; PFMC 2014, 2017, 2019b). GMT is an advisory body to PFMC that comprises representatives from federal, state, and tribal agencies and is supports the evaluation of evaluating management performance and alternatives for groundfish fisheries on the U.S. West Coast, between the U.S.–Canada and U.S.–Mexico borders. For the purposes of this analysis, GMT provided discard mortality rates, which estimate the survival of discarded catch for a limited number of species and species groups in sectors using bottom trawl and fixed gears (See Tables A-1 and A-2 or PFMC 2019b). Species-specific mortality rates have not been identified for midwater, shrimp, prawn, or sea cucumber trawl gears, so we assume all discard results in mortality. Changes to estimation, discard mortality rates, and management are documented in Tables A-3 and A-4.

# **Methods**

#### Discard Estimation Methods Overview

We used a deterministic approach to estimate discard mortality for all observed sectors of the groundfish fishery. Observed discard rates for each species were expanded to the fleetwide level to estimate total discard amount. Expansion methods varied slightly between fishery sectors to reflect varying data availability and management structures. The overall WCGOP sampling design is based on a stratified multistage random sampling. This design-based framework distributes observational effort more evenly coastwide than simple random sampling and uses prior landings information to improve the efficiency of sampling allocation. However, strata employed in this report provide mortality estimates that are relevant to the spatial and temporal structure of groundfish management while ensuring adequate sample size and meeting confidentiality mandates.

In all cases where a Fishery Management Plan (FMP) groundfish species grouping, nearshore species grouping, or unsampled catch category was used to compute discard ratios, any retained weights that were recorded by the observer but did not appear on fish tickets were excluded from the denominator. This prevents potential double-counting due to differences in the species codes used by observers and those used by processors. For instance, while observers may record rockfish catch at the species level, various species of rockfish are often aggregated, weighed, and recorded together on the fish ticket under a grouped species code (e.g., NUSP = Northern Unspecified Slope Rockfish). When using a single species in the denominator (e.g., sablefish), any retained weights in observer and fish ticket data that share the same species code will be matched and adjusted. Species were defined and grouped for this report according to WCGOP data processing codes (Table A-5). Occasionally, WCGOP observers identify catch beyond the required taxonomic level, potentially resulting in mortality estimates that do not include catch sampled at the higher taxonomic level; we list the estimates that should be analyzed with caution in Table A-6. The Groundfish FMP provides a complete listing of groundfish species (PFMC 2019a).

As with all point estimates, mortality values presented in Tables 1 and 2 should be considered with caution. Multiple sources of uncertainty that were not accounted for in this analysis might influence mortality estimates, including species composition sampling of landed catch, observed retained weights, and discard mortality rates.

#### IFQ Fishery Discard Estimation

The IFQ/co-op managed groundfish catch share fishery operates with a variety of gear types and target strategies, which depend on where catch is delivered and processed. Fleets that deliver catch to shorebased processors use both trawl and fixed gears. Bottom trawl nets are used to target a variety of groundfish species. Midwater trawl nets are used to target midwater non-hake species, such as widow and yellowtail rockfish, or hake. Fixed gears are used primarily to target sablefish and include pot or trap gear as well as longlines. Fleets that process catch at sea used midwater trawl nets to target hake. Catcher vessels deliver unsorted catch to a mothership for sorting and processing, while CPs process their own catch at sea.

In 2011, the implementation of the IFQ management program resulted in changes to fishing regulations which, in turn, resulted in the development of new methods for estimating fishing mortality in the impacted sectors. In 2015, EM systems provided another option for 100% monitoring of catch of quota species. In the non-hake IFQ sectors, these regulation changes required that vessels must carry either NOAA Fisheries observers or, if operating with an EM EFP, EM systems as well as NOAA Fisheries observers when notified to do so. Regulations also established that the use of multiple gear types (trawl or fixed

gear) were allowed for fishing under a federal groundfish trawl-endorsed permit—although only one gear type is allowed per trip—and that only a single IFQ reporting area could be fished per trip. Additionally, observer sampling priorities were shifted to focus more on IFQ and rebuilding groundfish species.

#### Shorebased IFQ Sectors

Fleetwide discard estimates for the shorebased IFQ sectors were derived from WCGOP observer data, PSMFC EM data, and PacFIN fish ticket landings data. Fish tickets associated with the IFQ fishery were defined by analysts through an extensive quality control and review process of all available data sources.

IFQ bottom trawl vessels can hold a California halibut bottom trawl permit and participate in the statepermitted California halibut fishery. These LE California halibut tows can occur on the same trip as tows targeting IFQ groundfish, and were identified at the tow level based on the use of bottom trawl gear and the following criteria: 1) the target was California halibut and more than 150 lb of California halibut were landed, or 2) the target was nearshore mix, sand sole, or other flatfish, and the tow took place in less than 30 fathoms (fth, ~55 m) and south of lat 40°10′N. All IFQ bottom trawl tows that met at least one of the above requirements were analyzed using methods for IFQ discard estimation to reflect the sampling protocol performed by observers on the boat. Tow targets are typically determined by the vessel captain. Since 2013, however, no LE California halibut tows have been identified.

#### 100% Observed Shorebased IFQ Sectors

Observer data from the IFQ fishery not participating in the EM EFP were stratified by sector, gear type, and management area to the finest possible level while maintaining confidentiality. When sample size was adequate (10 hauls or more per stratum) and data confidentiality rules were met, we further stratified by season and depth. Records were separated into two groundfish management areas: north and south of lat 40°10′N. Each management area was divided into three depth strata (0–125, 126–250, and >250 fth<sup>2</sup>). The fishery was further stratified into two seasonal strata: winter (November–April) and summer (May–October), reflecting seasonal changes in Rockfish Conservation Area (RCA) boundaries, fishing effort, and target species (e.g., winter petrale sole).

On rare occasions (e.g., observer illness), tows or sets are unsampled, although an observer is present on 100% of trips. In some cases, tows or sets may have some portion of unsampled discarded catch recorded in very broad or mixed categories (Table A-7). At the stratum level, we used ratio estimators to apportion any unsampled discard weight to specific species based on the composition of observed catch.

To obtain the estimated discard weight of a species (*W*) when the entire haul or set was unsampled, the unsampled discard weight, summed within the stratum, was multiplied by the ratio of the discard weight of the species (summed across sampled hauls within a stratum) divided by the total discard weight of all species in all sampled hauls within a stratum:

$$W = \sum_{p} x_{p} \times \frac{\sum_{f} w_{f}}{\sum_{f} x_{f}}$$

where, for each stratum,

W = estimated unsampled discard weight of a given species in a stratum,

*p* = unsampled haul,

x = total weight of discarded catch of all species,

<sup>&</sup>lt;sup>2</sup> 0–228, 229–457, >457 m.

*f* = sampled haul, and

*w* = sampled discard weight of a given species.

In hauls with unsampled catch categories, unsampled discard weight was recorded as non-IFQ species (NIFQ) or IFQ species. Unsampled IFQ species weight could be further categorized into IFQ flatfish (IFQFF), IFQ rockfish (IFQRF), IFQ roundfish (IFQRD), and IFQ mixed species (IFQM; Table A-7). IFQM included all IFQ managed species (see Tables A-5 and A-7, or USOFR 2013), while NIFQ included all other fish species. Observers are instructed to avoid double-counting in IFQ hauls or sets by ensuring that unsampled categories do not also contain sampled species. Rarely, observers are unable to sort discard by IFQ category, resulting in unsampled discard that contains both IFQ and non-IFQ species (referred to as ZMIS). Even less often, entire hauls, including species that would have normally been retained, are discarded at sea, due either to errors (e.g., net rips before landed) or operational considerations (e.g., deliberate release of catch from net before landing because of safety or other concerns). In these instances, the observer records a visual estimate as unsorted catch (UNST), including both discarded and retained species. Very infrequently, haul and trip data fail quality control measures. In these cases, observer data for the failed haul or trip were ignored, and discards were estimated based on stratum-level observed discard rates and haul-level estimates of retained values from fish tickets.

To obtain the estimated discard weight of a species (*W*) in strata that include unsampled categories, the unsampled discard weight, summed within the stratum, was multiplied by the ratio of the sampled discard weight of the species to the sampled weight of all species included in an unsampled category (NIFQ, IFQFF, IFQRF, IFQRD, IFQM, or ZMIS) within a stratum. When entire hauls, including species that are typically retained, were unsampled (UNST), the same formula was applied, but included both discarded and retained weight for all species. Data were failed (FAIL) when errors occurred consistently throughout an observer's sampling of a haul or trip. In these cases, discard is estimated using the ratio of sampled discarded to retained weight for each species in the stratum, multiplied by the known retained weight from the fish tickets associated with the failed trip. Estimated discard weight of the species was calculated and summed across unsampled categories as:

$$W = \sum_{y} \left( \sum x_{y} \times \frac{\sum_{f} w_{f,y}}{\sum_{f} x_{f,y}} \right)$$

where, for each stratum,

*W* = estimated unsampled discard weight of a given species within a stratum,

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- y = unsampled catch category (either NIFQ, IFQFF, IFQRF, IFQRD, IFQM, ZMIS, UNST, or FAIL),
- *x* = weight of unsampled catch within a stratum,
- *f* = sampled catch within a stratum, and
- *w* = sampled discard weight of a given species.

Expanded discard weights of a particular species obtained using the equations above for unsampled hauls or partially unsampled hauls (those containing both sampled and unsampled catch categories) were then added to the sampled discard weight of that species within each stratum to obtain the total species-specific discard weight per stratum.

Prior to 2011, the shorebased midwater hake fishery was conducted under an EFP. It continues to operate as a maximum retention fishery, where minor amounts of operational discard at sea are permissible provided the observer accounts for the discarded weight. Prior to 2015, this fishery was

defined based on the species targeted by the captain and recorded in the logbook and observer notes and divided into the IFQ non-hake midwater trawl and the shoreside hake sectors. With new regulations (USOFR 2001, 2015), this fishery is now defined and managed based on percentage of hake landings for each vessel per landing day, so that the fishery now consists of the shoreside midwater hake (landing  $\geq$ 50% hake) and the shoreside midwater rockfish sectors (landing  $\geq$ 50% widow and yellowtail rockfish).

#### Electronically Monitored Shorebased IFQ Sectors

For those IFQ vessels participating in the IFQ EM EFP fishery, discard rules and observer requirements varied by gear. EM systems use video recordings to estimate weights of certain IFQ species that are allowed to be discarded at sea. In 2015, the first year of this EFP, both WCGOP and fishing crews worked to implement and improve procedures for sorting catch into 1) discarded at sea, 2) retained and expected to be landed for revenue, and 3) retained but expected to be discarded shoreside. In 2016 and beyond, these refined protocols provided more accurate discard estimation, as described below.

Vessels fishing using pot or bottom trawl gear could only discard certain species; on those vessels, observer coverage was targeted at a random sample of 30% of trips to result in 25–30% of landings being observed. For non-IFQ species, total at-sea discard estimates were calculated in the same manner described below for non-catch share fisheries. A ratio estimator of observed discard rates from the EM fleet was applied to the total amount of groundfish retained by this fleet, with rates and total landings stratified by gear and by area, where possible while maintaining confidentiality. In addition, observers and fishers worked together to sort non-IFQ species that were not discarded at sea, but were expected to be discarded shoreside. The only species consistently recorded by both observers (as likely shoreside discard) and shoreside processors (on fish tickets) were longnose skate, Pacific grenadier, and spiny dogfish. For all other species, we calculated a "shoreside discard" rate, following the procedures described above for at-sea discard, and multiplied this rate by total groundfish landings. We are confident that very little double-counting between observed estimated shoreside discard and landings on fish ticket receipts occurred, as we specifically excluded species likely to be recorded twice. For at-sea discard of IFQ species, we chose to use EM video reviewer data as the most accurate record, as it provides 100% coverage of at-sea discard for this subset of species. However, a small amount of unmonitored at-sea discard occurs, due to spillage or lost gear; in these cases, we expanded the estimated amount of lost catch based on the known catch composition.

The midwater hake sector operates under maximized retention, so no observer coverage was required on any trips where EM systems were in place. The small amount of at-sea discard of IFQ species was estimated by PSMFC based on video review. Similar to the EM pot and bottom trawl sectors, a small amount of unmonitored at-sea discard was expanded at the haul level, based on the composition of shoreside landings.

#### Mortality Summary for Shorebased IFQ Sectors

We estimated coastwide landings, discard weight (from 100% observer coverage and EM data), and fishing mortality (including discard mortality rates) in the shorebased non-hake IFQ sectors. We applied a 50% mortality rate to discarded sablefish and lingcod weight caught by IFQ bottom trawl and LE California halibut trawl sectors, reflecting guidance from the GMT to use rates used in the pre-IFQ LE groundfish bottom trawl sector. We also applied a 20% mortality rate to discarded sablefish caught by IFQ longline and pot gear, the rate suggested by GMT based on studies used to inform mortality rates in non-nearshore groundfish fixed gear sectors. We applied a 7% mortality rate to discarded lingcod caught by IFQ hook-and-line gear, based on mortality rates applied in other groundfish fixed gear sectors. We also applied discard mortality rate assumptions (previously made for stock assessment purposes) recommended by PFMC's Scientific and Statistical Committee (SSC) for longnose skate (50% for both bottom trawl and fixed gear) and spiny dogfish (50% for hook-and-line; PFMC 2012), as well as for big

skate (50% for bottom trawl; PFMC 2015a, 2015b). No discard mortality rates are applied in midwater trawl sectors.

#### At-Sea Hake Sectors

The midwater trawl fishery for hake comprises three at-sea processing fleets: CPs, MSCVs, and a tribal catcher vessel fleet delivering to motherships. A-SHOP produces estimates of total catch (discarded and retained) in the at-sea hake fishery. Observers sample unsorted catch and provide a visual estimate of the proportion retained, at the species level. Discarded catch weight is calculated on a haul basis for the total weight of all species.

#### California Halibut Bottom Trawl Fishery

Fleetwide discard estimates in the California halibut bottom trawl fishery were derived from WCGOP and fish ticket data. All California halibut vessels are permitted by the state of California, but are considered OA in this report unless they also have a federal LE groundfish permit. Since 2013, no fishing effort has occurred in the LE California halibut fishery. WCGOP randomly samples the OA California halibut fishery following non-catch share sampling priorities, protocols, and selection design.

Discard ratios for the OA California halibut fishery were calculated by dividing the observed discard weight of each species or complex by the observed retained weight of California halibut. Fleetwide landings of California halibut were compiled from OA trawl fish tickets for those vessels that had a state-issued California halibut bottom trawl permit but no federal bottom trawl permit and were used as a multiplier to expand observed discard ratios to the total discard estimate.

The discard estimate for each species was computed based on the following equation:

$$D = \frac{\sum_{t} d_{t}}{\sum_{t} r_{t}} \times F$$

where

*D* = discard estimate for a given species,

*t* = observed tows,

*d* = observed discard weight for a given species,

*r* = observed retained weight of California halibut, and

*F* = weight of retained California halibut recorded on fish tickets for the fleet (expansion factor).

A 50% mortality rate was applied for discarded lingcod and sablefish, based on assumptions made by GMT and carried over from management under the pre-IFQ groundfish bottom trawl sector. We also applied an SSC-recommended discard mortality rate assumption (previously made for stock assessment purposes) of 50% for longnose skate (PFMC 2012) and big skate (PFMC 2015a, 2015b).

#### California Sea Cucumber Trawl Fishery

In 2019, WCGOP observed fewer than three vessels in the sea cucumber trawl fishery. In order to maintain the confidentiality of those data, this report does not include discard estimates but does summarize fleetwide landed catch in Tables 1 and 2. Effort in this fishery was defined as occurring only in California, using shrimp or bottom trawl, and landing more sea cucumber than other species.

#### Pink Shrimp Trawl Fishery

Fleetwide discard estimates for the pink shrimp trawl fishery were derived from WCGOP and fish ticket data. The discard estimate for each species in each state was computed based on the same equation as described above for the OA California halibut fishery, but utilizing pink shrimp as the retained weight for both discard rates and expansion factors. We estimated landings, discard, and total mortality in individual state pink shrimp trawl fisheries.

Prior to 2011, pink shrimp fish tickets in the area north of lat 40°10′N. were compiled for a single discard expansion factor, but pink shrimp fish tickets south of lat 40°10′N. were summarized as part of the remaining incidental fisheries. Observer data from all state pink shrimp fleets in the north were combined to calculate discard rates. In 2010, WCGOP coverage of the Washington pink shrimp fleet began, and coverage of all state fisheries from 2011 to the present was sufficient to improve analysis stratifications.

#### California Ridgeback Prawn Trawl Fishery

WCGOP observed the California ridgeback prawn fishery from 2002–05, covering vessels targeting coonstripe, ridgeback, and spotted prawn, but these data have not been used in discard estimations. Effort in this fishery was defined as occurring only in California, using shrimp or bottom trawl gear, and landing more ridgeback prawn than other species. Discard estimates for each species were computed based on the same equation as described above for the OA California halibut fishery, but utilizing ridgeback prawn as the retained weight for both discard rates and expansion factors. No mortality rates were applied.

#### Non-Nearshore Fixed Gear Fishery

Fleetwide discard estimates for the LE and OA non-nearshore fixed gear sector of the groundfish fishery were derived from WCGOP and fish ticket data. Fish tickets for fixed gear that did not have recorded sablefish or nearshore species were included in the non-nearshore fixed gear sector only if groundfish landings were greater than non-groundfish landings based on a unique vessel and landing date. Fixed gear fish tickets where a) non-groundfish landings were greater than groundfish landings, and b) sablefish or nearshore species were not recorded, were summarized as incidental landings. Fixed gear fish tickets with non-groundfish landings greater than groundfish landings but also containing sablefish were classified as non-nearshore fixed gear. Fish tickets associated with the Pacific halibut directed commercial fishery were identified by the IPHC for 2002–18 in Washington and Oregon. In 2019 and in California, Pacific halibut directed fishery tickets were identified as using line gear and landing Pacific halibut on the day of the opening or within two subsequent days.

Fish tickets were partitioned into three commercial fixed gear subsectors: LE sablefish-endorsed primary season, LE non-sablefish-endorsed, and OA fixed gear groundfish. Vessels landing catch without a federal groundfish permit were classified as the OA fixed gear groundfish subsector. Those vessels landing catch with a federal groundfish permit were further separated based on whether the vessel's federal groundfish permit(s) had a sablefish endorsement with tier quota for the primary season or whether it was not endorsed (also referred to as zero-tier permits). Fish tickets for all LE vessels with tier sablefish endorsements operating during the sablefish primary season (April–October) and within their allotted tier quota were placed in the LE sablefish-endorsed primary subsector. If LE sablefish-endorsed vessels fished outside of the primary season (November–March) or made trips within the season after they had reached their cumulative tier quota, the fish tickets were placed in the LE non-sablefish-endorsed subsector. Fish tickets from non-sablefish-endorsed LE vessels were also placed in this subsector.

Data used in these analyses were collected by WCGOP from the following fixed gear subsectors in order of priority: LE sablefish-endorsed primary season fixed gear, LE zero-tier (non-sablefish-endorsed), and OA non-nearshore fixed gear. LE sablefish-endorsed vessels that were fishing outside of the primary season or that had reached their cumulative tier quotas in the primary season were not observed. However, observed LE zero-tier discard rates were assumed to be the most comparable discard rates and were used to estimate discard based on these landings.

Observer data were stratified by subsector, gear type, and area as possible while maintaining confidentiality and appropriate sample size. Area strata (north and south of lat 36°N) are based on PFMC area management for sablefish trip limits. Gear type was defined as longline or pot/trap gear. Explicit depth stratification of fixed gear fishing effort is not possible due to a lack of fleetwide records. If landings were made by a fixed gear subsector for which there were no or very few WCGOP observations, the most appropriate observed discard ratios were selected and applied to these landings based on similarities in the fishery management structure, fishing and discard behavior, and the gear fished. For example, observed discard rates from the OA fixed gear pot sector were used to estimate the total discard associated with the small amount of groundfish landed by the pot gear portion of the LE non-sablefish-endorsed subsector, which is unobserved. Retained groundfish was used as the denominator, rather than sablefish weight alone, to reflect the wider range of target species in some subsectors, primarily fixed gear fisheries south of lat 36°N. A 20% mortality rate is applied for discarded sablefish and a 7% rate for line-caught discarded lingcod, based on guidance from GMT. We also applied SSC-recommended discard mortality rates (previously made for stock assessment purposes) for longnose skate (50%) and spiny dogfish (50%; PFMC 2012).

#### Directed Pacific Halibut Fishery

As described above in the non-nearshore fixed gear sector, this fishery was defined based on IPHCidentified tickets using line gear and landing Pacific halibut within two days of the halibut fishery openings. Effort in this fishery occurs primarily in Washington and Oregon. Discard estimates for each species were computed based on the equation for the OA California halibut fishery, but utilizing Pacific halibut as the retained weight for both discard rates and expansion factors. Because the gear and effort in this fishery are similar to the non-nearshore and catch share hook-and-line fisheries, the same mortality rates were applied to discarded lingcod (7%), longnose skate (50%), sablefish (20%), and spiny dogfish (50%).

#### Nearshore Fixed Gear Fishery

Fleetwide discard estimates for the commercial nearshore fixed gear sector of the groundfish fishery were derived from WCGOP observer data, fish ticket landings, and mortality rates provided by GMT (Table A-2).

WCGOP selects commercial nearshore vessels in California and Oregon for observer coverage based on state-issued nearshore permits or licenses; no nearshore fishery exists in Washington. Although California and Oregon nearshore fisheries are sampled separately for observer coverage, fleetwide discard estimates are provided for the areas north and south of the groundfish management line at lat 40°10′N., in accordance with federal groundfish management specifications.

We applied a discard mortality rate of 7% for all FMP species without swim bladders (Albin and Karpov 1996). In June 2017, GMT provided revised depth-specific discard survival assumptions for some nearshore species (Table A-2). This update separated the >20 fth depth bin into 20–30 fth and >30 fth, allowing for more accurate accounting of discard mortality by depth, and provided distinct rates north and south of lat 40°10'N. that reflect the differing depth distributions of observed fishing effort and align

with recreational mortality rates using similar gear (PFMC 2017). We first generated estimates of the depth distribution of landings (0–10 fth, 11–20 fth, 21–30 fth, and >30 fth) based on the observed percentage of catch for each species or complex from 2003 to the most current year of data.<sup>3</sup> Using data from all previously observed years ensures that data are comparable across years and that proportions are available for all species landed in a given year. Annual fleet landings of each nearshore species and complex were then distributed among depth intervals using the observed percentages. Finally, the total distributed landed weights of all nearshore groundfish species within each depth stratum were used to expand observed discard to the fleetwide level.

Prior to the calculation of discard ratios in this sector, WCGOP observer data were stratified by area and depth. Discard ratios were calculated within each stratum by dividing the discard weight of each species or complex by the retained weight of nearshore species. Observed discard ratios were multiplied by the allocated landed weight of all nearshore groundfish species within each depth stratum, and then by the depth-specific discard mortality rates.

#### Other Commercial Data Summaries

Landings of groundfish species from other non-groundfish fisheries operating under federal OA landing limits, which are mostly state-managed, and a small number of EFPs outside of the EM program, are summarized as incidental. Other than observed non-EM EFP trips, catch summaries of incidental fisheries are based exclusively on fish ticket data and therefore do not include any estimates of discards at sea.

Landings of groundfish species from the Washington tribal shorebased fisheries are included in Table 1. The Washington tribal data are based exclusively on fish ticket data, because tribal directed groundfish fisheries employ full retention requirements. In addition, both the Makah bottom trawl and midwater (targeting yellowtail rockfish) trawl sectors are monitored at a target tribal observation rate of 15%. The PFMC accounts for discard mortality of fixed gear sablefish by reducing the tribal allocation appropriately. For more information on discard and retention in tribal sablefish fisheries and Makah trawl observations, see PFMC and NMFS (2012), Appendix B.

Groundfish species catch from research activities and each state's recreational fisheries, combined across all gear types, is also summarized in Table 1.

Bycatch estimation and summaries for managed and protected fish species observed by WCGOP and A-SHOP are available in separate reports: Pacific halibut (see September PFMC Briefing Book), salmon species (Richerson et al. 2019a), green sturgeon (Richerson et al. 2019b), and eulachon (Gustafson et al. 2019). Mortality estimations from 2002-19 for all non-protected fish species are available in Table 3 and in the Groundfish Expanded Mortality Multiyear (GEMM) product on the <u>FRAM Data Warehouse</u><sup>4</sup>.

#### **Cumulative Mortality Estimation Methods**

We calculated the cumulative mortality for each species in a sector as the sum of the total discard mortality and retained weight. To calculate the cumulative mortality across all sectors, we summed the estimated discard mortality and retained weight from all observed sectors, the retained weight from unobserved incidental fisheries, and the mortality estimates from research and recreational sectors.

<sup>&</sup>lt;sup>3</sup> 10 fth  $\cong$  18 m, so the depth distributions are approximately 0–18 m, 19–36 m, 37–54 m, and  $\ge$ 55 m.

<sup>&</sup>lt;sup>4</sup> https://www.nwfsc.noaa.gov/data

## Results

Targeted landings are defined using the same species or set of species defined in the Methods as the retained weight for both discard rates and expansion factors. Sea cucumber trawl targeted landings in 2019 were less than annual landings over the previous 5 years (2014-2018), while landings in the directed Pacific halibut fishery were greater (Figure 2). Targeted landings by all other sectors were within the five-year range. However, catch shares fixed gear, midwater rockfish, and shoreside hake landed near the maximum of the range, and catch shares bottom trawl, pink shrimp, and ridgeback prawn landings were close to the minimum.

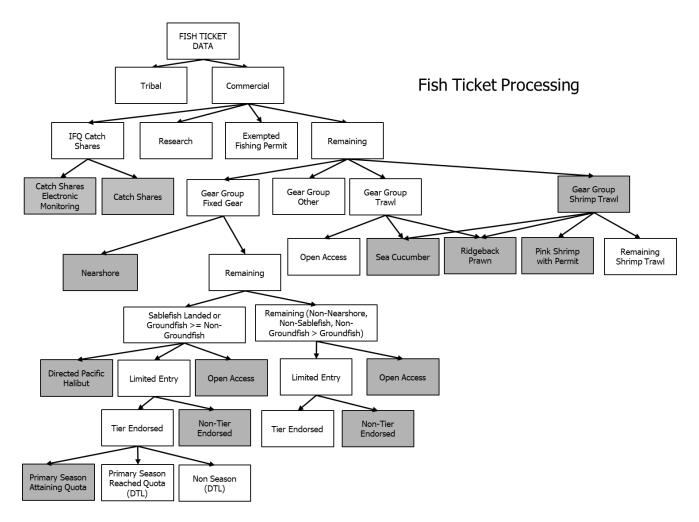
Pacific hake, Dover sole, and sablefish north of lat 36°N are consistently targeted by groundfish fisheries. In 2019, ACL attainment of Dover sole was only 12%, lower than the five-year range (Table 1, Figure 3). Nearly all mortality came from landings by the catch shares bottom trawl fleet (Table 2, Figure 4). Pacific hake is managed using TAC and, at 72% attainment, was within the five-year range; this mortality was almost evenly split between landings of the at-sea and shoreside hake fleets (Tables 1 and 2, Figures 3 and 4). Attainment of the sablefish north of lat 36°N. ACL was near the minimum of the five-year range, and the majority of mortality was attributed to landings by the catch shares bottom trawl and fixed gear and non-catch shares fixed gear fleets (Tables 1 and 2, Figures 3 and 4).

In addition to these targeted groupings, cabezon/kelp greenling in Washington, minor nearshore rockfish north of lat 40°10'N, petrale sole, and shortbelly rockfish were highly attained in 2019 (Table 1, Figure 3). ACL attainment of shortbelly rockfish (133%) was greater than the maximum of the previous five years, and more than half of the mortality was associated with at-sea hake fleet discards, followed closely by shoreside hake fleet catch (Tables 1 and 2, Figures 3 and 4). The ACL of cabezon/kelp greenling in Washington was exceeded (104%), almost entirely due to Washington recreational fishery landings (Table 1, Figure 3). This management grouping began in 2019 so could not be compared to previous years. The ACL attainment of the nearshore complex (95%) was above the maximum of the previous five years (Table 1, Figure 3). Landings by recreational fisheries contributed more than half of this mortality, and the majority of the remaining was associated with landings by the non-catch shares fixed gear fleet (Table 2, Figure 4). Although 90% of the petrale sole ACL was attained, this was below the previous five year minimum; landings by the catch shares bottom trawl fleet comprised nearly all mortality.

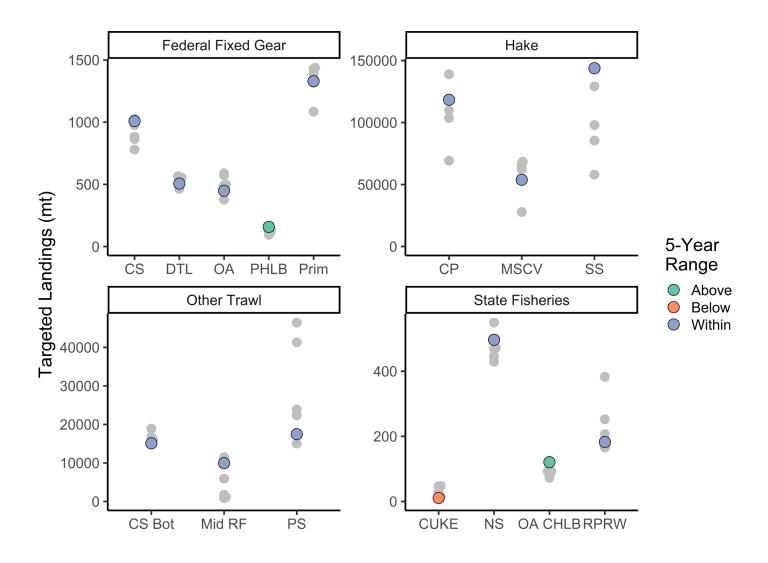
At the start of 2019, two groundfish species were rebuilding: cowcod rockfish south of lat 40°10′N. and yelloweye rockfish. In September 2019, cowcod rockfish was declared rebuilt.<sup>5</sup> Subsequent inseason changes explain the ACL attainment of more than 50%, which almost doubles the maximum of the previous five years (Table 1, Figure 3). More than half of this mortality came from recreational fishery discards, followed by the non-catch shares fixed gear and catch shares bottom trawl fleets (Table 2, Figure 4). Yelloweye rockfish attainment remained within the five-year range at 61% of the ACL (Table 1, Figure 3). Recreational fisheries contributed more than half, and the non-catch shares fixed gear fleet slightly less than half, of this mortality (Table 2, Figure 4).

<sup>&</sup>lt;sup>5</sup> https://www.fisheries.noaa.gov/national/population-assessments/fishery-stock-status-updates#2019-quarterly-updates

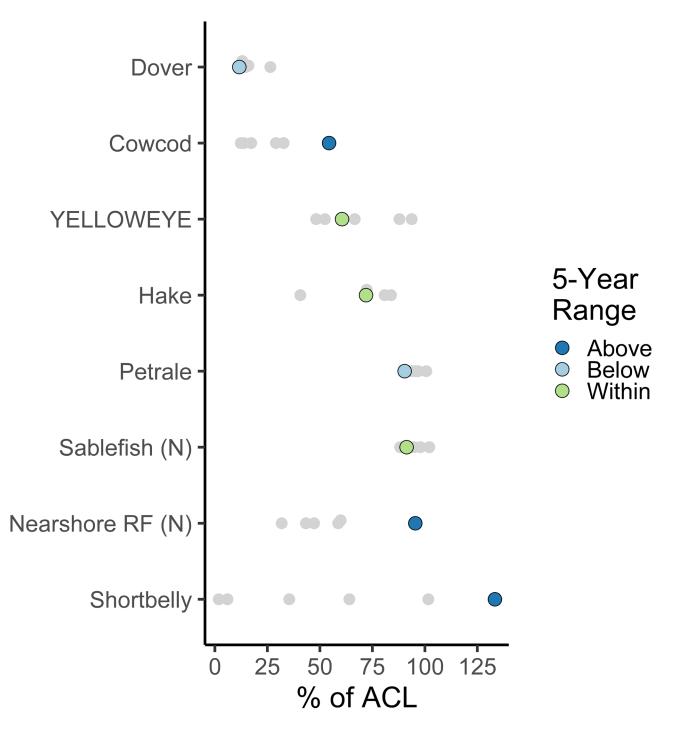
## **Figures**



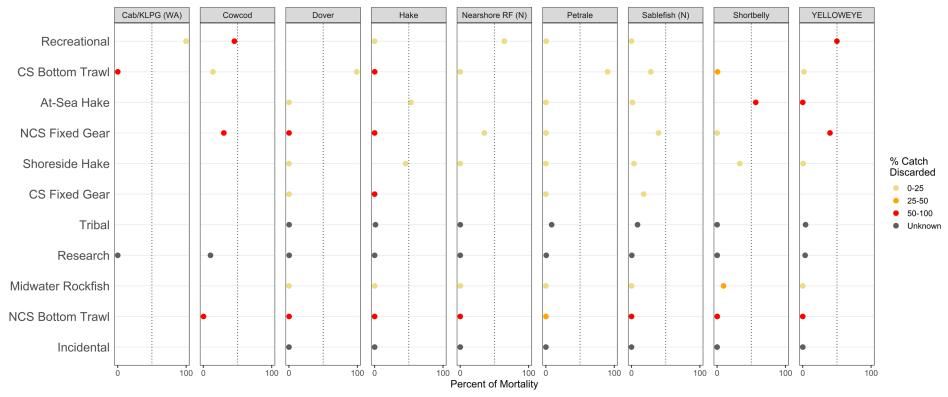
**Figure 1. PacFIN Fish Ticket Data Processing.** Fish ticket data processing for division into groundfish fishery sectors. Gray highlight indicates sectors for which federal observer data are available.



**Figure 2. Sector-level targeted landings in 2019 compared to 2014 to 2018.** Grey points display of annual estimates from 2014 to 2018; 2019 points are colored as indicated in the legend. Species and groupings included in targeted landings are defined in Methods. Abbreviations: CS = Catch Shares, DTL = Daily Trip Limit, OA = Open Access, PHLB = Pacific halibut; Prim = Sablefish Primary; CP = Catcher-Processor; MSCV = Mothership-Catcher Vessel; SS = Shoreside; CS Bot = Catch Shares Bottom Trawl; Mid RF = Midwater Rockfish; PS = Pink Shrimp; Cuke = Sea Cucumber; OA CHLB = Open Access California Halibut; RPRW = Ridgeback Prawn.



**Figure 3. Proportion of ACL attained in 2019 compared to 2014 to 2018 for select species that are highly targeted or attained or rebuilding.** Grey points display of annual estimates from 2014 to 2018; 2019 points are colored as indicated in the legend. Hake attainment is shown as proportion of TAC. Sablefish is managed north and south of lat 36°N; the minor nearshore rockfish complex is managed north and south of lat 40° 10'N. Rebuilding species are capitalized.



**Figure 4. Sector-level contributions to 2019 mortality.** Sablefish is managed north and south of lat 36°N; the minor nearshore rockfish complex is managed north and south of lat 40° 10'N. Rebuilding species are capitalized.

## **Tables**

**Table 1. Fishing mortality estimates relative to harvest specifications.** Estimated fishing mortality of major west coast groundfish species and corresponding management reference points (harvest specifications). Values between 90 and 100% relative to a management reference point are highlighted in yellow. Values greater than 100% relative to a management reference point are highlighted in greater than 100% relative to a management reference point are highlighted in red. Rebuilding species are capitalized.

100% relative to a management relevence		- manna			eference poi		apitalize
	Estimated			•	ecifications)		
	fishing						
	mortality	ACL		ABC		OFL	
	(mt)	(mt)	% of ACL	(mt)	% of ABC	(mt)	% of OFL
Arrowtooth flounder	970	15,574	6	15,574	6	18,696	5
Big skate	215	494	44	494	44	541	40
Black rockfish (California)	160	329	49	329	49	344	46
Black rockfish (Washington)	239	298	80	298	80	312	77
Black/blue/deacon rockfish (Oregon)	466	617	76	617	76	677	69
Bocaccio rockfish (California)	470	2,097	22	2,097	22	2,194	21
Cabezon (California)	47	147	32	147	32	154	31
Cabezon/kelp greenling (Oregon)	72	218	33	218	33	230	31
Cabezon/kelp greenling (Washington)	11	11	104	11	104	13	88
California scorpionfish (South of 34°27' N. lat.)	125	313	40	313	40	337	37
Canary rockfish	585	1,459	40	1,450	40	1,517	39
Chilipepper rockfish (South of 40°10' N. lat.)	405	2,536	16	2,536	16	2,652	15
Cowcod rockfish (South of 40°10' N. lat.)	5	10	54	67	8	74	7
Darkblotched rockfish	416	765	54	765	54	800	52
Dover sole	5,804	50,000	12	87,094	7	91,102	6
English sole	259	10,090	3	10,090	3	11,052	2
Lingcod (North of 40°10' N. lat.)	997	4,871	20	4,885	20	5,110	20
Lingcod (South of 40°10' N. lat.)	397	1,039	38	1,093	36	1,143	35
Longnose skate	693	2,000	35	2,389	29	2,499	28
Minor rockfish (North of 40°10' N. lat.)							
Nearshore	77	81	95	81	95	91	85
Shelf	671	2,054	33	2,054	33	2,309	29
Slope	606	1,746	35	1,746	35	1,887	32
Minor rockfish (South of 40°10' N. lat.)							
Nearshore	712	1,142	62	1,145	62	1,300	55
Shelf	789	1,625	49	1,625	49	1,919	41
Slope	74	744	10	744	10	856	9
Other flatfish	637	6,498	10	6,498	10	8,750	7
Other groundfish	22	239	9	239	9	286	8
Pacific cod	53	1,600	3	2,221	2	3,200	2
Pacific hake	317,705		8		33 mt, 72%		1
Pacific ocean perch (North of 40°10' N. lat.)	611	4,340	14	4,340	14	4,753	13
Petrale sole	2,628	2,908	90	2,908	90	3,042	86
Sablefish (North of 36° N. lat.)	5,117	5,606	91	7,750	72	8,490	66
Sablefish (South of 36° N. lat.)	448	1,990	23				
Shortbelly rockfish	667	500	133	5,789	12	6,950	10
Spiny dogfish	1,652	2,071	80	2,071	80	2,486	66
Splitnose rockfish (South of 40°10' N. lat.)	16	1,750	1	1,750	1	1,831	1
Starry flounder	16	452	3	452	3	652	2
Thornyheads		0.000					
Longspine Thornyhead (North of 34°27' N. lat.)	273	2,603	10	3,425	8	4,412	7
Longspine Thornyhead (South of 34°27' N. lat.)	13	822	2				
Shortspine Thornyhead (North of 34°27' N. lat.)	672	1,683	40	2,573	29	3,089	24
Shortspine Thornyhead (South of 34°27' N. lat.)	84	890	9				
Widow rockfish	9,566	11,831	81	11,831	81	12,375	77
YELLOWEYE ROCKFISH	29	48	61	74	39	82	35
Yellowtail rockfish (North of 40°10' N. lat.)	3,708	6,279	59	6,279	59	6,568	56

**Table 2. Sector-level estimated fishing mortality in 2019.** Mortality (mt) of groundfish and a subset of non-groundfish species by fishery sector. Rebuilding species are capitalized.

						C	ommercia	l fisheries												
			IFQ/Co-	op Manageme	ent					N	on-IFQ									
				Shoreside	At-sea	At-sea	OA				Non-	Directed	Nearshore		WA		lecreation			Estimated
	Bottom	Fixed	Midwater	midwater	midwater CP	midwater	CA	Sea		Ridgeback		Pacific	fixed	Incidental	tribal		ning morta			fishing
Groundfish species	trawl	gear	rockfish	hake	CP	MSCV	halibut	cucumber	shrimp	prawn	fixed gear	halibut	gear	fisheries	shoreside	WA	OR	CA	Research	mortality
Arrowtooth flounder	832.22	4.04	0.14	6.14	44.00	2.64	0.05		0.67		70.87	0.44		0.03	0.30		0.08		4.00	969.91
	832.22 142.94	1.34	0.14	6.14 1.98	41.02 0.85	2.64				0.09	9.93	9.41 14.95	0.21		14.59		0.08		4.99 3.80	215.05
Big skate	-		0.34			0.95	24.25	-	0.01	0.09		14.95		0.00	14.59		0.13			
Black rockfish (California)	0.01		-	-							0.01	-	49.23	0.17				110.24	0.00	159.66
Black rockfish (Washington)	0.00		0.00	0.00								-			0.01	238.16			0.56	238.74
Black/blue/deacon rockfish (Oregon)			0.00	0.01							0.00		445.04	0.44			004.07		0.00	100 50
Black Rockfish			0.00	0.01							3.08	-	115.31	0.11			321.07		0.00	439.58
Blue/Deacon Rockfish				0.00							0.14	-	8.27	0.00			18.49		0.00	26.91
Bocaccio rockfish (South of 40°10' N. lat.)	301.22		-						0.02	0.21	15.77	0.08	2.09	1.70				146.36	2.86	470.31
Cabezon (California)			-				0.00	-			0.10	0.46	23.32	0.15			-	23.18	-	47.20
Cabezon/kelp greenling (Oregon)																				1
Cabezon	0.00										0.94	-	28.79	0.01			16.16		0.00	45.91
Kelp Greenling	0.00		0.00						0.00		0.20	-	9.48				16.79		0.00	26.47
Cabezon/kelp greenling (Washington)																				1
Cabezon																9.81			-	9.81
Kelp Greenling	0.01					-							-			1.66	-		0.00	1.67
California scorpionfish (North of 34°27' N. lat.)							0.00					-	0.00						-	0.00
California scorpionfish (South of 34°27' N. lat.)							0.56			2.14		-	1.32	0.12				121.09	0.05	125.28
Canary rockfish	297.20	0.00	37.06	87.97	1.74	3.28	0.03		0.02	0.03	4.78	1.83	10.46	0.65	12.60	13.65	39.44	71.37	3.31	585.39
Chilipepper rockfish (South of 40°10' N. lat.)	377.54	-					-			0.23	13.71	-	0.14	0.32			-	5.83	7.17	404.93
Cowcod rockfish (South of 40°10' N. lat.)	0.76	-				-	-			0.01	1.63		-				-	2.47	0.57	5.44
Darkblotched rockfish	256.48	0.11	0.58	72.92	45.62	30.40	-		2.76		4.94	0.12	0.07		0.41		-		1.63	416.03
Dover sole	5,746.78	1.07	0.01	0.09	6.21	0.08	0.00		5.39	5.85	5.15	0.41	0.01	0.01	15.36		-		18.04	5,804.46
Ecosystem component species																				1
Aleutian Skate	0.70				0.02		0.00				0.13						-		-	0.85
Black Skate	7.14	0.01									12.52		-						0.24	19.91
California Grenadier	0.37						-				0.66								0.04	1.06
California Skate	1.19					-	33.87		0.00	0.62	-	0.03		1.28					0.11	37.10
Deepsea Skate	0.04						-				0.50								0.05	0.58
Giant Grenadier	56.82	0.19				-					7.15								1.18	65.34
Grenadier Unid	0.45	0.01			0.78	0.02	-			0.01	15.19		0.02				-			16.48
Pacific Flatnose	0.10	-									0.39		-						0.07	0.57
Pacific Grenadier	6.90	0.13				-	-	-			4.55						-		1.54	13.12
Popeye Grenadier	0.01																		0.00	0.01
Sandpaper Skate	38.62	0.09	0.00	0.03	0.04	0.00			0.03		1.75	0.09							0.39	41.04
Shark and Skate Unid																			0.00	0.00
Shoulderspot Grenadier	0.00																		0.00	0.00
Smooth Grenadier	0.00																		0.01	0.01
Softhead Grenadier								-				-	-						0.00	0.00
Soupfin Shark	0.98	-	0.08	0.59	0.30	0.47	1.77	-			2.77		2.49	6.57			-		0.11	16.15
Spotted Ratfish	87.18	0.03	0.01	0.03	0.01	-	0.13	0.00	0.25	1.47	4.02	0.90	0.00	0.02			-		3.06	97.12

						C	ommercia	al fisheries							<u> </u>					
			IFQ/Co-	op Manageme	ent					N	lon-IFQ									
				Shoreside	At-sea	At-sea	OA				Non-	Directed	Nearshore		WA	R	ecreation	nal		Estimated
	Bottom	Fixed	Midwater	midwater	midwater	midwater	CA	Sea	Pink	Ridgeback	nearshore	Pacific	fixed	Incidental	tribal		ning morta			fishing
	trawl	gear	rockfish	hake	CP	MSCV	halibut	cucumber	shrimp	prawn	fixed gear	halibut	gear	fisheries	shoreside	WA	OR	CA	Research	
English sole	206.50		0.61	0.63	0.06	0.00	3.91	0.05	0.18	28.05	0.00	-		0.34	13.65		0.00		5.24	259.24
Groundfish unid				0.72		-					-	-								0.72
Lingcod (North of 40°10' N. lat.)	407.16	4.54	1.53	14.87	0.28	1.40		-	0.07		59.18	6.82	71.94	4.96	20.34	177.01	165.14	44.26	17.71	997.21
Lingcod (South of 40°10' N. lat.)	80.09	0.10	-			-	1.15	-		1.01	20.60	0.17	20.54	3.61				269.32	0.55	397.13
Longnose skate	600.01	1.13	0.29	0.90	0.73	0.02	0.72	0.24	0.56	0.10	62.16	11.44	0.48	0.01	6.49		0.07		7.19	
Longspine thornyhead (North of 34°27' N. lat.)	265.22	0.00		0.00				-	0.00		2.07	0.00	0.02		0.04				5.65	273.01
Longspine thornyhead (South of 34°27' N. lat.)		-						-			12.83		0.01						0.42	13.26
Minor nearshore rockfish (North of 40°10' N. lat.)																				
Black and Yellow Rockfish		-	-					-			-		0.01				0.01	0.01	0.00	0.03
Blue/Deacon Rockfish		-	0.09	0.00				-			-		5.17			1.18		4.49	0.04	10.96
Brown Rockfish	0.00										0.01	-	0.18				0.10	0.73	-	1.02
China Rockfish			-								0.12		7.38			2.54	2.62	1.58		14.24
Copper Rockfish			-								0.04	3.37	4.24	0.00		3.32	7.29	7.49		25.76
Gopher Rockfish						-					0.00	-	0.12	0.00			0.03	0.08		0.23
Grass Rockfish											0.00	-	0.41				0.09	0.12		0.63
Kelp Rockfish																	0.00			0.00
Nearshore Rockfish Unid	0.00												0.02		0.00					0.02
Olive Rockfish	0.00											0.01	0.17				0.01	1.00		1.20
Quillback Rockfish	0.03			0.04			-		0.00		0.07	0.80	5.06	0.00	0.05	3.23	8.68	5.23	0.03	23.23
Minor nearshore rockfish (South of 40°10' N. lat.)																				
Black and Yellow Rockfish											0.01		17.62	0.04				2.89		20.56
Blue/Deacon Rockfish											0.01		13.50	0.16				203.21	0.17	217.05
Brown Rockfish							0.33	0.00		0.01	0.06		17.85	0.17				83.16	0.02	101.60
Calico Rockfish							0.01	0.01		0.00	-		0.01					1.13	0.00	1.16
China Rockfish							_			_	0.00		1.26	0.00				11.56	0.00	12.83
Copper Rockfish							0.02	0.02		0.00	0.27		12.70	0.04				160.29	0.12	173.45
Gopher Rockfish										0.06	0.01		31.33	0.02				50.77	0.01	82.19
Grass Rockfish											0.01		10.37	0.01				1.20	0.00	
Kelp Rockfish													0.95					4.00	0.00	4.95
Nearshore Rockfish Unid							0.00				0.05		2.19	0.01						2.25
Olive Rockfish		-	-								0.18		1.72	0.10				59.55	0.15	
Quillback Rockfish													1.72					6.23	0.00	
Treefish Rockfish		-	-				-						2.96	0.00				11.81	0.05	
Minor shelf rockfish (North of 40°10' N. lat.)													2.00	0.00					0.00	
Bocaccio Rockfish	64.50		21.86	28.63	3.70	6.29	_	_	0.00		0.61	0.46	0.03		7.85	2.79	0.58	0.05	0.74	138.06
Chilipepper Rockfish	193.28	0.00	7.22	20.03	0.04	4.13	_		0.00	_	0.01	0.08	0.00	0.00	0.02	2.15	0.02	0.00	1.44	227.96
Cowcod Rockfish	0.26	0.00	1.22	21.42	0.04	4.15	_		0.23		0.00	0.00	0.00	0.00	0.02	_	0.02	0.00	1.44	0.29
Flag Rockfish	0.20						_		0.01		0.02	_	_				0.01	0.01	_	0.23
-	1.85								0.00		0.05	0.06			0.00		0.01		0.07	2.11
Greenspotted Rockfish Greenstriped Rockfish	37.29	0.00	0.11	 0.25		-	-	-	1.26		2.15	0.06	0.00		0.00	-	0.07	-	1.41	43.18
Halfbanded Rockfish	51.29	0.00	0.11	0.25		-		-	0.01		2.10	0.32	0.00		0.21	-	0.17	-	1.41	43.18
	-	-	0.00		-	0.00	-	-								-	-	-		
Harlequin Rockfish			0.00	0.00		0.00		-	0.00								-			0.00
Pygmy Rockfish		-				-			0.03										0.01	0.04
Redstripe Rockfish	3.95	-	22.55	19.13	0.04	0.51			0.01						0.71		0.02		0.45	47.37
Rockfish Unid		-			0.01	-		-			-	-					-		0.00	0.01
Rosethorn Rockfish	37.09	0.02	0.12	0.24		0.00			0.00		0.80	0.10	0.00		0.27	-	0.06	-	0.20	38.91
Rosy Rockfish			-								0.00	0.01	0.02	0.00	-		0.01	0.01		0.07
Shelf Rockfish Unid	3.48		5.23			-	-		0.96		0.32	0.09	0.03	0.06	0.05					10.22

						С	ommercia	al fisheries							]					
			IFQ/Co-	op Manageme	ent					N	on-IFQ									
				Shoreside	At-sea	At-sea	OA				Non-	Directed	Nearshore		WA	Re	ecreation	al		Estimated
	Bottom	Fixed	Midwater	midwater	midwater	midwater	CA	Sea		Ridgeback		Pacific	fixed	Incidental	tribal		ing morta			fishing
	trawl	gear	rockfish	hake	CP	MSCV	halibut	cucumber	shrimp	prawn	fixed gear	halibut	gear	fisheries	shoreside	WA	OR	CA	Research	mortality
Silvergray Rockfish	70.77	0.00	1.55	3.75	0.48	0.36					0.53	0.49	0.00		1.53		0.16		0.88	80.49
Speckled Rockfish																	0.00			0.00
Squarespot Rockfish	0.00	-							0.00		-									0.00
Starry Rockfish	0.01	-									-		0.00							0.01
Stripetail Rockfish	48.43	-	0.44	0.62	0.00	0.02		-	4.14		0.01	0.00	0.00						0.67	54.33
Tiger Rockfish	0.00	-						-	0.00		0.01	0.00	0.38		0.05	0.69	1.10	0.44	0.01	2.68
Vermilion Rockfish	0.00	-						-			0.26	0.18	5.59	0.02		2.50	9.25	7.88		25.70
Minor shelf rockfish (South of 40°10' N. lat.)																				
Bronzespotted Rockfish		-						-			0.19						-		0.00	0.19
Flag Rockfish	0.00	-						-		0.02	0.12		0.03	0.00			-	12.71	0.01	12.89
Freckled Rockfish		-						-		0.03	0.01		0.01					0.09		0.14
Greenblotched Rockfish	0.00	-								0.16	0.38	-	0.23	0.02	-			1.00	0.07	1.86
Greenspotted Rockfish	0.24	-	-							0.02	2.36		0.65	0.04				19.35	0.43	23.09
Greenstriped Rockfish	2.95	-							0.00	0.05	0.15		0.02	0.00				1.38	0.41	4.96
Halfbanded Rockfish	0.00	-					0.00	0.01		8.76	0.01							4.93	0.35	14.06
Honeycomb Rockfish		-						-			-		0.08					5.83	0.00	5.92
Mexican Rockfish		-						-		0.02	0.36						-	0.24	0.02	0.65
Pink Rockfish		-						-			0.25		0.02	0.00					0.03	0.30
Pinkrose Rockfish		-						-			-								0.01	0.01
Rosethorn Rockfish	0.00										0.02		0.01						0.17	0.21
Rosy Rockfish											0.25		0.31	0.00				15.61	0.13	16.29
Shelf Rockfish Unid	1.49						0.01				4.63		0.78	0.22					0.01	7.14
Silvergray Rockfish																	-		0.00	0.00
Speckled Rockfish											0.29		0.09	0.14				11.67	0.25	12.44
Squarespot Rockfish										0.01	0.02		0.03				-	24.03	0.28	24.38
Starry Rockfish											1.80		0.66	0.01				48.50	0.24	51.21
Stripetail Rockfish	11.84						0.00		0.16	6.39	0.01		0.00						2.56	20.96
Swordspine Rockfish																		0.35	0.25	0.60
Tiger Rockfish																		0.27		0.27
Vermilion Rockfish	0.04						0.17	0.00		0.48	43.87		20.08	2.54				413.95	3.83	484.97
Yellowtail Rockfish	1.07										2.95		2.35	3.75				95.61	0.34	106.06
Minor slope rockfish (North of 40°10' N. lat.)																				
Aurora Rockfish	23.18	0.03	0.00	0.00	0.16	0.06			0.01		0.33	0.00	0.00		0.05				0.06	23.89
Bank Rockfish	1.34	0.00	0.00	0.16	0.04	0.00					0.04	0.00			0.00				0.00	1.59
Blackgill Rockfish	2.85	0.12			0.32				0.00		8.80	0.00			0.04				0.00	12.13
Redbanded Rockfish	18.95	0.53	0.01	0.01	0.02				0.16		25.43	1.06	0.98		4.17		0.01		0.17	51.54
Rockfish Unid			-		0.00														0.00	0.00
Rougheye/Blackspotted Rockfish	21.74	3.17	0.06	9.23	116.23	9.13			0.04		51.59	1.12	0.00		12.77				0.26	225.35
Sharpchin Rockfish	27.27	0.00	1.66	6.75	0.01	0.07			0.01		0.01	0.00			0.07				2.38	38.23
Shortraker Rockfish	5.51	0.52	0.00	0.73	1.18						3.09	0.00			0.81		_		0.04	11.32
Shortraker/Rougheye/Blackspotted Rockfish	0.00	0.02	0.00	0.07			-			_	0.29			-	0.01		_		0.04	0.30
Slope Rockfish Unid	7.64	0.01	0.00	0.00							4.80	0.11	0.01	0.16	0.07	_	-			12.80
Splitnose Rockfish	62.04	0.01	1.17	53.91	43.82	34.66			5.10			0.00	0.01	0.10	0.07		-		1.38	202.22
Yellowmouth Rockfish	22.60	0.00	0.27	2.49	43.62	0.03			0.01		0.02	0.00	0.23		0.13				0.66	202.22
I GIOWITOUUT NOOKIISTI	22.00	0.01	0.27	2.49	0.11	0.03	-		0.01		0.23	0.07	0.23		0.00		-	-	0.00	20.70

	Commercial fisheries										ĺ									
		IFQ/Co-op Management Non-IFQ																		
				Shoreside	At-sea	At-sea	OA				Non-	Directed	Nearshore		WA	Re	ecreationa	al		Estimated
	Bottom	Fixed	Midwater	midwater	midwater	midwater	CA	Sea	Pink	Ridgeback	nearshore	Pacific	fixed	Incidental	tribal		ing morta	,		fishing
	trawl	gear	rockfish	hake	CP	MSCV	halibut	cucumber	shrimp	prawn	fixed gear	halibut	gear	fisheries	shoreside	WA	OR	CA	Research	mortality
Minor slope rockfish (South of 40°10' N. lat.)																				
Aurora Rockfish	4.18	0.03	-			-	-	-	0.02		0.75		0.00	-	-	-	-	-	0.14	5.12
Bank Rockfish	25.32	-	-			-	-	-		0.00	0.85		0.12	0.00	-	-	-	0.31	0.80	27.40
Blackgill Rockfish	12.81	0.06				-	-	-			21.39		0.90	0.01	-	-	-	-	0.08	35.24
Pacific Ocean Perch	0.00	-	-				-				-		-	-			-			0.00
Redbanded Rockfish	0.29	-	-			-	0.02	-			0.70		0.00		-	-	-	-	0.00	1.01
Rougheye/Blackspotted Rockfish	0.03	-	-				-	-			0.63					-	-			0.66
Sharpchin Rockfish	0.05	-	-					-			0.01								0.02	0.08
Shortraker Rockfish	0.01	-	-				-	-			-					-	-			0.01
Slope Rockfish Unid	0.65	-	-					-			3.48	0.15		0.02						4.30
Yellowmouth Rockfish	0.41	-	-				-	-			-	-		-			-			0.41
Mixed thornyheads																				
Shortspine/Longspine Thornyhead	0.38	0.13	-			0.00	-	0.00	0.00		4.34	0.02		0.01			-		0.07	4.96
Other flatfish																				
Butter Sole	0.00		-						0.01			-	0.00	0.00			0.01		0.01	0.04
Curlfin Sole	0.29						2.27			0.19				0.01					0.04	2.80
Flatfish Unid	6.29	0.02	0.02	0.05	0.00	0.00	0.31		2.77	0.31	0.00	-	0.01	0.18	0.25	1.58			0.00	11.80
Flathead Sole	10.33	-	0.00	0.01	0.01	0.00	0.01		0.31		-						-		0.11	10.80
Pacific Sanddab	67.22	-	0.00	0.66	0.00		4.80	-	4.74	40.02	1.82	0.00	2.87	0.12			0.17	22.62	4.36	149.41
Rex Sole	374.61	0.00	0.02	0.82	31.72	1.45	0.21		26.98	0.92	0.00			0.00	4.91				6.49	448.13
Rock Sole	1.24	-		0.00			0.29	-		0.15	0.06		0.07	0.19	0.08		0.01	1.11	0.06	3.25
Sand Sole	0.05	-	0.00				7.48	-			0.00		0.02	0.33	0.12		0.09	0.16	0.02	8.26
Sanddab Unid	0.12	-		0.01		-	0.08	-		0.72	1.44		0.00	0.08	0.45				0.00	2.90
Other groundfish																				
Leopard Shark		-					5.04	-			0.23		0.57	3.39			-	12.79	-	22.01
Other rockfish	1.79	0.00	0.23	0.00							0.13	-	0.01	0.01		0.27	-		0.16	2.60
Rockfish Unid																				
Pacific cod	5.63		0.00	0.02	0.00						0.69	0.08		0.00	45.41	0.54	0.01		0.11	52.50
Pacific hake	302.30	0.01	264.53	144,082.74	116,379.23	52,416.55			39.24	10.12	1.01	0.02	0.00	0.02	4,191.58		0.10		17.69	317,705.14
Pacific ocean perch (North of 40°10' N. lat.)	432.52	0.01	12.05	18.57	94.67	47.56			0.14		0.27	0.04		0.00	1.09				3.79	610.72
Petrale sole	2,372.41	0.61	0.03	0.03	0.01		2.37		0.16	0.20	4.80	0.55	0.05	1.04	221.95		2.53	6.59	14.24	2,627.56
Roundfish unid			-	0.03	0.00							-							-	0.04
Sablefish (North of 36° N. lat.)	1,448.95	911.53	0.54	186.58	53.24	18.13			0.11		1,932.22	81.09	5.48	0.38	453.53		2.07		23.36	5,117.21
Sablefish (South of 36° N. lat.)		84.78	-							0.35	361.00	-	1.60						0.44	448.18
Shortbelly rockfish	4.48		62.53	221.23	31.20	345.35			1.21	0.09		0.00	0.03	0.00	0.00		-		0.75	666.87
Shortspine thornyhead (North of 34°27' N. lat.)	542.59	8.23	0.03	1.38	52.15	5.03			0.02		50.17	0.73	0.08		8.97				2.58	671.96
Shortspine thornyhead (South of 34°27' N. lat.)			-								83.28	-	0.23						0.14	83.65
Spiny dogfish	253.32	16.96	190.60	373.10	554.39	59.85	1.58		0.50	0.13	149.37	1.18	0.08	1.33	4.83		0.01	2.51	41.85	1,651.58
Splitnose rockfish (South of 40°10' N. lat.)	14.64	0.00	-					-	0.24	0.00	0.05	-		-					0.77	15.70
Starry flounder	0.14			0.00			13.70				0.21	-	0.02	0.37			0.04	1.05	0.04	15.56
Widow rockfish	38.29		8,378.71	907.08	92.89	106.04		-	0.00		1.41	0.00	0.48	0.34	13.41		5.26	22.04	0.51	9,566.45
YELLOWEYE ROCKFISH	0.52		0.00	0.19		0.00		-	0.00		1.57	7.42	2.59	0.01	1.21	3.79	4.57	6.16	1.02	29.06
Yellowtail rockfish (North of 40°10' N. lat.)	500.33	0.03	1,400.43	1,288.57	164.19	152.70			1.44		1.56	0.05	2.27	1.80	108.45	48.38	30.37	1.26	5.87	3,707.69

	Commercial fisheries											1								
			IFQ/Co-	op Manageme	ent					N	on-IFQ									
				Shoreside	At-sea	At-sea	OA				Non-	Directed	Nearshore		WA		ecreation			Estimated
	Bottom	Fixed	Midwater	midwater	midwater	midwater	CA	Sea	Pink	Ridgeback	nearshore	Pacific	fixed	Incidental	tribal		ing morta			fishing
N	trawl	gear	rockfish	hake	CP	MSCV	halibut	cucumber	shrimp	prawn	fixed gear	halibut	gear	fisheries	shoreside	WA	OR	CA	Research	mortality
Non-groundfish										1.00	4.00						4.05	007.40		
California halibut	0.06	-		-			174.35			1.32	1.22	-	0.90	208.28			1.35	297.49	0.01	684.99
Dungeness crab	43.92	1.12		0.00			154.98		0.43		2.68	0.01	2.73	21,051.67	195.79		-		1.79	21,455.12
Non-FMP flatfish	4.05	0.00									0.00								0.04	4.57
Deepsea Sole	4.25		-			-					0.00	-	-			-	-		0.31	4.57
Diamond Turbot	0.00						0.08					-		0.00					-	0.08
Hornyhead Turbot	0.04						1.44	0.01	-	2.75			-	0.02			-		0.01	4.28
Longfin Sanddab			-			-	0.13	-		1.44	-	-	-			-	-	0.06	0.00	1.64
Slender Sole	24.06		-		0.00	0.01	0.00		115.24	2.50	-	-	-			-	-		0.86	142.68
Speckled Sanddab		-	-			-	0.00					-	-			-	-		0.00	0.00
Other nongroundfish																				
Brown Irish Lord Sculpin			-								-		0.03				-	-	0.00	0.03
Buffalo Sculpin			-								0.00	-	0.10				0.01		0.00	0.11
California Sheephead			-				0.03			0.06	-		76.06	1.13				50.50	0.01	127.80
Greenling Unid			-			-	-	-					0.00			-	-		-	0.00
Red Irish Lord Sculpin			-			-	-	-					0.04			-	-		-	0.04
Sculpin Unid	0.97	0.00			0.00		0.09		0.71	0.10	0.05	0.01	0.11	0.05	0.02		-		0.00	2.10
Skate Unid	2.23		0.03	0.12	0.00		0.50	0.01	0.00	0.74	0.93	1.34	0.55	2.03	40.74				0.01	49.24
Squid Unid	0.17	-	0.61	13.85	95.77	12.52	-		1.67		-	-	-	0.00	0.00		-		0.00	124.61
Starry Skate	0.01	-	-			-	0.16	-	-	0.02		0.07	0.07			-	-		-	0.33
Shared ecosystem component species																				
Barracudina Unid	0.00		-		0.00	-			0.00		-		-			-	-		0.00	0.00
Blacksmelt Unid			-			-					-		-			-	-		0.01	0.01
Deepsea Smelt Unid		-	-		0.37	0.00	-	-				-	-			-	-		0.02	0.38
Duckbill Barracudina	-	-	-		0.45	-	-	-			-	-	-			-	-		-	0.45
Jacksmelt		-	0.00			-	-	-				-	-			-	0.00	33.61	-	33.61
Lanternfish Unid	0.00	-	-		0.40	0.00			0.16		-	-	-				-		0.02	0.59
Night Smelt	- 0.02							-					-				-		0.00	0.00
Non-Eulachon Smelt Unid Non-Humboldt Squid Unid	0.03 3.63	0.00	0.02				0.53		14.45 130.01	0.39		-					-		0.00 0.04	14.48 134.62
Pacific Sandlance	5.05	0.00	0.02				0.55		0.01	0.39		-					-		0.04	0.01
Pacific Saury	0.00	0.00			0.00	0.00			0.05		0.00								0.00	0.05
Rainbow Smelt		-			0.00	-					-								0.00	0.00
Round Herring														4.35						4.35
Sand Lance Unid			-		0.00	-	-	-			-		-				-			0.00
Slender Barracudina			-		0.00		-		-		-		-						0.00	0.00
Smelt Unid	0.00		-	0.01					0.33		0.01		0.12	154.78					0.00	155.26
Smelt/Herring Unid			-										-						0.05	0.05
Surf Smelt								-	0.00	-		-	-						0.00	0.00
White Barracudina					0.03	0.00		-	-			-	-							0.03
Whitebait Smelt	-		-			-	-	-				-	-				-		0.00	0.00

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# Appendix A: Discard Mortality Analysis Details/Protocol

See supporting spreadsheet.

- Table A-1. Mortality rates applied in bottom trawl and fixed gear fisheries. Unlisted species were assumed to have 100% mortality rate. Rates are provided by GMT.
- Table A-2. Depth-dependent mortality rates applied in the nearshore fixed gear fishery. Unlisted species were assumed to have 100% mortality rate or were not observed in the given strata across all years of WCGOP data. Rates are provided by GMT and were updated in June 2017.
- Table A-3. Updates to analysis used in this report.
- Table A-4. In-season adjustments to 2019 U.S. West Coast groundfish fisheries. A complete list of NMFS Public Notices and a complete list of Federal Register Notices can be found on the <u>NOAA Fisheries West Coast Region</u> website.<sup>6</sup>
- Table A-5. Species identification codes used in the Pacific Coast Fisheries Information Network (PacFIN) database and assigned to WCGOP data. Columns on the far right specify which species were defined as groundfish (as identified in the Pacific Coast Groundfish FMP), as nearshore species, as IFQ managed species or categories, or as rebuilding species in 2019.

Table A-6. Identifications beyond taxonomic level required by WCGOP.

Table A-7. Species belonging to each WCGOP unsampled IFQ catch category. The IFQM catch category includes all 2019 IFQ species, and the NIFQ category includes all non-IFQ fish species.

<sup>&</sup>lt;sup>6</sup> https://www.fisheries.noaa.gov/rules-and-announcements/notices-and-

 $rules? title=ground fish \& management\_area \% 5BW est+Coast \% 5D=West+Coast \& fishing\_type \% 5B commercial \% 5D=commercial \& field\_species\_vocab\_target\_id=\& sort\_by=field\_relevant\_date\_value$ 

## Appendix B: PacFIN Data Processing Protocol

#### Fish Ticket Data Retrieval and Processing

The basic protocol we employ using Oracle SQL developer and R software is as follows:

Run an SQL query to retrieve PacFIN data from 2002 through previous year and output an initial data file (.csv file).

Postprocess the PacFIN data internally.

Utilize postprocessed PacFIN data files in analyses and groundfish mortality (GM) reporting.

Prior to PacFIN fish ticket data retrieval (from PacFIN website):

Landings can be recorded within the PacFIN system in very general categories consisting of many species, and others not as general but consisting of two or more species. Within the fish ticket tables, these are known as a fish ticket market category, or "category" for short. Examples in the PacFIN system are names such as "unspecified slope rockfish," "nominal yellowtail rockfish," and "unspecified small reds rockfish."

These market categories are sampled regularly, resulting in proportions that describe the composition of these various categories in terms of the actual species observed. This market category sampling occurs in various ports and for distinct gear types, producing proportions for individual species by port (or port group), gear (or gear group), and month (or quarter). For some PacFIN data sources, area is also a sampling dimension.

The PacFIN system combines monthly summations of market categories with corresponding species composition proportions to produce the best estimate of catch for individual species, where possible. If all possible combinations of market category, gear type, port, month, and area (where applicable) were actually sampled, then the resulting PacFIN reports/data would contain catch for only individual scientifically defined species. As it is, there are situations that result in unsampled strata and thus, PacFIN reports/data potentially include both individual species as well as market categories.

We selected from all data from 2002–19 from one view created by PacFIN, WCGOP\_COMPFT\_FEDPERMITS\_V2, which joins permits tables to the comprehensive fish ticket table.

Prior to running the code below, edits are made to the downloaded PacFIN data, including:

Correcting gear, vessel ID, IFQ landing, ticket date, and removal type fields based on intense QAQC of observer data.
Removing duplicated tickets.
Adding salmon counts based on electronic fish tickets data.
Incorporating state permit data.

Explicit WCGOP postprocessing of PacFIN fish ticket data output from query above This procedure will identify sectors, as shown in Figure 1.

Add field YMD and calculate: (([YEAR] × 10,000) + ([MONTH] × 100) + [DAY])

Add field VIDYMD and calculate: [DRVID] & [YMD]

Select Tribal landings as PARGRP = *I.* Assign sector "Tribal Commercial" and summarized with "Tribal landings."

Select Research landings as REMOVAL\_TYPE = *R* and IFQ\_LANDING = *FALSE*. Assign sector "Commercial Research."

Note: Commercial research data are provided by WCR for GM reports, and thus the data from this step are omitted. Further, IFQ trips in early years of the program were often incorrectly identified as research, so we ignore overlap between those two fields.

Select Non-Research landings as !(REMOVAL\_TYPE = *R* and IFQ\_LANDING = *FALSE*).

Select fish tickets not identified to an entity/vessel in Non-Research as DRVID = *MISSING*, *UNKNOWN*, or blank.

Assign sector "Non-Identified Vessel/Entity."

Select fish tickets identified to an entity/vessel in Non-Research as DRVID ≠ *MISSING*, *UNKNOWN*, or blank.

Select non-IFQ EFP landings from Non-Research, Vessel ID known as REMOVAL\_TYPE = *E* and IFQ\_LANDING = *FALSE*.

Assign sector "Commercial EFP."

Note: We ignore the EFP flag where IFQ\_LANDING = TRUE, because this field is not always correct. Instead, we use a separate list from PSMFC to identify EM and other EFP tickets under the IFQ program. In 2017, the gear modification EFP trip was included in the IFQ catch share program as EM or observed, as appropriate.

2002-2010:

If ADJ\_GRID = MDT, summarized with "Non-tribal shoreside hake." If ADJ\_GRID ≠ MDT, summarized with "Incidental fisheries" or as "EFP."

Select non-EFP and IFQ EFP from Non-Research, Vessel ID known as REMOVAL\_TYPE  $\neq E$  or REMOVAL\_TYPE = *E* and IFQ\_LANDING = *TRUE*.

Select Individual Fishing Quota (IFQ) landings from Non-Research, Vessel ID known as IFQ\_LANDING = *TRUE*.

Identify hake sector for all IFQ midwater tickets:

Landed ≥ 50% hake on VIDYMD, hake sector = "Midwater Hake." Landed < 50% hake on VIDYMD, hake sector = "Midwater Rockfish."

Identify non-EM EFP fleet:

If not in EM list from PSMFC:

If fishing non-midwater, assign sector "Catch Shares."

- If fishing midwater 2011–14 and observer identified as non-hake trip, assign sector "Catch Shares."
- If fishing midwater 2011–14 and observer identified as hake trip, assign sector "Shoreside Hake."
- If fishing midwater 2015–forward, assign sector "Midwater Hake" or "Midwater Rockfish" based on hake sector above.

If in EM list from PSMFC:

If fishing non-midwater, assign sector "Catch Shares EM."

If fishing midwater, assign "Midwater Hake EM" or "Midwater Rockfish EM" based on hake sector field above.

Select non-IFQ landings from Non-Research, Non-EFP, Vessel ID known: IFQ\_LANDING = FALSE.

Select Gear Group Shrimp trawl landings from non-IFQ that landed more Pink Shrimp (PS) than not, fished with a state PS permit between April and November:

GRGROUP = *TWS* and PS permit and MONTH in 4–11. Assign sector "Commercial Shrimp Trawl." Summarized as "Pink Shrimp."

Select Gear Group Shrimp trawl landings from non-IFQ that did not land more Pink Shrimp (PS) than not, did not fish with a state PS permit, and/or fished outside of April to November: GRGROUP = *TWS* and no PS permit or MONTH in 1–3, 12.

Select landed ridgeback prawn and no sea cucumber, had state permit, and fished in MONTH 1–5: Assign sector "Commercial Prawn Trawl."

Select landed sea cucumber and no ridgeback prawn and had state permit: Assign sector "Commercial Sea Cucumber Trawl."

Select landed sea cucumber and ridgeback prawn and had both state permits:

Select landed more ridgeback prawn: Assign sector "Commercial Prawn Trawl."

Select landed more sea cucumber: Assign sector "Commercial Sea Cucumber Trawl."

Select landed more of anything other than ridgeback prawn or sea cucumber: Assign sector "Commercial Group Others." Summarized with "Incidental fisheries."

Select did not land ridgeback or sea cucumber: Assign sector "Commercial Group Others." Summarized with "Incidental fisheries."

Select Gear Group Other landings from Non-Research/EFP Commercial:

```
(GRGROUP \neq HKL) \& (GRGROUP \neq POT) \& (GRGROUP \neq TWL) \& (GRGROUP \neq TWS)
   Assign sector "Commercial Group Others."
   Summarized with "Incidental fisheries."
Select Gear Group Trawl landings from Non-IFQ:
   GRGROUP = TWL.
   Select Limited Entry permitted:
      PERM1 \neq [blank]
      Select Midwater:
          ADJ GRID = MDT.
          Assign sector "Commercial LE Trawl Midwater."
          2002-2010:
             Summarized with "Non-tribal shoreside hake."
          2011–present:
             If sector present, indicates an error that needs to be corrected. Often unlabeled research
             trip.
      Select Non-Midwater:
          ADJ_GRID \neq MDT.
          Assign sector "Commercial LE Trawl Non-midwater."
      Select CA halibut:
          2002-2006 based on CA halibut weight > 150 lb:
             (SPID %in% c(CHLB, CHL1)) & (LWT LBS > 150)
          2007-present based on CA halibut on ticket and vessel carrying a year-specific CA halibut
          permit and CA halibut weight > 150 lb:
             (SPID %in% c(CHLB, CHL1)) & (LWT_LBS > 150) & (DRVID %in%
             unique(FT.perm$DRVID))
          Assign to "LE CA Halibut."
      Select non-CA halibut:
          Likely permit was not used for given landing. Assign to "Commercial OA Trawl Non-
          Midwater" and summarized with "Incidental fisheries."
   Select Non-LE permitted (Open Access):
      PERM1 = [blank]
      Select Midwater:
          ADJ GRID = MDT.
          Assign sector "Commercial OA Trawl Midwater."
          Summarized with "Incidental fisheries."
      Select Non-Midwater:
          ADJ_GRID \neq MDT.
          Assign sector "Commercial OA Trawl Non-midwater."
      Select CA halibut:
```

2002–2006 based on CA halibut weight > 150 lb:

(SPID %in% c(*CHLB*, *CHL1*)) & (LWT\_LBS > 150)

2007–present based on CA halibut on ticket and vessel carrying a year-specific CA halibut permit:

(SPID %in% c(*CHLB*, *CHL1*)) & (DRVID %in% unique(FT.perm\$DRVID)) Assign to "OA CA Halibut."

Select non-OA CA Halibut:

Select landed ridgeback prawn and no sea cucumber, had state permit, and fished in MONTH 1–5:

Assign sector "Commercial Prawn Trawl."

Select landed sea cucumber and no ridgeback prawn and had state permit: Assign sector "Commercial Sea Cucumber Trawl."

Select landed sea cucumber and ridgeback prawn and had both state permits:

Select landed more ridgeback prawn: Assign sector "Commercial Prawn Trawl."

Select landed more sea cucumber: Assign sector "Commercial Sea Cucumber Trawl."

Select landed more of anything other than ridgeback prawn or sea cucumber: Assign sector "Commercial OA Trawl Non-midwater." Summarized with "Incidental fisheries."

Select any remaining: Assign sector "Commercial OA Trawl Non-midwater." Summarized with "Incidental fisheries."

Select Gear Group Fixed Gear landings from Non-IFQ/Research/EFP Commercial: (GRGROUP = *HKL*) | (GRGROUP = *POT*)

Select Nearshore Species on FT:

SPID %in% c(BLCK, BLK1, RCK9, RCK7, RCK2, BYEL, BYL1, BLU1, BLUR, BRW1, BRWN, CLC1, CLCO, SCOR, SCR1, CHN1, CHNA, COP1, COPP, GPH1, GPHR, GRAS, GRS1, KLP1, KLPR, OLV1, OLVE, QLB1, QLBK, TRE1, TREE, NSHR, NUSR, SSHR, SUSR, USHR, CBZ1, CBZN, KGL1, KLPG, SHPD, SHP1, UDNR, SSRS, SSRD, BISC, BSCL, RSCL, UGLG)

Compile unique vessel landing date (VIDYMD) values for those FTs with Nearshore Species.

Retrieve all FTs (and all FT line items) for those VIDYMD values (so obtaining *all* fish tickets for a vessel's landing date if one or more of the vessel's fish tickets on that date had a nearshore species recorded on it).

2002–2003: If not landed in WA, assign to "Nearshore." 2004–present: If not landed in WA and had active Nearshore permit for given year, assign to "Nearshore."

Of the remaining Non-Nearshore Fixed Gear landings:

Create a catch variable for Groundfish (based on a GF\_ID in a separate file maintained by WCGOP), and summarize RWT\_LBS of groundfish and nongroundfish for each unique VIDYMD.

If weight of nonsablefish groundfish weight is greater than nongroundfish weight in a unique fishing day for a vessel (VIDYMD), include in "Fixed Gear Sablefish Landings." GFLB.Sum ≥ NonGFLB.Sum

Select all VIDYMD if sablefish is a line item of catch on a FT: SDID = SABL

SPID = *SABL* 

Compile unique VIDYMDs that fit either criteria of 1) sablefish landings, or 2) groundfish greater than nongroundfish.

Retrieve all FT line items for those VIDYMD values. (See next section for more processing of these Fixed Gear Sablefish Landings).

Remaining not identified in Step 3 are Non-Nearshore, Non-Sablefish Fixed Gear landings:

Select Limited Entry permitted: PERM1 ≠ [blank]

Select if Tier Endorsed: SABL1 ≠ 0 | SABL2 ≠ 0 | SABL3 ≠ 0 | SABL4 ≠ 0 Assign sector "Commercial Fixed-Gear Non-Nearshore Non-Sablefish LE Tier."

Select if Not Tier Endorsed:

SABL1 = 0 & SABL2 = 0 & SABL3 = 0 & SABL4 = 0 Assign sector "Commercial Fixed-Gear Non-Nearshore Non-Sablefish LE 0 Tier."

Select Non-LE permitted (Open Access): PERM1 = [blank] Assign sector "Commercial Fixed-Gear Non-Nearshore Non-Sablefish OA." Summarize with "Incidental fisheries."

Fixed Gear Sablefish landing FTs (see above for initial Steps 1–3 to identify):

Select Limited Entry permitted: PERM1 ≠ [blank] Assign sector "Commercial Fixed-Gear LE Sablefish."

Select if Tier Endorsed: SABL1  $\neq 0$  | SABL2  $\neq 0$  | SABL3  $\neq 0$  | SABL4  $\neq 0$ (See below for additional steps.)

Select if Not Tier Endorsed: SABL1 = 0 & SABL2 = 0 & SABL3 = 0 & SABL4 = 0

Select if Pot gear (LE 0 Tier cannot fish pot gear, so thus OA):

```
GRGROUP = POT
   Assign sub-sector "Sable OA."
   Summarize with "Non-nearshore fixed gear" (and "OA Fixed Gear" prior).
GRGROUP ≠ POT
   Assign sub-sector "LE 0 Tier."
   Summarize with "Non-nearshore fixed gear" (and "LE Non-primary" prior).
```

Select Non-LE permitted (Open Access): PERM1 = [blank] Assign sector "Commercial Fixed-Gear OA Sablefish." Assign sub-sector "Sable OA." Summarize with "Non-nearshore fixed gear" (and "OA Fixed Gear" prior).

For LE Tier Endorsed FTs, to determine if:

a) landings are assigned to the primary fishery (Primary Season Attaining Quota),

b) landings were made in the non-season fishery (Non-season DTL), or

c) if the vessel fished in the primary season but had already reached their tier limit and

landings should be assigned to the DTL fishery (Primary Season Reached Quota DTL):

Select if definitely non-primary season (with 5 days buffer at end of the season to evaluate those FTs at the "borderline" which could fall into either primary or non-season): (MONTH < 4) | (MD > 1105) *Note: MD is a concatenated field with Month and Day.* Assign sub-sector "LE SAB NonPSeason." Summarize with "Non-nearshore fixed gear" (and "LE Non-primary" prior)

Select if primary season (with 5-day buffer at end of season to evaluate those FTs at the "borderline" which could fall into either primary or non-season): (MONTH ≥ 4) & (MD ≤ 1105)

Order multiple landings on a day from greatest sablefish landing to smallest sablefish landing to ensure consistent results across different years of analysis.

Add fields SABL1\_Lim, SABL2\_Lim, SABL3\_Lim, etc., and calculate using year-specific tier limits:

2002–present except 2011 (repeated for each sabletier undelimited data field; SABL1, etc.):

SABL1\_Lim [which(SABL = 1)] = Tier1Quota

SABL2\_Lim [which(SABL = 2)] = Tier2Quota

SABL3\_Lim [which(SABL = 3)] = Tier3Quota

For 2011, tier limits were increased midseason, taking effect 11 June:

SABL1\_Lim [which((SABL = 1) & (MD < 0611))] = Tier1Quota for 2011a SABL2\_Lim [which((SABL = 2) & (MD < 0611))] = Tier2Quota for 2011a SABL3\_Lim [which((SABL = 3) & (MD < 0611))] = Tier3Quota for 2011a SABL1\_Lim [which((SABL = 1) & (MD  $\ge$  0611))] = Tier1Quota for 2011b SABL2\_Lim [which((SABL = 2) & (MD  $\ge$  0611))] = Tier2Quota for 2011b SABL3\_Lim [which((SABL = 3) & (MD  $\ge$  0611))] = Tier3Quota for 2011b

Add field QUOTA and calculate:

[SABL1\_Lim] + [SABL2\_Lim] + [SABL3\_Lim]

Add field SABL\_LND and for weight of sablefish landings for each line: SABL\_LND = 0 SABL\_LND [which(SPID = SABL)] = RWT\_LBS[which(SPID = SABL)]

Select out just those FT line items with Sablefish: SPID = SABL

Add field CUMSABL and calculate the cumulative sablefish weight landed by a vessel (each fish ticket line item of sablefish weight gets added up over time to see how the vessel's sablefish landings move toward attaining their total quota limit).

Add field PROPORTION and calculate the proportion of sablefish weight caught relative to their total tier quota weight:

[CUMSABL] / [QUOTA]

Select if the vessel is over their tier quota: PROPORTION > 1

Select by criteria to identify the DTL sector, based on a "cushion" of sablefish quota overage weight (PROPORTION > 1.15) to allow for vessels that have reached their quota and are landing below the annual maximum DTL weekly limit:

(PROPORTION > 1.15 and SABL\_LND < 1880 "DTL Max from above") or YMD > 20131105

Compile unique FTID values for the FTs selected in the "Select by criteria" step above.

Retrieve all FT line items for those FTID values (for the DTL sectors). Assign sub-sector "LE SAB DTL." Summarize with "Non-nearshore fixed gear" (and "LE Non-primary" prior).

Remaining are Sablefish Primary Season Attaining Quota landings.

One more step is used to place these into season vs. non-season landings.

Select if in Primary Season: YMD < 20131101 Assign sub-sector "LE SAB Primary." Summarize with "Non-nearshore fixed gear" (and "LE Sablefish Primary" prior).

Select if outside Primary Season (non-season): YMD ≥ 20131101 Assign sub-sector "LE SAB NonPSeason." Summarize with "Non-nearshore fixed gear" (and "LE Non-primary" prior).

All data segments are combined together to reproduce the original dataset. If a SubSector value was not designated in the processing above, it is given the value from the SECTOR field.

All additional data processing steps that were applied during the discard estimation process are described in <u>Methods</u>. Of these, specific identification and removal of commercial directed Pacific halibut fixed gear landings is as follows:

If SubSector equals "Sable OA," "LE 0 Tier," "LE SAB NonPSeason," "LE SAB DTL," or "LE SAB Primary":

For 2002–17: If listed by the International Pacific Halibut Commission (IPHC) as a directed PHLB ticket, summarize with "Directed PHLB."

For most recent year of data, IPHC's list is not yet available, and IPHC does not currently track directed PHLB landings in California. In the most recent year, for all states, FTID had recorded PHLB catch landed on one of the specific calendar year 10-hour openings, plus two days post (to allow for any subsequent deliveries):

Summarize with "Directed PHLB."

2019:

((MONTH = 6) & (DAY %in% 26:28)) | ((MONTH = 7) & (DAY %in% 10:12)) | ((MONTH = 7) & (DAY %in% 24:26))

In addition, California FTID had recorded PHLB catch landed on one of the specific calendar year 10-hour openings, plus two days post (to allow for any subsequent deliveries):

```
2018:
   ((MONTH = 6) & (DAY %in% 26:28)) |
   ((MONTH = 7) & (DAY %in% 10:12)) |
   ((MONTH = 7) & (DAY %in% 24:26))
2017:
   ((MONTH = 6) & (DAY %in% 28:30)) |
   ((MONTH = 7) \& (DAY \%in\% 12:14))
   ((MONTH = 7) & (DAY %in% 26:28))
2016:
   ((MONTH = 6) & (DAY %in% 21:23))
   ((MONTH = 7) & (DAY %in% 5:7))
   ((MONTH = 7) & (DAY %in% 19:21))
2015:
   ((MONTH = 6) & (DAY %in% 23:25))
   ((MONTH = 7) & (DAY %in% 7:9))
2014:
   ((MONTH = 6) & (DAY %in% 25:27)) |
   ((MONTH = 7) & (DAY %in% 9:11))
```

```
2013:
((MONTH = 6) & (DAY %in% 26:28)) |
((MONTH = 7) & (DAY %in% 10:12))
```

2012:

((MONTH = 6) & (DAY %in% 27:29)) | ((MONTH = 7) & (DAY %in% 11:13))

2011:

((MONTH = 6) & (DAY %in% 29:30)) | ((MONTH = 7) & (DAY = 1)) | ((MONTH = 7) & (DAY %in% 13:15)) | ((MONTH = 7) & (DAY %in% 27:29)) | ((MONTH = 8) & (DAY %in% 10:12)) | ((MONTH = 8) & (DAY %in% 24:26)) | ((MONTH = 9) & (DAY %in% 7:9)) | ((MONTH = 9) & (DAY %in% 21:23))

2010:

((MONTH = 6) & (DAY %in% 30:31)) | ((MONTH = 7) & (DAY %in% 1:2))

2009:

((MONTH = 6) & (DAY %in% 24:26)) | ((MONTH = 7) & (DAY %in% 8:10))

2008:

((MONTH = 6) & (DAY %in% 11:13)) | ((MONTH = 6) & (DAY %in% 25:27)) | ((MONTH = 7) & (DAY %in% 9:11)) | ((MONTH = 7) & (DAY %in% 23:25))

2007:

((MONTH = 6) & (DAY %in% 27:29)) | ((MONTH = 7) & (DAY %in% 11:13)) | ((MONTH = 7) & (DAY %in% 25:27)) | ((MONTH = 8) & (DAY %in% 8:10))

2006:

((MONTH = 6) & (DAY %in% 28:30)) | ((MONTH = 7) & (DAY %in% 12:14)) | ((MONTH = 7) & (DAY %in% 26:28))

2005:

((MONTH = 6) & (DAY %in% 29:30)) | ((MONTH = 7) & (DAY = 1)) | ((MONTH = 7) & (DAY %in% 13:15)) | ((MONTH = 7) & (DAY %in% 27:29)) | ((MONTH = 8) & (DAY %in% 10:12)) 2004:

```
((MONTH = 6) & (DAY %in% 23:25)) |
((MONTH = 7) & (DAY %in% 14:16)) |
((MONTH = 7) & (DAY %in% 28:30)) |
((MONTH = 8) & (DAY %in% 11:13))
```

2003:

((MONTH = 6) & (DAY %in% 25:27))
((MONTH = 7) & (DAY %in% 9:11))
((MONTH = 7) & (DAY %in% 23:25))
((MONTH = 8) & (DAY %in% 6:8))

2002:

((MONTH = 6) & (DAY %in% 26:28)) | ((MONTH = 7) & (DAY %in% 10:12)) | ((MONTH = 7) & (DAY %in% 24:26))

Trawl Logbook Data Retrieval and Processing

Logbook data are downloaded from a view in PacFIN that incorporates logbook data and permit information: pacfin.lbk\_codemb0310multiftiddelim.

Data from 2002–10 are used in estimations of discard for the LE trawl fleet. Data from 2011–present are sometimes used for effort estimations when observer data are unavailable because a trip was monitored using an electronic system.

Explicit WCGOP postprocessing of PacFIN logbook data output from query above Select Puget Sound landings: PSGRNDCODE ≠ 0

Select Non-Puget Sound (Ocean) landings: PSGRNDCODE = 0

Select Midwater: GRID = MDT

Select Non-Midwater: GRID ≠ MDT

> Select Limited Entry permitted: PERMID\_1 ≠ [blank]

```
Select Non-LE permitted (Open Access):
PERMID_1 = [blank]
```

Note: LE Nonmidwater logbook data is further delineated into the state California halibut trawl fishery for each individual tow/haul as follows:

a) If tow target is California halibut (PACFIN\_TARGET = CHLB or CHL1), or

b) Tow target PACFIN\_TARGET = (NSM or OFLT or SSOL or SSO1) and DEPTH1 < 30 (fth) and SET\_LAT < 40.16667.

The remaining LE non-midwater logbook data tows are considered part of the LE groundfish trawl fishery.

Additional data processing steps are described in each report and product.