

# **RESEARCH AND DATA NEEDS**

**2008**

**PUBLIC REVIEW DRAFT**

**DO NOT CITE**

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## ACRONYMS AND DEFINITIONS

Acronym	Definition
ABC	Acceptable biological catch. See below.
acceptable biological catch	The ABC is a scientific calculation of the sustainable harvest level of a fishery and is used to set the upper limit of the annual total allowable catch. It is calculated by applying the estimated (or proxy) harvest rate that produces maximum sustainable yield to the estimated exploitable stock biomass (the portion of the fish population that can be harvested).
ASAP	Age-structured Assessment Program
ATCA	Atlantic Tunas Convention Act
AUV	Autonomous Underwater Vehicle
barotrauma	Physical trauma or injury to a fish due to pressure change. When a fish is rapidly brought from deep water to the surface, the drop in pressure can cause a variety of physical problems, such as severe expansion of the swim bladder and gas bubbles in the blood.
CalCOFI	California Cooperative Oceanic Fisheries Investigations
catch per unit of effort	The quantity of fish caught (in number or weight) with one standard unit of fishing effort. For example, the number of fish taken per 1,000 hooks per day, or the weight of fish, in tons, taken per hour of trawling. CPUE is often considered an index of fish biomass (or abundance). Sometimes referred to as catch rate. CPUE may be used as a measure of economic efficiency of fishing as well as an index of fish abundance.
CCS	California Current System
CDFG	California Department of Fish and Game
coastal pelagic species	Coastal pelagic species are schooling fish, not associated with the ocean bottom, that migrate in coastal waters. They usually eat plankton and are the main food source for higher level predators such as tuna, salmon, most groundfish, and humans. Examples are herring, squid, anchovy, sardine, and mackerel.
coded-wire tag	Coded-wire tags are small pieces of stainless steel wire that are injected into the snouts of juvenile salmon and steelhead. Each tag is etched with a binary code that identifies its release group.
cohort	In a stock, a group of fish born during the same time period.

Acronym	Definition
COP	Council Operating Procedures
Council	Pacific Fishery Management Council
CPFV	Commercial passenger fishing vessel (charter boat)
CPS	Coastal pelagic species. See above.
CPSAS	Coastal Pelagic Species Advisory Subpanel
CPSMT	Coastal Pelagic Species Management Team
CPUE	Catch per unit of effort. See above.
CUFES	Continuous Underwater Fish Egg Sampler
CWT	Coded-wire tag. See above.
DEPM	Daily egg production method
EBFM	Ecosystem-Based Fishery Management
EEZ	Exclusive Economic Zone. See below.
EFH	Essential fish habitat. See below.
EIS	Environmental impact statement. See below.
El Niño Southern Oscillation	Abnormally warm ocean climate conditions, which in some years affect the eastern coast of Latin America (centered on Peru) often around Christmas time. The anomaly is accompanied by dramatic changes in species abundance and distribution, higher local rainfall and flooding, and massive deaths of fish and their predators. Many other climactic anomalies around the world are attributed to consequences of <i>El Niño</i> .
Endangered Species Act	An act of Federal law that provides for the conservation of endangered and threatened species of fish, wildlife, and plants. When preparing fishery management plans, councils are required to consult with the National Marine Fisheries Service and the U.S. Fish and Wildlife Service to determine whether the fishing under a fishery management plan is likely to jeopardize the continued existence of an ESA-listed species or to result in harm to its critical habitat.

Acronym	Definition
Environmental impact statement	As part of the National Environmental Policy Act (NEPA) process, an EIS is an analysis of the expected impacts resulting from the implementation of a fisheries management or development plan (or some other proposed action) on the environment. EISs are required for all fishery management plans as well as significant amendments to existing plans. The purpose of an EIS is to ensure the fishery management plan gives appropriate consideration to environmental values in order to prevent harm to the environment.
ESA	Endangered Species Act. See above.
essential fish habitat	Those waters and substrate necessary to fish for spawning, breeding, feeding or growth to maturity.
Exclusive Economic Zone	A zone under national jurisdiction (up to 200 nautical miles wide) declared in line with the provisions of the 1982 United Nations Convention of the Law of the Sea, within which the coastal State has the right to explore and exploit, and the responsibility to conserve and manage, the living and non-living resources.
exempted fishing permit	A permit issued by National Marine Fisheries Service that allows exemptions from some regulations in order to study the effectiveness, bycatch rate, or other aspects of an experimental fishing gear. Previously known as an “experimental fishing permit.”
Fathom	Used chiefly in measuring marine depth. A fathom equals 6 feet.
FEIS	Final Environmental Impact Statement (see EIS, NEPA).
Fm	Fathom (6 feet)
FMP	Fishery management plan. See above.
FRAM	Fishery Regulation Assessment Model. Typically used for salmon.
FWS	U.S. Fish and Wildlife Service
GIS	Geographic Information System
GLMM	Generalized Linear Mixed Model
GSI	Genetic stock identification

Acronym	Definition
Habitat areas of particular concern	Subsets of essential fish habitat (see EFH) containing particularly sensitive or vulnerable habitats that serve an important ecological function, are particularly sensitive to human-induced environmental degradation, are particularly stressed by human development activities, or comprise a rare habitat type.
HAPC	Habitat areas of particular concern. See above.
Harvest guideline(s)	A numerical harvest level that is a general objective, but not a quota. Attainment of a harvest guideline does not require a management response, but it does prompt review of the fishery.
Highly migratory species	In the Council context, highly migratory species in the Pacific Ocean include species managed under the HMS Fishery Management Plan: tunas, sharks, billfish/swordfish, and dorado or dolphinfish.
HMS	Highly migratory species. See above.
HMS FMP	Highly Migratory Species Fishery Management Plan. This is the fishery management plan (and its subsequent revisions) for the Washington, Oregon, and California Highly Migratory Species Fisheries developed by the PFMCA and approved by the Secretary of Commerce.
IATTC	Inter-American Tropical Tuna Commission
IFQ	Individual fishing quota. See below.
IMECOCAL	A program in Baja California concerning small pelagics and climate change.
Incidental catch or incidental species	Species caught when fishing for the primary purpose of catching a different species.
Incidental take	The “take” of protected species (such as listed salmon, marine mammals, sea turtles, or sea birds) during fishing. “Take” is defined as to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture or collect, or to attempt to engage in any such conduct.
Individual transferable (or tradable) quota	A type of quota (a part of a total allowable catch) allocated to individual fishermen or vessel owners and which can be transferred (sold, leased) to others.
ISC	International Scientific Committee
ITQ	Individual Transferable (or Tradable) Quota. See above.
KOHM	Klamath Ocean Harvest Model (for salmon)

Acronym	Definition
LIDAR	Light Detection and Ranging, an active sensor, similar to radar, that transmits laser pulses to a target and records the time it takes for the pulse to return to the sensor receiver.
Magnuson-Stevens Act	Magnuson-Stevens Fishery Conservation and Management Act. See below.
Magnuson-Stevens Fishery Conservation and Management Act	The MSFCMA, sometimes known as the “Magnuson-Stevens Act,” established the 200-mile fishery conservation zone, the regional fishery management council system, and other provisions of U.S. marine fishery law.
Marine Mammal Protection Act	The MMPA prohibits the harvest or harassment of marine mammals, although permits for incidental take of marine mammals while commercial fishing may be issued subject to regulation. (See “incidental take” for a definition of “take”).
Maximum sustainable yield	An estimate of the largest average annual catch or yield that can be continuously taken over a long period from a stock under prevailing ecological and environmental conditions. Since MSY is a long-term average, it need not be specified annually, but may be reassessed periodically based on the best scientific information available.
MMPA	Marine Mammal Protection Act. See above.
MPA	Marine protected areas
MSA	Magnuson-Stevens Fishery Conservation and Management Act. See above.
MSFCMA	Magnuson-Stevens Fishery Conservation and Management Act. See above.
MSY	Maximum sustained yield. See above.
National Marine Fisheries Service	A division of the U.S. Department of Commerce, National Ocean and Atmospheric Administration (NOAA). NMFS is responsible for conservation and management of offshore fisheries (and inland salmon). The NMFS Regional Director is a voting member of the Council.
NGO	Nongovernmental organization
NMFS	National Marine Fisheries Service. See above.
NMFS NWFSC	National Marine Fisheries Service Northwest Fisheries Science Center
NMFS NWR	National Marine Fisheries Service Northwest Region

Acronym	Definition
NMFS SWFSC	National Marine Fisheries Service Southwest Fisheries Science Center
NMFS SWR	National Marine Fisheries Service Southwest Region
NMSA	National Marine Sanctuaries Act
NMSP	National Marine Sanctuaries Program
NOAA	National Oceanic & Atmospheric Administration. The parent agency of National Marine Fisheries Service.
ODFW	Oregon Department of Fish and Wildlife
Optimum yield	The amount of fish that will provide the greatest overall benefit to the Nation, particularly with respect to food production and recreational opportunities, and taking into account the protection of marine ecosystems. The OY is developed on the basis of the Maximum Sustained Yield from the fishery, taking into account relevant economic, social, and ecological factors. In the case of overfished fisheries, the OY provides for rebuilding to a level that is consistent with producing the Maximum Sustained Yield for the fishery.
OY	Optimum yield. See above.
Pacific States Marine Fisheries Commission	The PSMFC is a non-regulatory agency that serves Alaska, California, Idaho, Oregon and Washington. PSMFC (headquartered in Portland) provides a communication exchange between the Pacific Fishery Management Council and the North Pacific Fishery Management Council, and a mechanism for Federal funding of regional fishery projects. The PSMFC provides information in the form of data services for various fisheries.
PaCOOS	Pacific Coast Ocean Observing System
PFMC	Pacific Fishery Management Council
PNW	Pacific Northwest
PSMFC	Pacific States Marine Fisheries Commission. See above.
Quota	A specified numerical harvest objective, the attainment (or expected attainment) of which causes closure of the fishery for that species or species group.
RCA	Rockfish Conservation Area (Depends on how it is used)
RFMO	Regional Fishery Management Organization

Acronym	Definition
RMP	Resource management plan. Covers impacts to listed species from activities of state and local governments, under section 4(d) of the Endangered Species Act.
SAFE	Stock assessment and fishery evaluation. See below.
SEM	Scanning Electron Microscopy
Scientific and Statistical Committee	An advisory committee of the PFMC made up of scientists and economists. The Magnuson-Stevens Act requires that each council maintain an SSC to assist in gathering and analyzing statistical, biological, ecological, economic, social, and other scientific information that is relevant to the management of Council fisheries.
SS2	Stock Synthesis 2 – Population assessment program.
SSC	Scientific and Statistical Committee. See above.
STAR	Stock assessment review
STAR Panel	Stock Assessment Review Panel. A panel set up to review stock assessments for particular fisheries. In the past there have been STAR panels for sablefish, rockfish, squid, and other species.
Stock Assessment and Fishery Evaluation	A SAFE document is a document prepared by the Council that provides a summary of the most recent biological condition of species in the fishery management unit, and the social and economic condition of the recreational and commercial fishing industries, including the fish processing sector. It summarizes, on a periodic basis, the best available information concerning the past, present, and possible future condition of the stocks and fisheries managed in the FMP.
TIQ	Trawl Individual Quota
Vessel Monitoring System	A satellite communications system used to monitor fishing activities—for example, to ensure that vessels stay out of prohibited areas. The system is based on electronic devices (transceivers), which are installed on board vessels. These devices automatically send data to shore-based “satellite” monitoring system.
WCGOP	West Coast Groundfish Observer Program
WCPFC	Western and Central Pacific Fisheries Commission
WDFW	Washington Department of Fish and Wildlife
WG	Working Group



## 1.0 INTRODUCTION

The Magnuson-Stevens Fishery Conservation and Management Act (MSA) includes directives to 1) prevent overfishing, 2) rebuild depressed fish stocks to levels of abundance that produce maximum sustainable yield (MSY), 3) develop standardized reporting methodologies to assess the amount and type of bycatch, 4) adopt measures that minimize bycatch and bycatch mortality, to the extent practicable, 5) describe and identify essential fish habitat (EFH), and 6) assess the impact of human activities, including fishing impacts, on habitat. The MSA also encourages the participation of the fishing industry in fishery research. Additionally, Standard 8 mandates consideration of the effects of fishery management measures on communities. These directives require substantial data collection and research efforts to support Council management of west coast fisheries.

In January 2007, the *Magnuson-Stevens Fishery Conservation and Management Reauthorization Act of 2006* reauthorized the MSA through fiscal year 2013. The MSA, as amended, retains key features of the *Sustainable Fisheries Act of 1996* while strengthening the Regional Fishery Management Councils, improving fishery management decision making through improved processes and an increased role of science, and increasing U.S. leadership in international fishery management and conservation issues.

Specific to research, data collection, and reporting, the amended MSA added several new provisions and programs, including:

- A study on the state of science for the integration of ecosystem consideration in fishery management, MSA Section 406.
- Bycatch Reduction Engineering Program, MSA Section 316.
- Cooperative Research and Management Program, MSA Section 318.
- Deep Sea Coral Research and Technology Program, MSA Section 408.
- A requirement under Regional Fishery Management Council Functions, MSA Section 302(h)(7), that the Council shall,

*“(7) develop, in conjunction with the scientific and statistical committee, multi-year research priorities for fisheries, fisheries interactions, habitats, and other areas of research that are necessary for management purposes, that shall—*

*(A) establish priorities for 5-year periods;*

*(B) be updated as necessary; and*

*(C) be submitted to the Secretary and the regional science centers of the National Marine Fisheries Service for their consideration in developing research priorities and budgets for the region of the Council.”*

This document, when adopted in its final form by the Council in the fall of 2008, is intended to document and communicate the Council’s research and data needs through 2014 thereby fulfilling the Council’s responsibilities under MSA Section 302(h)(7).

## 1.1 Schedule of Document Development and Review

The Council proposes to follow the schedule outlined in the recently approved Council Operating Procedure (COP) 12 (see excerpt below). Council staff and advisory bodies have been revising the current Draft Research and Data Needs document throughout the winter and spring of 2008. Council staff provided a preliminary draft in March 2008 to allow additional time for advisory bodies and the Council to review the document during this busy time of year and provide written comments to the Scientific and Statistical Committee (SSC) and Council staff. At its June 2008 meeting, the Council adopted this draft for public review. Comments from the public and the Council advisory bodies will be accepted up to and at the September 2008 Council meeting in Boise, Idaho when the Council is scheduled to adopt a final document. Written comments received at the Council office by August 20, 2008 will be included in the September Briefing Book.

### ***EXCERPT FROM COUNCIL OPERATING PROCEDURE 12***

*Contingent upon its overall workload priorities, the Council will strive to develop and maintain relevant documents which display and communicate the Council's research and data needs for 5-year periods using the following schedule of tasks as a standard guide.*

#### Continuous

*Year-Round* Council staff keeps track of research and data needs as they arise in various forms throughout the year and, as appropriate, advocates for efforts to address Council (such advocacy shall not include the lobbying of Congress).

#### Five-Year-Update Cycle

*April* Council staff presents updated research and data document to the Scientific and Statistical Committee (SSC) and other advisory bodies for review at the April Council meeting. Advisory bodies provide written comments to the SSC. (Item is not on Council agenda).

*June* The SSC presents recommended revisions to the Council. Other advisory bodies provide comment to the Council. The Council approves draft documents for public review.

*September* After reviewing comments from the public and Council advisory entities, the Council adopts its research and data needs. The document is submitted to National Marine Fisheries Service (NMFS) West Coast regions and centers and the states. The final document is also transmitted to West Coast and National Sea Grant institutions and posted on the Council web page.

*Early December* Council Chair and staff meet with representatives from NMFS West Coast regions and centers and Pacific States Marine Fisheries Commission (PSMFC) to develop a consensus on high priority initiatives needed to respond to Council needs. Council Chair writes a letter to NMFS to transmit the conclusions from the meeting.

### Out-of-Cycle Modifications to the Needs List

*If a situation arises that would benefit from an out-of-cycle modification to the documents, the Council may announce its intent to modify the research and data needs document outside the 5-year process and make such a modification at its next meeting.*

## **1.2 Document Organization**

This document represents a summary of research and data needed by the Council to implement its responsibilities as defined by the MSA, the Regulatory Flexibility Act, and other pertinent legislation. The document is largely organized according to the Council's four fishery management plans (FMPs) with additional sections for economic and social science components and ecosystem-based fishery management (EBFM) and marine protected area (MPA) issues. Because each FMP or management component has a unique Council history and its own issues and data needs, each section is organized in a style best suited for its particular research and data needs. Where appropriate, these sections address continuing issues and identify important emerging issues.

The bulleted list below represents the set of general criteria used to identify the highest priority needs. These criteria were first identified in 2000 and were applied in this most recent exercise as guiding principles rather than explicitly defined rules for developing research and data needs.

- Projects address long-term fundamental needs of west coast fisheries.
- Projects improve the quality of information, models, and analytical tools used for biological assessment and management.
- Projects increase the long-run market competitiveness and economic profitability of the industry.
- Projects contribute to the understanding by decision makers of social and economic implications in meeting biological and conservation objectives.
- Projects provide data and/or information to meet the requirements of the MSA, the Regulatory Flexibility Act, and other applicable laws.

## **1.3 Communication and Coordination**

Following Council adoption September, 2008, the final research and data needs document will be transmitted to many west coast organizations and agencies to broadly communicate Council needs and to solicit research support. Groups to be included in the distribution include, the other seven Regional Fishery Management Councils, Headquarters as well as west coast Regional Offices and Science Centers of National Marine Fisheries Service (NMFS), west coast states, the

Pacific States Marine Fisheries Commission (PSMFC), tribal management agencies, west coast National Marine Sanctuaries (NMS), nongovernmental organizations (NGOs), Sea Grant, and academic institutions.

In December, as time and workload allow, and as scheduled under COP 12, the “Council Chair and staff meet with representatives from NMFS West coast regions and centers and Pacific States Marine Fisheries Commission (PSMFC) to develop a consensus on high priority initiatives needed to respond to Council needs. Council Chair writes a letter to NMFS to transmit the conclusions from the meeting.”

## 2.0 ECOSYSTEM-BASED FISHERIES MANAGEMENT

### 2.1 Introduction

These suggestions are based on the presumption that ecosystem-based fisheries management (EBFM) would be an evolutionary process rather than a revolutionary process. We also suggest that almost any movement towards EBFM will involve more spatially explicit management, whether through use of MPAs or in recognition of fine scale stock structure and spatial process affecting recruitment. Field and Francis suggest three key elements of an ecosystem-based approach:

- Increasing use of short and long term climate and ocean status, trends, and scenarios for the California Current ecosystem in stock assessments, harvest levels and rebuilding plans.
- Consideration of trophic interactions among species, both fished and unfished, and the associated impacts of fishing on trophic dynamics and ecosystem structure and function.
- The increasing application of new management approaches, including spatial management measures to protect life history characteristics, biodiversity, and complex stock structure.

In November 2006, the SSC and the Habitat Committee held a joint session to begin the task of reviewing the science of EBFM and the application of EBFM principles in other regions, and to consider existing and potential future applications of EBFM in Council fishery management. Of note, the group agreed to a preliminary working definition of EBFM.

*“Ecosystem-based fishery management recognizes the physical, biological, economic and social interactions among the affected components of the ecosystem and attempts to manage fisheries to achieve a stipulated spectrum of societal goals, some of which may be in competition.”*

The definition was originally developed at a July 2006 panel discussion sponsored by PSMFC and was presented in an ensuing paper entitled *Ecosystem Based Fishery Management: Some Practical Suggestions*<sup>1</sup>.

Given the broad applicability of ecosystem-based management principles many of the research priorities identified in this chapter are reiterative or closely related to FMP-specific recommendations including salmon ecosystem and habitat needs listed under Section 4.5, groundfish habitat mapping needs in Section 3.4, forage roles and ecosystem interactions for coastal pelagic species (CPS) under Section 5.2.1, spatial socioeconomic information for ecosystem I Section 7.3.3, and habitat based management, and those for MPAs and EFH under Chapter 8. To begin moving towards these objectives and explicitly incorporating habitat and

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<sup>1</sup> Muraso et al, 2007, *Ecosystem Based Fishery Management: Some Practical Suggestions*, Canadian Journal of Fisheries and Aquatic Science, 64: 928-939.

climatic factors in our fishery management models, the following data and research priorities are suggested:

## 2.2 Highest priority Issues:

- Identify ecosystem-related objectives at all levels of assessment and management. This includes stock assessments, habitat analyses, and coastwide and regional ecosystem status reports.
- Identify an approach for evaluating the benefits of various management tools in relation to achieving EBFM management objectives.
- Provide a status of the ecosystem report to the Council annually that includes, but is not limited to, evaluation of oceanographic condition, analysis of system responses to management measures, updated habitat mapping or evaluation, observations of recruitment patterns across species, and changes in trophic dynamics.
- Identify key physical and biological indicators for prediction of salmon early ocean survival and groundfish recruitment, as well as other conditions that are directly applicable to management.
- Collection of indices of ecosystem state (on appropriate temporal and spatial scales, e.g. demarcation points might be Point Conception, Point Año Nuevo, Cape Mendocino, Cape Blanco, Columbia River, Cape Flattery):
  - upwelling, El Niño, Pacific Decadal Oscillation, Sea Surface Temperature, etc.
  - abundance of key ecosystem process indicators, such as zooplankton and forage fishes
  - larval and juvenile fish abundance
  - total annual production and surplus production
  - species diversity and other measures of ecological health and integrity
  - a measure of ocean acidification and its associated impacts on marine resources and ecosystem structure and function.
- Estimate total catch for target and non target species and their prey and predators.
- Evaluate the effect of fishing on habitat and response of habitat to spatial closures.
- Encourage development of probabilistic ecosystem-based models that incorporate environmental variation and anthropogenic disturbances to establish harvest policies and enable risk assessment for fishing strategies.
- Prioritize these issues according to immediate need and relevance to management, and develop a comprehensive plan to integrate ecosystem-based processes and information into all aspects of assessment, monitoring and evaluation.

## 2.3 Emerging Issues:

- Develop an approach for interpreting the values for indicators, including the development of thresholds, where appropriate.
- Collect data on distribution and abundance for target and non-target species and their prey and predators on finer spatial scales, following a prioritization exercise that identifies target species in greatest need of finer scale assessment and non-target or target species that may function as indicators of ecosystem condition.
- Estimate total population size of higher level carnivores, including sea birds and marine mammals and estimate forage needs and foraging efficiencies (to provide an estimate of not only their food requirements, but the prey density needed for them to acquire these food resources).
- Provide report on trophic interactions among exploited species and model consequences of fishing at various levels on predators or prey and/or the changes in biomass that may be expected due to major shifts in climate, oceanographic parameters such as acidification, and productivity.
- Use of otolith elemental analysis or genetic fingerprinting to determine origin of benthic juveniles and formulate hypotheses on larval dispersal and stock structure.



## **3.0 GROUND FISH FISHERY MANAGEMENT PLAN**

### **3.1 Introduction**

The focus of this section is on research and data needs to support quantitative stock assessments of groundfish stocks in the FMP. There is an emphasis on 1) continuation of on-going data collection programs that support assessments of stocks that have been already been assessed, 2) improving the quality and representativeness of these data collection programs, 3) new survey and/or sampling techniques to monitor stocks that cannot be surveyed effectively using current methods, and 4) refining stock assessment methods. Consideration is also given to the objective of expanding the number of species being assessed, either by focused research on life history characteristics of unassessed species, expanded data collection, or the development of assessment methods with lower data requirements.

Achieving strategic objectives will require further planning and coordination with longer time horizons. A plan is needed for the development of research and data collection projects rather than a simple list of research and data needs. The plan should include an evaluation of the availability of assessment data for each species in the FMP, and the adequacy of existing surveys to monitor stock abundance trends. The plan should include specific projects as well as mechanisms for coordination and development of an ongoing interagency program for addressing west coast groundfish research and data needs.

### **3.2 Data Issues**

#### **3.2.1 Fisheries Monitoring, Data Collection, and Availability of Data**

*Develop and implement a coastwide multi-state system for electronic recording of fishticket information and fishery logbooks in consistent form.*

An integrated electronic recording system for fishticket and logbook information for the Pacific coast is not yet in place. There has been some progress towards this goal. A pilot project was developed by NMFS Northwest Fisheries Science Center (NWFSC) and tested by the California Department of Fish and Game (CDFG) and one processor in 2004, but this project received no additional funding. Funds for development of an electronic fishticket system for the west coast have been allocated to the Northwest Regional Office for distribution to PSMFC as part of a nationwide NMFS initiative to promote electronic data recording.

This item remains a priority. The present need for real-time estimates of landings and discards is acute, particularly given the increased emphasis on accountability for in-season management measures in the revised MSA. The Groundfish Management Team and NMFS track groundfish catches inseason and attempt to produce close to real-time estimates of landings and discards. An electronic fishticket system would provide real-time landings data that are more precise with all the requisite information captured.

Logbooks are used with fishtickets and west coast Groundfish Observer Program (WCGOP) data to reconcile the total catch by area and determine bycatch rates in association with target species. Logbook data availability can lag by as much as a year, which delays input data to bycatch models and the total catch reconciliation process. Electronic logbooks, like electronic

fishtickets, can increase accuracy of critical data needed for good management decision-making. Logbook programs should be developed for other commercial sectors beyond the limited entry trawl fishery.

***Develop methods, programs, or analytical tools to quantify amount of groundfish discarded by the various fishing sectors.***

WCGOP was established in 2001 to improve estimates of total catch and discard in west coast fisheries. The program deploys over 40 observers, and collects at-sea data from limited entry trawl and fixed gear fleets as well as from open access, nearshore, prawn, and shrimp fleets. Currently, the coverage objective is to maintain, at minimum, 20 percent coverage of the limited entry trawl fleet and fixed gear fleets. WCGOP has made progress in quantifying discard in trawl fisheries and limited entry fixed gear fleets, however, observer coverage of open access fleets is currently being expanded. Improvements are needed in facilitating timely access to the information and data collected by WCGOP. These improvements are necessary to implement Council objectives, and are a high priority. This information would enable analyses to identify areas or fishing strategies in which available target species might be accessed with focused target fishing strategies, or within particular regions, with acceptable impacts on overfished species.

***Improve Fishery Monitoring and Data Collection.***

For reasons already noted, a fully integrated fishery statistics program is a priority for groundfish management. Data required include fishtickets to census the landed catch, logbooks to document areas of capture, shoreside sampling to estimate species composition of aggregated landings and biological traits of target species, and observer program data to document catch discarded at sea.

- Estimating discards in the recreational groundfish fishery is increasingly important, particularly for non-retention species. Additional data are needed on the number and size of recreational discards.
- The bycatch model used to estimate total discards is an empirical model whose performance should be evaluated on an ongoing basis as more data become available. Refinements to the bycatch model may be needed if model predictions need improvement.
- Information on the size composition of discards was identified as data need for the assessment of sablefish, Dover sole, petrale sole, and English sole. Discards of these species can be significant and are unlikely to correspond to the default assumption that discards have the same size composition as retained catch. In some cases, the size composition of discard provides information about the magnitude of recruiting year classes.
- Use of electronic monitoring of bycatch should be further explored.
- Electronic technologies and methods should be explored to improve the pace of data reporting of observer information as well as fish ticket information.

- Protocols and priorities for biological sampling (lengths and ageing structures) should be evaluated to ensure that sufficient data are being collected to support existing stock assessments and proposed new assessments. Stock Assessment Review (STAR) panels identified significant information gaps in the age and growth information needed for a number of assessments developed in 2007. There is need to optimize the use of available resources (i.e., port samplers) in a way that provides maximum benefit to stock assessments.
- The accuracy and precision of recreational catch and effort estimates for minor fishing modes such as beach and bank anglers, private access sites, and night fishing needs to be investigated.
- Recreational fishery impacts could be better estimated with improved understanding of discard mortality rates, particularly in nearshore waters where the ability to survive barotrauma or hooking or trapping injuries, may vary among species. There may also be long-term physiological effects on reproductive output due to capture and release, which could have stock productivity and management implications. Improved estimates are needed of mortality rates of discarded fish in both recreational and commercial fisheries. If alternative release methods are shown to affect survival, it may be necessary to collect information on how commonly these methods are used.
- Development of fishery independent time series of catch rates and associated composition data using fixed sites and volunteer fishermen properly supervised using standard protocols.
- Cooperative research programs are required under the recently reauthorized MSA and are playing an increasing role in west coast fishery science and management and could be utilized to expand data collection as fishing opportunities have decreased and research needs increased. However, it is critical to design programs and implement the necessary data evaluations and analyses to ensure that ongoing and future cooperative research work can be used in fishery management (i.e., fishery models, stock assessments, etc.) on a timely basis.

### **3.2.2 Historical Fisheries Data**

#### ***Reconstruct historical catch histories for groundfish.***

Historical catch estimates which are consistent with the best available information and also consistent across species are needed. Particularly problematic are a general lack of comprehensive species composition estimates by gear-type and region.

Several of the 2007 assessments have conducted historical commercial and recreational catch reconstructions. An effort needs to be made to develop a consistent approach to reconstructing catch histories. The ideal outcome would be a single document or database outlining the best reconstructed catch histories for each species (c.f. Rogers (2003) that lists foreign catches) with accompanying uncertainty envelopes. Particular attention should be paid to constructing a coastwide catch history for rockfish.

The California landing receipts on microfilm back to 1950 should be incorporated into the landings database.

### **3.2.3 Survey Data**

*Continue to conduct annual comprehensive shelf and slope resource surveys.*

An annual slope survey conducted by commercial trawlers was initiated by NMFS NWFSC in 1998. In 2003, the slope survey was extended onto the shelf and is now intended to be a comprehensive annual survey of both shelf and slope groundfish resources along the entire west coast from the Mexican to Canadian border. This expanded survey supplants the Alaska Fisheries Science Center's triennial shelf survey, which was conducted for the final time in 2004.

#### ***Resource Assessment Surveys***

Given the low estimates of potential yield and the long rebuilding trajectories for many rockfish, particularly yelloweye rockfish and canary rockfish, there is a particular need to supplement existing surveys with means of estimating abundance and biomass trends that have a lesser impact on resources, and that survey habitat not traditionally indexed by trawl surveys.

- Evaluate feasibility of and develop as appropriate alternative survey methodologies for measuring abundance and distribution of groundfish. In recent years, feasibility studies or small-scale surveys have been conducted using Autonomous Underwater Vehicles (AUVs), submersibles, acoustics, towed cameras, light detection and ranging (LIDAR), hook and line gear, and egg and larval sampling. Research should be conducted to evaluate the comparative costs and utility of these alternative survey methods for groundfish assessment.
- Develop a coastwide survey of rockfish populations in untrawlable areas. Fairly low cost non-extractive advanced technologies (i.e., bottom mapping AUV's) are currently available. The use of comprehensive non-extractive methods to assess abundances in areas not well surveyed by the current bottom trawl survey should be developed and evaluated. Continue to explore an acoustical-optical survey as an index of groundfish abundance off southern and central California.
- The continuation and enhancement of the International Pacific Halibut Commission's annual hook-and-line survey as a means to collect yelloweye rockfish data for consideration in the yelloweye rockfish stock assessments is also a high research priority, given the truncation of catch per unit of effort (CPUE) time series from targeted longline and recreational fisheries.
- Maintain California Cooperative Oceanic Fisheries Investigations (CalCOFI) surveys and expand processing of collected samples. Improve survey information for canary and widow rockfish.
- Pilot cooperative industry surveys for canary and widow rockfish hold promise, and should continue.

- Additional attention should be given to evaluating hook and line or longline gear for surveying rockfish populations. The gear is inexpensive, can be standardized across survey platforms, is deployable on a variety of bottom types, and is suitable for cooperative research projects with the fishing fleet. Since most rockfish species are not common and have low productivity, sustainable yields are likely to be low even after overfished species are rebuilt. Only low cost or self-funding survey methods may be viable over the long term given the vagaries of state and Federal funding for fisheries research.
- Tagging programs are a potentially useful source of information on stock trends for nearshore species such as black rockfish. Additional work is needed to develop quantitative priors for tagging catchability when the tagging program is smaller in scale than the stock being assessed. Continuation and/or expansion of tagging programs should consider the scope of the project relative to the area being assessed.
- Accurate bottom substrate maps, including trawlable and untrawlable habitat, are critical to interpretation of survey abundance indices. Efforts should continue to refine habitat maps of Pacific coast continental shelf and slope. Many commercial vessels are now using automated mapping software to augment digital navigation charts with improved bathymetry and bottom substrate information from echosounders. Cooperative research projects to access this information should be considered.
- Investigate the importance of calendar date and other covariates on catch rates from the triennial survey and propose adjustments to account for seasonal and other variation in selectivity/availability.
- Develop genetic methods to identify larval fish in plankton samples for accurate species identification.
- Explore use of genetic tags in population size estimation.

### **3.2.4 Biology and Basic Life History Data**

#### ***Biological Information Including Fishery and Productivity Parameters***

- Expand research on the basic life history characteristics of unassessed groundfish. There is a particular need for research on nearshore groundfish stocks that are targeted by hook and line fisheries and recreational fisheries. Studies should be specifically designed to estimate basic assessment information, including growth curves, length-weight relationships, age and length-maturity schedules, and longevity. Identify which species in the groundfish FMP are lacking this basic information and develop a timetable for generating this information.
- There is a need for focused relatively short-term biological collections to address acute assessment concerns. An example of this kind of study would be an evaluation of spatial variability in blue rockfish growth. Similar studies are needed for black rockfish and bocaccio, and there are other examples.

- Current harvest policies for rockfish use female spawning biomass or egg production as a metric of reproductive output. Recent laboratory research suggests that the larval survival of black rockfish increases with the age of the spawner, a result that calls into question the current working assumption. At present it is unclear if this is a general characteristic of rockfish reproductive biology. Both fieldwork and laboratory studies are needed to evaluate the importance of maternal age in rockfish reproductive biology. Analysis is needed to assess the effects on current harvest policies.
- Recent genetic research indicates vermillion rockfish and blue rockfish may each represent two distinct but morphologically similar species. Further genetic studies are needed to confirm these findings. These genetic studies should be designed to address management issues, such as differences in spatial distribution, the extent of intermixing, differences in growth, longevity, and maturation schedules between the two species. Other species of rockfish should also be studied for genetic structure.
- Conduct comprehensive gut analysis of groundfish to determine basic trophic interactions. Only piecemeal information is currently available. Comprehensive information will be essential for developing ecosystem assessments for the California Current System (CCS).

### **3.3 Stock Assessment Issues**

#### ***Stock Assessment Data Reporting Improvements***

Identification of research and data needs is a routine part of the groundfish STAR process. STAR Panels frequently capture these needs in their final reports. The following general data reporting improvements were reiterated in several of the STAR Panel reports from the 2007 assessment reports. Species specific recommendations from 2007 reports are contained in Appendix I.

- Establish a meta database of all data relevant to rockfish stock assessments. The database should include enough detail about the nature and quality of the data that a stock assessment author can make a well informed decision on whether it could be useful for their stock assessment.
- Establish accessible online databases for all data relevant to groundfish stock assessments, so that assessment authors can expeditiously obtain the raw data if required.
- Establish a database for historical groundfish catch histories, “best” guesses and estimates of uncertainty (and processes for updating and revising the database).
- Develop a concise set of documents that provide details of common data sources and methods used for analyzing the data to derive assessment model inputs.
- Routinely produce and present supporting documentation for any derived indices which are included in a stock assessment model (e.g., generalized linear mixed model [GLMM] derived trawl survey abundance indices).

#### ***Stock Assessment Modeling***

- Develop methods to assess and manage stocks for which data are not adequate to fit age-structured assessment models. Develop harvest control rules and associated procedures to calculate acceptable biological catches (ABCs) and optimum yields (OYs) for these data-poor stocks.
- Develop guidance on use of Bayesian priors in stock assessment models. Priors for survey catchability can be extremely important when the contrast in relative abundance is not sufficient to produce a reliable model estimate of survey catchability. Examples of recent assessments with undetermined survey catchability include sablefish, longnose skate, and longspine thornyhead. A workshop to develop survey catchability priors to use in stock assessment modeling would promote development of suitable analytical techniques and bring together appropriate expertise.
- Develop and evaluate standard methods for jointly modeling age and length data, including choice of distribution, age-reading error, initial variance assumptions, and tuning methods.
- Evaluate how best to account for and report uncertainty in stock assessments. Explore alternative approaches to present uncertainty in a way that facilitates informed decision-making.
- Develop assessment models that appropriately incorporate results from tagging programs and alternative survey methodologies in stock assessment models.
- Conduct simulation testing to evaluate alternative methods to include environment variables in stock assessment. Apply cross-validation techniques when selecting environmental variables to ensure the derived relationships are robust. A full cross-validation should be carried out that includes the variable selection process.
- Evaluate the effect of MPAs on stock assessment and management of groundfish stocks.
- Continue the evaluation of OY control rules, biological reference points, spawner-recruit relationships and harvest policies used to make decisions about ABC and harvest guideline/OY for groundfish. Simulation methods should be used to evaluate the performance of harvest control rules used to determine OY, and to test alternative methods for determining  $B_{MSY}$  and  $F_{MSY}$ . Harvest policies should be tested to determine whether they are robust to decadal-scale environmental variation and directional climate change.
- Evaluate the statistical properties (i.e., bias, estimability, variance, etc.) of current stock assessment models used for groundfish. Assessment models for groundfish are complex with many estimated parameters, yet often the data used to fit these models are sparse and uncertain. The reliability of model estimates should be tested using simulation procedures.
- Conduct field projects and modeling studies to determine which selectivity assumptions (dome shape vs. asymptotic) are most appropriate for the various groundfish stocks including lingcod and numerous species of rockfish with age structured assessments.

- Current assessment models treat populations as a single unit. Often there are geographic differences in biological and fishery characteristics without compelling evidence that separate stocks exist. Population densities and temporal pattern of fishing mortality also show geographic differences. Meta-population assessment models should be developed for linked populations. Simulation studies should be conducted to evaluate the feasibility of conducting reliable spatially-explicit stock assessments. Such models will be necessary to assess impacts of spatially-explicit management measures now being used by the Council, and likely to be used to a greater degree in the future.
- The use of recreational fishery CPUE in stock assessments has increased, particularly for assessing nearshore species for which there are no other reliable indices of abundance. Although there have been some recent advances in the analytical methods used to derive abundance indices from CPUE data, further work is needed to understand the properties of recreational CPUE data (e.g., method evaluation with simulation data or cross-validation studies). In particular, the effect of management changes and alternative fishing opportunities should be evaluated.
- Many stock assessments utilize artificial boundaries to delineate stocks, in particular those associated with international boundaries. While such assumptions are difficult to avoid in many cases, investigations regarding the implications of stock structure and population connectivity of transboundary resources have been highlighted by review panels as a key research priority in assessments of blackgill, canary, widow, and yelloweye rockfish, as well as in past review panels for other species. Investigations such as genetic methods to provide insights on stock structure, and modeling scenarios that could consider the implications of transboundary stock structure, remain critically important research needs.
- Continuation of joint U.S./Canada technical forums, workshops, and research programs is an important aspect of improving the assessment of transboundary rockfish stocks.

### **3.4 Habitat Issues**

#### ***Investigate impact of fishing gear on specific habitats and habitat productivity on the west coast fishing grounds.***

A major effort was made to prepare a comprehensive Environmental Impact Statement (EIS) analysis for the EFH amendment to the FMP. The EIS analysis was an integrated Geographic Information System (GIS) analysis that included the first complete substrate map of the Pacific coast, habitat suitability maps for groundfish species, and maps of fishing impact and habitat sensitivity. This analysis was a significant achievement, but a notable shortcoming was the lack of information on fishing impacts specific to Pacific coast habitats. In an extensive literature review, the EIS identified only two Pacific coast studies. One study was anecdotal; the other was an observational study funded by the Monterey Bay NMS and published in 1998. Estimates of habitat sensitivity to fishing gear impact and habitat recovery were obtained from studies in other areas.

Field studies are needed on the effects of fishing on benthic habitats on the Pacific coast. Studies should be conducted in a variety of bottom habitat types, using a variety of gear types. Studies

should focus on short- and long-term effects on benthic communities and bio-geological processes and include specific detailed associations between habitat and the species that rely on them. (The Council and its Advisory Bodies are reviewing all of the groundfish needs for prioritization in September. The Habitat Committee has recommended elevating research on benthic mapping and species associations as a high priority.)

### **3.5 Pacific Whiting Research**

The following research needs were identified in the *Report of the 2008 U.S./Canada Pacific Hake (Whiting) Stock Assessment Review (STAR)*:

- A Management Strategy Evaluation approach is recommended to evaluate whether the current 40-10 harvest control rule is sufficient to produce the management advice necessary to ensure the sustainable use of the Pacific hake stock with its dramatically episodic recruitment. The 40-10 rule assumes that simply reducing catches in a linear fashion as stock biomass declines will be sufficient to guide the fishery back towards the target spawning biomass level. However, with the fishery being dependent upon a single declining cohort just reducing the catch may achieve the status quo but rebuilding will not occur without new recruitment.
- The operating model developed for the Management Strategy Evaluation should evaluate how well the different assessment models recapture true population dynamics. At issue is whether a simpler model such as ADAPT / VPA performs better or worse than a more complex model such as SS2.
- Conduct additional investigations to improve the Pacific whiting acoustic survey. Evaluate the current acoustic target strength for possible biases, and explore alternative methods for estimating target strength. Continue to compare spatial distributions of Pacific whiting across all years and between bottom trawl and acoustic surveys to estimate changes in catchability/availability across years.
- Female Pacific hake grow differently than male Pacific hake and many of the more influential dynamic processes that operate in the fishery are length-based but are currently considered from an age-based perspective (for example selectivity). Future assessment models should explore the need for including both gender- and length-based selection into the dynamics.
- The inclusion of ageing error was found to be influential on the model fit in the assessment model. However, issues with ageing still remain. Further ageing error analyses are required, especially focused on estimating any bias in the ageing. It will be important to conduct a cross-validation of ageing error from the different laboratories conducting the ageing. It is especially important to include otoliths that were read by Alaska Fisheries Science Center (AFSC) staff.
- In light of current acoustic survey information, re-evaluate treatment/adjustment of pre-1995 acoustic survey data and index values. For example, compare the biomass index implied by the area covered by the pre-1995 surveys with the total biomass from the full

area covered by the post-1995 surveys. The difference between these two indices has implications for the magnitude of the survey catchability coefficient prior to 1995.

- There should be further exploration of geographical variations in fish densities and relationships with average age and the different fisheries, possibly by including spatial structure into future assessment models.
- There should be exploration of possible environmental effects on recruitment and the acoustic survey.
- There should be further investigation and resolution of possible under-reporting of foreign catch.

## 4.0 SALMON FISHERY MANAGEMENT PLAN

### 4.1 Introduction

In the 2000 Research and Data needs report, three highest priority research and data needs for salmon, along with numerous additional high priority needs, were identified. A brief summary of the three highest priority issues identified in 2000 follows:

- There is increased interest in, and use of, mark-selective fisheries as a management tool to reduce fishery impacts on natural salmon stocks of concern. Successful implementation of selective fisheries will require accurate estimates of non-retention mortalities and more detailed information regarding migration patterns and stock contributions to fisheries.
- Techniques for Genetic Stock Identification (GSI) have advanced to the point that they are a potential management tool. With the establishment of the coast-wide genetic baseline for Chinook, almost 200 stocks can now be identified from a tissue sample. There is currently intense interest in using these techniques for inseason management of weak stock impacts.
- Recent expansion of the listings under the Endangered Species Act (ESA), and the new definition of EFH, expands the Council's concerns with both freshwater and marine habitat in relation to harvest strategies and conservation. In addition, effects of changing climate need to be considered. Many of the production-based models currently in use have unrealistic behaviors at low stock abundance. More realistic models for management strategy evaluations incorporating dynamic habitats need to be developed..

High priority needs are essentially issues continuing from the 2000 document. Other high priority needs associated with hatchery fish are also identified. Emerging issues are concerned with the implementation of GSI methods into fishery management, improved forecasting and modeling of Klamath fall Chinook, and examination of ecosystem and habitat interactions.

All research and data projects listed in this section are considered either “highest priority needs” or “high priority needs” according to their ability to meet the criteria listed in the introduction to this report.

### 4.2 Highest Priority Issues

#### 4.2.1 Mark-Selective Fisheries

*A more accurate assessment of total fishing related mortality for natural stocks of coho and Chinook is needed. The ability of existing management models to predict and assess non-catch mortalities needs to be evaluated and the models modified, if needed.*

Fishery management regimes designed to reduce impacts through selective fishing, or non-retention, depend on the accuracy of estimates of non-catch mortality. In recent years, an increasing proportion of impacts of Council fisheries on naturally-spawning stocks have been caused by non-catch mortality as regulations such as landing ratio restrictions and mark-selective retention have been employed. Research using standardized methodologies (e.g., handling,

holding, reporting, post-mortem autopsies, etc.), is needed to estimate release mortality, encounter, and drop-off rates associated with gears and techniques that are typically employed in different areas and fisheries. Special attention needs to be paid to mid-term and long-term mortality. Fleet profile data (i.e., fishing technique and gear compositions) are needed to estimate release mortality rates for individual fisheries.

Harvest models have been modified to incorporate non-catch mortality. The selective coho Fishery Regulation Assessment Model (FRAM) has been approved for Council use but the selective Chinook FRAM is still under review. The modified models should work well when exploitation rates are relatively low, but as selective fisheries become more intense these models will tend to underestimate total mortality of the unmarked stocks. This problem could be addressed by using continuous catch equations which would probably require a model of migration patterns. The harvest models become more sensitive to estimates of non-catch fishing mortality as the selective fisheries modeled become more intense. Uncertainty and risk need to be explicitly incorporated into these models as they are developed.

#### **4.2.2 Stock Identification**

*Advances in GSI, otolith marking, and other techniques may make it feasible to use a variety of stock identification technologies to assess fishery impacts and migration patterns.*

The increasing necessity for weak-stock management puts a premium on the ability to identify naturally-reproducing stocks and stocks that contribute to fisheries at low rates. In many instances, the coded-wire tag (CWT) system alone does not provide the desired level of information. The Council encourages efforts to integrate a variety of techniques to address this issue.

Substantial progress has been made on this issue in the past eight years. A coast-wide microsatellite database for Chinook has been developed. A similar database for coho salmon is under development, but needs resources to coordinate efforts for the entire coast. Genetic techniques have improved so that samples can potentially be analyzed within 24-48 hours of arrival at the laboratory. GSI is being used on an inseason basis in Canada to manage coho salmon fisheries off the west coast of Vancouver Island. Studies are underway to evaluate the potential usefulness of real time GSI samples in Chinook management, particularly with Klamath fall Chinook. There are proposals to develop operational alternatives to time-area management using these techniques, in combination with existing CWT marking, mass marking, otolith microchemistry, and other emerging stock identification techniques. These types of studies are now the highest priority for salmon management.

#### **4.2.3 Habitat-based Fisheries Models**

*The development of probabilistic habitat-based models that incorporate environmental variation and anthropogenic disturbances to evaluate harvest policies and enable risk assessment for different fishing strategies is encouraged.*

Overfishing definitions are required to relate to a measure of MSY. MSY for salmon is related to productivity, which varies annually in the freshwater and the marine environments. Techniques for evaluating productivity, or survival, in freshwater and marine habitats are needed

to set appropriate harvest targets and associated conservation guidelines such as escapement floors and overfishing definitions.

Various habitat-based models have been developed, but in general they are not being applied to harvest management. One reason for this is that most of these models are developed to identify limiting factors and evaluate potential habitat restoration measures. Application to harvest management would require refined population dynamic components to these models. There is the potential for using these types of models to evaluate recovery exploitation rates. Other possible contributions could be improved understanding of climate variability and environmental influences on survival and stock productivity. Once satisfactory habitat-based models of population dynamics have been developed, they can be used in management strategy evaluations to simulate alternate management scenarios. This would be a valuable contribution to harvest management, but to become useful, substantial development efforts are needed.

### 4.3 High Priority Issues

The following high priority items are directly related to the highest priority items described above.

**Alternatives to Time-Area Management.** The annual planning process for salmon centers on the crafting of intricate time-area management measures by various groups. The feasibility of using alternative approaches (e.g., pre-defined decision rules to establish upper limits on fishery impacts, individual quotas, effort limitation) to reduce risk of error, decrease reliance on preseason abundance forecasts, improve fishery stability, simplify regulations, and reduce management costs needs to be investigated. For instance, the integration of Council preseason planning processes with the abundance-based coho management frameworks under consideration by the Pacific Salmon Commission, and by the State of Washington and Western Washington Treaty Tribes, needs to be developed and evaluated.

**Continuous Catch Equations.** Because current planning models used by the Council are constructed using simple linear independent equations, interactions between stocks and fisheries within a given time step are ignored. This can result in biased estimates of impacts. Research is needed to investigate the feasibility of recasting the models from discrete to continuous forms, e.g., competing exponential risk catch equations.

**Mass Marking.** Estimates of mark rates are essential for planning mark-selective fisheries. The accuracy of mark rates at release needs to be evaluated as well as the variability of mark-induced mortalities under operational conditions.

**Stock Migration and Distribution.** The Council currently employs “single pool” type models (i.e., ocean fisheries operate simultaneously on the entire cohort) for evaluating alternative regulatory proposals. Under certain conditions, such models can produce results that are inconsistent with expectations of biological behavior. For example, if a fishery off Central California is closed to coho fishing for a given time period, the fish that were saved become available to fisheries off the Northwest Coast of Washington in the next time period. Research is needed to determine the feasibility of incorporating explicit migration mechanisms into planning models. In most cases it is not feasible to rely upon coded-wire tagging of natural stocks, particularly those in depressed status, to obtain direct information on patterns of distribution and

exploitation. Alternative stock identification technologies should be explored as a means to collect data necessary for stock assessment purposes. Research is needed to improve our ability to estimate contributions of natural stocks in ocean fisheries and escapement. Potential research areas include 1) association studies to determine the degree to which hatchery stocks can be used to represent the distribution and migration patterns of natural stocks; 2) GSI, DNA, otolith marking, and scale studies; 3) improved statistical methods and models; and 4) basic research on stock distribution and migration patterns.

**Limiting Factors.** Research is needed to identify and quantify those factors in the freshwater habitat which limit the productivity of salmon stocks. Research should focus on 1) quantifying relationships between habitat factors and salmon production; 2) measuring the quantity and quality of these habitat factors on a periodic basis; and 3) evaluating habitat restoration projects for both short-term and long-term effects. Activities such as water diversions, dams, logging, road building, agriculture, hydroelectric projects, and development have reduced production potential by adversely affecting freshwater conditions. Habitat quality and quantity are crucial for the continued survival of wild stocks.

**Explicit Consideration of Uncertainty and Risk.** Current planning models employed by the Council are deterministic. Most aspects of salmon management, such as abundance forecasts and effort response to regulations, are not known with certainty. Given the increased emphasis on stock-specific concerns and principles of precautionary management, the Council should receive information necessary to evaluate the degree of risk associated with the regulations under consideration. Research is needed to evaluate the accuracy of existing planning models, characterize the risk to stocks and fisheries of proposed harvest regimes, and to effectively communicate information on uncertainty for use in the Council's deliberations.

**Environmental Influences on Survival.** Estimates of natural survival and stock distribution in the estuary and ocean, year-to-year, age-to-age, and life-history variability, and relationships to measurable parameters of the environment (i.e., temperature, upwelling, etc.) are needed. Substantial predictive errors in forecasts based on previous year returns and apparent large-scale, multi-stock fluctuations in abundance suggest important large-scale environmental effects. Some work has been done for coho but little is known for Chinook. Included in the information need are long-term and short-term relationships between environmental conditions and fluctuations in Chinook and coho salmon survival, abundance, and maturation rates.

**Coast-wide Models.** Currently, at least five models are employed to evaluate impacts of proposed regulatory alternatives considered by the Council. A single coast-wide Chinook model would provide analytical consistency and eliminate the need to reconcile and integrate disparate results. Additionally, research is needed to determine the feasibility of combining Chinook and coho into a single model to simplify the tasks of estimating mortalities in fisheries operated under retention restrictions (e.g., landing ratios or non-retention).

#### **4.4 Interaction of Hatchery and Wild Salmon**

In addition to the above high-priority items a number of issues related to hatchery/wild salmon interactions are of ongoing interest:

**Genetics.** Determine the extent to which there may be gene flow between hatchery and wild stocks, and what the likely effect of that gene flow may be on the fitness of wild stocks. A new genetic technique that is being applied to this problem is Full Parental Genotyping. If all mating adults can be captured and genotyped then offspring can be linked to their specific parents. This has great power for identifying the relative success of various hatchery/wild matings, but is limited in practice to relatively small systems and systems where all returning adults can be captured.

**Freshwater Ecology.** Investigate the ecological effects (competition, predation, displacement) of hatchery fish on natural production in freshwater. All life stages from spawner to egg to smolt may be affected.

**Estuary Ecology.** Migration timing, habitat utilization patterns, competition for food or space, and predator interactions are areas of interest. Differences between hatchery and natural smolts in these areas could help address the questions of the importance of density-dependent growth and survival and potential negative effects of hatchery releases on natural stock production.

**Early Ocean Life-history.** Points of comparison between hatchery and wild stocks could include: ocean distribution, migration paths and timing, size and growth, food habits, and survival rates.

**Identification of Hatchery Fish.** The presence of hatchery fish may interfere with the accurate assessment of the status of natural stocks. This problem may be alleviated by the use of mass-marking, otolith marking, CWTs, genetic marking, or other technologies to estimate the contribution of hatchery fish to fisheries and natural- spawning populations.

**Supplementation.** Research is needed to investigate the utility of using artificial propagation to supplement and rebuild natural stocks. Guidelines for the conduct of supplementation to preserve genetic diversity and legacy of populations are needed. Special care is needed to ensure that supplementation programs do not unintentionally jeopardize natural runs.

## 4.5 Emerging Issues

### California Central Valley Fall Chinook Management

Ocean fisheries in 2008 off of Oregon and California are severely constrained due to record low forecasts for California Central Valley fall Chinook abundance. Only 59,000 Sacramento River fall Chinook spawners are expected to return in 2008 compared to the objective of 122,000-180,000; about 88,000 returned in 2007. Reasons for the decline are under investigation and further investigation will likely lead to new, high priority research needs in the near future. A list of focus areas for research was submitted to the Council by CDFG at the March 2008 Council meeting (see Appendix II) and is being reviewed by NMFS, the Council, the west coast States, and the Council advisory bodies.

NMFS will convene a scientific forum to consider potential causes of the recent collapse of the Sacramento River fall Chinook salmon stock, and what may be a broader depression of salmon productivity for stocks involved in west coast fisheries from the Sacramento River north to Puget Sound. The approach to investigate the sudden failure of the Sacramento River fall Chinook

stock will be to examine potential factors that could have contributed to the low survival of the 2004 and 2005 brood years (Appendix II), and attempt to identify possible causative factors.

The approach on questions of broader salmon productivity depression will be to address the issue from the perspective of carrying capacity/productivity degradation by suites of anthropogenic impacts or by climate change effects that have made salmon populations much less resilient and thus more susceptible to precipitous declines like the one occurring in the Sacramento. While ocean conditions may have been the proximate cause in recent years, current populations are vulnerable to precipitous decline from any number of factors. Thus restoring the productivity of various stocks, to the extent feasible, will require a comprehensive approach to address many potential issues.

### **Genetic Stock Identification**

Several emerging issues are related to the high priority assigned to the implementation of GSI technologies in weak stock fishery management. Research tasks and products necessary for this to be successful are:

- Identification of the error structure of GSI samples taken from operating fisheries.
- Development and application of technologies to collect high-resolution at-sea genetic data and associated information (time, location, and depth of capture, ocean conditions, scales, etc.).
- Collection of stock-specific distribution patterns on a coast-wide, multi-year basis analogous to the current CWT data base, but at a higher time-and-space resolution.
- Identification of stock distribution patterns useful for fisheries management and appropriate management strategies to take advantage of these distribution patterns.
- Development of pre-season and in-season management models to implement these management strategies and integrate them with Council management.

### **Klamath River Fall Chinook Management**

Many research and data needs have been identified through the annual salmon management cycles and the methodology reviews relative to Klamath River fall Chinook (KRFC). Some of these research needs have been identified in the past and have recently re-emerged due to current conservation concerns for KRFC salmon. Research and data needs specific to Klamath River salmon stocks can be found in the assessment of factors affecting the low natural area spawning escapements of Klamath River fall Chinook (KRFC) in 2004-2006, which were less than the spawning conservation objective and technically placed this stock in the category of an Overfishing Concern. In June 2008, the Council adopted a rebuilding plan to achieve KRFC management objectives and end the Overfishing Concern. Action called for in the rebuilding plan include changing fishery management objectives, altering hatchery practices, intensifying research, and making habitat improvements. The supporting documents for these Council actions are available by contacting the Council office.

- Review modeling methods for estimating Klamath River Chinook contact rates and catch projections.

- Examine the appropriateness of the September 1 “birth date” for KRFC, and the sensitivity of the Klamath Ocean Harvest Model (KOHM) to changes in the birth date.
- An experimental design for a test fishery to estimate the relative impacts to KRFC in fisheries restricted to nearshore areas.
- Review methods for estimating fall fishery impacts in the KOHM in the annual preseason management process.

### **Ecosystem and Habitat Issues**

Long-term fluctuations in salmon abundance have proven to be difficult to predict and can create significant instability in the conservation, management, and economics of salmon and salmon fisheries. A better understanding of marine and freshwater conditions and their impacts on salmon populations is needed. Recent declines in west coast salmon populations, most notably Sacramento River fall Chinook, serve as a reminder of the volatility of salmon populations over time.

Describe environmental variability in the California Current ecosystem on seasonal to decadal time scales for use in understanding the impact of environmental variability on the distribution and population structure of salmon. This effort is broadly relevant to other species in the Council’s FMPs and is closely related to ecosystem research needs identified in Chapter 1.

- Develop tools that describe the environmental state and potential habitat utilization for near-shore anadromous fish.
- Characterize and map the ocean habitats for anadromous species using data from satellites and electronic tags.
- Characterize climate variability in the northeast Pacific and its relation to salmon production.

### **Pacific Salmon Commission CWT Working Group Report and Action Plan**

The Pacific Salmon Commission (PSC) established a CWT Working Group (WG) to develop recommendations for an action plan to correct deficiencies in data collection and reporting throughout the CWT system and to improve analysis of CWT recovery data. The WG reviewed the past performance of the coastwide CWT program, assessed its current status, and developed guidelines to improve the statistical basis for the future program.

The CWT WG identified tasks that would address the CWT-related recommendations of the PSC’s CWT Expert Panel Report, which was presented to the Council in March, 2006 and April 2007. The highest priority was to be placed on those tasks that need immediate action. Accordingly, the initial emphasis was to identify options to address current deficiencies in the CWT program.

The Council is supportive of the PSC effort and findings and encourages continued work on the reports research and data recommendations. The full report, “*An Action Plan in Response to Coded Wire Tag (CWT) Expert Panel Recommendations. A Report of the Pacific Salmon Commission CWT Workgroup*” is available on the PSC website.  
([www.psc.org/publications\\_tech\\_psctechreport.htm](http://www.psc.org/publications_tech_psctechreport.htm))

## 5.0 COASTAL PELAGIC SPECIES FISHERY MANAGEMENT PLAN

### 5.1 Highest Priority Research and Data Needs

- Develop new indices of abundance or augment current methods that cover the population range for both Pacific sardine and Pacific mackerel including;
  1. A coastwide (Mexico to British Columbia) synoptic survey.
  2. Redesigned aerial surveys that include on the water verification of species composition and school size using acoustics and capture techniques such as the pilot project under development by the Pacific Northwest (PNW) sardine industry.
  3. Acoustic methods, which are a qualitatively different approach to indexing relative abundance than current methods, are the primary fishery-independent method for obtaining abundance indices for many of the world's major pelagic fish stocks. Acoustic methods have been applied to northern anchovy off California. Acoustic data have the potential to provide information on the relative abundance of the populations of Pacific sardine off southern California and the PNW. Acoustic methods could also be used to provide information on distribution and physical oceanographic (pelagic habitat) information, such as currents, sea surface temperature, chlorophyll, etc.
- Coordinate more timely exchange of fishery catch and biological port samples for age structures for both Pacific sardine and Pacific mackerel in the northern and southern end of their range. In particular, efforts must be made to develop a systematic long term program of data exchange with Mexico.
- Re-evaluate the harvest control rules for both Pacific sardine and Pacific mackerel. Since the establishment of the current MSY-proxy control rule in the CPS FMP more than a decade ago, modeling tools have advanced and more data on CPS has been accumulated. As such, simulation modeling, particularly within the context of a management strategy evaluation (MSE), should be conducted.
- Ageing error for both Pacific sardine and Pacific mackerel should be quantified and incorporated in future assessments. Ageing error and bias need to be quantified by conducting multiple readings on otoliths exchanged between readers and agencies, ideally on a double blind basis.

### 5.2 Continuing Issues

#### 5.2.1 General CPS Research and Data Needs

- Develop a coastwide (Mexico to British Columbia, Canada) synoptic survey of sardine and Pacific mackerel biomass, i.e., coordinate a coastwide sampling effort (during a specified time period) to reduce "double-counting" caused by migration. The first coast-wide, Baja California to British Columbia synoptic survey was completed in April 2006. Hopes are that this will be the first survey in a long time series, possibly within the

Pacific Coast Ocean Observing System (PACOOS) framework. The continuance of these synoptic research surveys on an annual basis is necessary to ensure survey results are representative of the entire range of this species (as well as other CPS of concern). Developing and conducting such a survey will necessarily require considerable additions to current budgets, staff, and equipment. Expanded coastwide surveys are planned for 2008. To address seasonal issues and to further explore the possibility of successful spawning in the PNW, the Southwest Fisheries Science Center is planning to conduct two cruises in 2008, one in April and a second in July.

- Gain more information about the status of the CPS resource in the north using egg pumps during NMFS surveys, sonar surveys, and spotter planes. To address these questions, biological information has been collected from NMFS research surveys off the PNW. So far, the PNW research surveys have occurred in July 2003, March and July 2004, and winter 2005. These Southwest Fisheries Science Center-based surveys included sardine acoustic trawl and Continuous Underway Fish Egg Sampler surveys off the coast of Oregon and Washington. The surveys were designed to fill major gaps in knowledge of sardine populations, by measuring the age structure and reproductive rates, and assessing the extent the fishery is dependent on migration and on local production of sardine. The primary objective of the surveys is to accumulate additional biological data regarding the northern expansion of the population into waters off the PNW and ultimately, to include data directly (or indirectly) in ongoing stock assessments of both Pacific sardine and Pacific mackerel.
- Increase fishery sampling for age structure (Pacific sardine and Pacific mackerel) in the northern and southern end of the range. Establish a program of port sample data exchange with Mexican scientists (Instituto Nacional de la Pesca [INP], Ensenada). There has been interest in coastwide management for the Pacific sardine fishery which would entail a more consistent forum for discussion between the U.S., Mexico, and Canada. Recent U.S.-Mexico bilateral meetings indicated willingness from Mexico to continue scientific data exchange and cooperation on research, and engage in discussions of coordinated management. Mexico suggested that the MEXUS-Pacifico Cooperation Program would be a good venue for starting that discussion. In November 2007, the United States hosted the 8<sup>th</sup> annual Trinational Sardine Forum which resulted in effective exchange of data and ideas on the science and economics of coastwide sardine management. The 9<sup>th</sup> annual forum is scheduled to occur in the fall of 2008 in Astoria, Oregon.
- Evaluate the role of CPS resources in the ecosystem, the influence of climatic/oceanographic conditions on CPS, and predatory/prey relationships. Increase the use of fishery information to estimate seasonal reproductive output of the stock (e.g., fat/oil content). The Coastal Pelagic Species Management Team (CPSMT) continues to pursue research to evaluate the role of CPS resources in the ecosystem, the influence of climatic/oceanographic conditions on CPS, and define predator-prey relationships. In 2004, the Council directed the CPSMT to initiate the development of a formal prohibition on directed fisheries for krill. This proposed action is in recognition of the importance of krill as a fundamental component of the ecosystem and a primary food source for much of the marine life along the west coast. In March 2006, the Council adopted a complete ban on commercial fishing for all species of krill in west coast Federal waters and made

no provisions for future fisheries. They also specified EFH for krill, making it easier to work with other Federal agencies to protect krill. The Council has also initiated the development of an Ecosystem FMP. The previously discussed ban on krill harvest and harvest set-asides that recognize the important role of CPS and buffer against overfishing have been cited as good starting points for such a plan (see Chapter 8).

- Studies of krill concentrations and CalCOFI larval data in association with annual and intra-annual variations in environmental conditions may provide insights into predator-prey relationships, ocean productivity, and climate change.
- There should be overall greater collaboration with industry in the collection and analysis process for CPS, including Pacific sardine and Pacific mackerel.
- There should be continued support for the newly adopted CPS Observer Program and in particular, bolstering sample sizes (spatially and temporally) to ensure an adequate number of trips are ‘observed’ to produce statistics that are representative of the fishing fleets at large.
- Improve information on salmon and other bycatch in the CPS fishery. NMFS Southwest Region initiated a pilot observer program for California-based commercial purse seine fishing vessels targeting CPS in July 2004 with hopes of augmenting and confirming bycatch rates derived from CDFG dockside sampling. Future needs of the CPS observer program include: standardization of data fields, development of a fishery-specific Observer Field Manual, construction of a relational database for the observer data, and creation of a statistically reliable sampling plan.

### **5.2.2 Pacific Sardine**

- Growth data for Mexico, southern California, northern California, the PNW and the offshore areas should be collected and analyzed to quantitatively evaluate differences in growth among areas. This evaluation would need to account for differences between Mexico and the U.S. on how birthdates are assigned, and the impact of spawning on growth.
- The timing and magnitude of spawning off California and the PNW should be examined.
- Hypothesis of a single stock structure should be examined using existing tagging data and additional tagging experiments, trace element analysis, and microsatellite DNA markers.
- Biological surveys should include regular systematic sampling of adult sardine for: 1) reproductive parameters for daily egg production method (DEPM); 2) population weight at age; and 3) maturity schedule. Specifically, adults collected from survey trawls must be collected and analyzed more routinely in the future than has been the case in the past.
- Information which could be used in an assessment of the PNW component of a single coastwide population or of a separate PNW stock should be obtained. Synoptic surveys of Pacific sardine on the entire west coast have the potential to provide such information as well as the basic data.

- The Tri-national Sardine Forum and MEXUS-Pacifico (i.e. the NMFS-Instituto Nacional de Pesca Forum) should be utilized to share fishery, survey and biological information among researchers in Mexico, Canada, and the U.S. The long-term benefits of this forum will be greatly enhanced if it can be formalized through international arrangements.
- Assess changes in early life history information from CalCOFI samples to evaluate Pacific sardine response to climate change.

### **5.2.3 Pacific Mackerel**

- A large fraction of the catch is taken off Mexico in recent years. Efforts should continue to be made to obtain total catch, length, age and biological data on a timely basis from the Mexican fisheries for inclusion in stock assessments. Survey data (Investigaciones Mexicanas de la Corriente de California [IMECOCAL] program) should be obtained and analyses conducted to determine whether these data could be combined with the CalCOFI data to construct a coastwide index of larval abundance.
- There is a lack of biological sampling (and catch) data available from Mexico for inclusion in the assessment, which is more critical in recent years when the Mexican catch has been as large as or larger than that of California.
- The maturity schedule was developed more than 20 years ago, and should be re-examined with new data.

### **5.2.4 Market Squid**

- Additional work is required on reproductive biology, including the potential fecundity of newly mature virgin females, the duration of spawning, egg output per spawning bout, the temporal pattern of spawning bouts, the growth of relatively large immature squid, and the growth of mature market squid. Important questions about growth might be addressed through Scanning Electron Microscopy (SEM) studies of statoliths.
- There should be overall greater collaboration with industry in the collection and analysis process for CPS, including market squid.

## **5.3 Emerging Issues**

- Standard data processing procedures should be developed for CPS species, similar to those developed for groundfish species.

### **5.3.1 Pacific Sardine**

Full stock assessments were conducted in 2007 following the three year cycle in the CPS FMP. A new modeling program, Stock Synthesis 2 (SS2) was utilized for Pacific sardine in 2008. Several of the recommendations below came directly from the 2007 assessment review process. Additionally, in response to a decline in forecasted Pacific sardine abundance in 2007 and a desire for more research in the PNW, industry representatives are currently drafting a survey design for an aerial survey or relative Pacific sardine abundance in Washington and Oregon.

- The DEPM method should be extended so that constraints are placed on the extent to which the estimates of  $P_0$  vary over time.
- The data on maturity-at-age should be reviewed to assess whether there have been changes over time in maturity-at-age, specifically whether maturity may be density-dependent.
- The aerial surveys should be augmented to estimate schooling areas and distinguish schools, and the enhanced survey design should undergo rigorous review. Data (e.g. bearing and distance to schools) should be collected which could be used in line transect-type estimation methods. ‘Sea-truthing’ of the species identification of the aerial surveys will enhance the value of any resulting index of abundance. In addition, aerial surveys should be extended to cover the PNW. Aerial surveys are not only useful for relative abundance estimates, but for studying pelagic habitat utilization.
- Explore the use of PNW surveys (i.e.: NMFS NWFSC; Bob Emmett) as an index of abundance.
- The results of SS2 model runs that treated the egg survey data either as an index of egg production or as an index of spawning biomass did not affect the outcome of the assessment, but estimates of survey selectivity were, unexpectedly, markedly different. SS2 should be adapted to enable indices of egg production and spawning biomass to be fitted simultaneously.
- Noting that there is potential for sardine from different stock subcomponents to recruit to adjacent stock areas, it would be desirable to account for this in the assessment model. To do so requires development of a new assessment model or modification of an existing one. If feasible, SS2 should be amended to include such an enhancement. Further, tagging experiments (or other means to facilitate the estimation of movement rates) should be considered.
- The catch history for the Mexico and southern California fisheries should be examined to estimate the catch from the southern subpopulation. For example, use temperature and/or seasonality to separate catches by subpopulation. Based on the results of this analysis, determine the biological data (length- and conditional age-at-length) by subpopulation. The analysis of subpopulation structure should ideally be conducted in conjunction with a re-evaluation of the current harvest control rule.
- The estimate of the catchability coefficient for the DEPM estimates was 0.4 (for the base model). Analyses should be conducted, for example, based on prior distributions for the factors leading to differences between DEPM estimates and spawning biomass to assess the plausibility of values for DEPM-q of this magnitude.
- Develop an index of juvenile abundance. The indices used in the assessment pertain only to spawning fish. An index of juvenile abundance will enhance the ability to identify strong and weak year-classes earlier than is the case at present.

### **5.3.2 Pacific Mackerel**

Full stock assessments were conducted in 2007 following the three year cycle in the CPS FMP. A new modeling program, SS2 was unsuccessfully applied to Pacific mackerel in 2008. Several of the recommendations below came directly from the 2007 assessment review process. Additional recommendation specific to modeling methodologies can be found in the November 2007 Pacific mackerel STAR Panel report.

- The survey design of the new aerial spotter index should incorporate and adhere to consistent and rigorous protocols. Attempts should be made to estimate school surface area. Also, an aerial spotter survey should be initiated in the PNW in conjunction with industry.
- Examine the disparity between the observed recruitment dynamics (boom-bust) and the underlying spawner-recruit model (uncorrelated recruitment deviations).
- In addition to estimating ageing imprecision and bias for incorporation into assessment models, an age validation study should be conducted for Pacific mackerel. Such a study should compare age readings based on whole and sectioned otoliths and consider a marginal increment analysis.
- The construction of the spotter plane index is based on the assumption that blocks are random within region (the data for each region is a “visit” by a spotter plane to a block in that region). The distribution of density-per-block should be plotted or a random effects model fitted, in which the block is nested within a region to evaluate this assumption (e.g. examine whether certain blocks are consistently better or worse than the average). Overlaying oceanographic data on spotter plane observations may provide information on pelagic habitat utilization to help predict movement patterns and/or for use in stock assessment.
- The data on catches come from several sources which are not well documented. The catch history from 1926-27 to 2006-07 should be documented in a single report.

### **5.3.3 Market Squid**

- The potential use of target egg escapement levels is partly predicated on the assumption that the spawning which takes place prior to capture is not affected by the fishery and contributes to future recruitment. However, since the fishery takes place directly over shallow spawning beds, it is possible that incubating eggs are disturbed by the fishing gear, resulting in unaccounted egg mortality. It is also possible that the process of capturing ripe squid by purse seine might induce eggs to be aborted, which could also affect escapement assumptions.
- The CalCOFI ichthyoplankton collections contain approximately 20 years of unsorted market squid specimens that span at least two major El Niños. This untapped resource might be useful in addressing questions about population response to El Niño conditions.

## 6.0 HIGHLY MIGRATORY SPECIES FISHERY MANAGEMENT PLAN

### 6.1 Background

The Council's FMP for highly migratory species (HMS) covers a broad range of species including tunas, billfishes, and sharks. The spatial extent of the Pacific Ocean used as habitat for these species extends well beyond the U.S. Exclusive Economic Zone (EEZ). The HMS FMP recognizes that stock assessment and management of these species cannot be done unilaterally – rather it must be done in conjunction with other nations that exploit these species throughout their range.

In the Pacific Ocean, HMS are managed by two regional fishery management organizations (RFMO) – Inter-American Tropical Tuna Commission (IATTC) and Western and Central Pacific Fisheries Commission (WCPFC) – that together cover the breadth of the Pacific Ocean habitat for the species included in the Council's HMS FMP (Figures 1 and 2). Stock assessments and related research are conducted under the auspices of these RFMO. U.S. scientists (whose affiliations include NMFS, academia, NGOs, and the fishing industry) participate in both RFMO processes.

A third scientific organization – International Scientific Committee (ISC) on Tuna and Tuna-like Species in the North Pacific Ocean provides scientific advice on the status of North Pacific HMS stocks that straddle the 150° W longitude boundary between the RFMOs. Examples of these stocks include North Pacific albacore, Pacific bluefin tuna, swordfish, and striped marlin. The ISC is not an RFMO in that it does not manage HMS international fisheries. Rather, it provides the stock assessments and advice that the RFMOs use to base management decisions for the straddling stocks.

Research and data needs for the Council's HMS FMP have been organized in this chapter by order of priority. These needs cover a range of HMS management issues, from stock assessments to protected species interactions, EFH, and fisheries economics.

For stock assessments, the overarching priority is to permit accurate and timely status determinations and monitoring of trends in population abundance and fishing mortality for all stocks with priority given to stocks that are most important to and most affected by Council-managed fisheries. Stock assessments rely on three main categories of data: (1) fishery - independent and -dependent surveys or indices of abundance, (2) accounting of total fishing mortality (“fisheries statistics”), and (3) biology and life history characteristics. Thus, in addition to prioritizing stocks in terms of management need, this chapter also identifies priority data gaps for each stock. A comprehensive prioritization would consider these data gaps across the full set of stocks and evaluate which data sources should be added, enhanced, or maintained to produce some optimal level of information. In some cases, it may be desirable to collect information on a stock with relatively lower management priority if higher priority stocks are already being adequately assessed. This balancing of the need to address data poor stocks while also maintaining and improving timeliness and accuracy of assessments for stocks of highest management priority must also take into account the transboundary nature of HMS stocks—as mentioned above, NMFS cannot make status determinations or track catches for most HMS stocks without cooperation from other countries.

Stock assessment priorities will also have to factor in the new annual catch limit (ACL) and accountability measure (AM) requirements. Stocks subject to management under an international agreement are exempted from ACLs and AMs but, under proposed guidelines, will still require estimates of MSY and status determination criteria. The HMS sharks are not managed under an international agreement and include some of the most data poor stocks in the FMP. Thus it may be necessary to give stock assessment priority to sharks of lower management priority (e.g., thresher sharks) in order to meet the ACL requirements.

For additional information on HMS research and data needs consult the HMS SAFE document available on the Council's website. The HMS Management Team is currently revising the document with fishery statistics and stock assessment results from 2007. The Council, the HMS Management Team, and Council staff will coordinate on the update of the HMS SAFE and Council research and data needs as both documents reach finality in the fall of 2008.

## **6.2 Highest Priority Issues**

Research and data needs are identified in this section for the major HMS species and HMS fisheries interactions pertinent to the Council.

### **6.2.1 North Pacific Albacore**

Fisheries Statistics: Timely annual submission of national fishery data to the ISC Albacore WG data manager is critical for producing timely and up-to-date stock assessments. Additional resources are needed to oversee the submission of these data, provide database management, and improve documentation of the entire database system including metadata catalogs. An electronic fish ticket system on the west coast would greatly improve the availability and timeliness of fishery data.

Biological Studies: Biological information is a critical building block for stock assessments. It should be reviewed and updated regularly to capture changes in population parameters if they occur. Unfortunately, this process has not been followed for North Pacific albacore because of limited resources for routine biological studies. Consequently, the stock assessment models used by the ISC Albacore WG rely on a patchwork of biological information that was developed largely in the 1950s and 1960s.

There is a critical need to reassess the biological information and to conduct contemporary studies to update this information. More specifically, there is a critical need to conduct studies on:

- age and growth with the goal of updating growth rates and comparing with older studies,
- reproductive biology with the goal of updating the maturity ogive,
- development of new indices of abundance particularly from fisheries that regularly catch recruitment age albacore (age 1), e.g. the U.S. recreational fishery,

- migration and habitat utilization, with the goal of better informing fishery effort standardization and fishery selectivity/catchability assumptions,
- an examination of whether there are multiple sub-stocks with juveniles having different migratory behaviors (i.e., juveniles from different spawning localities with different migration routes and timetables),
- environmental factors, as they relate to recruitment, growth, maturity, and catchability of albacore; and
- albacore length data through port sampling.

Stock Assessment and Management Studies: Recent stock assessment results as well as fishery developments suggest that the North Pacific stock of albacore is at or fast approaching full exploitation. Demand for more frequent and more precise information on status of the stock and the sustainability of the fisheries is therefore likely to increase. With this in mind, the albacore stock assessment needs improvement in several of its facets:

- investigation of competing assessment models using simulation to ascertain each model's strength and weakness when faced with input data generated from a known albacore-like population,
- simulation studies to assist fishery managers in selecting appropriate biological reference points for albacore,
- investigation of CPUE standardization;
- refinement of the VPA-2Box model (the WG's current assessment model);
- investigation of the applicability of SS2 as an alternative assessment model for albacore;
- evaluation of the utility of formally adding tagging data into the assessment; and
- develop new indices of abundance from fisheries that regularly catch recruitment age albacore (age 1), such as the U.S. recreational fishery.

### **6.2.2 Swordfish**

Fisheries Statistics: The timeliness of data reporting, as outlined above for albacore, is equally important for swordfish.

Biological Studies: All biological studies listed above for albacore are needed for swordfish as well. In addition,

- age and growth data from locally caught fish should be examined, and
- the distribution of swordfish by season and age within the outer portions of the EEZ and high seas should be evaluated.

Stock Assessment and Management Studies: All stock assessment and management studies listed above for albacore are also needed for swordfish. In particular,

- there is a need for additional work on effort standardization.

Economic Studies:

- Explore economic viability of harpoon gear as an alternative to DGN and longline gear for swordfish.
- Research the best options to promote developing and testing novel gear to reduce protected species interactions and increase swordfish catch.

### **6.2.3 Sharks**

Most of the tunas covered in the HMS FMP are being assessed – with varying degrees of completeness and sophistication – on a regular basis. Some of the billfishes – particularly striped marlin and swordfish – are either being assessed or have assessments planned in the near future. On the other hand, stock assessments for sharks have been preliminary at best, and few and far between. Furthermore, comprehensive shark assessments do not appear to be on the near-term planning horizon for the RFMOs or for the ISC. This situation should not be taken to imply that sharks are unimportant. Nor should it be inferred that sharks are less vulnerable to the effects of fishing than are the tunas and billfishes. In fact, because of the key vital rates of most sharks (especially reproductive rates that are lower than those for tunas and billfishes), many shark species are likely to be more vulnerable to overfishing than other HMS.

To understand this *prima fascia* inconsistency (i.e., perhaps more vulnerable but not assessed), it is necessary to understand the nature of the fisheries responsible for most of the catch of sharks over the past several decades. Internationally, these fisheries tend to be either (1) tuna-targeting fisheries that caught sharks as bycatch in their tuna fishing operations and discarded them (without recording numbers or mass) over most of their fishing history; or (2) smaller scale directed shark fisheries that tend not to report shark catches in a manner suitable for stock assessment, e.g. catch reports that aggregate the catch of multiple shark species into a single ‘shark’ category or do not report the catches at all.

As with the other species covered by the HMS FMP, most shark species cannot be assessed or managed unilaterally by the Council. Some species are highly oceanic with ranges similar to that of tunas (e.g., blue shark). Others are more coastal – with perhaps most of their habitat shoreward of the U.S. EEZ – but exhibit north-south migrations with significant catches in Mexican waters (e.g., thresher sharks). The net effect is that accounting for the total catch of sharks over their entire period (several decades) and areas of exploitation is not possible. Furthermore, there is a paucity of the biological samples needed to characterize the size of animals taken from the fisheries that account for most of the catch. Active biological studies

(age, growth, maturity, food habits, etc.) are ongoing (NMFS, State, and academic researchers) and understanding of the biological characteristics for at least some shark species is probably sufficient for stock assessment purposes. However, without an accurate history of total catch and the corresponding size samples, stock assessment efforts and concomitant management by the Council will be problematic.

The following species-specific research priorities have been identified for the two highest priority sharks because of their importance in U.S. west coast commercial and recreational fisheries:

**Common thresher shark:**

- stock structure and boundaries of the species and relationships to other populations;
- the pattern of seasonal migrations for feeding and reproduction, and where and when life stages may be vulnerable;
- ageing and growth rates, including comparisons of growth rates in other areas; and
- maturity and reproductive schedules.

**Shortfin mako shark:**

- distribution, abundance, and size in areas to the south and west of the west coast EEZ; and
- age and growth rates (current growth estimates differ widely).

**6.2.4 Interactions with Protected Species and Prohibited Species**

More complete catch information and data on interactions with protected and prohibited species are needed for most HMS fisheries. There is inadequate understanding of the fisheries on some HMS stocks that are shared with Mexico (e.g., species composition of shark catches in Mexican fisheries), and inadequate data exchange with Mexico. These fisheries are likely affecting both protected species and prohibited species of fish.

More work is needed to better understand possible impacts of the HMS fisheries on protected species of sea turtles, birds, and marine mammals. For example, there is a need to investigate the hooking survivorship of protected species, such as turtles and seabirds that are caught as bycatch in the HMS fisheries. In addition, fisheries-independent research is required to better understand distribution and habitat use by turtles and to determine the linkages to ecosystem parameters (oceanographic and biological). This includes data on turtle migration seasonality and routes, genetic stock composition of populations by species, and habitat use in order to better understand likely periods of interaction with fisheries and turtle life histories. Development of predictive models that integrate oceanography, ecosystem parameters (e.g., prey distribution), and habitat use of turtles are needed. More work on the sizes and structures of turtle populations by species would also enable improved application of the ESA and other laws and regulations to HMS

fisheries. Continued research on the abundance and distribution of marine mammals is also critical, particularly for HMS fisheries operating within the West Coast EEZ.

Some specific research priorities include:

- Research into habitat use of leatherback turtles and other species of concern to better understand the potential for reducing bycatch. Explore whether hotspots or temperature bands can be identified in near-realtime in order to provide information to fishermen on places with potentially high interaction risks.
- Explore how regulating U.S. Pacific swordfish fisheries affects international trade in swordfish and the potential unintended consequences for protected species interactions in foreign fisheries.
- Conduct a cost benefit analysis of various sea turtle conservation measures (e.g. fishery regulations vs. nesting beach protection).
- Compare bycatch rates of DGN vs. shallow set longline gear for swordfish, both by mining observer data and conducting gear comparison studies in the fishery areas.

## **6.3 High Priority Issues**

### **6.3.1 Blue shark**

As noted in the previous chapter, relatively little assessment and research activity is focused on shark species when compared to the existing work being done on other HMS such as tunas. Blue shark was an important shark species in the California CPFV fishery of the late 1980s, but has steeply declined as a share of the catch in recent periods. Blue sharks are encountered in relatively small numbers in commercial and recreational fisheries coastwide. Two specific research needs identified for blue sharks are to:

- monitor sex and size composition of catches; and
- determine the migratory movements of maturing fish from the EEZ to high seas.

### **6.3.2 Striped Marlin**

Fisheries Statistics: The timeliness of data reporting, as outlined in Section 5.2 for albacore, is equally important for striped marlin and swordfish. Additionally:

- the official striped marlin catch statistics are considerably less well developed than those for albacore, and significant effort is needed to ensure that the total catch from all nations is well estimated.

Biological Studies: All biological studies listed above for albacore are also needed for striped marlin. In addition,

- stock structure for striped marlin in the Pacific Ocean is more uncertain than for other HMS species and several stock structure hypotheses are credible. A synoptic, critical

review of all available information (fisheries data, ichthyoplankton data, and genetic studies) is needed to either resolve the issue or at least to reduce the number of credible hypotheses; and

- age and growth data from locally caught fish should be examined.

Stock Assessment and Management Studies: All stock assessment and management studies listed above for albacore are also needed for striped marlin. Specific to striped marlin, there is a need for additional work on effort standardization.

### **6.3.3 Pacific Bluefin Tuna**

Fisheries Statistics: The timeliness of data reporting, as outlined for albacore above, is equally important for bluefin tuna. Additionally,

- the official bluefin catch statistics need further scrutiny, e.g. there are apparent discrepancies between some of the reported catches and the corresponding Japanese import records; and
- increased port sampling of commercial bluefin length frequencies is needed in the Eastern Pacific Ocean, particularly of the fish destined for the pens in farming operations.

Biological Studies: All of biological studies listed above for albacore are also needed for bluefin tuna. In addition,

- there is a need to develop seasonal and perhaps area-based weight-length relationships as the bluefin condition factor appears to vary both seasonally and regionally.

Stock Assessment and Management Studies: All of stock assessment and management studies listed above for albacore are also needed for bluefin tuna. In particular, there is a need for additional work on effort standardization if credible indices of abundance are to become available for bluefin tuna.

## **6.4 Other Priority Stocks and Issues**

### **6.4.1 Management Unit Species Catch Data**

Total catch data are likely inaccurate for most HMS fisheries due to an inadequate at-sea data collection programs, logbook programs, and shoreside sampling programs for west coast fisheries and unreported catch by international fisheries. Catch data needs include:

- Total catch information (including incidental and bycatch) and protected species interactions for surface hook-and-line, purse seine, and recreational fisheries, and additional at-sea sampling of drift gillnet fisheries
- Catch composition data for harpoon gear
- Size composition of bycatch in drift gillnet fisheries
- Condition (e.g., live, dead, good, poor) of discarded catch in all HMS fisheries

Additional work needs to be done to develop ways to adequately sample recreational fisheries, particularly shore-based anglers and private vessels. There is a need to develop methods for sampling private marinas and boat ramps to determine catch, and the level of bycatch and protected species interactions, as well as sample the catch for length and weight of fish caught to convert catches reported in numbers to catches by weight. Better catch and effort estimates are also needed for HMS recreational fishing tournaments, in particular those tournaments focusing on common thresher and mako sharks.

#### **6.4.2 *Survivability of Released Fish***

Little is known of the long-term survivorship of hooked fishes after release, the effectiveness of recreational catch-and-release methods on big game fishes (pelagic sharks, tunas, and billfishes) and of methods to reduce bycatch mortality in longline fishing. Controlled studies of the survivability of hooked and released pelagic sharks and billfishes are needed to determine the physiological responses to different fishing gears, and the effects of time on the line, handling, methods of release, and other factors. Appropriate discard mortality rates, by species, need to be identified in order to quantify total catch (including released catch). Alternative gears and methods to increase survivability of recreationally caught fish and to minimize unwanted bycatch in fisheries should be identified.

#### **6.4.3 *Essential Fish Habitat***

There is very little specific information on the migratory corridors and habitat dependencies of these large mobile fish; how they are distributed by season and age throughout the Pacific and within the west coast EEZ, and how oceanographic changes in habitat affect production, recruitment, and migration. Research is needed to better define EFH and to identify specific habitat areas of particular concern (HAPCs), such as pupping grounds, key migratory routes, feeding areas, and where adults aggregate for reproduction. A particularly important need is to identify the pupping areas of thresher and mako sharks, which are presumed to be within the southern portion of the west coast EEZ, judging from the occurrence of post-partum and young pups in the areas (e.g., NMFS driftnet observer data). Areas where pregnant females congregate may be sensitive to perturbation, and the aggregated females and pups there may be vulnerable to fishing.

#### **6.4.4 *Stock Assessment Review***

Pacific HMS stock assessments are carried out by the RFMOs and by the ISC. The processes used to conduct the assessments and to have them critically reviewed varies considerably across

the organizations and the species being assessed. In none of these cases, however, does the level of critical peer review approach that of the Council's STAR process. This may become an issue for the Council if international management regulations begin to affect U.S. coastal fisheries to a greater extent than they do at present. The Council may want to consider having some member(s) of its SSC participate in these international processes. This will provide the Council with a better perspective on the stock assessments and the ensuing international management advice.

#### **6.4.5 Tropical Tuna Species and Dorado**

The commercially important tropical tuna species, namely yellowfin, bigeye, and skipjack tuna, are principally harvested in the EPO by vessels from the Central and Latin American fishing fleets. Although a small West Coast-based US flag purse seine fishery opportunistically harvests these tunas, the US does not have a fleet active in the main EPO fishery at present. The tropical yellowfin, bigeye and skipjack tunas are no longer taken in large numbers by west coast-based commercial fisheries.

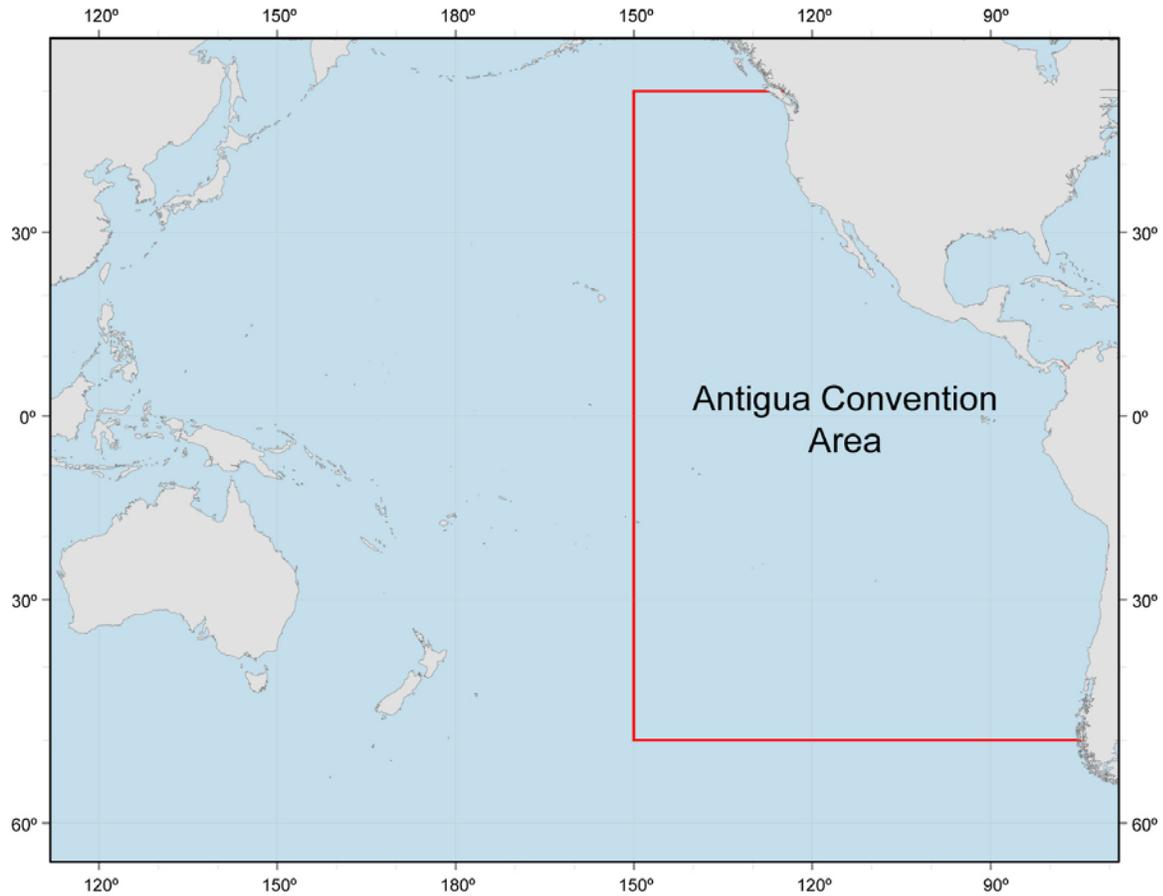
The California commercial passenger fishing vessel (CPFV) fleet is the principal U.S. fishery for dorado which are often taken in the Mexican EEZ. Dorado can be a significant portion of the total CPFV annual catch and was the leading species in 2006, followed by yellowfin tuna and albacore tuna. Specific recommendations on dorado research include:

- Determine the stock structure of dorado in the eastern Pacific, and
- The significance of floating objects and other-species associations relative to life history

#### **6.4.6 Pelagic and Bigeye thresher sharks,**

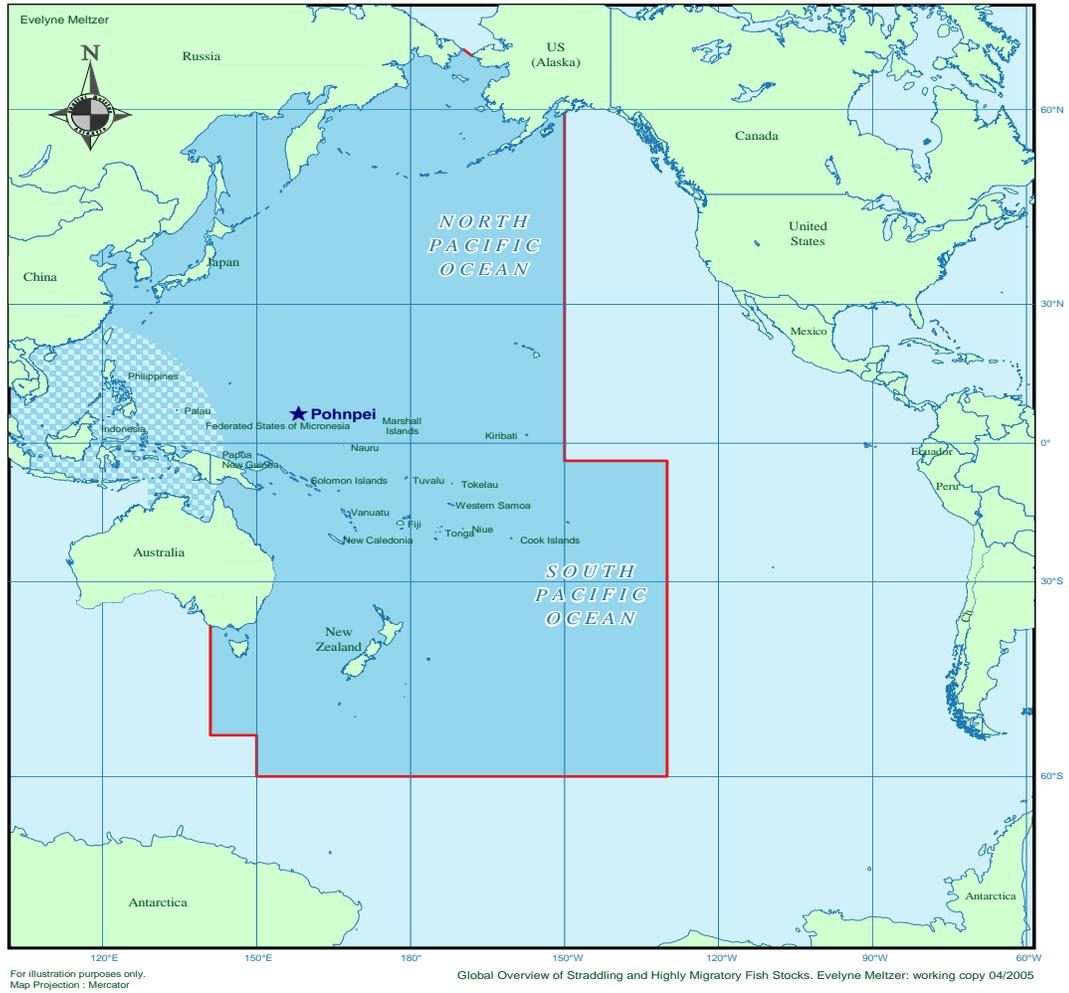
These species occur with considerably less frequency than common thresher sharks in U.S. west coast fisheries. It is of interest to Council-managed fisheries how the different ecologies of these species compare with that of common thresher shark.

## Inter-American Tropical Tuna Commission (IATTC)



**Figure 1. Area covered by the Inter-American Tropical Tuna Commission (IATTC). The Antigua Convention refers to the recent international treaty that revised the IATTC boundaries.**

## Western and Central Pacific Fisheries Commission (WCPFC)



### Western and Central Pacific Fisheries Commission (WCPFC)

- RFMO Boundary
- ▒ Boundary not defined

★ Headquarters: Pohnpei, Federated States of Micronesia

**Figure 2. Area covered by the Western and Central Pacific Fisheries Commission (WCPFC).**



## **7.0 ECONOMICS AND SOCIAL SCIENCE COMPONENTS**

### **7.1 Status of the Highest Priority Issues Identified in 2000**

#### ***Comparative analysis of limited access and rights-based management programs.***

An analysis of these programs is lacking, except for information being developed for the Trawl Individual Quota (TIQ) program.

#### ***Baseline descriptions of fishing industry and communities and periodic assessment of fishery status.***

Periodic assessments of fishery status are contained in Stock Assessment and Fishery Evaluation (SAFE) documents. Quantitative descriptions of economic status and trends in specific sectors of commercial and recreational fisheries (e.g. commercial harvesters, processors, party/charter boat operators) and in fishing communities are generally limited to basic information such as landings, ex-vessel revenues and fishing effort.

#### ***Economic and social analysis of groundfish and salmon harvest and management strategies.***

Analyses of harvest and management strategies are lacking in groundfish, salmon, and other fisheries. Bycatch models for selected components of groundfish fishery have been developed and - in some cases (i.e. limited entry trawl) - reviewed. Cost-earnings surveys of limited entry groundfish vessels, open access groundfish vessels and salmon trollers have been completed in recent years that should facilitate such analyses.

#### ***Recreational fishery net economic value and angler participation models.***

Net economic value and angler participation models are under development for the salmon and groundfish recreational fisheries in the PNW. Development of similar models is underway for California.

#### ***Social Data and Socioeconomic baseline profiles of fishing industry and communities.***

Socioeconomic profiles for 125 coastal communities significantly involved in west coast and North Pacific fisheries have been published and are posted on the NMFS NWFSC web page.

Annual port-specific profiles of all west coast commercial fisheries are being developed for 1981-2007.

## 7.2 Continuing Issues

Continuing issues are categorized into two types of activities: data collection/augmentation and model development/analysis.

### 7.2.1 Data Collection and Augmentation

Economic data needs, as described in the Council's *West Coast Fisheries Economic Data Plan 2000-2002*, are summarized in the following table and augmented to include communities as well as specific fishery sectors. Core data needs pertain to fundamental information relevant to understanding economic behavior and estimating the economic value and impact of fisheries.

<b>Harvesters</b>	<b>Processors</b>	<b>Charter Vessels</b>	<b>Recreational Fishers</b>	<b>Communities</b>
# harvesters, effort by fishery (including AK)	# companies, associated plants and buying stations	# vessels, effort by trip type	# anglers, effort by mode/trip type	Fishery-related businesses in harbor and larger community
Revenue by fishery (incl AK)	Volume of raw product by source (fishery deliveries, imports), revenue and value added	Revenue by trip type		
Variable (trip) and fixed costs	Variable and fixed costs	Variable (trip) and fixed costs	Variable (trip) and fixed costs	Expenditures by fishery-related businesses
Employment and income	Employment and income	Employment and income		Fishery-related employment and income
Vessel characteristics (including harvest capacity)	Processor characteristics (including processing capacity), location of markets and product flows	Vessel characteristics	Angler demographics and socioeconomic characteristics	Community demographics and socioeconomic characteristics

Data are needed to enumerate and quantify the spatial distribution of commercial and recreational fishing trips, processors and buying stations, CPFV operations and other fishery-dependent businesses. Spatial data on fishing trips should include both landing sites and areas fished. Such data are needed to evaluate a range of spatial management issues, including but not limited to marine reserves. Processor files and vessel characteristic files available from the Pacific Fisheries Information Network are probably in need of updating, or at least a thorough check for consistency and accuracy. The processor list, in particular, has many typos that create ambiguities regarding the identity of processors. To facilitate analysis, each processor should be assigned a unique identification code that is standardized across states and that allows each processor to be linked with its associated plants and buying stations.

Currently, landings receipt data do not include a variable measure of fishing effort. Instead, analysts must rely on proxies such as number of vessels or trips, or use logbooks, which are not available for most fisheries. Adding a variable measure of fishing effort, such as days fished per trip would make the fish tickets more useful for economic analysis.

Inclusion of crewmember IDs on landings receipts would greatly facilitate understanding of the economic effects of regulations on this data-poor segment of the commercial fishery.

Bycatch has become a central issue in west coast fisheries management. Groundfish trawl logbooks have been an important tool for analyzing bycatch, and logbook programs have been implemented in fisheries such as market squid. Logbooks are a primary source of information on the spatial distribution of catch and fishing effort and should be considered for other fisheries.

Comprehensive detailed data on recreational fishing effort (anglers as well as trips) are needed to estimate aggregate angler expenditures and associated economic value and impacts. Improvements to existing angler license frames (e.g., complete electronic coverage of the angling population, access to addresses/phone numbers of license holders) would facilitate collection of economic data.

### ***7.2.2 Model Development and Analysis***

Analyses relevant to the high priority issues discussed in Section 7.1 are as follows:

- periodic assessment of status of west coast commercial and recreational fisheries - including participation, profitability, employment, income, and major management issues,
- evaluation of alternative programs to document and reduce bycatch, bycatch mortality, and effects of gear on habitat – with cost-effectiveness and incentive compatibility included among evaluation criteria,
- evaluation of alternative management approaches to increase harvest stability and enhance flexibility of fishery participants, and
- evaluation of alternative capacity management programs - including limited entry and dedicated access privileges - on fishery participants and fishing communities. Important non-trawl fisheries to consider are open access groundfish and salmon.

In addition, more specific and quantitative analysis is needed to augment existing socioeconomic profiles of fishing communities, including:

- trends in major commercial and recreational fisheries, and factors affecting these trends,
- infrastructure availability and needs (for commercial fisheries, recreational fisheries, other marine resource-related uses),
- financial aspects of infrastructure development and maintenance, and
- indicators of community dependence on fisheries and community well-being and resilience that can be linked to changes in regulations, economic conditions and other relevant factors.

## 7.3 Emerging Issues

Major regulatory changes have occurred in west coast fisheries in the past five years that warrant retrospective evaluation. Prime examples include the implementation of rockfish conservation areas (RCAs), the groundfish trawl vessel buyback program in 2003, the salmon fishery closures, and the increasing use of MPAs. Also, growing attention is being paid to more holistic approaches to management that focus on the relationship of fisheries to habitat, bycatch, and environmental and domestic/global market conditions, and consider non-fishery activities and values that may be enhanced by ecosystem approaches to management. As above, these needs are divided into two activities: data collection/augmentation, and model development/analysis. While some of the data and modeling needs identified in this section are relevant to social as well as economic issues, the Council report *Social Science in the Pacific Fishery Management Council Process*<sup>2</sup> provides more complete information on social science needs and can be found on the Council's website ([www.pcouncil.org/research/resdocs.html](http://www.pcouncil.org/research/resdocs.html)).

### 7.3.1 Data Collection and Augmentation

Many of the data needs previously identified in Section 6.2.1 are relevant to emerging as well as continuing issues.

To achieve some of the more holistic modeling discussed in Section 6.3.2, fishery data will need to be integrated with data on habitat, environment, market conditions and other human activities. Such integration will likely pose challenges in terms of data availability and lack of standardization in the measurement and temporal/spatial scale of individual data elements. Cooperative data collections that pool resources and expertise of agencies, fishermen and research entities may prove beneficial to all involved.

To facilitate retrospective evaluation of the trawl vessel buyback program, surveys or interviews are needed of individuals and entities that participated in the buyback to determine whether individuals truly departed or remained in the groundfish fishery, or are now participating in other fisheries.

### 7.3.2 Model Development and Analysis

Retrospective analyses of major recent regulatory changes are needed to determine

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<sup>2</sup> Gildea, Jennifer. July 2005. *Social Science in the Pacific Fishery Management Council Process*. Pacific Fishery Management Council, Portland, Oregon 97220-1384.

socioeconomic effects of:

- RCAs on commercial and recreational fisheries and fishing communities,
- the trawl vessel buyback program on related fisheries and on fishing communities (including fishery infrastructure),
- the salmon fishery closures, and
- MPAs.

Comprehensive models of CPFV fleet dynamics are needed that reflect the multi-species nature of the fishery, economic incentives of CPFV operators to provide not just fish but a “fishing experience,” and adaptations of CPFVs to regulatory, market and environmental conditions. Such models could be used to determine whether CPFV fleet dynamics yield single-species CPUEs that can reasonably be used as an index of relative abundance for that species.

Computable bioeconomic models of fishing effort that are spatial and include effects of ex-vessel prices and climate (e.g. sea surface temperatures, sea level pressure) are needed to predict effects of changes in regulatory, habitat, environmental and market constraints on participation and harvest in the ocean commercial, ocean sport, tribal and in-river sport salmon fisheries.

Models are needed to estimate and manage bycatch in non-trawl fisheries, for different species of concern including marine mammals, birds, sea turtles, and others.

Models are needed to analyze the transition from an open access fleet to a limited entry fleet in terms of regional economic impacts and effects on costs, earnings and harvest capacity of the fleet.

Models are needed to evaluate the economic dependency of coastal communities on fishery and marine resources and the linkages between these industries and the broader regional economy. This type of analysis should be developed to the point of incorporating general equilibrium effects, and linked to participation and bioeconomic factors.

A more holistic perspective is being promoted in marine resource management (e.g. ecosystem-based management). In light of this perspective, a characterization is needed of all commercial and recreational fisheries within the California Current Ecosystem, including spatial distribution and identification of behavioral linkages among complementary and substitute fishing activities. In addition, an analytical framework that accounts for dynamic and inter-regional interactions among industries and households would improve estimates of economic impacts, and comparison of costs and benefits among management alternatives. A systematic and critical evaluation of alternative economic models and analytical frameworks should be conducted, perhaps in the context of a workshop.

Stated preference surveys and other non-market valuation techniques could be used to estimate existence or other non-use values associated with threatened and endangered species, ecosystem protection, and stock rebuilding plans. Studies are needed that (1) evaluate the robustness of stated preference responses to the types of information provided in the valuation scenario, (2) determine the extent to which valuation responses differ systematically among socioeconomic groups, (3) evaluate how the “extent of the market” varies according to the nature/scope/location of the good being valued, (4) address aggregation issues that may arise when summations of

valuations across multiple goods yield implausible results, and (5) consider the extent to which non-use values are applicable to fisheries as well as environmental goods.

### ***7.3.3 Ecosystem-Based Management and Habitat***

Spatial socioeconomic information by fishery type at a scale useful for ecosystem and habitat based management activities. Almost any socioeconomic question requires spatial information by fishery type. Spatial information is also critical in species/habitat management, for example to determine economic impact of EFH and habitat areas of particular HAPC development and the locating of MPAs, to determine impacts from wave energy development, and to aid siting of aquaculture projects.

## 8.0 MARINE PROTECTED AREAS AND ESSENTIAL FISH HABITAT

### 8.1 Background

In 1999, the Council began a two-stage process to consider marine reserves as a tool for managing groundfish. The first part was a “conceptual evaluation” and the second part was to develop alternatives for consideration. The second phase was to be started only if there was a positive result from the conceptual evaluation.

The first phase (Phase 1 Technical Analysis) ran from the spring of 1999 through September 2000. During this phase, a technical analysis<sup>3</sup> of marine reserves was prepared and an Ad-Hoc Marine Reserve Committee met to develop recommendations for the Council. Following these efforts, the Council adopted marine reserves as a tool for managing the groundfish fishery.

As part of the first phase, the technical analysis was designed to assist the Council in the conceptual evaluation of the role of marine reserves as a management tool. Four options were developed in considering the implementation of marine reserves. One option was the creation of “*heritage and research reserves*.” The analysis concluded that these “heritage and research” types of marine reserves should be viewed as a supplementary management tool.

The types of research included evaluating the impacts of fishing on marine ecosystems relative to effects caused by natural changes and improving estimates of population parameters for harvested species, thereby directly improving management of the fisheries.

The analysis also noted that these types of small reserves may play a valuable role in fisheries management by serving as “*reference or benchmark sites*” which would provide necessary controls for monitoring local trends in populations and ecosystem processes and would be particularly effective as controls for evaluating the effects of fishing activities in nearby unprotected areas.

In 2004, the SSC completed a white paper entitled “Marine Reserves: Objectives, Rationales, Fishery Management Implications and Regulatory Requirements.”<sup>4</sup> This document contains additional recommendations regarding research needs associated with marine reserves and MPAs.

As MPAs and marine reserves are added to state waters and National Marine Sanctuaries, an evaluation of the likely benefits of these actions in the context of current management strategies should be required. Cumulative impacts of closures on fishing effort distribution should be examined, as well as social and economic costs and benefits.

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<sup>3</sup> Pacific Fishery Management Council. 2001. Marine reserves to supplement management of West Coast groundfish resources. Phase I Technical Analysis. Prepared by R. Parrish, J. Seger, and M. Yoklavich. 62 pp. Portland, Oregon.

<sup>4</sup> Pacific Fishery Management Council 2004. Marine Reserves: Objectives, Rationales, Fishery Management Implications and Regulatory Requirements. Pacific Fishery Management Council, Portland Oregon, 97220-1384.

## 8.2 Priority Research and Data Needs Related to Marine Protected Areas

- Identify type and scale of information needed to conduct stock assessments after establishment of marine reserves and evaluate the feasibility and cost of collecting such information.
- Information on the location and type of harvest and effort relative to a proposed marine reserve area is needed in order to begin to evaluate the degree of impact and effectiveness of the creation of marine reserves.
- Research is needed to understand the biological and socioeconomic effects of marine reserves and determine the extent to which ABCs would need to be modified when marine reserves are implemented, over the short-term and long-term.
- Information on advection of eggs and larva and pre-settlement juveniles. Particularly emphasis on differences between areas upstream and downstream of major geographical features.
- Knowledge of when in the life cycle density dependent effects occur is important in the assessment of the effects of marine reserves (as it is in assessing conventional catch management).
- Increased biological and socioeconomic monitoring of existing marine reserves and other areas of restricted fishing in order to gain information on current reserves that might be extrapolated to evaluate the creation of additional reserves on the west coast.

## 8.3 Essential Fish Habitat Issues

The Council has developed documents that describe and map EFH for CPS, salmon, groundfish, and HMS and has suggested management measures to reduce impacts from fishing and non-fishing activities. The Council may use area closures and other measures to lessen adverse impacts on EFH. Given the Council's intention to review EFH descriptions, designations of HAPCs and fishing impacts on EFH every five years, new data and the tools to analyze those data will be needed.

- Continue development of dynamic spatially-explicit models of habitat sensitivity, fishing impact, and habitat recovery.
- Specifically identify HAPCs: those rare, sensitive, and vulnerable habitats (to adverse fishing and non-fishing effects). Identify associated life stages and their distributions, especially for species and life stages with limited information. Develop appropriate protection, restoration, and enhancement measures.
- Identify any existing areas that may function as "natural" reserves and protection measures for these areas.
- Map benthic habitats within Federal and state waters on spatial scales of the fisheries and with sufficient resolution to identify and quantify fish/habitat associations, fishery effects

on habitat, and the spatial structure of populations. Mapping of the rocky areas of the continental shelf is critical for the identification of the rocky shelf and non-rocky shelf composite EFHs.

- Conduct experiments to assess the effects of various fishing gears on specific habitats on the west coast and to develop methods to minimize those impacts, as appropriate. From existing and new sources, gather sufficient information on fishing activities for each gear type to prioritize gear research by gear, species, and habitat type.
- Explore and better define the relationships between habitat, especially EFH, and stock productivity. Improved understanding of the mechanisms that influence larval dispersal and recruitment is especially important.
- Evaluate the potential for incentives as a management tool to minimize adverse effects of fishing and non-fishing activities on EFH.
- Standardize methods, classification systems, and calibrate equipment and vessels to provide comparable results in research studies and enhance collaborative efforts.
- Develop methods, as necessary, and monitor effectiveness of recommended conservation measures for non-fishing effects. Develop and demonstrate methods to restore habitat function for degraded habitats.



# APPENDIX I - 2007 AND 2008 GROUND FISH STOCK ASSESSMENT REVIEW PANEL RECOMMENDATIONS FOR FUTURE RESEARCH AND DATA COLLECTION

## Arrowtooth Flounder

- The arrowtooth flounder catch history should be reconstructed using all available data including catch by gear and by region. The reconstruction should include an envelope of high and low values to set bounds for exploration of alternative catch histories. As has been recommended previously by a variety of STAR Panels, the reconstruction of historical landings needs to be done comprehensively (i.e., with other species) to ensure efficiency and consistency.
- Evaluate the feasibility of a bi-lateral assessment with Canadian scientists, perhaps through the TSC (Technical Subcommittee of US Canada groundfish WG).
- Investigate the importance of calendar date on catch rates from the triennial survey and propose an adjustment, if needed.

## Black Rockfish

### *Northern stock recommendations*

- Development of informed priors for tagging and recreational CPUE *qs*.
- Age validation study
- Reader to reader comparisons are needed between states (Oregon and Washington).

### *Northern stock recommendations*

- Additional work is needed to develop a quantitative prior for tagging catchability. Tagging catchability should be based on analysis of potential black rockfish habitat and the relative abundance of black rockfish throughout the geographic range of the assessment (see Appendix IV to the 2005 cowcod assessment). Continuation and/or expansion of tagging programs should consider the scope of the project relative to the area being assessed. If the area covered by the project is small relative to the assessed area, the potential to provide useful information for stock assessment is limited. Development of priors for tag catchability should consider uncertainty as well as point estimates.
- Development of a fishery independent time series using fixed sites and volunteer fishers properly supervised using standard protocols. The CPFV dataset consisting of reef-specific CPUE data has been repeatedly identified as most valuable index for monitoring stock trends of nearshore species.
- The Stock Assessment Tam (STAT) excluded a large amount of ageing data because of inconsistencies that made it unsuitable for use in the assessment model. This raises concerns about age reading protocols. Age reader comparisons, both between readers within the same agency and between readers from different agencies, should be a routine part of age reading procedures.

- This assessment was limited by inadequate biological sampling of California component of the recreational and commercial fishery for black rockfish. Recreational fishery length data could not be expanded to landings because strata with large landings were not sufficiently sampled. Age data were unavailable for California, which made it impossible to compare geographic differences in growth. There have been positive steps towards sustainable management of nearshore species off California at the policy level, but the lack of investment in long-term sampling programs for biological data may make it difficult to achieve policy objectives.
- For stocks whose primary assessment index is derived from recreational fishery CPUE, greater consideration should be given to the potential impact of management changes on the ability to assess the stock. Management tools such as bag limit and season closures may have different impacts on CPUE trend data. Each management change, e.g., a bag limit change, potentially reduces the value of fishery-dependent data.

### **Blue Rockfish**

- Further genetic studies are needed to confirm that blue rockfish is two species. The sampling for genetic samples should be designed to address management issues, such as differences in spatial distribution, the extent of intermixing, differences in growth, longevity, and maturation schedules between the two species.
- Development of a fishery independent time series using fixed sites and volunteer fishers properly supervised using standard protocols. The CPFV dataset consisting of reef-specific CPUE data has been repeatedly identified as most valuable index for monitoring stock trends of nearshore species.
- The next assessment should provide documentation of historical blue rockfish catches off Oregon and south of Point Conception. A comprehensive assessment of blue rockfish throughout its west coast range should be considered.
- This assessment was limited by inadequate biological sampling of the California recreational and commercial fishery for blue rockfish. Recreational fishery length data could not be expanded to landings because strata with large landings were not sufficiently sampled. Reliable age data are unavailable for the past 20 years, which made it impossible to evaluate temporal changes in growth or to compare geographic differences in growth. There have been positive steps towards sustainable management of nearshore species off California at the policy level, but the lack of investment in long-term sampling programs for biological data may make it difficult to achieve policy objectives.
- Given the availability of biological samples, studies are needed on spatial and temporal growth patterns of blue rockfish.
- Given the availability of biological samples, studies are needed on reproductive biology of blue rockfish. The apparent higher mortality of male blue rockfish, which is unique among assessed rockfish (female mortality is higher for several shelf and nearshore rockfish species), may also be linked to reproductive biology or behavior.
- The next assessment should provide a detailed justification for the use of fishery CPUE indices as indices of abundance. A detailed descriptive analysis of the data should be provided, with particular attention to annual changes that affect fundamental assumptions.

Further, evaluate the robustness of the method to trip selection criteria and regulatory changes in the fishery.

- Generalized Linear Model (GLM) diagnostics for both binomial and non-zero catch rate regressions should be provided routinely in all assessments that use this technique.
- For stocks whose primary assessment index is derived from recreational fishery CPUE, greater consideration should be given to the potential impact of management changes on the ability to assess the stock. Management tools such as bag limits and season closures may have different impacts on CPUE trend data. Each management change, e.g., a bag limit change, potentially reduces the value of fishery-dependent data.

### **Bocaccio**

- The next assessment of bocaccio rockfish should be a full assessment and should use SS2 or some comparable modeling platform.
- All the bocaccio rockfish data need a critical review and potential revision before being included in the next assessment. Of particular concern are adjustments for bag limit and other management-induced changes, the derivation of length-composition data, and the basis and selection of data sources to include in the assessment. The next assessment document should provide thorough and comprehensive documentation of the data sources and statistical models used in processing the data.
- Assumptions about stock structure and boundaries should be reviewed in light of information on catches of bocaccio rockfish taken off Mexico, Oregon, and Washington.
- The bocaccio rockfish catch history should be reconstructed using all available data including catch by gear and by region. The reconstruction should include an envelope of high and low values to set bounds for exploration of alternative catch histories. The STAR Panel notes that the SWFSC has made significant progress in retrieving detailed historical landings data, which will facilitate catch reconstructions. As has been recommended previously by a variety of STAR Panels, the reconstruction of historical rockfish landings needs to be done comprehensively across all rockfish species to ensure efficiency and consistency.
- Length frequency data, which are collected seasonally, should be modeled accordingly. This could be accomplished within the stock assessment model or externally by converting length-compositions to age-compositions, as has been done in New Zealand (Hicks et al. 2002).
- The new assessment model and data should be configured to explore cohort- and/or year-specific growth. Again, this could be done within the stock assessment model or externally by converting length-compositions to age-compositions.
- Age-reading of bocaccio otoliths should be pursued.
- Establish a meta-database that provides a comprehensive overview of all relevant data sources and sufficient information to correctly interpret the data.
- Establish an accessible database for rockfish catch histories by species, including envelopes of high and low values for each species to set bounds for exploration of alternative catch histories.
- Relevant raw data, updated in a timely manner, should be readily accessible to assessment authors in online databases that are user-friendly.

- Develop comprehensive descriptive analyses of recreational fisheries and fleets to assist in interpretation of recreational CPUE and length-composition data.
- Develop a concise set of documents that provide details of common data sources and methods used for analyzing the data to derive assessment model inputs.

### **Canary Rockfish**

- Assumptions about stock structure and distributional boundaries should be reviewed in light of information on Canadian/Alaskan catches.
- A catch history should be reconstructed using all available data including catch by gear and by region. The reconstruction should include an envelope of high and low values to set bounds for exploration of alternative catch histories. As has been previously recommended, the reconstruction needs to be done comprehensively across all rockfish species to ensure efficiency and consistency.
- Evaluate the feasibility of a bi-lateral assessment with Canadian scientists, perhaps through the TSC.
- Investigate the importance of calendar date and other covariates on catch rates from the triennial survey and propose adjustments to account for seasonal and other variation in selectivity/availability.

### **Chilipepper Rockfish**

- Reconstruct the chilipepper rockfish catch history using all available data including catch by gear and by region. The reconstruction should include an envelope of high and low values to set bounds for exploration of alternative catch histories. The Panel notes that the SWFSC has made significant progress in retrieving detailed historical landings data, which will facilitate catch reconstructions. As has been recommended previously by a variety of STAR Panels, the reconstruction of historical rockfish landings needs to be done comprehensively across all rockfish species to ensure efficiency and consistency.
- Read chilipepper rockfish otoliths from the triennial and combination bottom trawl surveys to provide better data on the early stages of growth and possible time-variations in growth.
- Explore use of conditional age-at-length data rather than coupled age- and length-composition data.
- Explore time-varying growth as influenced by environmental changes.
- Explore possible spatial structuring of the data and model.
- The next STAT should have full access to raw data from the NWFSC trawl survey.

### **Cowcod**

- Present and consider all available data potentially relevant to abundance trends in recent and historical years (e.g., outfall surveys, CalCOFI data, NWFSC bottom trawl data, observer data, and hook and line survey data). Data for recent and current trends are important in tracking progress towards rebuilding. Historical data may be useful in corroborating trends in CPFV logbook data.

- Enhance modeling procedures for standardizing CPFV data, particularly in representing potential interactions between year and region.
- Provide reviewers with complete sets of model diagnostics for standardized abundance indices based on CPFV and other types of data.
- Conduct additional video surveys to provide direct measures of current cowcod biomass and to facilitate interpretation of the existing video survey data. Ideally, video sampling should be carried out both inside and outside the Cowcod Conservation Areas so that extrapolation to the entire stock is not required.
- Reconstruct the cowcod rockfish catch history using all available data including catch by gear and by region. The reconstruction should include an envelope of high and low values to set bounds for exploration of alternative catch histories. As has been recommended previously by a variety of STAR Panels, the reconstruction of historical rockfish landings needs to be done comprehensively across all rockfish species to ensure efficiency and consistency.
- A preliminary query of the RecFIN database showed a very small number of cowcod in the RecFIN sample data. The Panel recommended that a thorough investigation of these data be prepared for the next assessment of this stock.
- Re-examine the assumption that commercial selectivity at length is the same as maturity at length.
- Conduct a full Bayesian assessment if possible. Cowcod are an ideal potential case because of the simple model structure and uncertainties about key model parameters and data.
- Develop surveys that track trends in abundance of cowcod. The NWFSC bottom trawl shelf and slope surveys should, in particular, be evaluated for cowcod.
- For the historical and recent fisheries, evaluate the relative capacity of fishing fleets and markets for cowcod to determine how much catch might have reasonably been taken during historical periods and whether relatively high fishing mortality rates during the late 1980s are plausible.
- Evaluate the hypothesis that CPFV indices are nonlinear measures of stock biomass.
- Assessment and review work would have been enhanced if the STAT had consisted of more than one person and if more time had been available to carry out the assessment.

### **Darkblotched Rockfish**

- GLMM survey index swept area biomass data for the NWFSC shelf and slope surveys were much higher than simple swept area biomass calculations. Although some differences might be expected, the magnitude and consistency of the differences was surprising. GLMM procedures and models used to standardize the survey data should be checked and differences should be explained.
- Assessment data and background information should be presented clearly and completely before dealing with assessment models and modeling results. Data tables should be distributed at the start of the review.

- Future assessments should include complete sets of model diagnostics for GLMM standardized abundance indices, and other types of model runs.
- Maps showing the spatial overlap of the darkblotched rockfish stock area, surveys, fishing grounds and prime habitat should be provided and considered in interpreting survey data.
- Continued work to characterize effective sample size for length composition and, particularly, conditional age composition data is needed. For example, the procedure used to assign effective sample size initially for darkblotched rockfish was questioned in this assessment.
- Conduct a full Bayesian assessment.
- It would be useful to routinely check model estimates of survey catchability to determine if they imply implausible biomass estimates. This can be done by comparing the prior and posterior for  $q$  in a fully Bayesian assessment. Other approaches involve calculating bounds for plausible  $q$  values, comparison of model and minimum swept-area biomass estimates from trawl surveys.
- Assessment and review work would have been enhanced if the STAT had consisted of more than one person and if more time had been available to carry out the assessment.

### **Longnose Skate**

- Re-create catch history (best estimates plus uncertainty) based on fishing effort.
- Investigate anomalous 2004 AFSC triennial survey longnose skate (and possibly other flatfish) catches.
- Ageing (validation) studies and maturation rate studies.
- Continue skate species identification in the fishery.
- Continue discard monitoring.
- Studies to estimate discard rates and discard mortality.

### **Sablefish**

- The sablefish assessment needs a full review (this is not possible during a STAR Panel meeting). Additional resources are required to do this. Personnel with specialist experience and skills should critically review each data source. Model complexity should be simplified to be compatible with the expected information content of the data. The starting point should probably be an age-only model with growth estimated outside the model.
- Age data, in general, and especially for sablefish, intrinsically contains more information on recruitment (and biomass) than length data. Of course, if ageing methods are unreliable, then age frequencies will be also. The existing age frequencies (and model fits) should be critically examined to see if cohorts (at relatively young ages) are being tracked reliably. If they are not, then ageing methods should perhaps be reviewed with consideration given to how representative the age samples are likely to be. If cohorts do track reliably, then priority should be given to ageing any remaining samples.
- The exercise for deriving the prior on  $q$  should be redone. All potentially relevant data sources should be made available to a selected group of participants with appropriate skills

and experience. Ideally, priors would be formed for the entire trawl surveys used in the assessment. The sablefish q-priors could be derived at a more general workshop covering several species.

- The use of environmental variables as recruitment indices is currently fashionable and results do look encouraging. However, the priority for this work is to conduct a full cross validation study on the existing candidates rather than to further refine the candidate environmental indices.
- Continuation of trawl time series is essential for future stock assessments. The NWFSC slope survey has been surveying the whole of the Conception stratum in recent years and this should probably continue. If the full survey results are used to construct a time series then the Conception stratum must be subdivided at Point Conception. A consistent time series, using the full area, could be constructed using a number of methods including a GLM or extrapolation using the ratio of average catch rates north and south of Point Conception. A GLM is probably preferable, especially if there are significant vessel effects.
- Continued sampling of the commercial fishery is necessary and priority should be given to obtaining representative samples (good spatial and temporal coverage for the main fleets).

### **Pacific Whiting**

- The Panel recommends that a Management Strategy Evaluation approach be used to evaluate whether the current 40-10 harvest control rule is sufficient to produce the management advice necessary to ensure the sustainable use of the Pacific hake stock with its dramatically episodic recruitment. The 40-10 rule assumes that simply reducing catches in a linear fashion as stock biomass declines will be sufficient to guide the fishery back towards the target spawning biomass level. However, with the fishery being dependent upon a single declining cohort just reducing the catch may achieve the status quo but rebuilding will not occur without new recruitment.
- Related to Recommendation 1, the operating model developed for the Management Strategy Evaluation should evaluate how well the different assessment models recapture true population dynamics. At issue is whether a simpler model such as ADAPT / VPA performs better or worse than a more complex model such as SS2.
- Female Pacific whiting grow differently than male Pacific whiting and many of the more influential dynamic processes that operate in the fishery are length-based but are currently considered from an age-based perspective (for example selectivity). The Panel recommends that future assessment models explore the need for including both gender- and length-based selection into the dynamics.
- The inclusion of ageing error was found to be influential on the model fit in the SS2 model. However, issues with ageing still remain. Further ageing error analyses are required, especially focused on estimating any bias in the ageing. It will be important to conduct a cross-validation of ageing error from the different laboratories conducting the ageing. It is especially important to include otoliths that were read by AFSC staff.
- In light of current acoustic survey information, re-evaluate treatment / adjustment of pre-1995 acoustic survey data and index values. For example, compare the biomass index implied by the area covered by the pre-1995 surveys with the total biomass from the full area

covered by the post-1995 surveys. The difference between these two indices has implications for the magnitude of the survey catchability coefficient prior to 1995.

- There should be further exploration of geographical variations in fish densities and relationships with average age and the different fisheries, possibly by including spatial structure into future assessment models.
- There should be exploration of possible environmental effects on recruitment and the acoustic survey.
- There should be further investigation and resolution of possible under-reporting of foreign catch.

## **APPENDIX II - FOCUS AREAS OF RESEARCH RELATIVE TO THE STATUS OF THE 2004 AND 2005 BROODS OF THE CENTRAL VALLEY FALL CHINOOK SALMON STOCK**

*This report was originally submitted to the Council by the California Department of Fish and Game and the Council's March 2008 meeting (Agenda Item D.1.b., CDFG Report, March 2008)*

### **Freshwater Biological Focus**

- 1) Was the level of parent spawners too low, for natural or hatchery populations?
- 2) Was the level of parent spawners too high, for natural or hatchery populations?
- 3) Was there a disease event in the hatchery or natural spawning areas?
- 4) Was there a disease event in the egg incubation, fry emergence, rearing, or downstream migration phases?
- 5) Was there any disease event during the return phase of the 2 year old jacks?
- 6) Were there mortalities at the time of trucking and release of hatchery fish?
- 7) Was there a change in the pattern of on-site release of hatchery fingerlings compared to trucked downstream release?
- 8) Was there a change in recovery, spawning and/or release strategies during hatchery operations?
- 9) Did thermal marking occur for any hatchery releases? What were the effects of this or other studies (e.g. GSI of parental broodstock)?
- 10) Was there a change in the methodology or operations of the SF Bay net pen 'acclimation' program for trucked hatchery fish?
- 11) Were there any problems with fish food or chemicals used at hatcheries?

### **Freshwater Habitat Areas Focus**

- 1) Were there drought or flood conditions during the spawning, incubation, or rearing phases?
- 2) Was there any pollution event where juveniles were present?
- 3) Was there anything unusual about the flow conditions below dams during the spawning, incubation, or rearing phases?
- 4) Were there any in-water construction events (bridge building, etc.) when this brood was present in freshwater or estuarine areas?
- 5) Was there anything unusual about the water withdrawals in the rivers or estuary areas when this brood was present?
- 6) Was there an oil spill in the estuary when the 2005 brood was present, as juveniles or jacks?
- 7) Were there any unusual temperature or other limnological conditions when this brood was in freshwater or estuarine areas?
- 8) Was there any unusual population dynamics of typical food or prey species used by juvenile Chinook salmon in the relevant freshwater and estuarine areas?
- 9) Was there anything unusual, in the same context as above for juvenile rearing and outmigration phases, about habitat factors during the return of the 2 year olds from this brood?
- 10) Were there any deleterious effects caused by miscellaneous human activities (e.g., construction, waterfront industries, pollution) within the delta and SF bay areas?

- 11) Was there a change in the recovery of juvenile outmigrants observed in the USFWS mid-water trawl surveys and other monitoring programs in the Delta.

### **Freshwater Species Interactions Focus**

- 1) Was there any unusual predation by bird species when this brood was in freshwater or estuarine areas?
- 2) Was there any unusual sea lion abundance or behavior when this brood was in freshwater or estuarine areas?
- 3) Was there any unusual striped bass population dynamics or behavior when this brood was in freshwater or estuarine areas?
- 4) Were northern pike present in any freshwater or estuarine areas where this brood was present?
- 5) Is there a relationship between declining Delta smelt, longfin smelt, and threadfin shad populations in the Delta and CV Chinook survival?
- 6) Was there additional inriver competition or predation with increased hatchery steelhead production?

### **Marine Biological Focus**

- 1) Was there anything unusual about the ocean migration pattern of the 2004 and 2005 broods?
- 2) Was there anything unusual about the recovery of tagged fish groups from the 2004 and 2005 broods the ocean salmon fisheries?
- 3) Has the bycatch in non-salmonid fisheries (e.g., whiting, groundfish) increased?

### **Marine Habitat Areas Focus**

- 1) Were there periods of reduced upwelling or other oceanographic physical conditions during the period of smolt entry into the marine environment, or during the period of marine residence up to the return to freshwater of the jacks?
- 2) Were there any effects to these fish from the 'dead zones' reported off Oregon and Washington in recent years?
- 3) Were plankton levels depressed off California, especially during the smolt entry periods?
- 4) Was there a relationship to an increase in krill fishing worldwide?
- 5) Limnology: temperature, salinity, upwelling, currents, red tide, etc.
- 6) Were there any oil spills or other pollution events during the period of ocean residence?
- 7) Was there any aquaculture occurring in the ocean residence area?
- 8) Was there any offshore construction in the area of ocean residence, for wave energy or other purposes?

### **Marine Species Interactions Focus**

- 1) Was there any unusual population dynamics of typical food or prey species used by juvenile Chinook salmon in marine areas? (plankton, krill, juvenile anchovy or sardines, etc.)

- 2) Was there an increase in bird predation on juvenile salmonids caused by a reduction in the availability of other forage food?
- 3) Was there an increase of marine mammal predation on these broods?
- 4) Was there predation on salmonids by Humboldt squid?
- 5) Was there increased predation on salmonids by other finfish species (e.g., lingcod)?

**Cumulative Ecosystem Effects Focus**

- 1) Were there other ecosystem effects?
- 2) Were there synergistic effects of significant factors?