

Observed and Estimated Bycatch of Eulachon in 2002– 2017 US West Coast Groundfish Fisheries

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Executive Summary

In accordance with the National Marine Fisheries Service (NMFS) Biological Opinion (BiOp) on Continuing Operation of the Pacific Coast Groundfish Fishery (NMFS 2012, NMFS-WCR 2018), this document provides an analysis of observed bycatch and fleet-wide take estimates of U.S. Endangered Species Act (ESA)-listed eulachon (*Thaleichthys pacificus*) in all sectors of the West Coast groundfish fishery from 2002–2017. Eulachon is an anadromous smelt (Family Osmeridae) that spawns in freshwater rivers, yet spends 95% of its life in the ocean over the continental shelf and most often at depths between 50 and 200 m. The southern Distinct Population Segment (DPS) of eulachon, which occurs in the northern California Current, is composed of numerous subpopulations that spawn from the Mad River in northern California to the Skeena River in British Columbia. The southern DPS of eulachon was listed as threatened under the ESA in 2010 (NMFS 2010). The most recent five-year review (Gustafson 2016) resulted in a recommendation and final rule that the DPS remain classified as a threatened species (NMFS 2016, NMFS-WCR 2016).

Across 16 years of observation (2002–2017), a total of 13,122¹ individual eulachon were estimated to have been caught as bycatch in all groundfish sectors of the U.S. West Coast groundfish fishery. About 89% of this bycatch of eulachon occurred during the five year period from 2011–2015, when efforts to identify eulachon in the bycatch of these fisheries became a priority and when indices of eulachon abundance were highly positive. Since the previous biennial report on eulachon bycatch (Gustafson et al. 2017a), total fleetwide estimated bycatch in U.S. West Coast groundfish fisheries has dropped by an order of magnitude to 56 total eulachon in 2016 and 90 total eulachon in 2017.

The 2012 Biological Opinion stated that take of eulachon in combined LE groundfish bottom trawl and at-sea hake fisheries was not expected to be more than 1,004 fish per year. However, this threshold of incidental take was exceeded in 2011, 2013, and 2014 when an estimated bycatch of 1,621; 5,113; and 3,075 eulachon, respectively, were estimated to have been taken in all observed U.S. West Coast groundfish fisheries combined (Gustafson et al. 2017a). Although these bycatch count estimates have been marginally updated in the current report (1,620 eulachon in 2011; 6,099 eulachon in 2013; 3,076 eulachon in 2014), they continue to exceed the 2012 BiOp incidental take threshold of 1,004 fish. Several indices of eulachon abundance indicated that a dramatic increase in eulachon abundance in U.S. waters began in 2011 and lasted through the 2016 broodyear, which may explain why the 2012 BiOp bycatch take level for eulachon was exceeded in 2011, 2013, and 2014. The eulachon bycatch take level of 1,004 fish, as articulated in the 2012 BiOp, was based on bycatch estimates acquired during 2002–2010 when eulachon abundance was severely depressed. Abundance estimates in 2017 and 2018 for the southern DPS of eulachon have again fallen to low levels last seen in the mid-late 2000s.

The reasonable and prudent measures in the 2012 Opinion (NMFS 2012) stated that exceeding the amount or extent of take described in the incidental take statement (ITS) will

¹ Eulachon bycatch count and weight estimates have been updated in the current document and may not always match estimates previously published in Gustafson et al. (2015a, 2017a).

result in reinitiation of formal consultation if the amount or extent of incidental take is exceeded. Reinitiation of consultation for eulachon of the Groundfish BiOp (NMFS-WCR 2018) resulted in a revised ITS, that takes the fluctuating abundance of eulachon into account, and is based on a comparison of five-year geometric means of both eulachon bycatch in West Coast groundfish fisheries and minimum abundance estimates of Columbia River eulachon (as a proxy for the southern DPS of eulachon). The yearly bycatch estimate for ITS purposes is estimated as the geometric mean of the most recent year's and the four preceding year's bycatch count estimates in the West Coast groundfish fishery. The abundance proxy for the southern DPS is calculated as the five-year geometric mean from the current year and the preceding four years of the minimum abundance estimates for Columbia River eulachon. Two thresholds for incidental take are calculated from this later number – a precautionary threshold (0.01 percent of the five-year geometric mean of minimum abundance) and a reinitiation threshold (0.02 percent of the five-year geometric mean of minimum abundance) (NMFS-WCR 2018). Analysis of abundance and bycatch data from 2011 to 2016 showed that West Coast groundfish fishery “bycatch was less than a third of the precautionary threshold and less than a sixth of the reinitiation threshold every year” (NMFS-WCR 2018, p. 2-19).

In 2016, the ITS precautionary and reinitiation thresholds (five year geometric means of 0.01% and 0.02% of minimum Columbia River abundance) were 3,904 and 7,808, respectively. In 2017, the ITS precautionary and reinitiation thresholds were 3,262 and 6,525, respectively. Using bycatch estimates of eulachon in the current document, the five-year geometric mean of bycatch in the West Coast groundfish fisheries has been determined to be 1,326 eulachon in 2016 and 676 eulachon in 2017. Therefore, for the purposes of this BiOp, bycatch in 2016 was 34% and 17% of the precautionary and reinitiation thresholds, respectively. In 2017, bycatch was estimated at about 21% of the precautionary and 10% of the reinitiation threshold. Therefore, these thresholds were not exceeded in 2016 or 2017.

Based on the overall magnitude of bycatch in U.S. West Coast groundfish fisheries, either there is limited interaction with eulachon in these fisheries or most eulachon encounters result in fish escaping or avoiding trawl gear. Federal regulations in the commercial groundfish fishery currently mandate minimum trawl mesh sizes in the bottom and midwater trawl fisheries of 11.4 cm (4.5 inches) and 7.6 cm (3.0 inches), respectively. Therefore it is likely that most eulachon would readily pass through the mesh openings of groundfish trawl nets and it is difficult to envision how eulachon are retained in groundfish trawl nets unless the codend becomes plugged. Thus the observed eulachon bycatch in the groundfish fishery sectors reported in this document may represent a small fraction of all eulachon encounters with bottom and midwater trawl fishing gear in the groundfish fishery. From a conservation biology perspective, it is important to examine not only observed bycatch and discard mortality but also the fate of non-target organisms that escape from trawl nets prior to being hauled aboard fishing vessels. However, we currently have no direct data to estimate escape or avoidance mortality of eulachon in any sector of the groundfish fishery and we are unaware of any studies that have directly investigated the fate of osmerid smelt species passing through groundfish trawl nets.

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Introduction and Background

In accordance with the National Marine Fisheries Service (NMFS) Biological Opinion (BiOp) on Continuing Operation of the Pacific Coast Groundfish Fishery (NMFS 2012, NMFS-WCR 2018), this document provides an analysis of observed bycatch and fleet-wide take estimates of U.S. Endangered Species Act-listed eulachon (*Thaleichthys pacificus*, Osmeridae) in U.S. West Coast groundfish fishery sectors. The current document updates information on eulachon bycatch that was provided in Gustafson et al. (2015a, 2017a) with the addition of data for the years 2016 and 2017. Eulachon is an anadromous smelt that ranges from northern California to the southeastern Bering Sea coast of Alaska (Willson et al. 2006, Moody and Pitcher 2010). The declining abundance of eulachon in the southern portion of its range led the Cowlitz Indian Tribe to petition (Cowlitz Indian Tribe 2007) the NMFS to list eulachon in Washington, Oregon, and California as a threatened or endangered species under the USA's Endangered Species Act (ESA). A eulachon Biological Review Team (BRT)—consisting of scientists from the Northwest Fisheries Science Center (NWFSC), Alaska Fisheries Science Center, Southwest Fisheries Science Center, U.S. Fish and Wildlife Service, and U.S. Forest Service—was formed by NMFS, and the team reviewed and evaluated scientific information submitted from state agencies, other interested parties, and from both published and unpublished literature. The BRT identified a southern Distinct Population Segment (DPS) of eulachon, which occurs in the California Current and is composed of numerous subpopulations that spawn in rivers from the Mad River in northern California to the Skeena River in British Columbia. The BRT concluded that major threats to southern eulachon included climate change impacts on ocean and freshwater habitat, bycatch in offshore shrimp trawl fisheries, changes in downstream flow-timing and intensity due to dams and water diversions, and predation. These threats, together with large declines in abundance, indicated to the BRT that the southern DPS of eulachon was at moderate risk of extinction throughout all of its range (Gustafson et al. 2010, 2012). On 18 March 2010, NMFS published a final rule in the Federal Register to list the southern DPS of eulachon as threatened under the ESA (NMFS 2010). A recent five-year review (Gustafson 2016) resulted in a recommendation and final rule that the DPS remain classified as a threatened species (NMFS 2016, NMFS-WCR 2016). Eulachon in Canada that overlap the range of the ESA's southern DPS have also been recommended for listing as endangered under the Canadian Species at Risk Act (SARA) (COSEWIC 2011, 2013).

Eulachon Life History

Adult eulachon typically spawn at age 2–5, when they are 160–250 mm in length (fork length). Spawning occurs in the lower portions of rivers that have prominent spring peak flow events or freshets (Hay and McCarter 2000, Willson et al. 2006). Many rivers within the range of eulachon have consistent yearly spawning runs; however, eulachon may appear in certain other rivers in their range on an irregular or occasional basis (Hay and McCarter 2000, Willson et al. 2006). The spawning migration typically begins when river temperatures are between 0°C and 10°C, which usually occurs between December and June. Run timing and duration may vary interannually and multiple runs occur in some rivers (Willson et al. 2006). Most eulachon are semelparous. Fecundity ranges from 7,000–60,000 eggs and individual eggs are approximately 1

mm in diameter. Milt and eggs are released over sand or coarse gravel. Eggs become adhesive after fertilization and hatch in 3 to 8 weeks depending on temperature. Newly hatched larvae are transparent, slender, and about 4 to 8 mm in total length. Larvae are transported rapidly by spring freshets to estuaries (Hay and McCarter 2000, Willson et al. 2006) and juveniles disperse onto the oceanic continental shelf within the first year of life (Hay and McCarter 2000, Gustafson et al. 2010). It has been estimated that eulachon spend about 95% of their life in the ocean (Hay and McCarter 2000), although very little is known about their distribution and behavior in the marine environment. Eulachon have been taken in research trawl surveys over the continental shelf off the U.S. West Coast, most often at depths between 50 and 200 m (NWFSC-EW 2012).

West Coast Groundfish Fishery

The West Coast groundfish fishery (WCGF) is a multi-species fishery that utilizes a variety of gear types. The fishery harvests species designated in the Pacific Coast Groundfish Fishery Management Plan (FMP) and is managed by the Pacific Fishery Management Council (PFMC) (PFMC 2011). Over 90 species are listed in the groundfish FMP, including a variety of rockfish, flatfish, roundfish, skates, and sharks. These species are found in both federal (> 5.6 km off-shore) and state waters (0-5.6 km). Groundfish are both targeted and caught incidentally by trawl nets, hook-&-line gear, and fish pots. Under the FMP, the groundfish fishery consists of four management components:

The Limited Entry (LE) component encompasses all commercial fishers who hold a federal limited entry permit. The total number of limited entry permits available is restricted. Vessels with an LE permit are allocated a larger portion of the total allowable catch for commercially desirable species than vessels without an LE permit.

The Open Access (OA) component encompasses commercial fishers who do not hold a federal LE permit. Some states require fishers to carry a state issued OA permit for certain OA sectors.

The Recreational component includes recreational anglers who target or incidentally catch groundfish species. Recreational fisheries are not covered by this report.

The Tribal component includes native tribal commercial fishers in Washington State that have treaty rights to fish groundfish. Tribal fisheries are not included in this report, with the exception of the observed tribal at-sea Pacific hake (*Merluccius productus*) (also known as whiting) sector.

These four components can be further subdivided into sectors based on gear type, target species, permits and other regulatory factors. This report includes data from the following sectors:

Limited Entry (LE) sectors

Beginning in 2011, an Individual Fishing Quota (IFQ) program for the LE bottom trawl fleet and the at-sea Pacific hake fleet was implemented, under the West Coast Groundfish Trawl Catch Share Program.

- IFQ fishery (formerly LE bottom trawl and at-sea Pacific hake). The IFQ non-hake sectors consist primarily of bottom trawl, with some midwater trawl and gear-switching

(fishing the IFQ permit using fixed gear). This sector is subdivided into the following components due to differences in gear type and target strategy. Components of the IFQ fishery during 2011–2017 were:

- Bottom trawl: Bottom trawl nets are used to catch a variety of non-hake groundfish species. Catch is delivered to shore-based processors.
- Midwater non-hake trawl: Midwater trawl nets are used to target midwater non-hake species. Catch is delivered to shore-based processors. Definition of the catch as occurring in this component is based on the captain's target as recorded in the logbook.
- Pot: Pot gear is used to target groundfish species, primarily sablefish (*Anoplopoma fimbria*). Catch is delivered to shore-based processors.
- Hook-and-line: Longlines are primarily used to target groundfish species, mainly sablefish. Catch is delivered to shore-based processors.
- LE California halibut (*Paralichthys californicus*) trawl: Bottom trawl nets are used to target California halibut by fishers holding both a state California halibut permit and an LE federal trawl groundfish permit. Catch is delivered to shore-based processors.
- At-sea motherships and catcher-processors: Midwater trawl nets are used to catch Pacific hake. Catcher vessels deliver unsorted catch to a mothership. The catch is sorted and processed aboard the mothership. Catcher-processors catch and process at-sea.
- Tribal at-sea processing component of the Pacific hake sector. The tribal sector must operate within defined boundaries in waters off northwest Washington. Tribal catch can be delivered to a contracted mothership by catcher vessels for processing or be caught and processed by a contracted catcher-processor.
- Shoreside midwater Pacific hake trawl: Midwater trawl nets used to catch Pacific hake. Catch is delivered to shore-based processors. Definition of the catch as occurring in this component is based on the captain's target as recorded in the logbook.

Beginning in 2015 the components of the IFQ fishery previously defined as the midwater non-hake trawl and the shoreside Pacific hake midwater trawl were redefined based on the proportion of Pacific hake in the catch in a trip:

- Shoreside midwater Pacific hake trawl (more than 50% of catch by a vessel on a given day is Pacific hake): Midwater trawl nets are used to catch Pacific hake. Catch is delivered to shore-based processors.
 - Shoreside midwater rockfish trawl (less than 50% of catch by a vessel on a given day is Pacific hake): Midwater trawl nets are used to catch rockfish, typically widow and yellowtail. Catch is delivered to shore-based processors.
- LE fixed gear (non-nearshore): This sector is subdivided into two components due to differences in permitting and management:

- LE sablefish endorsed season: Longlines and pots are used to target sablefish. Catch is generally delivered to shore-based processors, although a small amount may be sold live.
- LE sablefish non-endorsed: Longlines and pots are used to target groundfish, primarily sablefish and thornyheads. Catch is delivered to shore-based processors or sold live at the dock.

Open Access (OA) Federal sectors

- OA fixed gear (non-nearshore): Fixed gear, including longlines, pots, fishing poles, stick gear, etc. is used to target non-nearshore groundfish. Catch is delivered to shore-based processors.

Open Access (OA) state sectors

- OA ocean shrimp² (*Pandalus jordani*) trawl: Trawl nets are used to target ocean shrimp. Catch is delivered to shore-based processors.
- OA California halibut trawl: Trawl nets are used to target California halibut by fishers holding a state California halibut permit. Catch is delivered to shore-based processors.
- Nearshore fixed gear: A variety of fixed gear, including longlines, pots, fishing poles, stick gear, etc. are used to target nearshore rockfish and other nearshore species managed by state permits in Oregon and California. Catch is delivered to shore-based processors or sold live.

Northwest Fisheries Science Center Fisheries Observation Science Program

The NWFSC Fisheries Observation Science Program's goal is to improve estimates of total catch and discard by observing commercial sectors of groundfish fisheries along the U.S. West Coast that target or take groundfish as bycatch. The observer program has two units: the West Coast Groundfish Observer Program (WCGOP) and the At-Sea Hake Observer Program (A-SHOP). The WCGOP Program was established in May 2001 by NMFS in accordance with the Pacific Coast Groundfish Fishery Management Plan (50 CFR Part 660) (50 FR 20609). This regulation requires all vessels that catch groundfish in the U.S. Exclusive Economic Zone (EEZ) from 3 to 200 miles offshore to carry an observer when notified to do so by NMFS or its designated agent. Subsequent state rule-making has extended NMFS's ability to require vessels fishing in the 0 to 3 mile state territorial zone to carry observers.

The WCGOP and A-SHOP observe distinct sectors of the groundfish fishery. The WCGOP observes the following sectors: IFQ shore-based delivery of groundfish and Pacific hake, LE and OA fixed gear, and state-permitted nearshore fixed gear sectors. The WCGOP also observes several state-managed fisheries that incidentally catch groundfish, including the

² *Pandalus jordani* is known as the smooth pink shrimp in British Columbia, ocean pink shrimp or smooth pink shrimp in Washington, pink shrimp in Oregon, and Pacific ocean shrimp in California. Herein we use the common name "ocean shrimp" in reference to *P. jordani* as suggested by the American Fisheries Society (McLaughlin et al. 2005). The common name "pink shrimp" has been assigned to *Farfantepenaeus duorarum*, a commercial species in the South Atlantic and Gulf of Mexico (McLaughlin et al. 2005).

California halibut trawl and ocean shrimp trawl fisheries. The A-SHOP observes the IFQ fishery that delivers Pacific hake at-sea including: catcher-processor, mothership, and tribal catch delivered at sea to motherships. Details on how fisheries observers operate in both the IFQ (aka, Catch Share) and Non-IFQ (aka Non-Catch Share) sectors can be found online at: <http://www.nwfsc.noaa.gov/research/divisions/fram/observation/index.cfm>.

Eulachon Bycatch

The primary objective of this report is to provide estimates of bycatch of the ESA-listed southern DPS of eulachon in observed U.S. West Coast federally permitted groundfish fisheries from 2002–2017. In this report we assume 100% mortality of eulachon incidentally caught and subsequently discarded in these fisheries. A number of previous reports (NWFSC 2009, 2010; Bellman et al. 2008, 2009, 2010, 2011; Al-Humaidhi et al. 2012; Gustafson et al. 2015a, b, 2017a, b) have provided data on estimated bycatch of eulachon in U.S. West Coast commercial fisheries, which were derived from the WCGOP and A-SHOP data.

In this document, bycatch ratios for eulachon are reported as weight and as number of individual fish caught per metric ton (mt) of total groundfish retained per haul. These ratios are then used to estimate eulachon bycatch in the fleet in sectors where only a portion of the total hauls were observed. This report includes eulachon bycatch estimates for all groundfish fisheries observed by the WCGOP and A-SHOP from 2002–2017.

The following commercial groundfish fishery sectors had observed eulachon bycatch during 2002–2017:

- LE and IFQ bottom trawl fishery
- IFQ non-hake midwater trawl fishery
- IFQ shoreside Pacific hake trawl
- IFQ at-sea Pacific hake mothership fishery
- IFQ at-sea Pacific hake catcher-processor fishery
- IFQ at-sea Pacific hake tribal mothership

Table 1 presents a summary of the permits, gear used, target groups, vessel length range, fishing depth range, and management of fishery sectors and sub-sectors in U.S. West Coast groundfish fisheries that have had documented eulachon bycatch.

The WCGOP also observes some fisheries that incidentally catch groundfish, including the state permitted ocean shrimp trawl fisheries. The majority of eulachon bycatch off the U.S. West Coast occurs in state operated commercial ocean shrimp trawl fisheries in California, Oregon, and Washington (Gustafson et al. 2015b, 2017b). However, these non-groundfish trawl fisheries are permitted by the individual states, are not regulated under the Pacific Coast Groundfish FMP, and therefore do not fall under the 2012 or the reinitiated 2018 Biological Opinion for eulachon. Eulachon bycatch in these shrimp trawl fisheries is important to understand from the perspective of species conservation. To clearly define the scope of the reporting required under the 2012 and the reinitiated 2018 Biological Opinion, eulachon bycatch in ocean shrimp fisheries is reported in Appendix A to the current report; however, eulachon

bycatch in ocean shrimp fisheries will not be further covered in the main body of this document. Recommendations to the PFMCM regarding eulachon under the Biological Opinion should not include the ocean shrimp fishery.

Groundfish Fishery Sectors with Eulachon Bycatch

Limited entry shore-based bottom trawl fishery

The Pacific Ocean shore-based LE groundfish trawl fishery was established in 1994 for midwater and bottom trawl gear and operates year-round off the coasts of Washington, Oregon, and southward to Morro Bay in California. Groundfish trawl vessels deliver their permitted and marketable catch to shore-side processors, and the majority of the portion of their catch which is prohibited by regulations or that is unmarketable is discarded at sea. As mentioned above, an Individual Fishing Quota (IFQ) program for the limited entry shore-based bottom trawl fleet was implemented in 2011, under the West Coast Groundfish Trawl Catch Share Program. This catch shares system divides the portion of the trawl fisheries annual catch limits (ACL) for various groundfish stocks and stock complexes into shares controlled by individual fishermen or groups of fishermen (cooperatives), which can be harvested at the fishermen's discretion. In 2011, the LE trawl sector became a catch share program with 100% NMFS-certified observers. In 2015-2017, exempted fishing permits (EFP) were issued for a subset of the fleet to carry electronic monitoring (EM) systems for compliance and quota management rather than observers; these vessels are still required to carry an observer for additional scientific data collection on ~ 20 to 30% of trips.

From 2002 to 2011, limited entry groundfish bottom trawl permits were selected for observation using stratified random sampling. Details on the selection process for observer coverage prior to 100% observer implementation in 2011 can be found online at: http://www.nwfsc.noaa.gov/research/divisions/fram/observation/data_products/bottom_trawl.cfm. More background information on the West Coast Groundfish Trawl Catch Share Program and the Fisheries Observation Science Program of the NWFSC (including estimates of observer coverage) can be found online at: <http://www.nwfsc.noaa.gov/research/divisions/fram/observation/index.cfm>.

At-sea Pacific hake fishery

This Catch Shares fishery targets Pacific hake off the coasts of Oregon and Washington using midwater trawl nets, primarily from mid-May–November. Currently, there are three major components to the at-sea fishery for Pacific hake: (1) a catcher-processor cooperative, consisting of vessels that harvest with midwater trawl gear and process Pacific hake catch at sea; (2) a mothership cooperative, consisting of catcher vessels that harvest Pacific hake with midwater trawl gear and deliver the catch to a mothership that processes the catch at sea; and (3) a commercial tribal fishery off Washington that uses gear similar to that used in the non-tribal fisheries. The catcher-processor sector entered into a cooperative agreement (co-op) which split the Pacific hake quota into individual fishing quotas by company in 1997, and the mothership sector entered into a co-op for the first time as West Coast trawl fisheries began operating under a catch shares program in 2011 (NWFSC 2018b). In each of the at-sea Pacific hake fishery

sectors, the portion of the non-hake catch which is prohibited by regulations or cannot be processed is discarded at sea. Observer coverage in the at-sea hake fishery began in the late 1970s. By the early 2000s the vessels were voluntarily carrying two observers for every fishing day. Regulations requiring two observers went into effect in 2004.

Shoreside midwater Pacific hake and shoreside midwater rockfish sectors

The IFQ shoreside Pacific hake and rockfish midwater trawl fleet is comprised exclusively of catcher vessels that deliver unsorted catch to shore-based processing plants. From 2011–2014, these sectors were defined based on the captain’s target species; from 2015 onward, these sectors are defined based on landing half or more of Pacific hake in a trip. Fishery definitions from 2011–2014 and those in 2015–2017 are not directly comparable, although they are similar. To emphasize this, the WCGOP also altered the name of these fisheries to clarify the difference in 2015–2017 sectors: “shoreside hake” became “shoreside midwater hake”, and “midwater non-hake” became “shoreside midwater rockfish.” It should also be noted that, in this report, from 2011–2014, all midwater non-hake trips were combined with the bottom trawl sector, but in 2015–2017 the shoreside midwater rockfish sector is reported separately. Delivering unsorted catch is necessary to limit handling of the catch and ensure that landed fish are of market quality. One hundred percent of the landed catch from this full retention fishery is sampled for bycatch by the Catch Monitor Program after being landed and delivered to shore-based facilities. Because shoreside midwater hake and shoreside midwater rockfish function as full-retention fisheries, only at-sea discards are observed by the WCGOP; additional discards occur on shore. All IFQ vessels were required to carry an observer from 2011 to 2014 on 100% of fishing trips. Similar to bottom trawl vessels, in 2015–2017, a subset of these fleets applied for EFPs to carry EM for compliance, rather than an observer. This EFP requires maximized retention, so no additional observer coverage is currently required; instead, bycatch estimates rely on shoreside catch monitoring samples.

Unobserved mortality

The current document presents WCGOP and A-SHOP observer data describing bycatch mortality of eulachon that is landed on the deck of trawl vessels operating in the various U.S. West Coast groundfish fisheries covered by the Pacific Coast Groundfish Fishery Management Plan. However, data on eulachon “mortalities resulting from encounter[s] with fishing gear,” as mentioned in the BiOp language above, are unavailable. Various terms are used to describe these unobserved but potentially lethal interactions with fishing gear, including: “unaccounted fishing mortality” (Chopin and Arimoto 1995, Suuronen 2005, ICES 2005, Suuronen and Erickson 2010), “collateral mortality” (Broadhurst et al. 2006), “cryptic fishing mortality” (Gilman et al. 2013), or “post release mortality” (Raby et al. 2014), among others. The components of unaccounted fishing mortality most relevant to the above BiOp language include (1) escape mortality (i.e., mortality of fish escaping from trawl nets prior to the net being brought on deck) and (2) avoidance mortality (i.e., direct or indirect mortality of fish resulting from the stress and fatigue of avoiding a trawl net) (ICES 2005, Broadhurst et al. 2006). Given that federal regulations in the groundfish fishery mandate minimum trawl mesh dimensions in the bottom and midwater trawl fisheries of 11.4 cm (4.5 inches) and 7.6 cm (3.0 inches), respectively (West Coast Region 2016, p. 258), it is likely that most eulachon would be able to escape by

swimming or falling through codend mesh of this dimension, either during the tow or during haul-back operations. However, we have no information on the level of either escape or avoidance mortality of eulachon in U.S. West Coast groundfish fisheries (see Discussion).

Methods

Data Sources

Data sources for this analysis include onboard observer and electronic monitoring data from the WCGOP and A-SHOP and landing receipt data, referred to as fish tickets, obtained from the Pacific Fisheries Information Network (PacFIN). The Magnuson-Stevens Fishery Conservation and Management Reauthorization Act of 2006 defined information confidentiality requirements such that the government cannot make public any data that can be linked to individual people or businesses. Currently, this is achieved through applying the “Rule of Three,” wherein any data presented to the public must have been reported by at least three fishermen or dealers. Those data that can only be attributed to two or fewer are aggregated to a higher level.

Observer Data

A list of fisheries, coverage priorities and data collection methods employed by WCGOP in each observed fishery can be found in the WCGOP training manual (NWFSC 2018a). A-SHOP information and documentation on data collection methods can be found in the A-SHOP observer manual (NWFSC 2018b).

The sampling protocol employed by the WCGOP is primarily focused on the discarded portion of catch. To ensure that the recorded weights for the retained portion of the observed catch are accurate, haul-level retained catch weights recorded by observers are adjusted based on trip-level fish ticket records. This process is described in further detail in Somers et al. (2018). Data processing was applied prior to the analyses presented in this report. For a complete list of groundfish species defined in the Pacific Coast Groundfish Fishery Management Plan see PFMC (2011).

Fish Ticket Data

For bycatch estimation, the landed amount of a particular fish species or species group is used as the effort metric. Thus, the retained landing information from fish tickets is crucial information for fleet-wide total bycatch estimation for all sectors of the commercial groundfish fishery on the U.S. West Coast. Fish ticket landing receipts are completed by fish-buyers in each port for each delivery of fish by a vessel. Fish tickets are trip-aggregated sales receipts for market categories that may represent single or multiple species. Fish tickets are issued to fish-buyers by a state agency and must be returned to the agency for processing. Fish tickets are designed by the individual states (Washington, Oregon, and California) with slightly different formats in each state. In addition, each state conducts shoreside species-composition sampling for numerous market categories that are reported on fish tickets. Fish ticket and species-

composition data are submitted by state agencies to the PacFIN regional database. Annual fish ticket landings data, with state species composition sampling applied, were retrieved from the PacFIN database and subsequently divided into various sectors of the groundfish fishery. Observer and fish ticket data processing steps are described in detail in Somers et al. (2018). All data processing steps specific to this report are described in the bycatch estimation methods section below.

Bycatch Estimation Methods

The landed amount of a target species (or species groups) was used as a proxy for fishing effort. The choice of target species and therefore, the effort metric, depends on the fishery sector. Thus, eulachon bycatch estimation was estimated for each individual fishery sector that encountered eulachon. Eulachon were taken during some years as bycatch in the following groundfish fishery sectors: (1) LE bottom trawl (2002–2010), (2) IFQ bottom trawl (2011–2017), (3) IFQ non-hake midwater trawl (2011–2017), (4) IFQ shoreside Pacific hake / rockfish fishery (2011–2017), (5) at-sea Pacific hake mothership fishery (2002–2017), (6) at-sea Pacific hake catcher-processor fishery (2002–2017), and (7) at-sea Pacific hake tribal mothership fishery (2002–2011, no effort in this sector occurred in 2013–2017, and data for 2012 is confidential as fewer than 3 vessels were observed).

As mentioned above, landed catch of target species is used as the effort metric, and target species differ by fishery sector. Target species of those sectors that encountered eulachon during 2002–2017 were: all groundfish species, except Pacific hake, included in the groundfish fishery management plan (FMP) for LE bottom trawl and IFQ trawl sectors, Pacific hake for at-sea hake fisheries, and either Pacific hake or groundfish for shoreside midwater trawl Pacific hake/rockfish fishery. For those sectors that encountered eulachon, a ratio estimator was used to estimate the number or weight of eulachon catch per stratum. For a given fishery sector, observer data were stratified by state of landing, year, and season, as applicable and possible given MSA confidentiality requirements to use the “rule of three”– that only strata with 3 or more active vessels will be reported to protect business interests. A bycatch ratio (a.k.a., bycatch rate) per stratum was computed from observer data as the observed catch (number or weight) of eulachon divided by the observed retained weight of target species (or species groups). Total eulachon bycatch at the fleet-wide level was then estimated based on the simple expansion of bycatch ratios by total targeted fish landings as the multiplier for a given strata. The estimation of bycatch ratio and fleet-wide expansion were done according to the following equation:

$$\hat{D}_s = \frac{\sum_t d_{st}}{\sum_t r_{st}} \times F_s$$

where:

- s = stratum, which is formed by a combination of sector, year, season, state, etc.
- t = individual tows in observer data
- d = observed bycatch count of eulachon
- r = observed retained weight of target species or species group
- F = expansion factor (total weight of landed target species recorded on fish tickets)

\hat{D} = fleet-wide total bycatch estimate of eulachon

LE bottom trawl fishery

The LE bottom trawl fishery is a multi-species fishery (2002–2010) that targeted various groundfish species. Since 2011, this fishery has been managed under an Individual Fishing Quota (IFQ) system. Landings for this fishery include all groundfish species defined in the groundfish fishery management plan (FMP), except Pacific hake. There are over 90 fish species listed in the FMP (PFMC 2011), including over 64 rockfish species, 12 flatfishes, 6 roundfishes, 6 sharks and skates, ratfish, finescale codling, and Pacific rattail grenadier. To maintain the same stratification as in a previous report (Al-Humaidhi et al. 2012), the data were stratified by year, state of landing, and season. LE bottom trawl vessels can hold a California halibut bottom trawl permit and participate in the state-permitted California halibut fishery. California halibut tows can occur on the same trip as tows targeting groundfish and were identified based on the following criteria: 1) the reported tow target was California halibut and more than 150 lbs of California halibut was landed or 2) the tow target was nearshore mix, sand sole, or other flatfish, and the tow took place in less than 30 fathoms and south of 40°10' N. latitude. All tows from 2002–2010 in the observer data that met at least one of these two requirements were defined as LE California halibut and not included in analysis of the LE bottom trawl sector.

Catch shares: non-hake bottom and midwater trawl IFQ fishery

Since 2011, the U.S. West Coast groundfish trawl fishery has been managed under the Catch Share Program, which led to the establishment of Individual Fishing Quotas (IFQs). Under this program, all participating vessels are required to carry a WCGOP observer on all fishing trips, resulting in 100% observer coverage; beginning in 2015, vessels fishing bottom or midwater trawl gear or pot could participate in an EFP and use electronic monitoring rather than an observer. In addition, permit holders with IFQ and a trawl endorsement can fish multiple gear types (although not within the same trip), including bottom or midwater trawl, hook and line, or pot gear. Eulachon were encountered in IFQ bottom and midwater trawl gear sectors. However, fishing activities were very low in the midwater trawl sector in 2011. To maintain confidentiality standards and remain consistent, bottom and midwater sectors were combined for bycatch estimation. Fleet-wide eulachon bycatch for this sector is almost completely known because all vessels not using EM carry an observer. Bycatch for this fishery was summarized by year and state of landing. From 2011–2014, this section includes midwater non-hake trawl; starting in 2015, this section includes only bottom trawl, and all shoreside midwater trawl is reported separately as IFQ shoreside midwater Pacific hake trawl and IFQ shoreside midwater rockfish trawl.

In the non-EM portion of the fleet, all Catch Shares fishing trips are observed, but a very small number of tows or a small portion of catches from a given tow may be unsampled due to observer illness or other circumstance. Overall, coastwide annual unsampled catch was less than 0.4% of the total landed weight of groundfish species during 2011–2017. Three types of unsampled catch categories can occur during observed trips; completely unsorted catch (discards + retained), unsampled discards, and unsampled non-IFQ species. Both completely unsorted catch and unsampled discard could contain both IFQ and non-IFQ species, but unsampled non-

IFQ species only contains species that do not belong to the IFQ species list. Estimates of eulachon bycatch are derived from the unsampled portions of the catch for each unsampled category type individually. Estimated bycatch from the unsampled portion of the catch by stratum is then added to the observed bycatch amount to obtain the total bycatch estimate. Expansion for the unsampled portion was only needed if eulachon were encountered within a stratum. If no eulachon were encountered in a stratum, then it was assumed that no eulachon were encountered in the unsampled catch. The following equation was used to estimate bycatch in the unsampled portions of the catch in IFQ fisheries:

$$\hat{U}_{sc} = \frac{\sum_t d_{st}}{\sum_t w_{sct}} \times Z_{sc}$$

where:

s = stratum

c = category of unsampled catch

t = individual tows in observer data

d = observed bycatch count of eulachon

w = weight of sampled catch

Z = unsampled weight of catch

\hat{U} = bycatch estimate of eulachon in unsampled catch

Eulachon bycatch was estimated within unsampled catch by multiplying the bycatch ratio of the eulachon in a given stratum (i.e., eulachon bycatch numbers or weight divided by the sampled retained + discarded weight of all species) by the weight of unsampled catch of all species per stratum (i.e., expansion factor). Estimations for other unsampled categories were done in the same fashion, but with different denominators for bycatch ratio and different expansion factors. For the unsampled discard category, the denominator was sampled discarded weight of all species and the expansion factor was unsampled discarded weight of all species. For the unsampled non-IFQ category, the denominator was sampled weight of all discarded non-IFQ species and the expansion factor was unsampled weight of discarded non-IFQ species. Data were declared as failed when errors occurred consistently throughout an observer's sampling of a haul or trip. In the case of failed data estimations, the denominator was the sampled weight of target species and the expansion factor was sum of retained weight of target species in failed trips.

Catch Shares vessels fishing midwater trawl gear function as a maximum retention fishery, with little or no at-sea discard. Catch is sorted on-shore, so nearly all protected species catch is discarded shoreside rather than at-sea. This can also occur on occasion in bottom trawl sectors.

At-sea Pacific hake fishery

Observed and expanded bycatch data were provided directly from the A-SHOP and incorporated into this report. The eulachon bycatch is reported by year and by each at-sea Pacific hake fishery sector: catcher-processors, motherships, and tribal catch delivered at-sea. All

vessels fishing in the at-sea Pacific hake fishery carry two A-SHOP observers for every fishing day (i.e., 100% coverage).

Though very rare, entire hauls may not be sampled due to unforeseen circumstances (e.g., sickness of observers). These unsampled hauls need to be expanded at the strata level. Typically greater than 99% of hauls are sampled each year, therefore the unsampled portion to be expanded is very small.

The eulachon catch in unsampled hauls is estimated by multiplying the eulachon catch from the sampled weight by the proportion of unsampled weight over the total weights per given stratum. This estimated eulachon catch for unsampled hauls is then added to the sum of all eulachon catch in the sampled hauls to produce the total estimated eulachon bycatch per given strata. The total number of eulachon caught by the at-sea Pacific hake fleet per given stratum was calculated using the following formula:

$$B_s = \sum Y_{st} + \sum Y_{st} \cdot \left(\frac{U_s}{T_s} \right)$$

where:

B = the total estimated eulachon bycatch

s = individual stratum

t = individual tow

Y = number of eulachon caught

U = weight of unsampled hauls

T = weight of sampled hauls

Catch shares: shoreside Pacific hake fishery (2011–2014)

The shoreside Pacific hake fishery operated under IFQ as part of the Catch Shares program and was identified as shoreside catcher vessels fishing midwater trawl and targeting Pacific hake according to the captain's logbook. Under catch shares regulations, each shoreside hake vessel is required to carry a WCGOP observer, resulting in 100% compliance monitoring. Observers do minimal sampling at sea unless discards occur, as most hauls are retained entirely and the landed catch is sorted and weighed at the plants by catch monitors. At-sea discards and landings data are combined to estimate total catch. Because catch monitors only weigh landed catch, eulachon discard information is available as weight but not counts. Therefore, eulachon bycatch numbers were derived from weight information based on a regression fit to count and weight data from other fishery sectors for each year.

Catch shares: IFQ shoreside midwater Pacific hake trawl (2015–2017)

Prior to 2015, this sector was defined as either the shoreside hake or IFQ non-hake midwater trawl fisheries; in 2015, this definition was updated to be consistent with new regulations. This IFQ fishery consists of trips fishing midwater trawl gear landing more than 50% Pacific hake by weight on a landing day. The shoreside midwater trawl fishery functions as a full-retention fishery, so only at-sea discards are observed by WCGOP; additional discards

occur on land, so a percent discard is not calculated. All non-EM IFQ vessels carry an observer on every fishing trip.

Catch shares: IFQ shoreside midwater rockfish trawl (2015–2017)

Prior to 2015, this sector was defined as either the shoreside hake or IFQ non-hake midwater trawl fisheries, until the definition was updated to be consistent with new regulations. This IFQ fishery consists of trips fishing midwater trawl gear landing less than 50% Pacific hake by weight on a landing day. The shoreside midwater trawl fishery functions as a full-retention fishery, so only at-sea discards are observed by WCGOP; additional discards occur on land, so a percent discard is not calculated. All non-EM IFQ vessels carry an observer on every fishing trip.

Electronically monitored shore-based IFQ sectors

As indicated above, a portion of the IFQ fishery has been covered under Electronic Monitoring (EM) Exempted Fishing Permits (EFP), since 2015. Under the current EM EFPs, vessel captains are required to complete detailed logbooks and the logbook is the primary catch reporting device for the program. Video review is performed by the Pacific State Marine Fisheries Commission (PSMFC), and the EM video system is then used to audit the logbook and ensure proper recording of all discards. This program has partial WCGOP observer coverage at sea and full video coverage that has been reviewed for the presence of eulachon. No eulachon bycatch was observed on the EM video system. Eulachon must be retained on EM vessels, and on-shore catch monitors record weights. Since counts of eulachon are not recorded in EM fisheries, the number of eulachon are estimated using a yearly linear weight-count regression derived from data from other observed sectors. More information on the IFQ EM EFP fishery can be found online at:

https://www.westcoast.fisheries.noaa.gov/fisheries/groundfish_catch_shares/electronic_monitoring.html and <https://www.pcouncil.org/groundfish/rawl-catch-share-program-em/em-efps/>.

Measures of Uncertainty

As a measure of uncertainty for the estimated bycatch ratio, lower and upper limits of the 95% confidence interval were estimated with a non-parametric bootstrap procedure for the fisheries strata that were not 100% observed. The bootstrap procedure randomly selects vessels that were observed within a stratum, with replacement. The number of vessels randomly selected is the same as the total number of observed vessels in the stratum. Random selection of vessels is intended to approximate the WCGOP vessel selection process. The bycatch ratio was estimated for each of 10,000 bootstrapped data sets to obtain a bootstrapped distribution of bycatch ratio estimates. The lower (2.5% percentile) and upper (97.5% percentile) confidence limits of the bycatch ratio were calculated from the bootstrapped distribution. The 95% confidence interval was also estimated for the fleet-wide bycatch estimate per stratum by multiplying the confidence limits of the bycatch ratio by total landed weight of the target species in a given stratum. Lower confidence bound of total bycatch estimate was truncated at the observed bycatch amount if the estimated lower bound was less than the observed bycatch amount. One limitation with this technique method is that we underestimate the true uncertainty

because we can only estimate the portion of uncertainty resulting from observer sampling. We have no information about uncertainty related to landings data [see Shelton et al. (2012)].

If there were fewer than three observed vessels in a given stratum, data confidentiality prohibits revealing catch and other associated fishing trip information in that stratum. To overcome these issues, we estimated bycatch by pooling strata over a three-year time window around the problem stratum: the year before, the year of, and the year after the problem stratum. We then bootstrapped the three-year pooled strata to estimate the bycatch ratio in the confidential stratum. This bycatch ratio can be viewed as a three-year running average. Among the federally managed sectors that encountered eulachon during 2011–2017, only two confidential strata occurred, the winter season of 2008 in the Washington LE bottom trawl fishery sector and the 2012 Tribal Mothership fishery for at-sea Pacific hake.

Results

Eulachon Bycatch³

Eulachon were not observed as bycatch in the LE bottom trawl fishery in Washington from 2002–2010 (Table 2). From 2011 to 2017, a total of 440 individual eulachon were estimated as fleet-wide bycatch in the Washington IFQ non-hake bottom and midwater trawl fishery (Table 3). However, no eulachon were observed or estimated as bycatch in the Washington sector in 2015 to 2017. Within the Oregon portion of the LE bottom trawl fishery, eulachon bycatch occurred in four of the nine years from 2002–2010 with 81% (837/1,034) of this estimated bycatch occurring in the year 2002 (Table 4). However, no eulachon bycatch was recorded in the Oregon LE bottom trawl fishery in 2004, 2005, 2006, 2008, or 2010 (Table 4). Between 2011 and 2017, the Oregon IFQ bottom trawl fishery had an estimated eulachon bycatch of 4,042 individual fish with 62% (2,519 individuals) of this total occurring in the year 2014 (Table 5). Eulachon bycatch in the Oregon sector declined from a high point in 2014 to an estimated 11 fish during 2017 (Table 5). Eulachon were rarely caught in the California LE bottom trawl fishery; 5 fish in 2004 and 21 fish in 2010 (Table 6). Not a single eulachon was recorded as bycatch in the California IFQ bottom and midwater trawl fishery from 2011–2014 or in 2016 or 2017. Eulachon bycatch in this California sector in 2015, consisted of an estimated 2 total fish (Table 7).

Eulachon are encountered sporadically in the at-sea Pacific hake fishery as bycatch. The at-sea catcher-processor sector of the Pacific hake fishery has caught more eulachon than other at-sea Pacific hake sectors (Table 8). However, eulachon bycatch was not reported in the catcher-processor sector from 2002–2005, or in 2010. The estimated eulachon bycatch in the catcher-processor sector was 147; 1,268; and 242 fish in 2006, 2011, and 2014, respectively (Table 8). The bycatch estimate in 2011 amounted to 68% of the total eulachon bycatch estimate of 1,862 fish between 2002 and 2017. In all other years fewer than 40 individual eulachon were observed in the catcher-processor Pacific hake sector as bycatch, except for 2015 when an

³ Eulachon bycatch count and weight estimates have been updated in the current document and may not always match estimates previously published in Gustafson et al. (2015a, 2017a).

estimated 56 fish were caught (Table 8). In the most recent years of 2016 and 2017, a total of 2 and 18 eulachon were estimated as bycatch in the at-sea Pacific hake catcher processor sector (Table 8).

The non-tribal mothership Pacific hake sector had a total estimated eulachon bycatch of 399 individual fish between 2002 and 2017, with 69% of this bycatch occurring in 2013 (277 fish). No eulachon bycatch occurred in 2002–2006 or in 2010 or 2015, and fewer than 10 individual fish were estimated caught in 2007, 2008, 2009, 2012 and 2016 (Table 8). The tribal mothership sector did not participate in the Pacific hake fishery in 2013–2017, and fewer than three vessels were observed in 2012 (Table 8). Eulachon bycatch estimate in the tribal mothership Pacific hake fishery was 32 fish in 2009 and 160 fish in 2011. Eulachon bycatch was not observed in this sector from 2002–2008 or in 2010.

The WCGOP began observing bycatch in the shoreside Pacific hake fishery in 2011 and did not observe eulachon bycatch in this fishery in 2011, 2012, or 2014 (Table 9). However, in 2014 catch monitors recorded the bycatch of 83.5 kg of eulachon in this fishery. Since bycaught fish are weighed but not counted by shore-based catch monitors in this fishery, the number of eulachon represented by 83.5 kg have been estimated using data from other observed fishery sectors and using a yearly linear weight-count regression. Using a weight-count regression based on 1,528 hauls in 2013 from all observed sectors, most of which occurred in the ocean shrimp fishery, we obtained an estimate of 5,122 eulachon (Table 9). Using a weight-count regression based on only the 99 hauls containing eulachon from the IFQ catch shares trawl fishery we obtained an estimate of 1,195 eulachon (Table 9). Use of either estimate does not change the actuality that bycatch exceeded the 2012 BiOp incidental take threshold of 1,004 fish in 2013.

From 2015 to 2017, the shoreside midwater sector of the IFQ fishery was reported separately as either a midwater Pacific hake sector or as a midwater rockfish sector. When more than 50% of a vessel's landings on a day were Pacific hake, the vessel's landings were reported as midwater hake; when landings were less than 50% hake by weight, the vessel's landings were reported in the midwater rockfish sector. No recorded eulachon bycatch occurred in either the midwater hake or the midwater rockfish sectors in 2015 or 2016 (Table 10). However, 0.5 kg of eulachon bycatch was recorded in the 2017 midwater rockfish sector, which was estimated to equal 15 individual eulachon, based on a linear weight-count regression and data from other sectors (Table 10). Bycatch in these fisheries are sampled at nearly 100% after being landed and bycatch is weighed by a catch monitor. The count estimate of 15 eulachon caught in the midwater rockfish sector in 2017 was derived from a linear weight-count regression using all observer data (981 hauls in 2017, mostly in the ocean shrimp fishery) because there were very few eulachon observations in the 2017 IFQ catch shares bottom trawl fishery.

Although no eulachon were reported from the electronically monitored portion of the midwater Pacific hake sector in 2015 and 2016, 0.9 kg of eulachon were landed as bycatch in 2017 (Table 11). As counts are not recorded in this sector, the count estimate of 30 eulachon caught in this sector in 2017 was derived from a linear weight-count regression using all observer data (981 hauls in 2017, mostly in the ocean shrimp fishery) because there were very few eulachon observations in the 2017 IFQ catch shares bottom trawl fishery (Table 11).

A summary of eulachon bycatch in all U.S. West Coast groundfish fisheries observed by the WCGOP and the A-SHOP that reported eulachon catch from 2002–2017 is provided in Table 12 and Figure 1. By far the largest bycatch number of eulachon (6,099 fish) in this total occurred in 2013, when 5,122 eulachon were estimated to have been taken in the shoreside Pacific hake fishery. As detailed above, a different count estimate for the 83.5 kg of bycatch reported in the 2013 shoreside Pacific hake fishery is obtained if a linear weight-count regression using data from the catch shares trawl fishery only is utilized (99 hauls in 2013) (Table 12). This results in a total bycatch count estimate of 2,172 eulachon in 2013 (Table 12). Although it seems reasonable to expect that smaller bodied eulachon would be more easily retained in the small mesh trawl nets used in the ocean shrimp fishery compared to the larger-mesh-size nets used in the groundfish fishery, we herein use the count estimate derived from the weight-count regression using data from all observed sectors, as this is considered the more conservative approach and was the method used in the previous biennial review of eulachon bycatch (Gustafson et al. 2017a).

Across 16 years of observation (2002–2017), a total of 13,133⁴ individual eulachon were estimated to have been caught as bycatch in all groundfish sectors of the U.S. West Coast groundfish fishery (Table 12). About 89% of this bycatch of eulachon occurred during the five year period from 2011–2015, when efforts to identify eulachon in the bycatch of these fisheries became a priority and when other indices of eulachon abundance were highly positive. Since the previous biennial report on eulachon bycatch (Gustafson et al. 2017a), total fleetwide estimated bycatch in U.S. West Coast groundfish fisheries has dropped by an order of magnitude to 56 total eulachon in 2016 and 90 total eulachon in 2017 (Table 12, Fig. 1).

Discussion

The 2012 Biological Opinion (BiOp) on Continuing Operation of the Pacific Coast Groundfish Fishery (PCGF) (NMFS 2012, p. 121, a.k.a. BiOp) stated that:

... the take of threatened southern DPS eulachon will occur as a result of the proposed continued operation of the PCGF. Incidental take of southern DPS eulachon occurs as a result of bycatch and handling in the fisheries, or mortalities resulting from encounter with fishing gear, as a consequence of fishing activity. Take of eulachon in the proposed action is expected to not exceed 1,004 fish per year. This take is expected to occur in the LE groundfish bottom trawl and at-sea hake fisheries.

The reasonable and prudent measures in the 2012 Opinion (NMFS 2012) state that exceeding the amount or extent of take described in the incidental take statement (ITS) will result in reinitiation of formal consultation if the amount or extent of incidental take is exceeded. As eulachon bycatch exceeded the ITS in 2011, 2013, and 2014 (Gustafson et al. 2015a, 2017a), “NMFS WCR Sustainable Fisheries Division requested reinitiation with the NMFS WCR Protected

⁴ Eulachon bycatch count and weight estimates have been updated in the current document and may not always match estimates previously published in Gustafson et al. (2015a, 2017a).

Resources Division on April 5, 2016” (NMFS-WCR 2018, p. 1-2). As further stated in NMFS-WCR (2018, p. 1-3):

Due to wide fluctuations in eulachon abundance, keeping eulachon bycatch under the extent of take described in the 2012 Opinion became difficult when abundance increased, even though impact remained low. Therefore, this new Opinion will consider the effects of the groundfish fishery in terms of eulachon bycatch in light of current information about the fluctuating abundance of eulachon.

2018 Reinitiation of Consultation on the 2012 Biological Opinion for Eulachon

The “amount or extent of take statement” from the 2018 Reinitiation of Consultation for Eulachon of the 2012 Biological Opinion (BiOp) on Continuing Operation of the Pacific Coast Groundfish Fishery (Reinitiation 2018) (NMFS-WCR 2018, p. 2-17 to 2-20) is reproduced below:

In this biological opinion, NMFS determined that incidental take is reasonably certain to occur as follows:

The proposed groundfish fisheries would result in the capture, harm, and mortality of juvenile and adult eulachon. Eulachon will enter groundfish trawl nets during fishing operations and this can affect eulachon via one of two effect pathways. The first effect pathway is through eulachon being captured in trawl nets but ultimately escaping the nets. Some of those fish may suffer injury as a result of their capture and escape, but there is no way to ascertain whether or how many will suffer minor, sublethal, or lethal effects since those fish are [not] available for observation after their escape. The second effect pathway involves the remaining eulachon being retained as bycatch in groundfish trawl nets, and these fish are expected to die due to crushing and descaling injuries. It is not possible to quantify or monitor the number of eulachon incidentally taken (lethally or otherwise) as a result of the proposed action because an unknown and varying percentage of the eulachon will pass through the trawl nets without detection (the first effect pathway). This percentage will (1) be unknown because the eulachon cannot be counted (the nets are underwater when the eulachon enter and leave the nets) and (2) vary due to gear and environmental variables (i.e. net design, how full the net is, density of fish at capture, fish behavior). Since the eulachon bycatch is the only eulachon take that can be quantified and monitored, this estimate will be used as a surrogate for the total eulachon take in the Pacific coast groundfish fishery. This is appropriate because the proportion of bycatch within the fishery is thought to be a consistent proportion of the total take. In other words, as the total take increases and decreases, the bycatch is assumed to equally change.

As described in the effects analysis, it appears that the bycatch of eulachon fluctuates with eulachon abundance. Thus, to connect take levels to abundance,

we describe the extent of take as a proportion of the Columbia River spawner run, as an indicator of the overall abundance within the SDPS [Southern Distinct Population Segment].

The SDPS of eulachon encompasses all populations within the states of Washington, Oregon, and California and extends from the Skeena River in British Columbia south to the Mad River in Northern California (inclusive). In the ocean, eulachon abundance is difficult to determine since they are dispersed widely along the West Coast; due to their anadromous life history, we can, however, measure their abundance as they migrate as larvae from their fresh water spawning beds to the ocean. There are only two populations where these surveys are currently done [and regularly reported] – the Columbia and Fraser Rivers. The Columbia River eulachon spawning run abundance data is appropriate to use as a representative of eulachon abundance in the groundfish fishery for the following reasons:

- (1) The Columbia River has the largest eulachon spawning run within the ESA-threatened SDPS range. A recent study [using genetic signatures to assign fish to their natal river] (2002 to 2015) estimated that 66.8 percent of the eulachon captured off the west coast of Vancouver Island, north of grounds of the Pacific coast groundfish fishery, were of Columbia River origin (Gustafson et al. 2016).
- (2) The Pacific coast groundfish fishery is in closest proximity to the Columbia River spawning run. There are no current major eulachon runs south of the Columbia River, and the nearest major spawning run to the north would be in the Fraser River (which is north of the Pacific coast groundfish fishery) (Gustafson et al. 2010).
- (3) The Columbia River has a regular eulachon spawning run. No matter how low or high eulachon abundance is, the Columbia River has been observed to have a eulachon spawning run historically (Gustafson et al. 2010). Rivers with smaller eulachon spawning runs often do not occur annually when eulachon abundance is low (Gustafson et al. 2010).

For the above reasons, the minimum estimate for the Columbia River eulachon spawning run will be used as a proxy for the SDPS of eulachon in this Opinion.

To determine the appropriate proportion of the Columbia River spawning run to use as the extent of take, we considered a number of factors. First, we determined it would be best to compare five-year geometric means of the Columbia River spawning run estimates and estimated annual bycatch levels, rather than single year estimates. NMFS will provide annual updates of five-year geometric means from the most recent available data for both eulachon bycatch in the Pacific coast groundfish fishery and the minimum abundance estimate from the annual

Columbia River eulachon run. A five-year time-frame will be used for the following reasons:

- (1) Eulachon can live up to five years, so this time-frame reasonably reflects one generation.
- (2) Longer data sets can more accurately depict abundance and bycatch trends, and provide for the opportunity to consider adjustments to the Pacific coast groundfish fishery, if necessary, in response to a robust data set.

On an annual basis, NMFS will recalculate the five-year geometric mean from the current year and the preceding four years of Columbia River minimum eulachon spawning run data (the proxy for the SDPS). From that number, two thresholds will be calculated – a precautionary (0.01 percent of a five-year geometric mean) and reinitiation (0.02 percent of a five-year geometric mean). For example, the 2016 bycatch thresholds would be (Table 2-4):

- Precautionary threshold = 3,946 eulachon (geometric mean of the Columbia River eulachon spawning run from 2012 to 2016)⁵
- Reinitiation threshold = 7,891 eulachon (geometric mean of the Columbia River eulachon spawning run from 2012 to 2016)⁶

Further, NMFS will combine the most recent year's groundfish fishery eulachon bycatch numbers (eulachon bycatch estimates from the Pacific coast groundfish fishery take approximately 9-12 months to obtain following each fishing season) with the bycatch estimates of the four preceding years to calculate a five-year geometric mean for estimated bycatch in the groundfish fishery.

- 2016 Pacific coast groundfish fishery bycatch = 1,277.5 eulachon (geometric mean of bycatch from 2011 to 2015)⁷

For 2016, the Pacific coast groundfish fishery eulachon bycatch estimate (1,277.5 eulachon) was well below the bycatch thresholds described above (Table 2-4) [see Table 13 in current document for revised data]. When analyzing eulachon bycatch and abundance data from 2011 through 2016, the Pacific coast groundfish fishery bycatch was less than a third of the precautionary threshold and less than a sixth of the reinitiation threshold every year.

⁵ Final eulachon abundance data for the Columbia River (James et al. 2014, James 2014) results in a revised precautionary threshold for 2016 of 3,904 eulachon (see Table 13).

⁶ Final eulachon abundance data for the Columbia River (James et al. 2014, James 2014) results in a revised reinitiation threshold for 2016 of 7,808 eulachon (see Table 13).

⁷ Updated eulachon bycatch estimates as presented in the current document results in geometric mean of bycatch from in 2016 of 1,326 eulachon (see Table 13).

In summary, the impacts on the SDPS of eulachon by the Pacific coast groundfish fishery will be assessed by using the eulachon retained in the trawl nets as a surrogate for the total take and the Columbia River eulachon spawning run as a proxy for SDPS eulachon abundance. Five-year geometric means for both of those datasets will be used to determine compliance with the analyses within this Opinion.

Two incidental take thresholds will be used:

1. The precautionary threshold is 0.01 percent of the five-year geometric mean of the minimum estimate for the Columbia River eulachon spawner run. This threshold will trigger Term and Condition #2.
2. The reinitiation threshold is 0.02 percent of the five-year geometric mean of the minimum estimate for the Columbia River eulachon spawner run; this is the maximum amount being analyzed for this Opinion. This threshold is based on the existing bycatch levels that have been determined not to jeopardize the persistence of the SDPS of eulachon. If eulachon bycatch (measured as a five-year geometric mean) exceeds 0.02 percent of the calculated minimum Columbia River eulachon spawner run abundance (also measured as a five-year geometric mean), then the take limit will be considered to have been exceeded and reinitiation will be triggered.

Furthermore, the 2018 Reinitiation of Consultation for Eulachon of the 2012 Biological Opinion (BiOp) on Continuing Operation of the Pacific Coast Groundfish Fishery (Reinitiation 2018) (NMFS-WCR 2018, p. 2-21 to 2-22) stated that:

Terms and conditions specific to eulachon are modified and updated here to reflect a new set of measures.

1.a. NMFS shall continue to monitor and report eulachon bycatch numbers and estimate fleetwide mortality incidental to the Pacific coast groundfish fishery.

1.b. By early fall of each year, the West Coast Groundfish Observer Program shall analyze the most recent year's eulachon bycatch monitoring data and provide this analysis to NMFS Protected Resources Division, NMFS Sustainable Fisheries Division, and the Northwest Fisheries Science Center.

2. If Pacific coast groundfish fishery catch monitoring indicates eulachon bycatch amounts that surpass 0.01 percent of the calculated minimum Columbia River eulachon run, measured as a five-year geometric mean, the Council's ESA Work Group will address the issues at their next meeting. The ESA Work Group shall examine the Pacific coast groundfish fishery to determine possible reasons for these bycatch amounts, and consider whether possible modifications to the fishery to reduce eulachon bycatch may be

necessary. Findings and recommendations of the ESA Work Group shall be reported to the Council.

Precautionary and Reinitiation Incidental Take Levels

The reasonable and prudent measures in the 2012 Opinion (NMFS 2012) stated that exceeding the amount or extent of take described in the incidental take statement (ITS) will result in reinitiation of formal consultation if the amount or extent of incidental take is exceeded. Reinitiation of consultation for eulachon of the Groundfish BiOp (NMFS-WCR 2018) resulted in a revised ITS, that takes the fluctuating abundance of eulachon into account, and is based on a comparison of five-year geometric means of both eulachon bycatch in West Coast groundfish fisheries and minimum abundance estimates of Columbia River eulachon (as a proxy for the southern DPS of eulachon).

The yearly bycatch estimate for 2018 Reinitiation and ITS purposes is estimated as the geometric mean of the most recent year's and the four preceding year's bycatch count estimates in the West Coast groundfish fishery. The abundance proxy for the southern DPS is calculated as the five-year geometric mean from the current year and the preceding four years of the minimum abundance estimates for Columbia River eulachon (Table 13, Fig. 2).

Since the 2011 run year, Washington Department of Fish and Wildlife (WDFW) has developed methodologies to provide a yearly retrospective fisheries-independent SSB and spawner number estimates for the Columbia River eulachon sub-population of the southern DPS (James et al. 2014, Langness et al. 2018). The rationale for using the minimum spawner number estimates as a proxy for eulachon abundance in the groundfish fishery are reproduced in the above section from the 2018 Reinitiation document (NMFS-WCR 2018, p. 2-17 to 2-20). The SSB is generated from counts of eggs and larvae in plankton tows in the lower Columbia River, combined with river discharge rates, and relative fecundity (eggs produced per gram of eulachon) to estimate metric tons of spawning adults (James et al. 2014, Langness et al. 2018). The relevant assumptions are: "1:1 sex ratio; 40.84 grams average fish weight; 173 millimeters average fish length; 32,766 average eggs/female; 11.2 eulachon per pound; eggs and larvae are equivalent; and, 100% survival from egg to larvae stage" (Langness et al. 2018, p. 22). Six spawning stock biomass estimates are generated from these data; maximum, upper 95% confidence interval, mean, median, lower 95% confidence interval, and minimum estimates (Fig. 2). From these biomass estimates WDFW calculates eulachon numbers on the basis that there are on average 11.2 eulachon to the pound (Langness et al. 2018).

The ITS in the 2018 Reinitiation of the BiOp for eulachon (NMFS-WCR 2018) requires the calculation of two thresholds for incidental take from the five-year geometric mean minimum abundance of eulachon in the Columbia River – a precautionary threshold (0.01 percent of the five-year geometric mean of minimum abundance) and a reinitiation threshold (0.02 percent of the five-year geometric mean of minimum abundance) (NMFS-WCR 2018). Analysis of abundance and bycatch data from 2011 to 2016 showed that West Coast groundfish fishery "bycatch was less than a third of the precautionary threshold and less than a sixth of the reinitiation threshold every year" (NMFS-WCR 2018, p. 2-19) (Table 13, Fig. 3).

In 2016, the ITS precautionary and reinitiation thresholds (five year geometric means of 0.01% and 0.02% of minimum Columbia River abundance) were 3,904 and 7,808, respectively. In 2017, the ITS precautionary and reinitiation thresholds were 3,262 and 6,525, respectively. Using bycatch estimates of eulachon in the current document, the five-year geometric mean of bycatch in the West Coast groundfish fisheries has been determined to be 1,326 eulachon in 2016 and 676 eulachon in 2017 (Table 13, Fig. 3). Therefore, for the purposes of this BiOp, bycatch in 2016 was 34% and 17% of the precautionary and reinitiation thresholds, respectively. In 2017, bycatch was estimated at about 21% of the precautionary and 10% of the reinitiation threshold (Table 13, Fig. 3).

NMFS-WCR (2018) chose to establish precautionary and reinitiation thresholds, for comparison to eulachon bycatch, based on the Columbia River abundance estimates, since the Columbia River is the largest subpopulation is the largest in the southern DPS and is the only consistently monitored population in the United States. The conservative nature of this decision is explained in the following quotation from NMFS-WCR (2018, p. 2-13):

Since the Columbia River eulachon spawning run only makes up a fraction of the SDPS, this comparison gives us a conservative estimate of the level of impacts of the groundfish fishery. In addition, comparing those impacts to the Columbia River spawning run, as opposed to the overall Columbia River population, is conservative because the fish captured in the proposed action would not be limited to spawners but would be from a variety of age classes: juveniles, subadults, and adults. Due to the high natural mortality rate for eulachon, a large proportion of the eulachon that would be captured by the fishery would not have naturally survived to become spawning adults. Even though the Columbia River eulachon spawning run is being used for analysis, this does not imply that that fishery would be solely impacting the Columbia River spawning run. This proposed action is expected to impact most or all eulachon spawning runs, but none disproportionately.

Eulachon Abundance

Several indices of eulachon abundance showed dramatic increases from 2011–2015, but have subsequently declined just as dramatically (Figs. 4-5). Spawning stock biomass (SSB) estimates of eulachon in the Columbia River (Fig. 4) and mean catch per unit effort (CPUE; kg/h) of eulachon off west coast Vancouver Island (WCVI) as estimated in multispecies small mesh bottom trawl surveys (aka, fishery-independent shrimp surveys) (Fig. 5) both increased by an order of magnitude between 2010 and 2015. However, estimates of eulachon SSB in the Columbia River (Fig. 4) and mean CPUE off WCVI (Fig. 5) began declining in 2016, and by 2018 these indices were at less than 3% and 5% of their average 2013–2015 levels, respectively. These recent declines in indices of eulachon abundance parallel declines in estimated bycatch of eulachon in U.S. West Coast groundfish fisheries, especially in 2016 and 2017 (Table 12, Fig. 2).

The above analysis suggests that eulachon bycatch in U.S. West Coast groundfish fisheries is likely driven by both eulachon distribution and cyclic abundance. Evidence from some surveys (NWFSC-EW 2012) indicates that the latitudinal and longitudinal range of

eulachon likely expands in years of high abundance, perhaps leading to an increase in bycatch. In addition, point estimates of bycatch might fluctuate due to a number of non-biological factors, including annual variation in observer coverage rates, trawl duration, trawl depth, trawl location, seasonality, and haul volume coupled with trawl-net mesh size.

Proposed Regulatory Changes

Based on the overall magnitude of bycatch in U.S. West Coast groundfish fisheries, either there is limited interaction with eulachon in these fisheries or most eulachon encounters result in fish escaping or avoiding trawl gear. Given that federal regulations in the commercial groundfish fishery currently mandate minimum trawl mesh sizes in the bottom and midwater trawl fisheries of 11.4 cm (4.5 inches) and 7.6 cm (3.0 inches), respectively (West Coast Region 2016, p. 258), it is likely that most eulachon would be able to escape trawl nets by swimming or falling through mesh of this dimension, either during the tow or during haul-back operations. This is illustrated by the fact that eulachon appear to easily pass between the $\frac{3}{4}$ inch wide rigid-grate bars of bycatch reduction devices installed in shrimp trawl nets (see Appendix). Thus the low levels of observed eulachon bycatch in the groundfish fishery sectors reported in this document may represent a small fraction of all eulachon encounters with bottom and midwater trawl fishing gear in the groundfish fishery. In fact, it is difficult to imagine how eulachon are retained in groundfish trawl nets unless the codend becomes plugged, because fish the size of eulachon should readily pass through the mesh openings of groundfish trawl nets.

NMFS-WCR (2018, p. 1-8) reported that:

In September 2018, NMFS issued a proposed rule (83 FR 45396) revising Federal regulations that restrict the use and configuration of bottom and midwater trawl gear for vessels fishing under the Pacific Coast Groundfish Fishery's Trawl Rationalization Program. ... One of the actions recommended by NMFS in the 2018 proposed rule is to remove the requirement for minimum mesh size on bottom trawl [4.5 inches] and midwater [3.0 inches] trawl nets. Reducing the mesh size of the midwater and bottom trawl codends to something smaller than 3 inches could increase catch and discard of small fish (including non-groundfish species). However, it is unlikely that participants in the catch share program would construct and use complete codends with meshes smaller than 3 inches. Most fishermen would likely continue using codends (and other large sections of their trawl) with mesh sizes similar to those currently used, with the exception of strategically placed small meshes that may benefit the installation and functionality of selective devices. Use of smaller meshes may allow for development of selective devices that could reduce the catch of small fish, including eulachon. Thomsen (1993), Rose (1996), and Ryer (2008) demonstrated behavior differences between roundfish (e.g., cod) and flatfish that allow for their separation within bottom trawls. Roundfish typically rise as they fall back into the trawl, whereas flatfish remain in the lower part. Sorting devices, such as horizontal separator panels made of small mesh (O'Neill and Mutch 2017) have been used to separate roundfish from flatfish in trawls based on these behavioral differences (Ryer 2008). This type of small-mesh selective device may also be

effective for promoting escapement of small pelagic fishes (such as eulachon) from flatfish in nearshore flatfish trawls. Small-mesh ramps or tubes designed to guide fish out of trawls through top or side-escape panels (O'Neill and Mutch 2017) could further promote escapement of eulachon from these flatfish trawls before reaching the codend (e.g., through top-side escape panels). Escape mortality of fish is likely lower when escapement occurs far in front of the codend through escape panels (rather than through net meshes) (Suuronen and Erickson 2010).

NMFS-WCR (2018, p. 1-8) further commented on the consequences to eulachon of the proposed fishery regulation changes that would change mesh size, codends, and other regulations and stated that:

We are unable to predict at this time to what degree lethal retention would change; our assumption is that it would not alter the total number of eulachon affected by the action, and that the increased removal of individuals from the population would still fall within the conservative estimate described above. Bycatch will continue to be monitored to determine whether this assumption is correct.

Undocumented Bycatch

Coincident with the advent of the IFQ fisheries in 2011, WCGOP and A-SHOP observers were instructed to make an extra effort to identify all eulachon bycatch to species in the groundfish fisheries. Prior to that time (due to sampling conditions, time constraints, and other priorities), it is likely that some portion of observed eulachon bycatch in the LE bottom trawl and at-sea Pacific hake fisheries might have been recorded as “other non-groundfish,” “smelt unidentified,” or “herring/smelt unidentified,” especially from 2002 to 2010. Other smelt species (Family Osmeridae) occasionally encountered as bycatch in the LE bottom trawl groundfish fishery include surf smelt (*Hypomesus pretiosus*), whitebait smelt (*Allosmerus elongatus*), night smelt (*Spirinchus starksi*), rainbow smelt (*Osmerus mordax*), and capelin (*Mallotus villosus*) (Table 14). Based on WCGOP data available on the NWFSC website (http://www.nwfsc.noaa.gov/research/divisions/fram/observation/data_products/sector_products.cfm), observed but unidentified smelt bycatch in the LE bottom trawl fishery was negligible in most years except for 2002 and 2004, when a respective 0.18 and 0.84 mt of unidentified smelt were observed coastwide (Table 14). Using bycatch ratios calculated by dividing metric tonnage of observed unidentified smelt by observed groundfish landings and multiplying these bycatch ratios by coastwide groundfish landings, an estimated 1.21 and 3.27 mt of unidentified smelt were estimated to have been taken as bycatch coastwide in the LE bottom trawl fishery in 2002 and 2004, respectively.

Very few “unidentified smelt” have been recorded as bycatch in the at-sea Pacific hake trawl fisheries with the exception of 2002, when 1,245 and 156 unidentified smelt were estimated to have been caught in the non-tribal and tribal sectors, respectively (Table 15). As indicated above, the higher level of bycatch of unidentified smelt during the early 2000s in both the LE groundfish and at-sea Pacific hake trawl fisheries corresponds with the previous period of

elevated eulachon abundance (Figs. 4–5). It is unknown what portion of this unidentified smelt bycatch in either the LE groundfish trawl fishery or the at-sea Pacific hake trawl fishery might have consisted of eulachon.

Fate of Eulachon Escaping and Avoiding Groundfish Trawl Nets

From a conservation biology perspective it is important to examine not only estimated bycatch and discard mortality but also the fate of non-target organisms that escape from trawl nets prior to being hauled aboard fishing vessels. Davis and Ryer (2003) stated that “... the fact that bycatch does not appear on deck, does not mean that those fish have been released from the gear unimpaired and are capable of surviving.” Various terms are used for these unobserved but ultimately lethal interactions with fishing gear, including: “unaccounted fishing mortality” (Chopin and Arimoto 1995, Suuronen 2005, ICES 2005, Suuronen and Erickson 2010); “collateral mortality” (Broadhurst et al. 2006); “cryptic fishing mortality” (Gilman et al. 2013); and “post release mortality” (Raby et al. 2014); among others. Looking beyond mortality, Wilson et al. (2014) reviewed the available literature on sub-lethal effects on fitness of individual trawl escapees and classified these as either immediate sub-lethal effects (e.g., physiological impairment, physical injury, and reflex impairment) or delayed sub-lethal effects (e.g., impairment of behavior, growth and reproduction, or immune function). Wilson et al. (2014) argue that sub-lethal effects of encounters with fishing gear may reduce future reproductive output; however, possible fitness consequences have yet to be adequately investigated.

Components of unaccounted fishing mortality most relevant to the present report include (1) escape mortality (i.e., mortality of fish escaping from trawl nets prior to the net being brought on deck) and (2) avoidance mortality (i.e., direct or indirect mortality of fish resulting from the stress and fatigue of avoiding a trawl net) (ICES 2005, Broadhurst et al. 2006). ICES (2005) also identified post-trawl mortalities, resulting from predation or infection of physically or behaviorally impaired fish, as subcomponents of escape and avoidance mortality. Raby et al. (2014) recently reviewed the role of predation on mortality of fish escaping or avoiding trawl gear. As mentioned above, unless the codend of a trawl net becomes plugged with larger fish, most eulachon should be able to escape through the codend mesh of trawl nets used in the U.S. West Coast groundfish fisheries. Thus the observed eulachon bycatch in the groundfish fishery sectors reported in this document may represent a small fraction of all eulachon encounters with bottom and midwater trawl fishing gear in the groundfish fishery.

Trawl-escape mortality studies have been reviewed by Chopin and Arimoto (1995), Suuronen (2005), Broadhurst et al. (2006), Suuronen and Erickson (2010), and most recently by Gilman et al. (2013). Experimental field studies of escape mortality from trawl nets have typically used cages to surround the trawl codend and capture escapees. These cages are subsequently detached from the trawl gear and held at depth or in the water column to observe the fate of escaped fish. Because of the expense and technical difficulties of performing such research, escape mortality has been evaluated for only a few species and fisheries (Gilman et al. 2013), but it is evident that different species exhibit a wide range of sensitivities to contact with trawl gear. Gadoid species such as Baltic cod (*Gadus morhua*) and saithe (*Pollachius virens*) appear relatively robust and these species as well as many flatfishes generally suffer less than 10% mortality from passage through towed trawl net meshes—see references reviewed in

Suuronen and Erickson (2010) and Gilman et al. (2013). Mortality of whiting (*Merlangus merlangus*) and haddock (*Melanogrammus aeglefinus*) has generally been less than 25%; however, walleye pollock (*Gadus chalcogrammus*) can suffer 50% mortality following passage through trawl nets. On the other hand species such as Baltic herring (*Clupea harengus*), which are easily de-scaled, may suffer from 30–80% mortality subsequent to passage through trawl codends (Suuronen et al. 1996a, b; Suuronen and Erickson 2010; Gilman et al. 2013). It has been acknowledged that some of the above studies may suffer from bias caused by collection, transportation, and holding of trawl escapees (Suuronen and Erickson 2010, Gilman et al. 2013) and might overestimate escape mortality. In addition, few of these studies have included control groups of fish, although more recent studies have included control fish (Suuronen et al. 2005). On the other hand, many studies have evaluated escape mortality using experiments that have not always simulated true commercial fishing conditions in terms of tow duration, catch volume, season, and depth, and have likely underestimated true escape mortality (Suuronen and Erickson 2010).

Currently, we have no direct data to estimate escape or avoidance mortality of eulachon in any sector of the groundfish fishery and we are unaware of any studies that have directly investigated the fate of osmerid smelt species passing through groundfish trawl nets. Although data on survivability of passing through trawl nets by small forage fishes such as eulachon are scarce, results of several studies have shown a direct relationship between fish length and survival of various fish species escaping trawl nets through the codend mesh (Sangster et al. 1996; Suuronen et al. 1996a, b; Ingólfsson et al. 2007). These studies indicate that smaller fish with their poorer swimming ability and endurance may be more likely to suffer greater injury and stress during their escape from trawl gear than larger fish (Broadhurst et al. 2006, Ingólfsson et al. 2007, Suuronen and Erickson 2010, Gilman et al. 2013).

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Table 1. Generalized descriptions of U.S. West Coast groundfish fisheries that have had observed bycatch NMFIS Report 2 (Electronic Only)
June 2019

							Management	
Sector	Sub-Sector	Permits	Gear(s)	Target(s)	Vessel length (m)	Depths (m)	2002-2010	2011-2017
Limited Entry (LE) Trawl		Federal LE permit with trawl endorsement	Bottom trawl, Midwater trawl	Groundfish assemblage	11–29	Wide range	Cumulative two month trip limits; depth-based closures; 14–23% observer coverage	Individual Fishing Quotas (IFQ); 100% observer coverage or EM
At-Sea Hake	Mothership-Catcher Vessel (MSCV)	LE permit with MSCV endorsement	Midwater trawl	Pacific hake	26–45	53–460	Seasonal quotas for target and bycatch species of concern; 100% observer coverage	IFQ; seasonal; 100% observer
	Catcher-processors (CP)	LE permit with CP endorsement	Midwater trawl	Pacific hake	82–115	60–570	Same as At-Sea Hake MSCV	IFQ; seasonal; 100% observer
	Tribal	(none)	Midwater trawl	Pacific hake		53–460	Tribal; 100% observer coverage	Tribal; 100% observer coverage
Shoreside Hake / Rockfish		LE permit with trawl endorsement	Midwater trawl	Pacific hake	17–29	Wide range	Same as At-Sea Hake MSCV; Some EM	IFQ; Seasonal; 100% observer coverage or EM

Table 2. Numbers and weight of eulachon observed and bycatch ratios from limited entry bottom trawl vessels in Washington (2002–2010). Bycatch ratios calculated as observed catch of eulachon in both number of fish and weight (in kg) divided by the observed weight (mt) of retained groundfish. Fleet-wide bycatch estimates obtained by multiplying bycatch ratios by fleet-wide groundfish landings. 95% bootstrapped confidence intervals (CI) are provided for the estimates. Winter season is January-April and November-December; summer is May-October. Asterisks (*) signify strata with fewer than three observed vessels. NA, not applicable.

		State observed								State fleetwide				
Year	Season	Bycatch (kg of eulachon)	Bycatch (no. of eulachon)	Observed groundfish catch (mt)	Bycatch ratio (kg per mt of groundfish)	95% CI	Bycatch ratio (no. per mt of groundfish)	95% CI	Percent landings observed	Fleet groundfish landings (mt)	Bycatch estimate (kg eulachon)	95% CI	Bycatch estimate (no. of eulachon)	95% CI
2002	winter	0.0	0	297.0	0.00	NA NA	0.00	NA NA	23.3	1,276.5	0.0	NA NA	0	NA NA
	summer	0.0	0	142.4	0.00	NA NA	0.00	NA NA	13.8	1,032.7	0.0	NA NA	0	NA NA
2003	winter	0.0	0	124.3	0.00	NA NA	0.00	NA NA	9.8	1,265.9	0.0	NA NA	0	NA NA
	summer	0.0	0	56.4	0.00	NA NA	0.00	NA NA	8.7	647.9	0.0	NA NA	0	NA NA
2004	winter	0.0	0	335.7	0.00	NA NA	0.00	NA NA	38.2	878.8	0.0	NA NA	0	NA NA
	summer	0.0	0	179.0	0.00	NA NA	0.00	NA NA	19.8	902.5	0.0	NA NA	0	NA NA
2005	winter	0.0	0	167.9	0.00	NA NA	0.00	NA NA	17.2	977.1	0.0	NA NA	0	NA NA
	summer	0.0	0	408.6	0.00	NA NA	0.00	NA NA	21.1	1,932.8	0.0	NA NA	0	NA NA
2006	winter	0.0	0	89.1	0.00	NA NA	0.00	NA NA	17.4	511.3	0.0	NA NA	0	NA NA
	summer	0.0	0	276.4	0.00	NA NA	0.00	NA NA	23.1	1,194.6	0.0	NA NA	0	NA NA
2007	winter	0.0	0	166.0	0.00	NA NA	0.00	NA NA	23.7	701.0	0.0	NA NA	0	NA NA
	summer	0.0	0	60.7	0.00	NA NA	0.00	NA NA	7.5	813.1	0.0	NA NA	0	NA NA
2008	winter	*	*	*	0.00	NA NA	0.00	NA NA	*	767.0	0.0	NA NA	0	NA NA
	summer	0.0	0	292.1	0.00	NA NA	0.00	NA NA	35.1	832.7	0.0	NA NA	0	NA NA
2009	winter	0.0	0	352.0	0.00	NA NA	0.00	NA NA	26.0	1,355.8	0.0	NA NA	0	NA NA
	summer	0.0	0	384.0	0.00	NA NA	0.00	NA NA	33.0	1,200.4	0.0	NA NA	0	NA NA
2010	winter	0.0	0	280.9	0.00	NA NA	0.00	NA NA	22.8	1,230.6	0.0	NA NA	0	NA NA
	summer	0.0	0	221.7	0.00	NA NA	0.00	NA NA	25.1	882.4	0.0	NA NA	0	NA NA

Table 3. Observed and fleet-total weights and numbers of eulachon bycatch from non-EM IFQ-fishery bottom trawls in Washington (2011–2017). Bycatch weights are in kilograms and groundfish landings are in metric tons. Note that catch share fisheries are sampled at close to 100%. Landings by the EM portion of this fleet are not included here.

Year	State observed				State fleetwide				
	Bycatch (kg of eulachon)	Bycatch (no. of eulachon)	Observed groundfish landings (mt)	Percent landings observed (%)	Fleet groundfish landings (mt)	Unobserved bycatch estimate (kg eulachon)	Unobserved bycatch estimate (no. of eulachon)	Fleet-total bycatch (kg eulachon)	Fleet-total bycatch (no. of eulachon)
2011	0.5	11	2,062.1	99.0	2,083.5	0.1	1.4	0.6	12
2012	0.0	1	2,517.5	98.8	2,548.2	0.0	0.1	0.1	1
2013	7.0	135	1,709.1	99.9	1,710.9	0.1	1.7	7.1	137
2014	11.5	278	1,077.7	99.8	1,080.3	0.5	11.5	12.0	290
2015	0.0	0.0	479.6	100.0	479.6	0.0	0.0	0.0	0
2016	0.0	0.0	451.0	100.0	451.0	0.0	0.0	0.0	0
2017	0.0	0.0	834.0	100.0	834.0	0.0	0.0	0.0	0

Table 4. Numbers and weight of eulachon observed and bycatch ratios from limited entry bottom trawl vessel NMFS Report 12 (Electronic Only) (2002–2010). Bycatch ratios calculated as observed catch of eulachon in both number of fish and weight (in kg) divided by the weight (mt) of retained groundfish. Fleet-wide bycatch estimates obtained by multiplying bycatch ratios by fleet-wide groundfish landings. 95% bootstrapped confidence intervals (CI) are provided for the estimates. Winter season is January-April and November-December; summer is May-October. Asterisks (*) signify strata with fewer than three observed vessels. NA, not applicable.

		State observed								State fleetwide				
Year	Season	Bycatch (kg of eulachon)	Bycatch (no. of eulachon)	Observed groundfish catch (mt)	Bycatch ratio (kg per mt of groundfish)	95% CI	Bycatch ratio (no. per mt of groundfish)	95% CI	Percent landings observed	Fleet groundfish landings (mt)	Bycatch estimate (kg eulachon)	95% CI	Bycatch estimate (no. of eulachon)	95% CI
2002	winter	6.2	80	579.8	0.01	0.00 0.04	0.14	0.00 0.47	14.2	4,070.7	43.4	6.2 146.6	562	80 1,900
	summer	2.1	40	490.8	0.00	0.00 0.01	0.08	0.00 0.24	14.5	3,376.9	14.7	2.1 44.0	275	40 823
2003	winter	0.4	10	801.5	0.00	0.00 0.00	0.01	0.00 0.03	19.2	4,177.5	2.2	0.4 6.6	52	10 145
	summer	0.0	0	551.2	0.00	NA NA	0.00	NA NA	12.6	4,369.5	0.0	NA NA	0	NA NA
2004	winter	0.0	0	1,181.1	0.00	NA NA	0.00	NA NA	27.0	4,372.8	0.0	NA NA	0	NA NA
	summer	0.0	0	989.3	0.00	NA NA	0.00	NA NA	19.0	5,201.2	0.0	NA NA	0	NA NA
2005	winter	0.0	0	1,204.2	0.00	NA NA	0.00	NA NA	25.8	4,669.5	0.0	NA NA	0	NA NA
	summer	0.0	0	1,179.6	0.00	NA NA	0.00	NA NA	22.1	5,348.4	0.0	NA NA	0	NA NA
2006	winter	0.0	0	801.9	0.00	NA NA	0.00	NA NA	19.7	4,070.1	0.0	NA NA	0	NA NA
	summer	0.0	0	1,123.5	0.00	NA NA	0.00	NA NA	18.3	6,151.2	0.0	NA NA	0	NA NA
2007	winter	0.0	0	851.2	0.00	NA NA	0.00	NA NA	14.5	5,864.9	0.0	NA NA	0	NA NA
	summer	0.1	14	1,114.2	0.00	0.00 0.00	0.01	0.00 0.04	18.1	6,147.7	0.5	0.1 1.7	77	14 262
2008	winter	0.0	0	1,335.7	0.00	NA NA	0.00	NA NA	17.8	7,522.1	0.0	NA NA	0	NA NA
	summer	0.0	0	1,820.7	0.00	NA NA	0.00	NA NA	24.7	7,360.1	0.0	NA NA	0	NA NA
2009	winter	0.0	0	2,167.9	0.00	NA NA	0.00	NA NA	24.5	8,834.2	0.0	NA NA	0	NA NA
	summer	0.7	16	1,858.5	0.00	0.00 0.00	0.01	0.00 0.03	23.7	7,846.9	3.1	0.7 9.8	68	14 262
2010	winter	0.0	0	903.9	0.00	NA NA	0.00	NA NA	12.1	7,445.9	0.0	NA NA	0	NA NA
	summer	0.0	0	1,850.6	0.00	NA NA	0.00	NA NA	25.0	7,392.4	0.0	NA NA	0	NA NA

Table 5. Observed and fleet-total weights and numbers of eulachon bycatch from non-EM IFQ-fishery bottom trawl vessels that landed their catch in **Oregon** (2011–2017). Bycatch weights are in kilograms and groundfish landings are in metric tons. Not that catch share fisheries are sampled at close to 100%. Landings by the EM portion of this fleet are not included here.

Year	State observed				State fleetwide				
	Bycatch (kg of eulachon)	Bycatch (no. of eulachon)	Observed groundfish landings (mt)	Percent landings observed	Fleet groundfish landings (mt)	Unobserved bycatch estimate (kg eulachon)	Unobserved bycatch estimate (no. of eulachon)	Fleet-total bycatch (kg eulachon)	Fleet-total bycatch (no. of eulachon)
2011	5.9	122	11,136.6	99.3	11,216.5	0.2	4.4	6.1	126
2012	5.8	164	11,016.1	99.4	11,081.7	0.1	3.9	6.0	168
2013	30.7	510	12,860.9	99.7	12,895.8	0.8	13.7	31.5	524
2014	116.4	2,474	11,407.5	99.5	11,465.6	2.1	45.0	118.5	2,519
2015	23.9	634	10,970.0	99.5	11,019.7	0.4	9.9	24.3	644
2016	1.6	49	12,055.9	99.6	12,098.6	0.0	1.0	1.6	50
2017	2.2	11	12,116.4	99.9	12,127.7	0.0	0.1	2.3	11

Table 6. Numbers and weight of eulachon observed and bycatch ratios from limited entry bottom trawl vessel surveys in California (2002–2010). Bycatch ratios calculated as observed catch of eulachon in both number of fish and weight (in kg) divided by observed weight (mt) of retained groundfish. Fleet-wide bycatch estimates obtained by multiplying bycatch ratios by fleet-wide groundfish landings. 95% bootstrapped confidence intervals (CI) are provided for the estimates. Winter season is January-April and November-December; summer is May-October. Asterisks (*) signify strata with fewer than three observed vessels. NA, not applicable.

		State observed								State fleetwide				
Year	Season	Bycatch (kg of eulachon)	Bycatch (no. of eulachon)	Observed groundfish catch (mt)	Bycatch ratio (kg per mt of groundfish)	95% CI	Bycatch ratio (no. per mt of groundfish)	95% CI	Percent landings observed	Fleet groundfish landings (mt)	Bycatch estimate (kg eulachon)	95% CI	Bycatch estimate (no. of eulachon)	95% CI
2002	winter	0.0	0	462.7	0.00	NA NA	0.00	NA NA	12.5	3,700.6	0.0	NA NA	0	NA NA
	summer	0.0	0	523.5	0.00	NA NA	0.00	NA NA	13.6	3,856.6	0.0	NA NA	0	NA NA
2003	winter	0.0	0	333.4	0.00	NA NA	0.00	NA NA	11.6	2,873.1	0.0	NA NA	0	NA NA
	summer	0.0	0	566.9	0.00	NA NA	0.00	NA NA	14.0	4,051.9	0.0	NA NA	0	NA NA
2004	winter	0.0	0	734.8	0.00	NA NA	0.00	NA NA	33.6	2,186.7	0.0	NA NA	0	NA NA
	summer	0.0	1	755.8	0.00	00.0 00.0	0.00	0.00 0.00	21.4	3,539.1	0.2	NA NA	5	1 15
2005	winter	0.0	0	496.7	0.00	NA NA	0.00	NA NA	20.1	2,473.1	0.0	NA NA	0	NA NA
	summer	0.0	0	585.8	0.00	NA NA	0.00	NA NA	19.4	3,019.9	0.0	NA NA	0	NA NA
2006	winter	0.0	0	365.4	0.00	NA NA	0.00	NA NA	19.1	1,911.2	0.0	NA NA	0	NA NA
	summer	0.0	0	590.8	0.00	NA NA	0.00	NA NA	20.1	2,935.1	0.0	NA NA	0	NA NA
2007	winter	0.0	0	424.5	0.00	NA NA	0.00	NA NA	17.9	2,374.3	0.0	NA NA	0	NA NA
	summer	0.0	0	692.2	0.00	NA NA	0.00	NA NA	18.8	3,674.6	0.0	NA NA	0	NA NA
2008	winter	0.0	0	555.6	0.00	NA NA	0.00	NA NA	17.9	3,099.5	0.0	NA NA	0	NA NA
	summer	0.0	0	648.5	0.00	NA NA	0.00	NA NA	19.4	3,340.9	0.0	NA NA	0	NA NA
2009	winter	0.0	0	548.4	0.00	NA NA	0.00	NA NA	19.4	2,829.8	0.0	NA NA	0	NA NA
	summer	0.0	0	636.6	0.00	NA NA	0.00	NA NA	18.1	3,517.7	0.0	NA NA	0	NA NA
2010	winter	0.0	0	203.5	0.00	NA NA	0.00	NA NA	9.5	2,131.0	0.0	NA NA	0	NA NA
	summer	0.3	4	581.9	0.00	0.00 0.00	0.01	0.00 0.03	19.1	3,051.5	1.4	0.3 5.5	21	4 80

Table 7. Observed and fleet-total weights and numbers of eulachon bycatch from non-EM IFQ-fishery bottom trawls and vessels that landed their catch in California (2011–2017). Bycatch weights are in kilograms and groundfish landings are in metric tons. Note that catch share fisheries are sampled at close to 100%. Landings by the EM portion of this fleet are not included here.

Year	State observed				State fleetwide				
	Bycatch (kg of eulachon)	Bycatch (no. of eulachon)	Observed groundfish catch (mt)	Percent landings observed (%)	Fleet groundfish landings (mt)	Unobserved bycatch estimate (kg eulachon)	Unobserved bycatch estimate (no. of eulachon)	Fleet-total bycatch (kg eulachon)	Fleet-total bycatch (no. of eulachon)
2011	0.0	0	4,567.1	99.9	4,572.4	0.0	0.0	0.0	0
2012	0.0	0	4,453.3	99.8	4,461.7	0.0	0.0	0.0	0
2013	0.0	0	5,059.0	99.7	5,072.7	0.0	0.0	0.0	0
2014	0.0	0	4,910.1	99.5	4,934.7	0.0	0.0	0.0	0
2015	0.1	2	4,139.9	99.9	4,142.6	0.0	0.0	0.1	2
2016	0.0	0.0	2,353.2	100.0	2,353.2	0.0	0.0	0.0	0
2017	0.0	0.0	2,396.5	99.9	2,398.6	0.0	0.0	0.0	0

Table 8. Observed and expanded bycatch (kilograms) and number of vessels for the Pacific halibut fishery (2002–2017). In 2013-2017 the tribal mothership sector did not participate in this fishery. Asterisks (*) signify strata with fewer than three observed vessels. NA, not applicable.

Sector	Year	Sampled tows (number)	Percent tows sampled	Observed hake landings (mt)	Observed bycatch weight (kg)	Expanded bycatch weight (kg)	Observed bycatch numbers	Expanded bycatch numbers
Catcher Processor	2002	556	99.5	36,333	0.0	0.0	0	0
	2003	766	99.7	41,469	0.0	0.0	0	0
	2004	1,492	99.4	72,859	0.0	0.0	0	0
	2005	1,332	99.6	78,498	0.0	0.0	0	0
	2006	1,488	99.4	78,246	1.5	1.5	145	147
	2007	1,566	99.3	72,898	0.1	0.1	6	6
	2008	1,864	98.8	107,754	2.1	2.1	37	37
	2009	863	99.4	34,591	2.1	2.1	30	30
	2010	1,063	99.5	54,217	0.0	0.0	0	0
	2011	1,530	98.8	71,337	115.6	115.9	1,268	1,268
	2012	1,100	99.4	55,523	1.1	1.1	16	16
	2013	1,439	98.6	78,005	2.9	2.9	39	39
	2014	1,683	99.2	103,171	10.4	10.4	242	242
	2015	1,503	98.9	68,435	1.8	1.8	56	56
	2016	2,188	99.2	108,781	0.1	0.1	2	2
	2017	2,143	99.3	137,105	0.8	0.8	18	18
Non-tribal mothership	2002	573	99.8	26,503	0.0	0.0	0	0
	2003	522	97.4	25,333	0.0	0.0	0	0
	2004	569	99.6	24,010	0.0	0.0	0	0
	2005	1,038	99.8	48,601	0.0	0.0	0	0
	2006	1,243	96.9	54,139	0.0	0.0	0	0
	2007	1,135	99.0	47,276	0.2	0.2	4	4
	2008	1,346	99.8	57,687	0.4	0.4	6	6
	2009	597	99.5	24,066	0.3	0.3	6	6
	2010	908	100.0	35,727	0.0	0.0	0	0
	2011	1,246	99.8	49,971	5.2	5.2	54	54
	2012	931	98.1	38,042	0.4	0.4	7	7
	2013	1,249	99.4	52,348	12.2	12.2	277	277
	2014	1,288	98.5	61,794	1.0	1.0	25	25
	2015	625	97.7	27,545	0.0	0.0	0	0
	2016	1,550	99.0	64,597	0.3	0.3	4	4
	2017	1,287	98.3	65,359	0.9	0.9	16	16

Table 8 (continued). Observed and expanded bycatch (kilograms) NMFS Report 2 (Electronic Only) Pacific hake fishery (2002–2017). In 2013-2017 the tribal mothership sector did not participate in this fishery (designated by NA). Asterisks (*) signify strata with fewer than three observed vessels. NA, not applicable.

Sector	Year	Sampled tows (number)	Percent tows sampled	Observed hake landings (mt)	Observed bycatch weight (kg)	Expanded bycatch weight (kg)	Observed bycatch numbers	Expanded bycatch numbers
Tribal Mothership	2002	625	98.7	21,629	0.0	0.0	0	0
	2003	537	99.4	19,431	0.0	0.0	0	0
	2004	632	100.0	23,511	0.0	0.0	0	0
	2005	632	99.8	23,562	0.0	0.0	0	0
	2006	154	96.2	5,405	0.0	0.0	0	0
	2007	156	100.0	5,129	0.0	0.0	0	0
	2008	380	99.5	14,977	0.0	0.0	0	0
	2009	403	99.8	13,469	2.0	2.0	32	32
	2010	516	100.0	16,206	0.0	0.0	0	0
	2011	228	100.0	6,147	12.1	12.1	160	160
	2012	*	*	*	*	0	*	0
	2013	NA	NA	NA	NA	NA	NA	NA
	2014	NA	NA	NA	NA	NA	NA	NA
	2015	NA	NA	NA	NA	NA	NA	NA
	2016	NA	NA	NA	NA	NA	NA	NA
	2017	NA	NA	NA	NA	NA	NA	NA

Table 9. Observed eulachon bycatch (kilograms and estimated number of eulachon) in the Pacific hake fishery (2011–2014). Note that this fishery is sampled at nearly 100% after being landed. Landed weight of Pacific hake is given in metric tons (mt). In this fishery, eulachon bycatch are landed and weighed by the catch monitor. Number of eulachon were estimated using a yearly linear weight-count regression and data from other observed sectors. We report two estimates: one from a regression using data from all observed sectors (1,528 hauls in 2013, mostly in the ocean shrimp fishery) and one from a regression using data from the catch shares trawl fishery only (99 hauls in 2013).

Year	Total number of tows sampled	Sampled hake landings (mt)	Percent of landings sampled	Landed eulachon bycatch (kg)	Estimated eulachon bycatch (number based on catch shares data)	Estimated eulachon bycatch (number based on all observer data)
2011	1,701	90,777	100.0	0.0	0.0	0.0
2012	1,564	65,396	100.0	0.0	0.0	0.0
2013	1,702	96,868	100.0	83.5	1,194.6	5,122.4
2014	1,679	97,925	99.9	0.0	0.0	0.0

Table 10. Observed eulachon bycatch (kilograms and estimated number of eulachon) from the electronic midwater Pacific hake and rockfish fisheries (2015–2017). Landed weight of Pacific hake and rockfish are given in metric tons (mt). Note that these fisheries are sampled at nearly 100% after being landed. In these fisheries, eulachon bycatch are landed and weighed by the catch monitor. Number of eulachon were estimated using a yearly linear weight-count regression and data from other observed sectors. We report estimates from a regression using all observer data (981 hauls in 2017, mostly in the ocean shrimp fishery) because there were very few eulachon observations in the 2017 catch shares bottom trawl fishery. Landings by the EM portion of these fleets in 2015-2017 are not included here.

Year	Total number of tows sampled	Sampled hake landings (mt)	Sampled rockfish landings (mt)	Percent of landings sampled	Landed eulachon bycatch (kg)	Estimated eulachon bycatch (number)
2015 midwater hake	282	11,461	154	100.0	0.0	0.0
2015 midwater rockfish	140	15	969	100.0	0.0	0.0
2016 midwater hake	2,016	8,970	152	100.0	0.0	0.0
2016 midwater rockfish	40	29	319	100.0	0.0	0.0
2017 midwater hake	236	10,991	211	100.0	0.0	0.0
2017 midwater rockfish	267	123	4,298	100.0	0.5	15.0

Table 11. Observed bycatch weights (in kilograms) and estimated numbers of eulachon from the electronically-monitored midwater Pacific hake sector (2015-2017). Landed weight of hake is given in metric tons (mt). Counts are not recorded in this sector and were estimated using a yearly linear weight-count regression and data from other observed sectors. We report estimates from a regression using all observer data (981 hauls in 2017, mostly in the ocean shrimp fishery), since there were very few eulachon observations in the 2017 catch shares bottom trawl fishery.

Year	Total number of tows sampled	Area	Sampled hake landings (mt)	Observed eulachon bycatch (kg)	Estimated eulachon bycatch (number)
2015	1,178	North of 40° 10' N	46,439.8	0.0	0.0
2016	1,411	North of 40° 10' N	76,412.2	0.0	0.0
2017	2,072	North of 40° 10' N	133,153.8	0.9	30.0

Table 12. Estimated bycatch of eulachon (number of individual fish) in U.S. West Coast groundfish fisheries that are part of the Groundfish BiOp and that were observed by the West Coast Groundfish Observer Program (WCGOP) and the At-Sea Hake Observer Program (A- SHOP) from 2002–2017.

	Non-hake bottom and midwater groundfish fisheries ¹			Electronically -monitored midwater Pacific hake fishery	Shoreside Pacific hake /rockfish fisheries	At-sea Pacific hake fisheries			Total bycatch estimate
Year	WA	OR	CA			Tribal Mothership	Non-Tribal Mothership	Catcher Processor	
2002	0	837	0	--	--	0	0	0	837
2003	0	52	0	--	--	0	0	0	52
2004	0	0	5	--	--	0	0	0	5
2005	0	0	0	--	--	0	0	0	0
2006	0	0	0	--	--	0	0	147	147
2007	0	77	0	--	--	0	4	6	87
2008	0	0	0	--	--	0	6	37	43
2009	0	68	0	--	--	32	6	30	136
2010	0	0	21	--	--	0	0	0	21
2011	12	126	0	--	0	160	54	1,268	1,620
2012	1	168	0	--	0	0	7	16	192
2013	137	524	0	--	5,122 (1,195) ²	NA	277	39	6,099 (2,172) ²
2014	290	2,519	0	--	0	NA	25	242	3,076
2015	0	644	2	0	0	NA	0	56	702
2016	0	50	0	0	0	NA	4	2	56
2017	0	11	0	30	15	NA	16	18	90

1 – Bycatch estimates in non-hake groundfish fisheries from 2002–2010 and 2015–2017 in Washington, Oregon, and California are based on observations of the bottom trawl fishery only. Estimates in 2011–2014 are based on observations of a combination of the IFQ non-hake bottom and midwater trawl fisheries.

2 – Eulachon bycatch is landed and weighed by the catch monitor in the shoreside Pacific hake fishery. In 2013, the number of eulachon represented by the 83.5 kg of bycatch was estimated using a yearly linear weight-count regression and data from other observed sectors. We report two estimates: one from a regression using data from all observed sectors (1,528 hauls in 2013, mostly in the ocean shrimp fishery) and one (in parentheses) from a regression using data from the catch shares trawl fishery only (99 hauls in 2013).

Table 13. Eulachon minimum abundance in the Columbia River, bycatch totals in the West Coast Groundfish Fishery (WCGF), and calculated precautionary and reinitiation incidental take thresholds based on five year geometric means of abundance and bycatch (adapted from table 2-4 in NMFS-WCR (2018)). NA, not applicable.

Year	Minimum Columbia River abundance estimate (number of fish) ¹	Total estimated eulachon bycatch in WCGF (from Table 12)	Five-year geometric mean of bycatch	0.01% of minimum abundance (0.0001 times the minimum number of eulachon)	Five-year geometric mean of 0.01% of minimum abundance (precautionary threshold)	Five-year mean geometric bycatch as percentage of 0.01% precautionary threshold	0.02% of minimum abundance (0.0002 times the minimum number of eulachon)	Five-year geometric mean of 0.02% of minimum abundance (reinitiation threshold)	Five-year mean geometric bycatch as percentage of 0.02% reinitiation threshold
2006	NA	147	NA	NA	NA	NA	NA	NA	NA
2007	NA	87	NA	NA	NA	NA	NA	NA	NA
2008	NA	43	NA	NA	NA	NA	NA	NA	NA
2009	NA	136	NA	NA	NA	NA	NA	NA	NA
2010	NA	21	NA	NA	NA	NA	NA	NA	NA
2011	17,900,000	1,620	69	1,790	1,790 ²	3.9%	3,580	3,580 ²	1.9%
2012	20,000,000	192	112	2,000	1,892	5.9%	4,000	3,784	2.9%
2013	45,500,000	6,099	131	4,550	2,535	5.2%	9,100	5,070	2.6%
2014	80,000,000	3,076	352	8,000	3,379	10.4%	16,000	6,757	5.2%
2015	57,525,700	702	657	5,753	3,758	17.5%	11,505	7,516	8.7%
2016	21,654,800	56	1,326	2,165	3,904	34.0%	4,331	7,808	17.0%
2017	8,148,600	90	676	815	3,262	20.7%	1,630	6,525	10.4%
2018	1,300,000	NA	581	130	1,602	36.3%	260	3,204	18.1%

1 – Abundance estimates for 2011–2013 from James et al. (2014); for 2014 from James (2014, revised data); and for 2015–2018 from Langness et al. (2018).

2 – The first year of available data for minimum eulachon abundance in the Columbia River is 2011, therefore the values for the 2011 five-year geometric means of 0.01% (precautionary threshold) and 0.02% (reinitiation threshold) of minimum Columbia River abundance are the actual values for 2011. Each year thereafter, geometric means for minimum Columbia River abundance are calculated using values from 2011 through that given year until 2015, when an actual moving 5-year geometric mean begins.

Table 14. Metric tonnage of observed bycatch of unidentified smelt and other non-eulachon species of osmerid smelt in U.S. West Coast LE trawl fisheries from 2002–2010. After 2010, in the IFQ groundfish fisheries, efforts were expanded to identify all eulachon to species and unidentified smelt did not likely include eulachon. Double dashes (--) represent zeros or no value. Data from WCGOP website at http://www.nwfsc.noaa.gov/research/divisions/fram/observation/data_products/sector_products.cfm (Excel file labelled “Limited entry (LE) bottom trawl 2002-2010” under Catch Tables by Sector).

Year	Unidentified smelt	Whitebait smelt	Night smelt	Capelin	Surf smelt
2002	0.18	--	--	--	0.03
2003	0.02	--	--	--	--
2004	0.84	--	--	--	--
2005	0.03	--	--	--	--
2006	0.01	--	--	--	--
2007	0.00	--	--	--	--
2008	0.00	--	--	--	--
2009	0.00	0.00	--	0.01	0.00
2010	0.00	--	0.00	--	--

Table 15. Observed weight (kg) and numbers of “unidentified smelt” and “unidentified herring/smelt” bycatch in at-sea Pacific hake trawl fisheries from 2002–2017. Note that these fishery sectors are 100% sampled. After 2010, efforts were expanded to identify all eulachon to species and unidentified smelt did not likely include eulachon. Double dashes (--) represent zeros or no value. In 2013–2017 the tribal mothership sector did not participate in this fishery. Asterisks (*) signify strata with fewer than three observed vessels. NA, not applicable.

Year	Tribal at-sea hake fishery		Non-tribal at-sea hake fisheries			
	Unidentified smelt (kg)	Unidentified smelt (number)	Unidentified smelt (kg)	Unidentified smelt (number)	Unidentified herring/smelt (kg)	Unidentified herring/smelt (number)
2002	4.10	156	54.38	1,245	--	--
2003	1.17	25	1.70	49	--	--
2004	--	--	0.24	3	--	--
2005	--	--	0.15	6	--	--
2006	--	--	0.12	2	--	--
2007	--	--	--	--	0.61	7
2008	--	--	0.07	5	36.41	605
2009	--	--	0.34	9	--	--
2010	--	--	--	--	--	--
2011	--	--	1.42	14	--	--
2012	*	*	0.26	21	--	--
2013	NA	NA	0.04	2	--	--
2014	NA	NA	0.00	0.00	--	--
2015	NA	NA	--	--	--	--
2016	NA	NA	--	--	--	--
2017	NA	NA	--	--	--	--

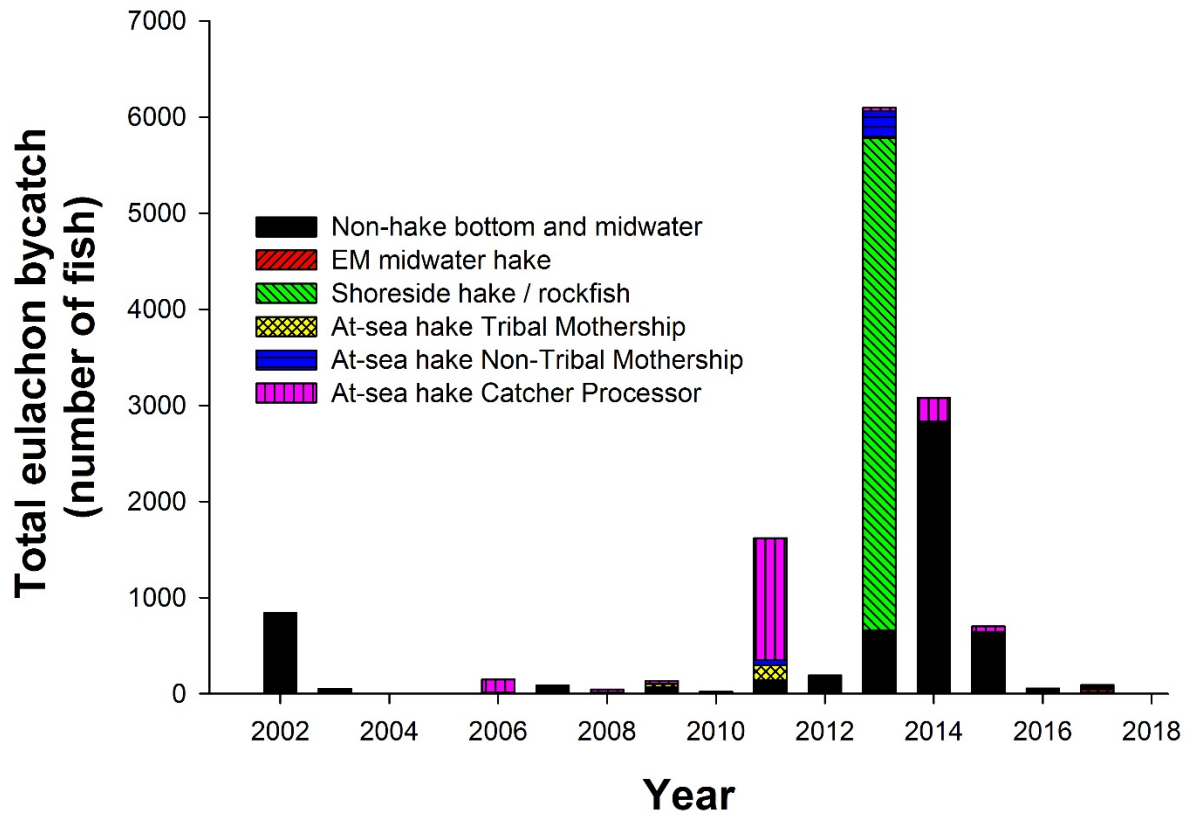


Figure 1. Estimated bycatch of eulachon in U.S. West Coast groundfish fisheries 2002–2017. Data from Table 12.

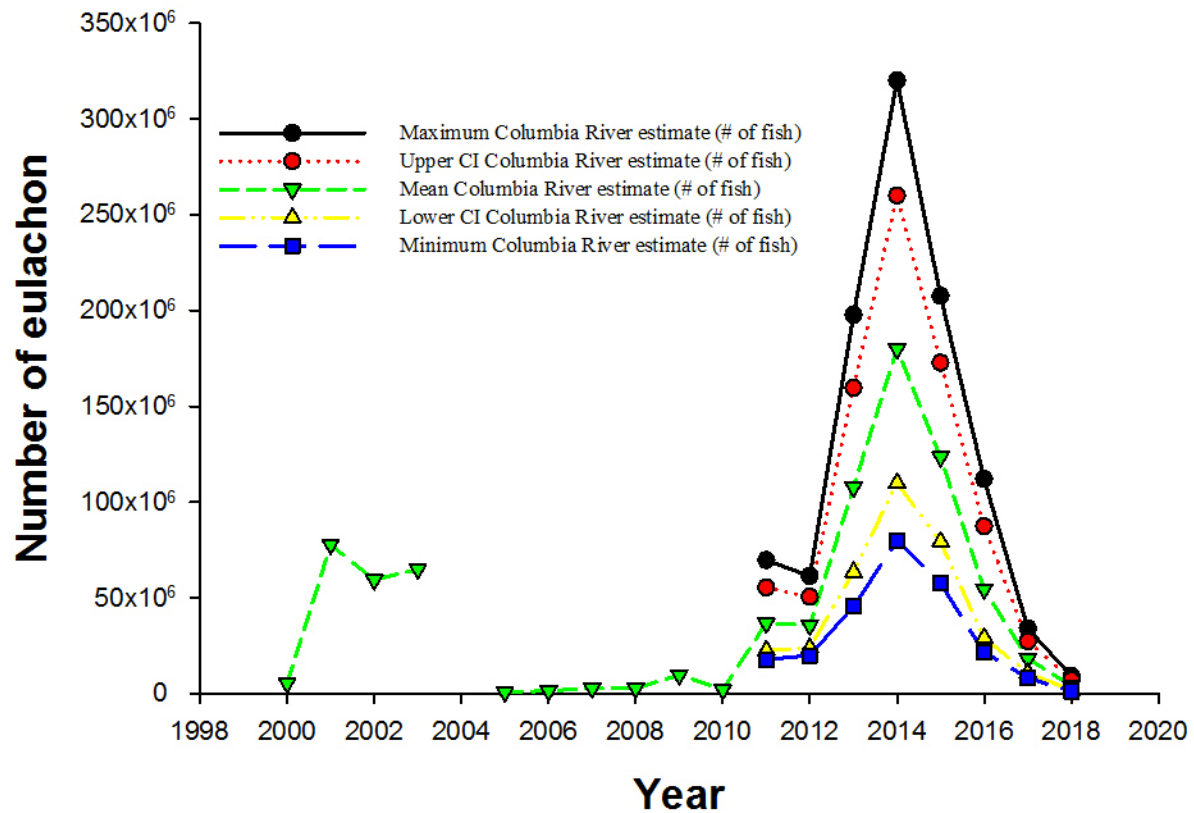


Figure 2. Estimated maximum, mean, minimum, and upper and lower 95% confidence intervals of the number of adult eulachon spawning in the Columbia River from 2011–2018 as reported in Washington Department of Fish and Wildlife reports (James et al. 2014, James 2014, Langness et al. 2018). Estimates prior to 2011 are only for mean number of adult spawning eulachon.

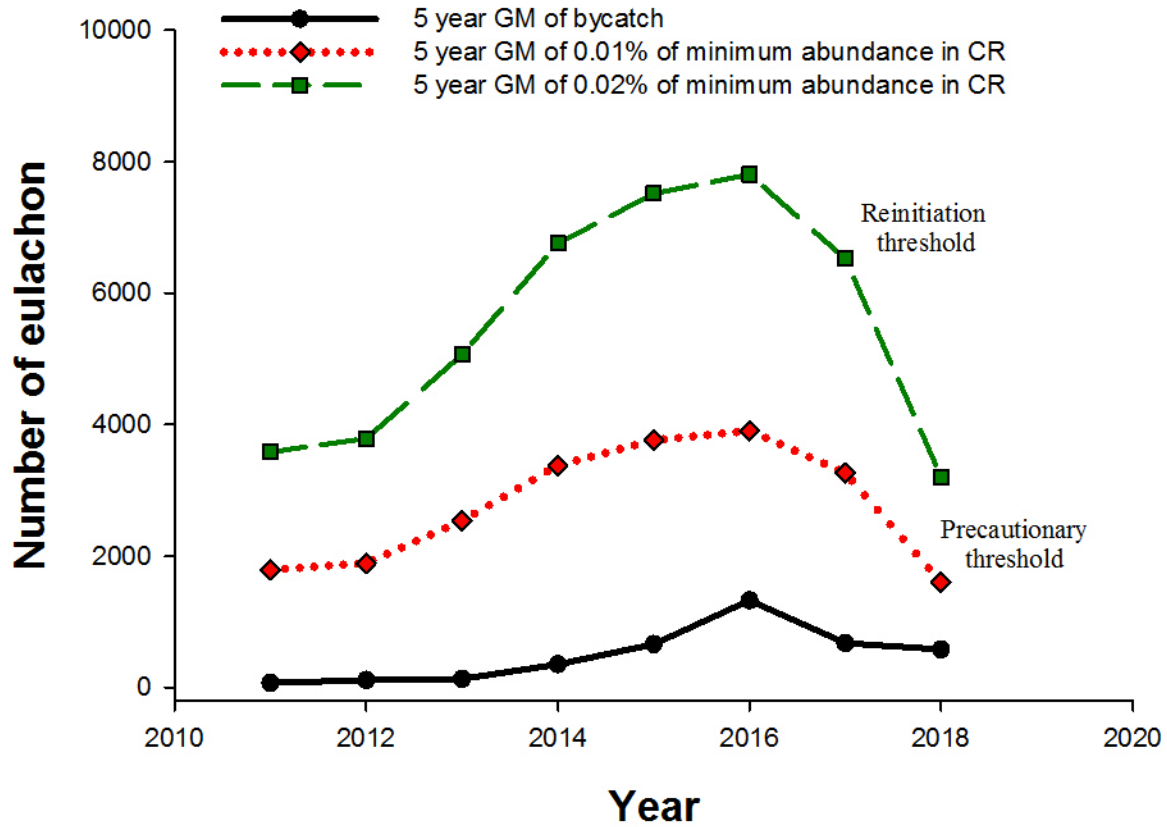


Figure 3. West Coast Groundfish Fishery eulachon bycatch thresholds (0.01 and 0.02 percent of five-year geometric mean of the minimum Columbia River eulachon abundance estimates) compared with the five-year geometric mean of eulachon bycatch (number of individuals) in this fishery [adapted from NMFS-WCR (2018 , their figure 2-1)]. GM, geometric mean; CR, Columbia River. Data from Table 13

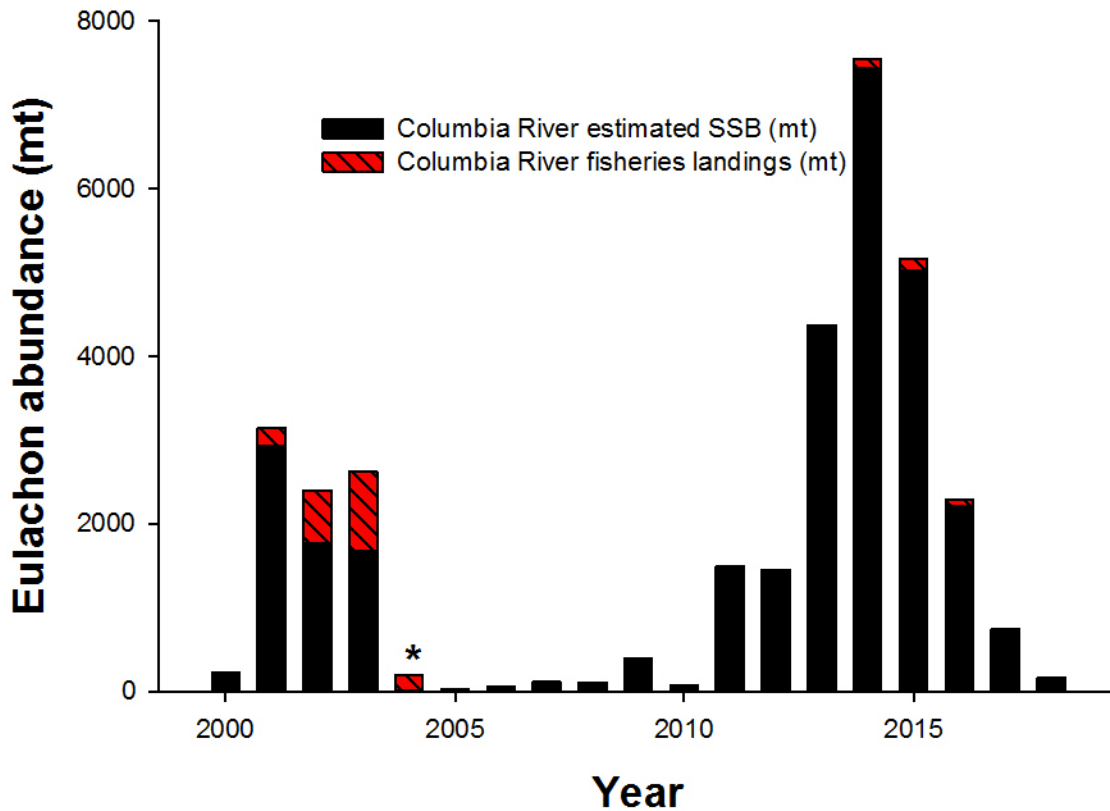


Figure 4. Estimated Columbia River eulachon spawning stock biomass (SSB) and commercial and recreational fisheries landings from 2000–2018. Pre-2011 adjusted SSB estimates are based on historical Columbia River water discharge rates and expansions of historical larval densities adjusted for the shorter duration of the pre-2011 surveys (B. James and O. Langness, WDFW, pers. commun.). Abundance estimates for 2011–2013 from James et al. (2014); for 2014 from James (2014); and for 2015–2018 from Langness et al. (2018). Asterisk indicates that a survey was conducted in 2004; however, detailed daily larval density data for that year are unavailable and only harvest data for that year is displayed.

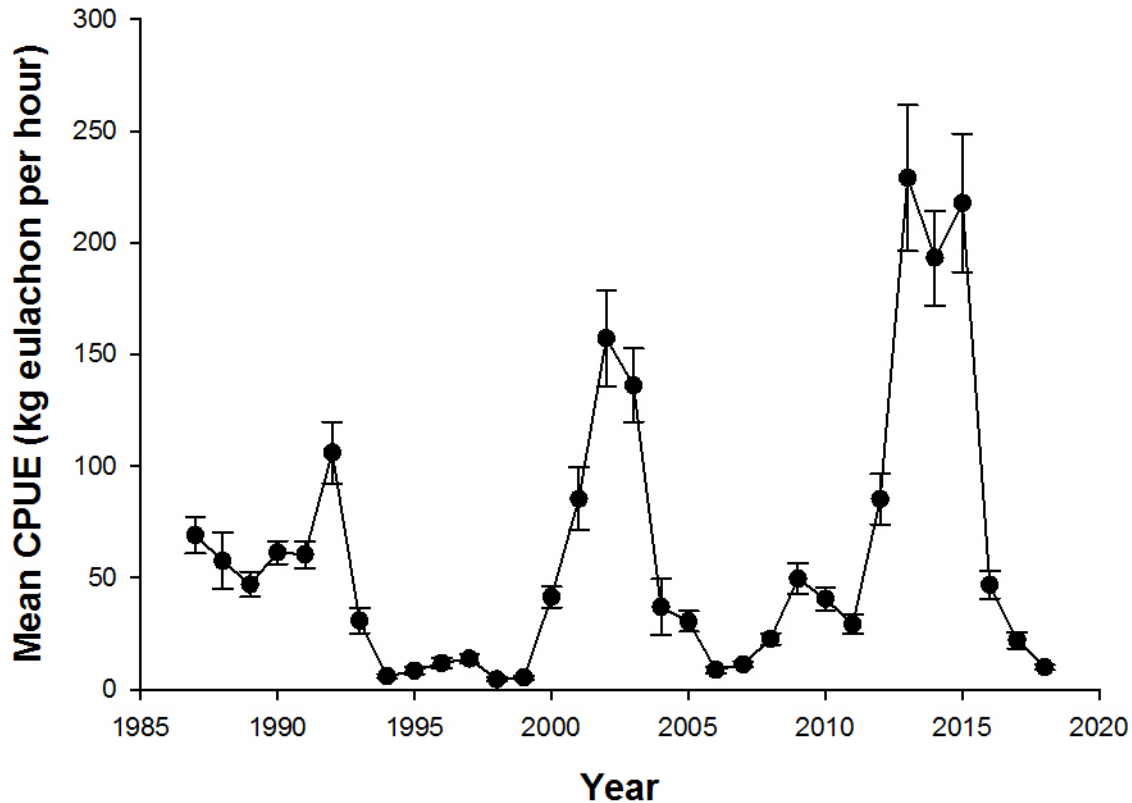


Figure 5. Total mean (\pm SE) catch per unit effort (CPUE; kg/h) of eulachon across all surveyed Shrimp Management Areas (125 OFF, 124 OFF, 23 OFF, 21 OFF, and 21 IN) off west coast Vancouver Island (WCVI) from 1987–2018. CPUE is based on bycatch of eulachon in multispecies small mesh bottom trawl surveys (aka, fishery-independent shrimp surveys) offshore of WCVI. Data courtesy of Sean MacConnachie and Vanessa Hodes (Fisheries and Oceans Canada, Pacific Biological Station, Nanaimo, BC, Canada, pers. commun., 29 January 2019).

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Appendix A

Observed and Estimated Bycatch of Eulachon in US West Coast Ocean Shrimp Trawl Fisheries From 2004–2017

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Introduction and Background

Eulachon (*Thaleichthys pacificus*, Osmeridae) is an anadromous smelt that ranges from northern California to the southeastern Bering Sea coast of Alaska (Willson et al. 2006, Moody and Pitcher 2010). The declining abundance of eulachon in the southern portion of its range led the Cowlitz Indian Tribe to petition (Cowlitz Indian Tribe 2007) the National Marine Fisheries Service (NMFS) to list eulachon in Washington, Oregon, and California as a threatened or endangered species under the USA's Endangered Species Act (ESA). A eulachon Biological Review Team (BRT)—consisting of scientists from the Northwest Fisheries Science Center (NWFS), Alaska Fisheries Science Center, Southwest Fisheries Science Center, U.S. Fish and Wildlife Service, and U.S. Forest Service—was formed by NMFS, and the team reviewed and evaluated scientific information submitted from state agencies, other interested parties, and compiled by NMFS staff from both published and unpublished literature. The BRT identified a southern Distinct Population Segment (DPS) of eulachon—that occurs in the California Current and is composed of numerous subpopulations that spawn in rivers from the Mad River in northern California to the Skeena River in British Columbia. The BRT concluded that major threats to southern eulachon include climate change impacts on ocean and freshwater habitat, bycatch in offshore shrimp trawl fisheries, changes in downstream flow-timing and intensity due to dams and water diversions, and predation. These threats, together with large declines in abundance, indicated to the BRT that the southern DPS of eulachon was at moderate risk of extinction throughout all of its range (Gustafson et al. 2010, 2012). On 18 March 2010, NMFS published a final rule in the Federal Register to list the southern DPS of eulachon as threatened under the ESA (NMFS 2010). A recent five-year review (Gustafson 2016) resulted in a recommendation and final rule that the DPS remain classified as a threatened species (NMFS 2016, NMFS-WCR 2016). Eulachon in Canada that overlap the range of the ESA's southern DPS have also been recommended for listing as endangered under the Canadian Species at Risk Act (SARA) (COSEWIC 2011, 2013). The present document provides an analysis of observed bycatch and fleet-wide take estimates of U.S. Endangered Species Act-listed eulachon in U.S. West Coast offshore commercial ocean shrimp trawl fisheries from 2004–2017.

Eulachon Life History

Adult eulachon typically spawn at age 2–5, when they are 160–250 mm in length (fork length), in the lower portions of rivers that have prominent spring peak flow events or freshets (Hay and McCarter 2000, Willson et al. 2006). Many rivers within the range of eulachon have consistent yearly spawning runs; however, eulachon may appear in other rivers only on an irregular or occasional basis (Hay and McCarter 2000, Willson et al. 2006). The spawning migration typically begins when river temperatures are between 0°C and 10°C, which usually occurs between December and June. Run timing and duration may vary interannually and multiple runs occur in some rivers (Willson et al. 2006). Most eulachon are semelparous. Fecundity ranges from 7,000–60,000 eggs and individual eggs are approximately 1 mm in diameter. Milt and eggs are released over sand or coarse gravel. Eggs become adhesive after fertilization and hatch in 3 to 8 weeks depending on temperature. Newly hatched larvae are transparent, slender, and about 4 to 8 mm in length (total length). Larvae are transported rapidly by spring freshets to estuaries (Hay and McCarter 2000, Willson et al. 2006) and juveniles

disperse onto the oceanic continental shelf within the first year of life (Hay and McCarter 2000, Gustafson et al. 2010). It has been estimated that eulachon spend about 95% of their life in the ocean (Hay and McCarter 2000), although very little is known about their distribution and behavior in the marine environment. Eulachon have been taken in research trawl surveys over the continental shelf off the U.S. West Coast and most often at depths between 50 and 200 m (NWFSC-EW 2012).

Ocean Shrimp Trawl Fisheries

Pandalus jordani is known as the smooth pink shrimp in British Columbia, ocean pink shrimp or smooth pink shrimp in Washington, pink shrimp in Oregon, and Pacific ocean shrimp in California. Herein we use the common name “ocean shrimp” in reference to *P. jordani* as suggested by the American Fisheries Society (McLaughlin et al. 2005). The common name “pink shrimp” has been assigned to *Farfantepenaeus duorarum*, a commercial species in the South Atlantic and Gulf of Mexico (McLaughlin et al. 2005). Offshore trawl fisheries for ocean shrimp occur from the west coast of Vancouver Island to the U.S. West Coast off Cape Mendocino, California (Hannah and Jones 2007). Numerous previous publications have documented eulachon bycatch levels in shrimp trawl fisheries off the coasts of Washington, Oregon, California, and British Columbia (Hay et al. 1999a, 1999b; Olsen et al. 2000; NWFSC 2008, 2009, 2010; Bellman et al. 2011; Al-Humaidhi et al. 2012; Gustafson et al. 2015, 2017; DFO 2018). However, this document does not specifically cover eulachon bycatch in the British Columbia shrimp trawl fisheries.

Ocean shrimp fisheries began in California in 1952 and expanded into Oregon and Washington by the mid- to late-1950s (Frimodig et al. 2009). Ocean shrimp in commercial quantities are found from Point Arguello, California north to Queen Charlotte Sound, British Columbia, typically over well-defined beds of green mud or green mud and sand (Frimodig et al. 2009). Because ocean shrimp undergo a vertical diel migration, dispersing into surface waters during nighttime hours and returning to near bottom aggregations in the daytime (Zirges and Robinson 1980, Frimodig et al. 2009), ocean shrimp vessels generally trawl in depths ranging from 91–256 m (50 to 140 fathoms) during daylight hours. Vessels that currently operate in the state-permitted ocean shrimp trawl fisheries in Washington, Oregon, and California range in size from 11.6–32 m (38–105 feet), with an average length of 19.9 m (65 feet), and can use single or double-rigged shrimp trawl gear (Table A1). The ocean shrimp season is open from 1 April through 31 October in all three states, and vessels deliver catch to shore-based processors. Total coastwide ocean shrimp landings have ranged from a low of 1,888 mt in 1957 to a high of 46,494 mt in 2015 (Fig. A1). The portion of the catch that is not marketable or for which regulations prohibit landing is discarded at-sea. In this report we assume that all discarded eulachon in this fishery results in 100% mortality (see Table A1). Information on ocean shrimp fisheries can be found for Washington online at <http://wdfw.wa.gov/fishing/commercial/shrimp/>, for Oregon online at <http://www.dfw.state.or.us/MRP/shellfish/commercial/shrimp/index.asp>, and for California in Frimodig et al. (2007, 2009).

Deflecting grid BRDs

Currently, ocean shrimp vessels are required to use bycatch reduction devices (BRDs) that serve as deflecting grids to guide fin-fish towards an escape opening, which is usually on the top of the net. The primary goal of mandatory BRDs is to reduce bycatch of groundfish species, and more recently, protected species such as eulachon. BRDs became mandatory in California in 2002 (Frimodig 2008, Frimodig et al. 2009) and in Washington and Oregon in 2003. Current regulations in Washington and Oregon, adopted by both states in 2012, require ocean shrimp trawl fishery BRDs to consist of a rigid panel or grate of narrowly spaced bars (usually constructed of aluminum) with no gaps between the bars exceeding 0.75 inches (19.1 mm). Further details on shrimp BRD requirements and fishery regulations for Washington can be found at <http://apps.leg.wa.gov/wac/default.aspx?cite=220-52-050>; and for Oregon at https://www.dfw.state.or.us/fish/commercial/docs/2019_Commercial_Synopsis.pdf.

In California, approved deflecting grid BRDs for use in the ocean shrimp fishery include: (1) rigid- or semi-rigid grate excluders consisting of vertical bars with no gaps between the bars exceeding 2 inches (50.8 mm); (2) soft-panel excluders, usually made of a soft mesh material “with individual meshes no large than 6 inches;” and (3) fisheye excluders, which have a forward facing escape opening that is maintained by a rigid frame (see California Fishing Regulations Commercial Digest 2016-2017, online at <https://nrm.dfg.ca.gov/FileHandler.ashx?DocumentID=123803&inline>).

Footrope lighting BRDs

As of 2018, Washington and Oregon also mandated the use of LED lights on the footrope of each trawl net. Washington regulations as stated in Wargo and Ayres (2018, p. 11) are as follows:

Washington Administrative Code 220-340-500 Commercial ocean pink shrimp trawl fishery—Coastal waters.

(7) It is unlawful to fish with trawl gear for pink shrimp for commercial purposes unless footrope lighting devices that have been approved by the department are used in each net. A list of approved footrope lighting devices is available from the department.

Footrope lighting devices must meet the following criteria:

- (a) Lighting devices must be operational;
- (b) Lighting devices must be securely attached within six inches of the forward leading edge of the bottom panel of trawl netting; and
- (c) Each trawl net must have a minimum of five lighting devices, spaced four feet apart in the central sixteen feet of each net.

(8) It is unlawful to modify footrope lighting devices or device placement on the footrope in any way inconsistent with subsection (7)(c) of this section,

except as provided by special gear permit as described in subsection (9) of this section.

(9) Testing of footrope lighting devices or placement on the footrope is allowed by special gear permit only, consistent with the terms and conditions of the permit.

Three lighting devices are approved for use in 2018:

1. Lindgren-Pitman “LP Electrolume Light” – Green
2. Catch All Tackle “Deep Drop LED Fishing Light” – Green
3. Rock-engineering “LED Rope Light” – Green

Oregon regulations on footrope lights, as stated in Groth et al. (2018, p. 2), are as follows:

Oregon Administrative Rule 635-005-0630;

3) It is unlawful to fish with trawl gear for pink shrimp for commercial purposes unless footrope lighting devices that have been approved by the Department are used in each net. A list of approved footrope lighting devices is available from the Department. Footrope lighting devices must meet the following criteria:

- (a) Lighting devices must be operational;
- (b) Lighting devices must be securely attached within 6 inches of the forward leading edge of the bottom panel of trawl netting; and
- (c) Each trawl net must have a minimum of five lighting devices, spaced 4 feet apart in the central 16 feet of each net.

In addition, Groth et al. (2018, p. 2), stated that:

Regarding “approved footrope lighting devices” we are starting with a list of three devices which have been tested to be effective in bycatch reduction. For 2018, these include:

- 1) Lindgren-Pittman “LP Electrolume light”- Green
- 2) Catch All Tackle “Deep Drop LED fishing light”- Green
- 3) Rock-engineering “LED rope light”- Green

Methods

Data Sources

Data sources for this analysis include onboard observer data from the WCGOP and landing receipt data, referred to as fish tickets, obtained from the Pacific Fisheries Information Network (PacFIN).

Observer data

To date, observer data is the main source for discard estimation in the ocean shrimp trawl fishery. Coverage priorities and data collection methods employed by WCGOP in the ocean shrimp trawl fishery can be found in the WCGOP observer training manual (NWFSC 2018). The sampling protocol employed by the WCGOP is primarily focused on the discarded portion of catch. To ensure that the recorded weights for the retained portion of the observed catch are accurate, haul-level retained catch weights recorded by observers are adjusted based on trip-level fish ticket records. This process is described in further detail in Somers et al. (2018) and was conducted prior to the analyses presented in this report.

Fish ticket data

In the case of the ocean shrimp trawl fishery, bycatch estimation uses the landed amount of ocean shrimp as the effort metric. Thus, the retained landing information from fish tickets is crucial information for fleet-wide total bycatch estimation for all sectors of the ocean shrimp trawl fishery on the U.S. West Coast. Fish ticket landing receipts are completed by fish-buyers in each port for each delivery of fish by a vessel. In this case, fish tickets are trip-aggregated sales receipts for ocean shrimp. Fish tickets are issued to fish-buyers by a state agency and must be returned to the agency for processing. Fish tickets are designed by the individual states (Washington, Oregon, and California) with a slightly different format for each state. In addition, each state conducts species-composition sampling at the ports for numerous market categories that are reported on fish tickets. Fish ticket and species-composition data are submitted by state agencies to the PacFIN regional database. Annual fish ticket landings data for ocean shrimp were retrieved from the PacFIN database. Observer and fish ticket data processing steps are described in detail in Somers et al. (2018b). All data processing steps specific to this report are described in the bycatch estimation methods section below.

Bycatch Estimation Methods

Fleet-wide eulachon bycatch estimates in the Washington, Oregon, and California ocean shrimp trawl fisheries were derived from WCGOP observer data and fish ticket landings data. Annual ocean shrimp fisheries occur from April to October. WCGOP coverage of the Oregon and California ocean shrimp fleets began in 2004 and continued to the present with the exception of 2006; whereas bycatch observation of the Washington ocean shrimp fleet first began in 2010, following revision of Washington regulations allowing federal observers in this state-managed fishery. For analysis purposes, only trips by shrimp vessels landing in a particular state are

considered part of that state's ocean shrimp fishery. This definition is consistent with state management.

Bycatch ratios for these fisheries were calculated by dividing the observed bycatch of eulachon (number of fish and weight of fish in kilograms) by the observed retained weight (in metric tons) of ocean shrimp. The fleet landed weight of ocean shrimp was then used as a multiplier to expand observed eulachon bycatch ratios to the fleet. The estimation of bycatch ratio and fleet-wide expansion were done according to the following equation:

$$\hat{D}_s = \frac{\sum_t d_{st}}{\sum_t r_{st}} \times F_s$$

where:

s = stratum, which is formed by a combination of year and state, etc.

t = individual tows in observer data

d = observed bycatch count of eulachon

r = observed retained weight of ocean shrimp

F = expansion factor (weight of landed ocean shrimp recorded on fish tickets)

\hat{D} = fleet-wide bycatch estimate of eulachon

Measures of Uncertainty

As a measure of uncertainty for the estimated bycatch ratio, upper and lower limits of the 95% confidence interval were estimated with a non-parametric bootstrap procedure for the strata that were not 100% observed (i.e., non-IFQ fisheries). The bootstrap procedure randomly selects vessels that were observed within a stratum, with replacement. The number of vessels randomly selected is the same as the total number of observed vessels in the stratum. Random selection of vessels is intended to approximate the WCGOP vessel selection process. The bycatch ratio was estimated for each of 10,000 bootstrapped data sets to obtain a bootstrapped distribution of bycatch ratio estimates. The lower (2.5% percentile) and upper (97.5% percentile) confidence limits of the bycatch ratio were calculated from the bootstrapped distribution. The 95% confidence interval was also estimated for the fleet-wide bycatch estimate per stratum by multiplying the confidence limits of the bycatch ratio by total landed weight of the target species in a given stratum. Lower confidence bound of total bycatch estimate was truncated at the observed bycatch amount if the estimated lower bound was less than the observed bycatch amount. One limitation with this technique is that we underestimate the true uncertainty because we can only estimate the portion of uncertainty resulting from observer sampling. We have no information about uncertainty related to landings data [see Shelton et al. (2012)].

When necessary to preserve confidentiality, we pooled strata over a three year time window to estimate bycatch and uncertainty. If there were fewer than three observed vessels in a given stratum, data confidentiality prohibits revealing catch and other associated fishing trip information in that stratum. To overcome this issue, we pooled strata over a three year time window around the problem stratum; the year before, the year of, and the year after the problem stratum. We then bootstrapped the three-year pooled strata to estimate the bycatch ratio in the confidential stratum. This bycatch ratio can be viewed as a three-year running average.

Results

Observer data from the ocean shrimp trawl fishery were received from the West Coast Groundfish Observer Program (WCGOP) at the NWFSC⁸. These data contained all tows observed for the years 2004, 2005, and 2007–2017. The observed tows were in waters between 80 and 250 m in depth. The ocean shrimp trawl fishery did not carry WCGOP observers in 2006.

The WCGOP began observing eulachon bycatch in the Washington ocean shrimp fishery in 2010. The estimated Washington sector bycatch in terms of weight and numbers of eulachon increased dramatically beginning in 2012, and remained elevated relative to 2010–2011 through 2015. Eulachon bycatch and bycatch ratios have declined significantly since 2015. Estimated eulachon bycatch numbers in both 2016 and 2017 in this sector were each about an order of magnitude lower than they had been in the previous year. Since 2010, the percentage of total shrimp landings observed has fluctuated between about 7 and 19.5% (Table A2). Total estimated bycatch of eulachon in the Washington ocean shrimp fisheries ranged from a low of over 67 thousand (95% CI; 24,791–140,075) fish in 2010 to a high of over 22.4 million (95% CI; 16,820,072–29,166,126) fish in 2015 (Table A2, Fig. A2). The state fleetwide bycatch count estimate of eulachon in the Washington ocean shrimp fishery declined to about 1.5 million (95% CI; 800,292–2,284,598) in 2016 and to about 442 thousand (95% CI; 303,624–642,502) in 2017. However, these levels of bycatch in the Washington ocean shrimp fishery remain many times higher than what they had been in 2010 and 2011 (Table A2). Mean estimated total biomass of eulachon bycatch in the Washington fishery during this time period (2010–2017) ranged from 2.1–219.5 mt (Table A2). The Washington sector bycatch ratio, on a kg of eulachon per metric ton of retained shrimp basis, was highest during 2012 (37.0 kg/mt) and 2013 (32.9 kg/mt) and lowest in 2010 (0.5 kg/mt) and 2011 (1.3 kg/mt). Recently, this bycatch ratio has declined from high levels in 2012–2013 to 5.0 kg/mt in 2016 and 3.8 kg/mt in 2017 (Table A2, Fig. A2).

Eulachon bycatch in the Oregon ocean shrimp fishery was estimated at well under a million individual fish (range of 146–845 thousand) from 2004–2011 (although the fishery was not observed in 2006); however, estimated bycatch expanded dramatically in 2012 and 2013 to over 28.3 million (95% CI; 18.0–39.7 million) and 36.2 million (95% CI; 21.2–53.9 million), respectively (Table A3, Fig. A2). Similarly, total weight of estimated eulachon bycatch in Oregon increased from 20.5 mt (95% CI; ~15–27 mt) in 2011 to nearly 428 mt (95% CI; ~281–587 mt) in 2012 and to over 540 mt (95% CI; ~347.9–762.0 mt) in 2013. Subsequently, estimated eulachon bycatch remained high in the Oregon ocean shrimp trawl sector, reaching over 59.3 million fish (95% CI; 39.8–83.3 million) and 619 mt (95% CI; ~436–822 mt) in 2014 and over 35.4 million fish (95% CI; 23.2–50.5 million) and 360 mt (95% CI; ~256–482 mt) in 2015 (Table A3, Fig. A2). Eulachon bycatch numbers and weights were down in the subsequent two years to about 2.9 million fish (95% CI; 2.0–4.0 million) and 66 mt (95% CI; ~48–89 mt) in 2016, and about 208 thousand fish (95% CI; 51–402 thousand) and 3.9 mt (95% CI; ~0.9–7.7

⁸ Eulachon bycatch count and weight estimates have been updated in the current document and may not always match estimates previously published in Gustafson et al. (2015, 2017).

mt) in 2017 (Table A3). As in the Washington sector, bycatch ratios in the Oregon sector, (measured as both kg and numbers of eulachon per metric ton of retained ocean shrimp observed) also increased dramatically from 2011 to 2012, and remained high in 2013–2015 (Table A3, Fig. A2). Observed bycatch ratios were at their highest in 2014 (26.3 kg/mt and 2,517 eulachon/mt). In 2015, the Oregon sector bycatch ratios declined to 14.8 kg/mt and 1,460 eulachon/mt. Further declines in bycatch ratios continued in 2016 and 2017, reaching 4.1 kg/mt and 178 eulachon/mt in 2016 and 0.4 kg/mt and 20 eulachon/mt in 2017 (Table A3, Fig. A2).

Eulachon bycatch in the California ocean shrimp fishery followed a very different trajectory from that observed in Washington and Oregon during 2011–2013. Eulachon bycatch in California remained below 25 thousand fish from 2004 to 2008 (the fishery was not observed in 2006), rose dramatically in 2010 to over 267 thousand (95% CI; 40,047–699,335) fish, fell to its lowest observed level of just 475 fish (95% CI; 203–847) in 2011, increased again dramatically in 2012 to over 337 thousand (95% CI; 146,795–601,114) fish, and then fell to about 16 thousand (95% CI; 3,802–33,405) fish in 2013 (Table A4, Fig. A2). Biomass of eulachon bycatch and bycatch ratios showed similar fluctuations over the time period from 2010–2013 (Table A4). Eulachon bycatch again increased from 2014–2015 in the California ocean shrimp trawl sector; estimated bycatch was over 602 thousand fish (95% CI; 247,462–1,063,395) and 6.5 mt in 2014 and increased to over 2.2 million fish (95% CI; 1,002,781–4,024,194) and 32.3 mt in 2015 (Table A4, Fig. A2). The tonnage of observed ocean shrimp and of fleet-wide landings were relatively stable over from 2011–2015, indicating that yearly differences in eulachon distribution, or in the catchability of eulachon, likely contributed to the extreme fluctuations in eulachon bycatch in the California ocean shrimp fishery. Like Washington, but unlike Oregon, the bycatch ratio of eulachon increased from 2014 to 2015 in the California sector of the ocean shrimp trawl fishery. The bycatch ratios in the California sector (measured as both kg and numbers of eulachon per metric ton of retained ocean shrimp observed) increased from 1.7 to 9.4 kg/mt shrimp and from 157 to 647 eulachon/mt shrimp between 2014 and 2015 (Table A4). California ocean shrimp fishery eulachon bycatch and bycatch ratios in 2016, and especially in 2017, were down to levels not seen since prior to 2010. Fleetwide bycatch was over 51 thousand (95% CI; 17,603–111,931) fish with a bycatch ratio of about 38 eulachon/mt of shrimp in 2016, and consisted of 31 fish (95% CI; 5–130) with a bycatch ratio of 0.02 eulachon/mt of shrimp in 2017. Ocean shrimp landings in the California fishery were down by about 60% in 2016–2017 compared to the 2011–2015 period, which may explain a portion of the reduction in eulachon bycatch evident in the 2017 values, although reduced eulachon abundance is also a likely factor.

Combined WCGOP estimates of the weight and number of eulachon caught in the Oregon and California ocean shrimp trawl fishery as bycatch from 2004–2017 (except for 2006 when these fisheries were not observed) and in Washington from 2010–2017 are presented in Table A5. Total estimated bycatch of eulachon in the Oregon and California ocean shrimp fisheries ranged from nearly 156 thousand fish (95% CI; 11,646–479,155) in 2004 to a high of nearly 948 thousand (95% CI unavailable) fish in 2009. Estimated eulachon bycatch in the Washington ocean shrimp fishery in 2010 (its first year of observation) was over 67 thousand fish, and the total 2010 estimated eulachon bycatch for all three states combined was over 1.1 million (95% CI; 539,623–1,886,013). Coastwide eulachon bycatch decreased to about 606 thousand (95% CI; 400,896–873,379) fish in 2011 (Table A5). However, as seen earlier,

eulachon bycatch increased dramatically in all three states in 2012, topping out at nearly 43 million (95% CI; ~27.0–59.2 million) individual eulachon. Bycatch increased again in Washington and Oregon, but not California in 2013, resulting in an estimated total eulachon bycatch for all three states combined of over 53.3 million fish (95% CI; ~33.2–75.5 million) (Table A5). Estimated weight of these bycaught eulachon in 2013 was over 743 mt (95% CI; ~499–1010 mt) (Table A5). Coastwide eulachon bycatch in ocean shrimp trawl fisheries again increased in 2014 to an all-time high of 73.4 million fish (95% CI; ~47.5–106.5 million) and 768 mt (95% CI; ~514–1,086 mt). In 2015, coastwide bycatch declined, relative to 2014, due to declining bycatch in the Oregon ocean shrimp sector; however, bycatch increased in both the Washington and the California sectors in 2015 (Table A5). Estimated coastwide bycatch in 2015 amounted to 60.1 million (95% CI; ~41.1–83.7 million) fish and 612 mt (95% CI; ~440–822 mt) (Table A5). Coastwide eulachon bycatch in ocean shrimp trawl fisheries declined by two orders of magnitude from 2015 to 2017, declining from 60.1 million fish in 2015 to 4.4 million (95% CI; ~2.8–6.4 million) fish in 2016 and 649 thousand (95% CI; ~0.4–1.0 million) fish in 2017 (Table A5).

Bycatch ratios were higher in Washington than in the Oregon fishery in both 2012 and 2013 (Tables A2–A3, Fig. A2). In 2015, bycatch ratios declined in the Oregon sector but rose in both the Washington and California sectors of the ocean shrimp trawl fishery (Tables A2–A4, Fig. A2). Eulachon bycatch and bycatch ratios continued to decline in all three state ocean shrimp fisheries from 2015 to 2016 to 2017. However, declines in bycatch and bycatch ratios were most dramatic in Oregon and California over this time period. In 2017 comparative bycatch ratios as number of eulachon per metric ton of shrimp were 145.4 (95% CI; 99.9–211.3) for Washington, 19.9 (95% CI; 4.8–38.5) for Oregon, and nearly zero (95% CI; 0.0–0.1) for California (Tables A2–A4).

Degree of observer coverage

Observer coverage in ocean shrimp trawl fisheries over the past three years has ranged from 10–16 % of ocean shrimp landings on a coastwide basis (Table A6). Since 2004, observer coverage in the Oregon ocean shrimp fishery has ranged from a low of 5.6% to a high of 14.3% of total shrimp landings (Table A3). Observer coverage data for Washington and California are available only for 2010–2017; prior California data cannot be reported for confidentiality reasons, and the Washington shrimp trawl sector was not observed by the WCGOP prior to 2010. During 2010–2017, observer coverage in Washington and California averaged 13.2% and 14.2% of total shrimp landings, respectively (Tables A2, A4). No ocean shrimp trawl fishery landings were observed in 2006.

Discussion

The previously high and currently depressed relative abundance of the southern DPS of eulachon (Figs. 4, 5) likely influences the high eulachon bycatch from 2012–2015 and subsequent decrease in bycatch in 2016 and 2017 in West Coast ocean shrimp trawl fisheries, as reported in the current document. These patterns are also likely influenced by the degree to which artificial LED lighting has been used to illuminate portions of trawl nets in different

sectors of these fisheries. The potential impact of lighted trawl nets on bycatch ratios and overall bycatch is an active area of research and is further discussed below.

It is unclear why bycatch ratios were highest in the Washington, intermediate in the Oregon, and lowest in the California sectors of the ocean shrimp trawl fishery in 2012 and 2013. The dramatic increases in the level of eulachon bycatch in both the Washington and Oregon ocean shrimp trawl fisheries in 2012 and 2013 occurred in spite of regulations, enacted in 2012, requiring the use of BRDs with a minimum 19 mm (0.75 inch) bar spacing. In 2014, eulachon bycatch ratios declined in Washington, but increased in both the Oregon and California sectors of the ocean shrimp trawl fishery (Tables A2–A4). In 2015, both eulachon bycatch ratios and overall bycatch increased in both the Washington and California sectors of the ocean shrimp trawl fishery, but declined in the Oregon sector (Tables A2–A4).

The most recent two years of available data, 2016 and 2017, have shown a marked decline in eulachon bycatch and bycatch ratios (Tables A2–A4, Fig. A2). Ocean shrimp landings were also down in all three state fisheries in 2016 and 2017, relative to the high landings of 2011–2015 (Fig A1). However, in Oregon where 67% and 70% of shrimp landings occurred in 2016 and 2017, estimated bycatch ratios have declined from the high year of 2014 (~26 kg and over 2,500 eulachon per mt of shrimp) to 0.4 kg and about 20 eulachon per mt of shrimp (Table A3, Fig. A2). It is unclear whether these very positive reductions in overall bycatch and bycatch ratios are due to implementation of LED lighting of shrimp trawl footropes or to the currently low abundance of eulachon (Figs. 4, 5), or both. Groth et al. (2019, p. 11) indicated that “2017 was a very low bycatch year” in Oregon and that during testing of LED light configurations it was “pretty hard to find any eulachon” (Groth et al. 2018, p. 12).

Eulachon bycatch in California in 2017 was at its lowest reported level since WCGOP began observing this sector; an estimated fleetwide bycatch of only 1.5 kg or 31 total eulachon, although California ocean shrimp landings amounted to over 1,480 mt in 2017 (Table A4). Ocean shrimp landings in the California fishery were down by about 60% in 2016–2017 compared to the 2011–2015 period, which may explain a portion of the reduction in eulachon bycatch evident in the 2017 values, although reduced eulachon abundance or change in eulachon distribution are also likely factors. Although bycatch and bycatch ratios also declined in the Washington sector of the ocean shrimp fishery, the declines were not as dramatic as in Oregon and California. Although 2017 ocean shrimp landings in Washington were about 29% of those in Oregon, the Washington sector accounted for an estimated twice as many bycaught eulachon (Tables A2, A3), and the Washington sector bycatch ratios of 3.8 kg and 145 eulachon per mt of shrimp were an order of magnitude higher than in the Oregon sector.

Although speculative, it may be that BRDs in the ocean shrimp trawl fisheries operate at greatly reduced efficiency when eulachon reach high densities. Winger et al. (2010, p. 91) stated that:

Fish density is also expected to affect the performance of BRDs installed within the net. When large pulses of fish are encountered, devices such as selection windows, sorting grids, or separator panels may be temporarily masked by

neighboring conspecifics. This reduces the probability of fish encountering the devices and thus reduces the potential sorting efficiency.

The Washington ocean shrimp fishery was also observed separately in 2011 and 2012 by a team of state-deployed fishery bycatch observers (Wargo et al. 2014, 2016). Wargo et al. (2016, p. 28) reported a fleetwide eulachon bycatch in the Washington state ocean shrimp fishery of “7.8 mt (17,132 pounds) for 2011 and 171 mt (378,011 pounds) for 2012.” These bycatch estimates are approximately 30% and 10% greater than the estimates for the Washington ocean shrimp fishery as reported in the present document of 5.5 and 156.8 mt in 2011 and 2012, respectively. In the 2011 Washington ocean shrimp trawl fishery, 24% of trips or 26% of observed ocean shrimp landings were observed by the state observers (Wargo et al. 2014, 2016), whereas the WCGOP observed 16.2% of the total ocean shrimp landings (Table A2). In 2012, 16% of trips or 14% of observed ocean shrimp landings were observed by the state observer program (Wargo et al. 2014, 2016) and 14.8% of shrimp landings were observed by the WCGOP (Table A2).

Many early exploratory surveys of ocean shrimp distribution and abundance off the U.S. West Coast commented upon the species of bycatch taken during these cruises (Pruter and Harry 1952, Schaefer and Johnson 1957, Tegelberg and Smith 1957, Alverson et al. 1960, Ronholt and Magill 1961, Robinson 1966), but few attempted to quantify bycatch biomass. Tegelberg and Smith (1957, p. 28) found eulachon to be “common in some catches” during exploratory shrimp cruises off the Washington coast in 1955 and 1956. Alverson et al. (1960) reported that osmerid smelt, along with eelpouts (Zoarcidae) and small sole, “dominated incidental catches of fish in numbers and were taken in most drags” off Washington and Oregon in 1958. Ronholt and Magill (1961) listed eulachon as among the numerous species incidentally taken during a 1960 exploratory shrimp cruise off central Oregon. Robinson (1966, p. 3) also reported that, in addition to several other species taken as bycatch, “in a few tows considerable numbers of smelt ... were captured” off Oregon in March 1966 during studies of abundance and distribution of ocean shrimp (Robinson 1966, p. 3).

Prior to the mandated use of bycatch reduction devices (BRDs), 32–61% of the total catch in the Oregon ocean shrimp fishery consisted of non-shrimp biomass, including various species of smelt (Hannah and Jones 2007). Krutzikowsky (2001, p. 2) evaluated bycatch in this fishery and stated that:

Bycatch discards in this fishery can range from relatively low to very high levels that can affect the efficiency and, possibly, the value of the fishery. Bycatch of Pacific whiting, *Merluccius productus*, in particular, can become high enough on the shrimp grounds to preclude efficient shrimping. ... The majority of bycatch is discarded, such as ... smelt *Osmeridae* sp. ...

Reducing bycatch in this fishery has long been an active field of research (Hannah et al. 1996, 2003, 2011, 2015; Hannah and Jones 2000, 2003, 2007, 2012; Frimodig et al. 2009) and great progress has been made in reducing bycatch, particularly for larger-bodied fishes. Use of BRDs in offshore shrimp trawl fisheries, which was mandated beginning in 2002 in California and 2003 in Washington and Oregon has substantially reduced bycatch of fin fish in these fisheries

(Hannah and Jones 2007, Frimodig et al. 2009). As of 2005, following required implementation of BRDs, the total bycatch by weight had been reduced to about 7.5% of the total catch and osmerid smelt bycatch was reduced to an estimated average of 0.73% of the total catch across all BRD types (Hannah and Jones 2007). However, some of these studies were done at a time (mid 2000s) when eulachon were at a historically low level of abundance.

None of the shrimp trawl BRDs in use today eliminate all incidental catch, and residual bycatch of fish (Hannah et al. 2011), especially of eulachon, remains a problem. Recent experimentation with artificial light to illuminate portions of trawl nets in the Oregon ocean shrimp fishery has shown great promise for significantly reducing bycatch of eulachon (Hannah and Jones 2014, 2015; Hannah et al. 2015; Groth et al. 2017, 2018, 2019; Lomeli et al. 2018). In 2014, researchers compared bycatch levels over 42 paired trials between lighted and unlighted trawl nets using double-rigged vessels that could tow paired shrimp trawl nets (Hannah et al. 2015). When 10 green LED lights were placed along the trawl fishing line of ocean shrimp trawl nets with rigid-grate BRDs with 0.75 inch (19.1 mm) bar spacing installed and then were compared with identical trawls nets without lights, the bycatch of eulachon was reduced by 91%, with little or no effect on shrimp catch. Hannah et al. (2015, p. 60) stated that “How the addition of artificial light is causing these changes in fish behavior and bycatch reduction is not known,” but the authors speculated that illumination of the trawl fishing line may possibly allow the fish to see the approaching net sooner and react in time to avoid being entrained, and “likely encouraged some species to also move downwards, perhaps exploiting a natural tendency to move towards the seafloor when threatened” (Hannah et al. 2015, p. 66).

Hannah and Jones (2016, p. 6) stated that to their knowledge “all shrimpers that fished in 2015 [in the Oregon ocean shrimp fishery] used LED (Light Emitting Diode) lights when trawling” and that “all said they used lights and were happy with the resulting bycatch reduction.” According to Groth et al. (2017, p. 11), “NMFS observer data from 2015 showed that of the 2,137 hauls observed [in the Oregon sector]: 1,466 used LEDs, 66 did not use LEDs, and on the 605 remaining hauls, this data was not reported.” Thus a minimum of about 69% of hauls in Oregon had some form of lights installed on the trawl nets in 2015. Furthermore, Groth et al. (2017, p. 11) stated that, “In 2016, we talked to 66 vessels landing shrimp into Oregon; of these, 57 vessels reported using LEDs 100% of the time, 7 reported using them sometimes (depending on bycatch rates, deferred maintenance cost, etc.), and 2 reported not using them at all.” Groth et al. (2017, p. 9 and 12) emphasized “that proper installation of LEDs is key to bycatch reduction” and that research efforts in 2017 “will further examine use of LEDs in bycatch reduction.”

Lomeli et al. (2018) examined the effect on eulachon bycatch of placing 5, 10, and 20 LED lights along the fishing line of ocean shrimp trawl nets. Catch efficiencies between the three LED lighting configurations were compared with one another and with paired unilluminated trawls. According to Lomeli et al. (2018, p. 2230), the unilluminated trawl caught 81, 60, and 47% more eulachon than the 5-, 10-, and 20-LED configurations, respectively” and “these differences in average catch efficiency were significant.” These results indicate that “light emitted by the 5-LED configuration provided sufficient illumination for most fishes to perceive the contrast between the trawl fishing line and the seabed and thus avoid capture, and that use of

more illumination provides no clear added bycatch reduction benefit (Lomeli et al. 2018, p. 2232). These bycatch benefits were also achieved without a reduction in ocean shrimp catches.

Bycatch hotspots

Ward et al. (2015) applied spatiotemporal models to both fishery-dependent observations of eulachon bycatch and eulachon fisheries-independent survey data to 1) estimate population trends of eulachon, 2) understand eulachon bycatch risk in shrimp fisheries, and 3) identify persistent bycatch hotspots that may be used in future management actions to reduce eulachon bycatch rates. Two spatial data sets for the period from 2007–2012 were examined: WCGOP catch data of shrimp and eulachon in the California, Oregon, and Washington ocean shrimp trawl fisheries and fishery-independent incidental eulachon catch in the West Coast Bottom Trawl Survey (Ward et al. 2015). Ward et al. (2015) found support for a greater than 40% annual increase in eulachon density based on the bycatch dataset and a greater than 55% annual increase based on the fisheries-independent survey dataset over the duration of the datasets. The later dataset also suggested that eulachon density was “substantially higher in 2012 than in any recent period” (Ward et al. 2015). These data also imply “that increases in bycatch [are] not due to an increase in incidental targeting of eulachon by fishing vessels, but likely because of an increasing population size of eulachon.” Ward et al. (2015, their figures 4–5) also presented mapped representations of both the spatial distribution of eulachon bycatch risk and areas of highest bycatch encounters. Ward et al. (2015) found that the coastal areas just south of Coos Bay, Oregon; between the Columbia River and Grays Harbor, Washington; and just south of La Push, Washington were consistent hotspots of eulachon bycatch across years.

“Unidentified smelt” bycatch in ocean shrimp trawl fisheries

Due to sampling conditions, time constraints, and other priorities, not all smelt were identified to the species level in the ocean shrimp trawl fishery observer database from 2004–2015 and thus a portion of the bycatch in these fisheries was recorded as “smelt unidentified.” Beginning in 2011 an effort was made to identify all eulachon encountered and an additional category of “non-eulachon smelt” was added. Prior to 2011, a large portion of observed bycatch categorized as “smelt unidentified” might have consisted of eulachon. Other osmerid smelt species occasionally encountered as bycatch in the commercial ocean shrimp fisheries include surf smelt (*Hypomesus pretiosus*), whitebait smelt (*Allosmerus elongatus*), night smelt (*Spirinchus starksi*), rainbow smelt (*Osmerus mordax*), and capelin (*Mallotus villosus*) (Table A7). Observed but unidentified smelt bycatch in Oregon and California (2004–2010) and Oregon, California, and Washington (2011–2016) ocean shrimp trawl fisheries are presented in Table A7. Bycatch ratios for unidentified smelt in this table were calculated by dividing metric tons of observed unidentified smelt by observed shrimp landings. Expansion of these observed levels of bycatch to a fleetwide level of yearly unidentified smelt bycatch was done by multiplying these bycatch ratios by the fleetwide landings in metric tons of ocean shrimp (Table A7). The percentage of this unidentified smelt category that consisted of eulachon is unknown. Bycatch observation did not begin in the Washington ocean shrimp fishery until 2010, and starting in 2011 an effort was made by observers to record all eulachon observed, so fish categorized as unidentified smelt in the database from 2011–2017 likely consist of other osmerid smelt species besides eulachon.

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Appendix Table A1. Generalized descriptions of U.S. West Coast groundfish fisheries that have had observed bycatch of eulachon.

Sector	Sub-Sector	Permits	Gear(s)	Target(s)	Vessel length (m)	Depths (m)	Management	
							2002-2010	2011-2017
Ocean Shrimp (aka pink shrimp)		WA, OR, or CA state ocean shrimp permit	Shrimp trawl	Ocean shrimp (<i>Pandalus jordani</i>)	11.5–33	91–256	WA, OR, or CA state ocean shrimp regulations; Bycatch Reduction Devices required; trip limits on groundfish landed; 4-16% observer coverage	

Appendix Table A2. Weight and numbers of observed eulachon bycatch, bycatch ratios, and estimated fleet-total bycatch weights and numbers of eulachon from ocean shrimp trawl vessels that landed their catch in **Washington** (2010–2017). Bycatch ratios were calculated for each year by dividing the observed catch of eulachon (in numbers of eulachon and in kg of eulachon) by the observed weight (in mt) of retained ocean shrimp. A fleet-wide bycatch estimate (in both weight and number of fish) was obtained by multiplying the bycatch ratios by fleet-wide ocean shrimp landings. 95% bootstrapped confidence intervals (CI) are provided for the estimates. Double dashes (--) signify unobserved strata. Any missing counts were estimated using a year-specific linear weight-count regression.

Year	State observed								State fleetwide				
	Bycatch (kg of eulachon)	Bycatch (no. of eulachon)	Observed ocean shrimp catch (mt)	Bycatch ratio (kg per mt of ocean shrimp)	95% CI	Bycatch ratio (no. per mt of ocean shrimp)	95% CI	Percent landings observed	Fleet ocean shrimp landings (mt)	Bycatch estimate (kg eulachon)	95% CI	Bycatch estimate (no. of eulachon)	95% CI
2004	--	--	--	--	--	--	--	0.0	2,440.5	--	--	--	--
2005	--	--	--	--	--	--	--	0.0	2,841.8	--	--	--	--
2006	--	--	--	--	--	--	--	0.0	2,804.4	--	--	--	--
2007	--	--	--	--	--	--	--	0.0	1,517.4	--	--	--	--
2008	--	--	--	--	--	--	--	0.0	2,853.3	--	--	--	--
2009	--	--	--	--	--	--	--	0.0	3,180.0	--	--	--	--
2010	198.0	6,250	399.5	0.5	0.2 1.0	15.7	5.8 32.6	9.3	4,295.6	2,129.3	737.1 4,061.1	67,205	24,791 140,075
2011	917.7	20,008	697.2	1.3	0.8 2.1	28.7	16.8 46.6	16.2	4,312.1	5,675.7	3,465.1 9,149.8	123,741	72,370 201,036
2012	23,135.3	2,108,868	626.0	37.0	24.1 48.4	3,369.1	2,087.6 4,453.4	14.8	4,239.4	156,689.3	102,289.9 205,237.0	14,282,792	8,850,214 18,879,744
2013	20,646.3	1,740,405	626.8	32.9	24.5 40.0	2,776.6	1,947.7 3,497.9	10.2	6,157.9	202,827.6	150,573.9 246,510.7	17,097,607	11,993,694 21,539,476
2014	10,043.1	950,829	976.2	10.3	5.4 18.2	974.0	538.8 1,594.6	7.0	13,876.2	142,760.6	74,508.5 252,560.7	13,515,720	7,476,269 22,127,060
2015	24,961.4	2,553,221	2,139.8	11.7	9.0 14.9	1,193.2	894.0 1,550.2	11.4	18,814.3	219,479.9	168,454.6 280,773.4	22,449,849	16,820,072 29,166,126
2016	5,505.9	259,680	1,107.9	5.0	2.6 7.9	234.4	125.1 357.2	17.3	6,395.9	31,784.5	16,820.0 50,738.4	1,499,088	800,292 2,284,598
2017	2,241.0	86,151	592.6	3.8	2.6 5.2	145.4	99.9 211.3	19.5	3,040.6	11,497.8	7,769.9 15,731.1	442,022	303,624 642,502

Appendix Table A3. Weight and numbers of observed eulachon bycatch, bycatch ratios, and estimated fleet-total bycatch weights and numbers of eulachon from ocean shrimp trawl vessels that landed their catch in **Oregon** (2004–2017). Bycatch ratios were calculated for each year by dividing the observed catch of eulachon (in numbers of eulachon and in kg of eulachon) by the observed weight (in mt) of retained ocean shrimp. A fleet-wide bycatch estimate (in both weight and number of fish) was obtained by multiplying the bycatch ratios by fleet-wide ocean shrimp landings. 95% bootstrapped confidence intervals (CI) are provided for the estimates. Double dashes (--) signify unobserved strata. Any missing counts were estimated using a year-specific linear weight-count regression.

	State observed								State fleetwide				
Year	Bycatch (kg of eulachon)	Bycatch (no. of eulachon)	Observed ocean shrimp catch (mt)	Bycatch ratio (kg per mt of ocean shrimp)	95% CI	Bycatch ratio (no. per mt of ocean shrimp)	95% CI	Percent landings observed	Fleet ocean shrimp landings (mt)	Bycatch estimate (kg eulachon)	95% CI	Bycatch estimate (no. of eulachon)	95% CI
2004	221.8	11,294	427.2	0.5	0.0	26.4	0.0	7.7	5,537.0	2,875.3	221.8	146,379	11,294
					1.5		79.3				8,397.6		438,886
2005	278.7	11,698	402.9	0.7	0.1	29.0	3.1	5.6	7,159.4	4,953.3	785.4	207,878	22,292
					1.4		58.4				10,233.2		418,118
2006	--	--	--	--	--	--	--	0.0	5,531.8	--	--	--	--
					--		--				--		--
2007	277.8	14,102	650.0	0.4	0.0	21.7	0.1	7.1	9,128.6	3,901.7	277.8	198,054	14,102
					1.1		57.8				10,233.2		527,394
2008	600.3	22,660	672.5	0.9	0.2	33.7	9.1	5.8	11,575.9	10,332.6	2,347.6	390,056	105,660
					1.9		63.7				21,960.5		736,831
2009	650.9	63,204	751.2	0.9	0.2	84.1	21.7	7.5	10,048.7	8,707.4	2,180.3	845,473	217,556
					1.9		183.3				19,372.7		1,842,369
2010	1,636.8	88,484	1,706.8	1.0	0.7	51.8	33.2	11.9	14,290.4	13,691.4	9,751.0	740,515	474,785
					1.3		73.2				17,957.3		1,046,603
2011	2,786.7	65,657	2,986.0	0.9	0.7	22.0	15.0	13.6	21,915.1	20,452.8	14,688.2	481,880	328,323
					1.2		30.6				27,200.4		671,496
2012	57,865.9	3,837,380	3,014.2	19.2	12.6	1,273.1	808.0	13.5	22,291.6	427,944.1	280,557.5	28,379,097	18,030,573
					26.3		1,780.3				587,051.6		39,684,745
2013	58,004.8	3,888,818	2,313.2	25.1	16.2	1,681.1	984.8	10.7	21,537.8	540,062.5	347,872.5	36,207,414	21,209,472
					35.4		2,504.6				761,963.2		53,942,858
2014	59,631.8	5,718,348	2,272.0	26.3	18.5	2,516.8	1,687.1	9.6	23,573.3	618,701.6	436,441.1	59,329,960	39,770,814
					34.9		3,532.6				822,422.2		83,275,250
2015	33,828.5	3,329,763	2,275.8	14.8	10.6	1,460.2	957.8	9.4	24,273.6	360,134.7	256,005.1	35,445,296	23,249,148
					19.9		2,081.8				482,595.0		50,532,909
2016	9,467.7	410,130	2,309.4	4.1	3.0	177.6	121.9	14.3	16,115.6	66,069.0	48,280.6	2,862,045	1,964,530
					5.5		249.8				89,166.5		4,026,352
2017	546.9	28,876	1,454.9	0.4	0.1	19.9	4.8	13.9	10,458.6	3,931.7	916.2	207,577	50,662
					0.7		38.5				7,743.4		402,439

Appendix Table A4. Weight and numbers of observed eulachon bycatch, bycatch ratios, and estimated fleet-total bycatch weights and numbers of eulachon from ocean shrimp trawl vessels that landed their catch in **California** (2004–2017). Bycatch ratios were calculated for each year by dividing the observed catch of eulachon (in numbers of eulachon and in kg of eulachon) by the observed weight (in mt) of retained ocean shrimp. A fleet-wide bycatch estimate (in both weight and number of fish) was obtained by multiplying the bycatch ratios by fleet-wide ocean shrimp landings. 95% bootstrapped confidence intervals (CI) are provided for the estimates. Asterisks (*) signify strata with fewer than three observed vessels. Double dashes (--) signify unobserved strata. NA, any missing counts were estimated using a year-specific linear weight-count regression.

	State observed								State fleetwide				
Year	Bycatch (kg of eulachon)	Bycatch (no. of eulachon)	Observed ocean shrimp catch (mt)	Bycatch ratio (kg per mt of ocean shrimp)	95% CI	Bycatch ratio (no. per mt of ocean shrimp)	95% CI	Percent landings observed	Fleet ocean shrimp landings (mt)	Bycatch estimate (kg eulachon)	95% CI	Bycatch estimate (no. of eulachon)	95% CI
2004	*	*	*	0.2	0.0	9.8	0.0	*	992.3	202.6	14.6	9,745	352
					0.5		40.6				539.4		40,269
2005	*	*	*	0.2	NA	9.8	NA	*	859.1	175.4	NA	8,437	NA
					NA		NA				NA		NA
2006	--	--	--	--	--	--	--	--	63.5	--	--	--	--
					--		--				--		--
2007	*	*	*	0.5	0.2	38.8	12.3	*	288.9	155.9	47.4	11,194	3,542
					1.2		90.4				349.3		26,107
2008	*	*	*	0.3	0.1	24.1	3.5	*	945.5	316.7	82.9	22,744	5,910
					1.0		75.0				949.3		70,904
2009	*	*	*	0.9	NA	86.9	NA	*	1,183.5	1,008.2	NA	102,782	NA
					NA		NA				NA		NA
2010	367.9	40,047	265.5	1.4	0.2	150.8	16.1	15.0	1,770.9	2,453.8	400.7	267,080	40,047
					3.5		394.9				6,146.2		699,335
2011	3.7	60	420.6	0.0	0.0	0.1	0.1	12.6	3,332.9	29.6	10.5	475	203
					0.0		0.3				60.4		847
2012	857.2	42,031	347.6	2.5	1.2	120.9	52.6	12.5	2,790.6	6,881.8	3,337.9	337,437	146,795
					4.8		215.4				13,336.5		601,114
2013	65.8	1,535	359.8	0.2	0.0	4.3	1.0	9.2	3,915.3	715.9	164.6	16,705	3,802
					0.4		8.5				1,422.0		33,405
2014	1,020.2	93,580	597.5	1.7	0.7	156.6	64.4	15.5	3,845.0	6,564.9	2,805.2	602,169	247,462
					3.0		276.6				11,645.4		1,063,395
2015	3,134.5	216,541	334.7	9.4	4.5	647.1	290.4	9.7	3,452.9	32,341.2	15,491.2	2,234,225	1,002,781
					16.9		1,165.4				58,444.8		4,024,194
2016	445.1	11,759	311.7	1.4	0.5	37.7	12.9	22.7	1,370.2	1,956.6	612.3	51,688	17,603
					3.2		81.7				43,49.3		111,931
2017	0.2	5	241.8	0.0	0.0	0.0	0.0	16.3	1,484.4	1.5	0.2	31	5
					0.0		0.1				4.9		130

Appendix Table A5. Total estimated bycatch of eulachon (number of individuals and mt) in ocean shrimp fisheries observed by the West Coast June 2019 Groundfish Observer Program (WCGOP) from 2004–2017. Ocean shrimp fisheries were not observed in 2006. Dashes (--) signify years when the sector was not observed.

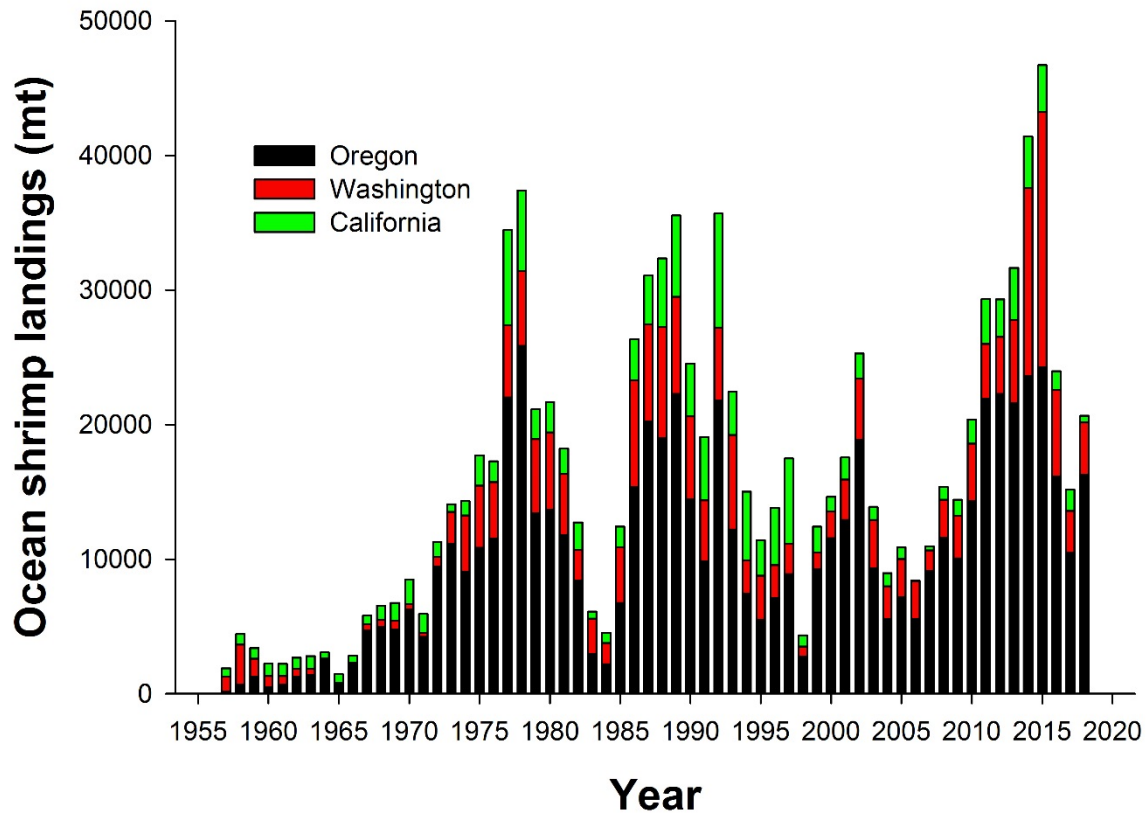
Year	Eulachon bycatch (mt)					Eulachon bycatch (numbers of fish)				
	Washington	Oregon	California	Coastwide bycatch	95% CI	Washington	Oregon	California	Coastwide bycatch	95% CI
2004	--	2.88	0.20	3.08	0.24	--	146,379	9,745	156,124	11,646
					8.94					479,155
2005	--	4.95	0.18	5.13	NA	--	207,878	8,437	216,315	NA
					NA					NA
2006	--	--	--	--	--	--	--	--	--	--
					--					--
2007	--	3.90	0.16	4.06	0.33	--	198,054	11,194	209,248	17,644
					10.54					553,501
2008	--	10.33	0.32	10.65	2.43	--	390,056	22,744	412,800	111,570
					22.91					807,735
2009	--	8.71	1.01	9.72	NA	--	845,473	102,782	948,255	NA
					NA					NA
2010	2.13	13.69	2.45	18.27	10.89	67,205	740,515	267,080	1,074,800	539,623
					28.16					1,886,013
2011	5.68	20.45	0.03	26.16	18.16	123,741	481,880	475	606,096	400,896
					36.41					873,379
2012	156.69	427.94	6.88	591.52	386.19	14,282,792	28,379,097	337,437	42,999,326	27,027,582
					805.63					59,165,603
2013	202.83	540.06	0.72	743.61	498.61	17,097,607	36,207,414	16,705	53,321,726	33,206,968
					1,009.90					75,515,739
2014	142.76	618.70	6.56	768.03	513.75	13,515,720	59,329,960	602,169	73,447,849	47,494,545
					1,086.63					106,465,705
2015	219.48	360.13	32.34	611.96	439.95	22,449,849	35,445,296	2,234,225	60,129,370	41,072,001
					821.81					83,723,229
2016	31.78	66.07	1.96	99.81	65.71	1,499,088	2,862,045	51,688	4,412,821	2,782,425
					144.25					6,422,881
2017	11.50	3.93	0.00	15.43	8.69	442,022	207,577	31	649,630	354,291
					23.48					1,045,071

Appendix Table A6. Ocean shrimp trawl observer coverage rates, 2004-2017. Total trips, tows, vessels and ocean shrimp landings (mt) observed in the ocean shrimp trawl fishery. Coverage rates are computed as the observed proportion of total ocean shrimp landings, summarized from fish ticket landing receipts. Asterisks (*) represent confidential data. Blank cells represent unobserved years. Data from Somers et al. (2018a).

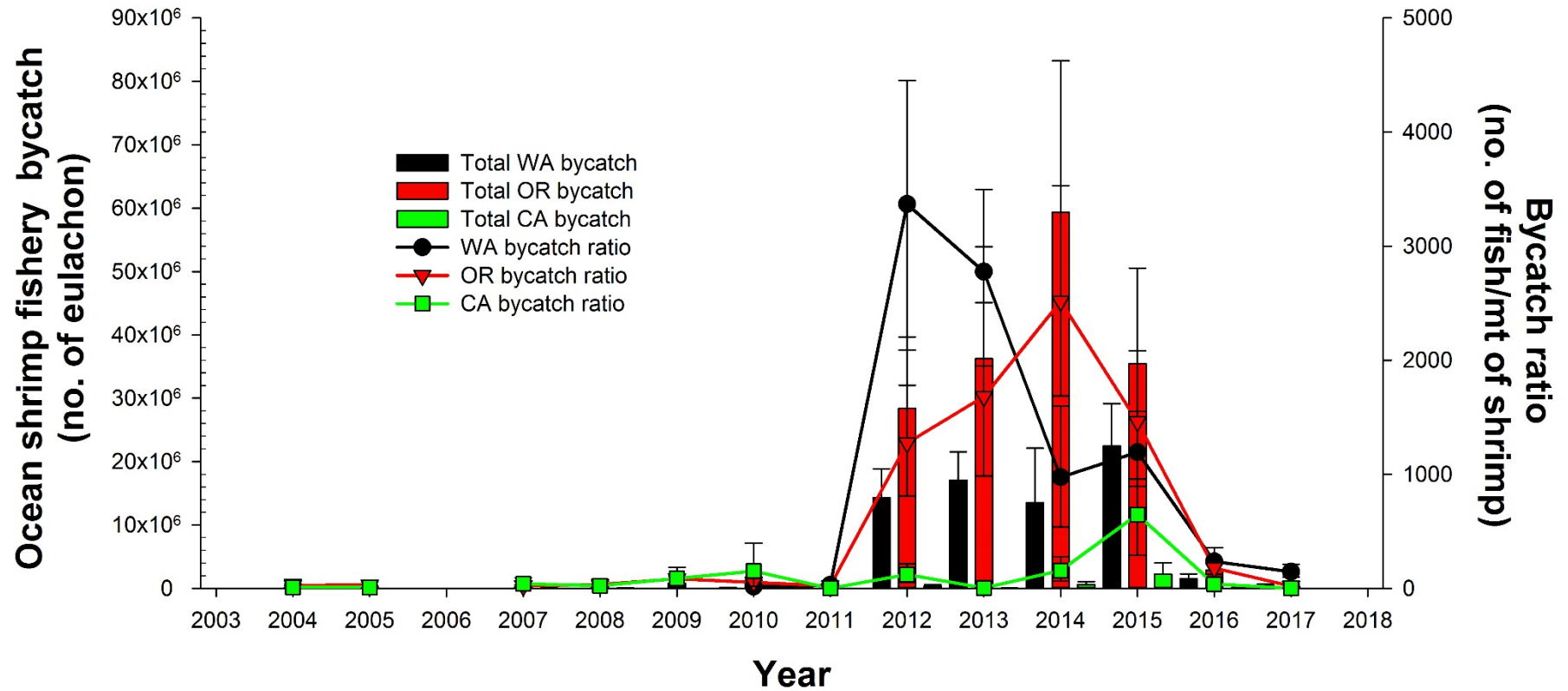
	Observed				Fleetwide Total	Coverage Rate
Year	Number of vessels	Number of trips	Number of tows	Observed ocean shrimp landings (mt)	Ocean shrimp landings (mt)	Percent ocean shrimp landings observed
2004	*	*	*	*	8,969.7	*
2005	*	*	*	*	10,860.3	*
2006					8,399.7	
2007	*	*	*	*	10,934.9	*
2008	*	*	*	*	15,374.6	*
2009	*	*	*	*	14,412.2	*
2010	54	126	1,708	2,371.9	20,356.8	12%
2011	60	186	2,673	4,103.8	29,560.1	14%
2012	69	200	2,819	3,987.8	29,321.6	14%
2013	69	153	1,977	3,299.8	31,611.0	10%
2014	66	176	2,140	3,845.8	41,294.5	9%
2015	75	254	3,772	4,750.2	46,470.9	10%
2016	82	244	3,846	3,729.0	23,881.7	16%
2017	73	180	2,793	2,289.3	14,983.6	15%

ocean shrimp fisheries (WA, OR and CA combined) from 2004–2017. Shrimp fisheries were not observed in 2006. Asterisks (*) represent confidential data. Data available in Groundfish Expanded Mortality Multiyear (GEMM) database at NWFSC/FRAM Data Warehouse - GEMM Fact Layer Metadata, online at: https://www.nwfsc.noaa.gov/data/metadata/observer.gemm_fact.

	Unidentified								Observed shrimp landings	Fleetwide shrimp landings
	Unidentified smelt	non-eulachon smelt	Whitebait smelt	Night smelt	Rainbow smelt	Longfin smelt	Capelin	Surf smelt		
2004	60.277	--	0.687	0.754	--	--	--	--	*	8,969.7
2005	21.914	--	1.659	--	--	--	--	1.828	*	10,860.3
2006										8,399.7
2007	6.367	--	0.001	3.222	--	--	0.022	--	*	10,934.9
2008	27.298	--	0.048	--	--	--	--	0.196	*	15,374.6
2009	0.565	--	6.659	0.793	0.696	--	--	--	*	14,412.2
2010	2.545	--	3.421	0.498	--	--	--	0.002	2,371.9	20,356.8
2011	15.216	0.370	10.117	10.072	--	--	--	0.007	4,103.8	29,560.1
2012	23.864	30.609	71.513	--	--	--	--	--	3,987.8	29,321.6
2013	19.576	40.150	31.917	--	--	--	--	0.002	3,299.8	31,611.0
2014	16.704	101.106	125.264	--	--	--	--	--	3,845.8	41,294.5
2015	4.183	30.412	9.366	--	--	0.001	--	--	4,750.2	46,470.9
2016	0.063	5.260	1.139	--	--	--	--	0.004	3,729.0	23,881.7
2017	--	1.045	--	--	--	--	--	--	2,289.3	14,983.6



Appendix Figure A1. Commercial landings in ocean shrimp trawl fisheries off the U.S. West Coast through 2018. Data from PACFIN (<https://reports.psmfc.org/pacfin/f?p=501:1000:::>), CDFW (<https://www.wildlife.ca.gov/Fishing/Commercial/Landings>), and Saelens (1983).



Appendix Figure A2. Estimated total bycatch and bycatch ratios of eulachon in the California, Oregon (2004–2017), and Washington (2010–2017) ocean shrimp trawl fisheries. Ocean shrimp fisheries were not observed in 2006.